

On the Kuratowski convergence of analytic sets

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Abstract. We discuss some conditions which guarantee that the Kuratowski limit of a sequence of analytic sets is a Nash set.

1. Preliminaries. Let $X \subset \mathbb{C}^n$ be a locally closed set. Let \mathcal{F}_X denote the family of all closed subsets of X . In \mathcal{F}_X we have the topology generated by the sets

$$\mathcal{U}(S, K) = \{F \in \mathcal{F}_X : F \cap K = \emptyset, F \cap U \neq \emptyset \text{ for } U \in S\},$$

where $K \subset X$ is compact and S is a finite family of open subsets of X . This topology has the following properties:

- (1) \mathcal{F}_X is a metrizable compact space. The convergence in this topology is called the *Kuratowski convergence*.
- (2) $F_\nu \rightarrow F$ in \mathcal{F}_X if and only if for any $x \in F$ there exist $x_\nu \in F_\nu$ such that $x_\nu \rightarrow x$ and for every compact subset $K \subset X \setminus F$ we have $K \cap F_\nu = \emptyset$ for all sufficiently large ν .
- (3) The map $\mathcal{F}_X \times \mathcal{F}_X \ni (A, B) \mapsto A \cup B \in \mathcal{F}_X$ is continuous.
- (4) If $Y \subset X$ is open, then the map $\mathcal{F}_X \ni F \mapsto F \cap Y \in \mathcal{F}_Y$ is continuous.

For (1) and (2), see [10, Lemmas 1 and 2]. The remaining two properties follow easily from (2).

REMARK 1.1. *Let $\Omega \subset \mathbb{C}^n$ be open. If $A_\nu \rightarrow A$ in \mathcal{F}_Ω and A_ν are analytic and irreducible, then*

- (1) *A need not be analytic.*
- (2) *If A is analytic, it need not be irreducible.*

Proof. Put $A_\nu := \{z^{p_\nu} = y^{q_\nu}\} \subset \mathbb{C}^2$, where p_ν, q_ν are positive integers such that $\gcd(p_\nu, q_\nu) = 1$ and $p_\nu/q_\nu \rightarrow \sqrt{2}$. Passing to a subsequence if necessary we may assume that $A_\nu \rightarrow A$. Suppose that A is analytic. Note that $(0, 0) \notin \text{Int } A$. Clearly, if a convergent power series $f(z, y) = \sum a_{ij} z^i y^j$

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vanishes on the curve $\Gamma = \{(t, t\sqrt{2}) \in \mathbb{R}^2 : t \in [0, \varepsilon]\}$, where $\varepsilon > 0$, then $f \equiv 0$ (because the map $\mathbb{N}^2 \ni (i, j) \mapsto i + j\sqrt{2} \in \mathbb{R}$ is injective). Since $\Gamma \subset A$, we easily obtain a contradiction.

For the second part of the remark, we put $A_\nu := \{zy = 1/\nu\} \subset \mathbb{C}^2$ and $A := \{zy = 0\}$.

Let $G'_k(\mathbb{C}^n)$ denote the set of all k -dimensional affine subspaces of \mathbb{C}^n (cf. [6]). Łojasiewicz defines a topology (we call it τ) in $G'_k(\mathbb{C}^n)$ in such a way that it is metrizable and has the following property: for any $L = z_0 + \sum_{j=1}^k \mathbb{C}z_j \in G'_k(\mathbb{C}^n)$ and $L_\nu \in G'_k(\mathbb{C}^n)$ ($\nu \in \mathbb{N}$),

$$L_\nu \xrightarrow{\tau} L \Leftrightarrow L_\nu = z'_0 + \sum_{j=1}^k \mathbb{C}z'_j \text{ for some } z'_j \rightarrow z_j \text{ (} j = 0, 1, \dots, k \text{)}.$$

On the other hand, since $G'_k(\mathbb{C}^n) \subset \mathcal{F}_{\mathbb{C}^n}$, we have the subspace topology in $G'_k(\mathbb{C}^n)$ (see also [12]). The following lemma states that these two topologies coincide.

LEMMA 1.2. *Suppose that (L_ν) is a sequence in $G'_k(\mathbb{C}^n)$ and let $L \in G'_k(\mathbb{C}^n)$. Then*

$$L_\nu \xrightarrow{\tau} L \Leftrightarrow L_\nu \xrightarrow{\mathcal{F}_{\mathbb{C}^n}} L.$$

Proof. Assume that $L_\nu \xrightarrow{\tau} L$, where $L_\nu, L \in G'_k(\mathbb{C}^n)$. Let $L = z_0 + \sum_{j=1}^k \mathbb{C}z_j$. We know that $L_\nu = z'_0 + \sum_{j=1}^k \mathbb{C}z'_j$ for some $z'_j \rightarrow z_j$ ($j = 0, 1, \dots, k$). Obviously, for any $x \in L$ there exist $x^\nu \in L_\nu$ such that $x^\nu \rightarrow x$. Take now a compact set $K \subset \mathbb{C}^n$ such that $L \cap K = \emptyset$. We need to show that for some $\nu_0 \in \mathbb{N}$ we have $L_\nu \cap K = \emptyset$ whenever $\nu \geq \nu_0$. Suppose that this is not the case. Passing to a subsequence if necessary we may assume that $L_\nu \cap K \neq \emptyset$ for any ν . So let $y^\nu \in L_\nu \cap K$. We have

$$(\star) \quad y^\nu = z'_0 + \sum_{j=1}^k \alpha'_j z'_j$$

for some $\alpha'_j \in \mathbb{C}$ ($j = 1, \dots, k$). Take $1 \leq l_1 < \dots < l_k \leq n$ such that $\det[\pi(z_1), \dots, \pi(z_k)] \neq 0$, where

$$\pi : \mathbb{C}^n \ni (t_1, \dots, t_n) \mapsto (t_{l_1}, \dots, t_{l_k}) \in \mathbb{C}^k.$$

Then for each ν large enough we have $|\det[\pi(z'_1), \dots, \pi(z'_k)]| \geq M$, where M is a positive constant. We can treat (\star) as a system of linear equations, where α'_j are the unknowns. By Cramer's rule applied to the equation $\pi(y^\nu) = \pi(z'_0) + \sum_{j=1}^k \alpha'_j \pi(z'_j)$, all α'_j are bounded. Passing again to a subsequence if necessary we can assume that $\alpha'_j \rightarrow \alpha_j \in \mathbb{C}$. This is a contradiction, since $y := z_0 + \sum_{j=1}^k \alpha_j z_j \in L \cap K$.

Assume now that $L_\nu \xrightarrow{\mathcal{F}\mathbb{C}^n} L$, where $L_\nu, L \in G'_k(\mathbb{C}^n)$ and $L = z_0 + \sum_{j=1}^k \mathbb{C}z_j$. Take $z'_0 \in L_\nu$ such that $z'_0 \rightarrow z_0$, and $a'_j \in L_\nu$ such that $a'_j \rightarrow z_0 + z_j$ for $j = 1, \dots, k$. Put $z'_j := a'_j - z'_0$ ($j = 1, \dots, k$). Obviously, $z'_j \rightarrow z_j$. Moreover, $z'_1, \dots, z'_k \in L_\nu - z'_0$ are linearly independent for all ν large enough and thus $L_\nu = z'_0 + \sum_{j=1}^k \mathbb{C}z'_j$.

Let $\Omega \subset \mathbb{C}^n$ be an open set. We denote by $\mathcal{A}_k(\Omega)$ the set of all analytic sets in Ω of pure dimension k ⁽¹⁾. Since $\mathcal{A}_k(\Omega) \subset \mathcal{F}\Omega$, we have the subspace topology in $\mathcal{A}_k(\Omega)$. Recall the following theorem due to Tworzewski and Winiarski.

THEOREM 1.3 (Tworzewski, Winiarski). *Let $W \in \mathcal{A}_k(\Omega)$ and $V_0 \in \mathcal{A}_{n-k}(\Omega)$ be such that $V_0 \cap W \in \mathcal{A}_0(\Omega)$. Then the mapping*

$$\mathcal{A}_{n-k}(\Omega) \ni V \mapsto V \cap W \in \mathcal{F}W$$

is continuous at V_0 .

Proof. Cf. [10, Corollary 2].

COROLLARY 1.4. *Let $L \in G'_{n-k}(\mathbb{C}^n)$ and $A \in \mathcal{A}_k(U)$, where $U \subset \mathbb{C}^n$ is open. Suppose that $L \cap A$ contains (at least) p isolated points. Then*

- *There exists an open neighbourhood of L in $G'_{n-k}(\mathbb{C}^n)$ such that for any H from this neighbourhood we have*

$$\#(H \cap A) \geq p.$$

- *There exists an open neighbourhood of A in $\mathcal{A}_k(U)$ such that for any V from this neighbourhood we have*

$$\#(L \cap V) \geq p.$$

Proof. We prove only the first part, since the proof of the second is quite similar. Let $\Omega \subset U$ be open, bounded and such that $\#(L \cap \Omega \cap A) = p$. Suppose that there exist $H_\nu \in G'_{n-k}(\mathbb{C}^n)$ such that $H_\nu \rightarrow L$ and $\#(H_\nu \cap A) < p$. Obviously, $H_\nu \cap \Omega \rightarrow L \cap \Omega$ in $\mathcal{F}\Omega$. By the previous theorem, we get $H_\nu \cap \Omega \cap A \rightarrow L \cap \Omega \cap A$ in $\mathcal{F}_{\Omega \cap A}$, but this is impossible.

2. Degree of analytic set. Let $V \subset \mathbb{C}^n$ be an algebraic set of pure dimension k . Recall that we define the degree $\deg V$ of V as the unique number p such that $\#(L \cap V) = p$ for each L from some open dense ⁽²⁾ subset of $G'_{n-k}(\mathbb{C}^n)$ (cf. [6]).

REMARK 2.1. Corollary 1.4 implies that

$$\deg V = \sup\{\#(L \cap V) : L \in G'_{n-k}(\mathbb{C}^n), \#(L \cap V) < \infty\}.$$

⁽¹⁾ We assume that \emptyset is of pure dimension k for any $k \in \mathbb{N}$.

⁽²⁾ In the topology τ which is equivalent by Lemma 1.2 to the topology induced from $\mathcal{F}\mathbb{C}^n$.

Recall the following

THEOREM 2.2. *If A is an analytic set of dimension k in some open set $\Omega \subset \mathbb{C}^n$, then $L \cap A$ is discrete for each L from a dense subset of $G_{n-k}(\mathbb{C}^n)$ ⁽³⁾.*

Proof. Cf. [6, p. 185].

Assume now that $\Omega \subset \mathbb{C}^n$ is an open set and let A be an analytic subset of Ω . We define $\text{dg}(A; \Omega)$ as follows:

(1) If A is of pure dimension k , then we put

$$\text{dg}(A; \Omega) := \sup\{\#(L \cap A) : L \in G'_{n-k}(\mathbb{C}^n), L \cap A \text{ is a discrete set}\} \text{ (4)}.$$

(2) If $A = \bigcup A_l$, where A_l is the union of all irreducible components of A of dimension l , then we put

$$\text{dg}(A; \Omega) := \sum_l \text{dg}(A_l; \Omega) \in \mathbb{N} \cup \{\infty\}.$$

REMARK 2.3. *We have the following properties:*

- *If A is an analytic subset of Ω , then*

$$\text{dg}(A; \Omega) \leq \sum_i \text{dg}(C_i; \Omega),$$

where $A = \bigcup C_i$ is the decomposition of A into irreducible components.

- *If $V \subset \mathbb{C}^n$ is algebraic of pure dimension, then*

$$\text{dg}(V; \mathbb{C}^n) = \text{deg } V.$$

Proof. The first part is trivial. The second follows immediately from Remark 2.1.

Let us add that for any analytic set $A \subset \mathbb{C}^n$ we have:

$$A \text{ is algebraic} \Leftrightarrow \text{dg}(A; \mathbb{C}^n) < \infty.$$

This is a consequence of the following two theorems. The first one is due to Gruman. The second is a more precise version of Theorem 2.2.

THEOREM 2.4 (Gruman). *Suppose that $A \in \mathcal{A}_k(\mathbb{C}^n)$. If $L \cap A$ is finite for any $L \in E$, where $E \subset G_{n-k}(\mathbb{C}^n)$ is a set of positive volume, then A is algebraic.*

Proof. Cf. [7, Corollary 4.4].

⁽³⁾ $G_{n-k}(\mathbb{C}^n)$ denotes the Grassmann manifold of all $(n-k)$ -dimensional subspaces of \mathbb{C}^n .

⁽⁴⁾ Note that Theorem 2.2 implies in particular that the family of all $L \in G'_{n-k}(\mathbb{C}^n)$ such that $L \cap A$ is a discrete set is nonempty.

THEOREM 2.5. *Assume that A is an analytic set of dimension k in some open set $\Omega \subset \mathbb{C}^n$. Then there exists a subset $S \subset G_{n-k}(\mathbb{C}^n)$ of measure zero such that $L \cap A$ is discrete for each $L \in G_{n-k}(\mathbb{C}^n) \setminus S$.*

Proof. This follows from a more general result stated in the Appendix.

Let N be a Nash subset of an open set $\Omega \subset \mathbb{C}^n$ (see [9] for the definition and properties of Nash sets). Recall a characterization of Nash sets:

THEOREM 2.6 (Tworzewski). *If $N \neq \emptyset$ is irreducible, then there exists an irreducible algebraic set $V \subset \mathbb{C}^n$ such that N is an irreducible component of $V \cap \Omega$.*

Proof. Cf. [9, Theorem 2.10].

REMARK 2.7. It is easy to see that the algebraic set V in the above theorem is unique.

We define $\text{deg}(N; \Omega)$ as follows:

- (1) If $N \neq \emptyset$ is irreducible, then we put

$$\text{deg}(N; \Omega) := \text{deg } V,$$

where V is from Theorem 2.6. Moreover, we put $\text{deg}(\emptyset; \Omega) := 0$.

- (2) If $N = \bigcup N_i$ is the decomposition into irreducible components ⁽⁵⁾, then we put

$$\text{deg}(N; \Omega) := \sum_i \text{deg}(N_i; \Omega) \in \mathbb{N} \cup \{\infty\}.$$

REMARK 2.8. We have the following properties:

- (1) If $V \subset \mathbb{C}^n$ is algebraic of pure dimension, then

$$\text{deg}(V; \mathbb{C}^n) = \text{deg } V.$$

- (2) If N is a Nash subset of $\Omega \subset \mathbb{C}^n$, then

$$\text{dg}(N; \Omega) \leq \text{deg}(N; \Omega).$$

The above inequality may be strict even if N is irreducible.

Proof. Part (1) follows from the following fact: if $V = V_1 \cup \dots \cup V_p$ is the decomposition into irreducible components, then $\text{deg } V = \text{deg } V_1 + \dots + \text{deg } V_p$ (cf. [6, p. 310]).

Let us pass to (2). By Remark 2.3, we may restrict ourselves to the case when $N \neq \emptyset$ is irreducible. Put $k := \dim N$. Take $L \in G'_{n-k}(\mathbb{C}^n)$ such that $L \cap N$ is a discrete set. We need to show that $\#(L \cap N) \leq \text{deg}(N; \Omega) = \text{deg } V$, where V is the unique algebraic set as in Theorem 2.6. Suppose that this is not the case. Corollary 1.4 implies that $\#(H \cap N) > \text{deg } V$ for each H in

⁽⁵⁾ They are Nash subsets of Ω (cf. [9, Theorem 2.11]).

some nonempty open set in $G'_{n-k}(\mathbb{C}^n)$. Hence $\#(H \cap V) > \deg V$. This gives a contradiction.

To see that the inequality in (2) may be strict consider the set $A := \{(z, y) \in \mathbb{C}^2 : y = z^2 + z^3\}$. Note that $\deg(A \cap \Omega; \Omega) \geq 3$ whenever $\Omega \subset \mathbb{C}^2$ is an open set such that $A \cap \Omega \neq \emptyset$. Put $\Omega := \{|z| < 1/3\} \times \mathbb{C}$. Suppose that $\deg(A \cap \Omega; \Omega) \geq 3$. Since $A \cap \Omega$ is of pure dimension 1, it follows that there exist some $a, b \in \mathbb{C}$ such that the equation $az + b = z^2 + z^3$ has three different roots z_1, z_2, z_3 in $\{|z| < 1/3\}$. We obtain a contradiction, because $z_1 + z_2 + z_3 = -1$.

3. Limits of analytic sets. Recall the main result of [11].

THEOREM 3.1 (Tworzewski, Winiarski). *The set $\mathcal{H}_d^k(\mathbb{C}^n) := \{V \in \mathcal{A}_k(\mathbb{C}^n) : V \text{ is algebraic, } \deg V \leq d\}$ is compact in $\mathcal{F}_{\mathbb{C}^n}$.*

Proof. Cf. [11, Theorem 2].

Tworzewski and Winiarski use among others the following tools:

THEOREM 3.2 (Bishop). *Let $\Omega \subset \mathbb{C}^n$ be open and let $A_\nu \in \mathcal{A}_k(\Omega)$, $\nu = 1, 2, \dots$. If the $2k$ -dimensional volumes of A_ν are finite and bounded by a positive constant independent of ν and $A_\nu \rightarrow A$ in \mathcal{F}_Ω , then $A \in \mathcal{A}_k(\Omega)$.*

Proof. Cf. [2, Theorem 1]. See also [8, Theorem C].

THEOREM 3.3 (Griffiths). *Let $V \subset \mathbb{C}^n$ be an algebraic set of pure dimension k . Then*

$$\text{vol}_{2k}(V \cap B[r]) \leq \alpha(k) \cdot \deg V \cdot r^{2k}$$

for any $r > 0$, where $B[r] := \{z \in \mathbb{C}^n : \|z\| < r\}$ and $\alpha(k)$ is a positive constant independent of V .

Proof. Cf. [4, Theorems 1.3 and 1.8].

We will now prove a refined version of Theorem 3.1.

THEOREM 3.4. *Suppose that $A \subset \mathbb{C}^n$ and let Ω be open in \mathbb{C}^n . Assume that there exists a sequence (N_ν) of Nash subsets of Ω such that $N_\nu \rightarrow A \cap \Omega$ in \mathcal{F}_Ω and $\deg(N_\nu; \Omega) \leq d$. Then $A \cap \Omega$ is a Nash subset of Ω . Moreover, if additionally $\Omega = \mathbb{C}^n$ or A is analytic irreducible such that $A \cap \Omega \neq \emptyset$, then A is algebraic.*

Proof. Since $\deg(N_\nu; \Omega) \leq d$, each N_ν contains at most d irreducible components. Passing to a subsequence if necessary we may assume that for each ν we have the following decomposition into irreducible components:

$$N_\nu = \bigcup_{i=1}^s \bigcup_{j=1}^{p_i} N_\nu^{i,j},$$

where for any $i \in \{1, \dots, s\}$, $N_\nu^{i,j}$ ($j = 1, \dots, p_i$) denote the components of dimension k_i . Obviously,

$$\sum_{i=1}^s \sum_{j=1}^{p_i} \deg(N_\nu^{i,j}; \Omega) \leq d.$$

For each $N_\nu^{i,j}$ take the unique algebraic set $V_\nu^{i,j}$ as in Theorem 2.6. Then:

- $N_\nu^{i,j}$ is an irreducible component of $V_\nu^{i,j} \cap \Omega$,
- $\deg V_\nu^{i,j} = \deg(N_\nu^{i,j}; \Omega) \leq d$,
- $V_\nu^{i,j}$ is irreducible of dimension k_i .

Again passing to a subsequence if necessary we may assume that $N_\nu^{i,j} \rightarrow N^{i,j}$ in \mathcal{F}_Ω and $V_\nu^{i,j} \rightarrow V^{i,j}$ in $\mathcal{F}_{\mathbb{C}^n}$. It follows from Theorem 3.1 that $V^{i,j}$ is an algebraic set of pure dimension k_i . By Theorem 3.3, we get

$$\text{vol}_{2k_i}(V_\nu^{i,j} \cap B[r]) \leq \alpha(k_i) \cdot d \cdot r^{2k_i}$$

and thus

$$\text{vol}_{2k_i}(N_\nu^{i,j} \cap B[r]) \leq \alpha(k_i) \cdot d \cdot r^{2k_i}.$$

Theorem 3.2 implies that $N^{i,j} \in \mathcal{A}_{k_i}(\Omega)$. Since $N^{i,j} \subset V^{i,j} \cap \Omega$ and $V^{i,j}$ is of pure dimension k_i , $N^{i,j}$ is a union of some irreducible components of $V^{i,j} \cap \Omega$. Therefore $N^{i,j}$ is a locally finite (in Ω) union of Nash sets. By Proposition 2.6 in [9], $N^{i,j}$ is a Nash subset of Ω . Now it is enough to see that

$$A \cap \Omega = \bigcup_{i=1}^s \bigcup_{j=1}^{p_i} N^{i,j}.$$

It follows from the proof that for $\Omega = \mathbb{C}^n$, A is algebraic. If A is analytic irreducible and $A \cap \Omega \neq \emptyset$, then A is algebraic as well (cf. [9, Theorems 2.9 and 2.12]).

COROLLARY 3.5. *Let $\Omega \subset \mathbb{C}^n$ be open and let $N \subset \Omega \times \mathbb{C}^m$ be a Nash set with a finite number of irreducible components. Then $\overline{\pi(N)}^\Omega$ is Nash in Ω , where $\pi : \mathbb{C}^n \times \mathbb{C}^m \rightarrow \mathbb{C}^n$ denotes the natural projection.*

Proof. It is enough to repeat the proof of Corollary in [11].

REMARK 3.6. One cannot omit the assumption that N has a finite number of irreducible components. To see this consider $N := \{(1/\nu, \nu) : \nu \in \mathbb{N}\}$ ($n = m = 1$). In this case we have $\overline{\pi(N)} = \{1/\nu : \nu \in \mathbb{N}\} \cup \{0\}$, which is not even analytic.

If we replace $\deg(N_\nu; \Omega)$ in Theorem 3.4 by $\text{dg}(N_\nu; \Omega)$, then the conclusion is no longer true, even if we assume that N_ν are irreducible and A is analytic irreducible. We give an example in the next section. However, we can prove the following theorem.

THEOREM 3.7. *Let $A \in \mathcal{A}_k(\mathbb{C}^n)$ and let $U_1 \subset U_2 \subset \dots$ be a sequence of open subsets of \mathbb{C}^n such that $\bigcup U_i = \mathbb{C}^n$. Assume that there is some positive integer d such that for each i there exists a sequence (A_{ij}) in $\mathcal{A}_k(U_i)$ with the following properties:*

- $A_{ij} \rightarrow A \cap U_i$ in \mathcal{F}_{U_i} ,
- $\text{dg}(A_{ij}; U_i) \leq d$.

Then A is algebraic.

Proof. Fix $i \in \mathbb{N}$. By Theorem 2.5, we get a subset $S_i \subset G_{n-k}(\mathbb{C}^n)$ of measure zero such that the sets $L \cap A_{ij}$ ($j = 1, 2, \dots$) and $L \cap A \cap U_i$ are discrete for each $L \in G_{n-k}(\mathbb{C}^n) \setminus S_i$. Fix $L \in G_{n-k}(\mathbb{C}^n) \setminus S_i$. Since $\text{dg}(A_{ij}; U_i) \leq d$, we have $\#(L \cap A_{ij}) \leq d$. Assume that $\#(L \cap A \cap U_i) > d$. Then $L \cap A \cap U_i$ contains $d + 1$ isolated points. Now it is enough to use Corollary 1.4 to get a contradiction. To summarize, for each $i \in \mathbb{N}$ and $L \in G_{n-k}(\mathbb{C}^n) \setminus S_i$ we have

$$\#(L \cap A \cap U_i) \leq d.$$

Put $S := \bigcup S_i$. For any $L \in G_{n-k}(\mathbb{C}^n) \setminus S$ we have $\#(L \cap A) \leq d$. Now the result follows from Theorem 2.4.

4. An example. We will now give an example announced in the previous section. First, we need some lemmata.

For any $\nu \in \mathbb{N}$ put

$$h_\nu(z) := \frac{z^\nu}{\nu!} + \frac{z^{\nu-1}}{(\nu-1)!} + \dots + z + 1.$$

LEMMA 4.1. *If the equation $h_\nu(z) = az + b$, where $a, b \in \mathbb{C}$, has two different roots in $\{|z| \leq 1\}$, then $|a| \leq e$ and $|b| \leq 2e$. The same is true for the equation $e^z = az + b$.*

Proof. Let $u, w \in \{|z| \leq 1\}$ be two different roots of the equation $h_\nu(z) = az + b$. Then

$$a(u - w) = \sum_{j=1}^{\nu} \frac{1}{j!} (u^j - w^j).$$

Therefore we have

$$|a| \leq 1 + \frac{1}{2!} \cdot 2 + \frac{1}{3!} \cdot 3 + \dots + \frac{1}{\nu!} \cdot \nu < e$$

and

$$|b| \leq |h_\nu(u)| + |au| \leq 2e.$$

Just in the same way we prove the second statement.

LEMMA 4.2. *There exists a positive integer d such that for any $a, b \in \mathbb{C}$, $\#\{z \in \mathbb{C} : |z| \leq 1, e^z = az + b\} \leq d$.*

Proof. By Lemma 4.1, we may assume that $|a| \leq e$ and $|b| \leq 2e$. Consider the set

$$K := \{u \in \mathbb{R}^6 : e^{x_1 + iy_1} = (x_2 + iy_2)(x_1 + iy_1) + x_3 + iy_3\} \cap T,$$

where $T := \{\|u_1\| \leq 1, \|u_2\| \leq e, \|u_3\| \leq 2e\} \subset \mathbb{R}^6$, $u = (u_1, u_2, u_3)$ and $u_j = (x_j, y_j) \in \mathbb{R}^2$ for $j = 1, 2, 3$. Note that K is a semianalytic ⁽⁶⁾ compact subset of \mathbb{R}^6 . Since for any $(u_2, u_3) \in \mathbb{R}^2 \times \mathbb{R}^2$ the fibre $K_{(u_2, u_3)}$ is finite, there exists a positive integer d such that $\#K_{(u_2, u_3)} \leq d$.

LEMMA 4.3. *There exists $\nu_0 \in \mathbb{N}$ such that for any $\nu \geq \nu_0$ and any $a, b \in \mathbb{C}$ the equation $h_\nu(z) = az + b$ has at most $2d$ distinct roots in the set $\{|z| \leq 1\}$, where d is from the previous lemma.*

Proof. Suppose that this is not the case. Then we can find a subsequence $\nu_k \rightarrow \infty$ and sequences a_{ν_k}, b_{ν_k} of complex numbers such that for any k the equation $h_{\nu_k}(z) = a_{\nu_k}z + b_{\nu_k}$ has at least $2d + 1$ distinct roots in the set $\{|z| \leq 1\}$. Denote these roots by $y_1^{\nu_k}, \dots, y_{2d+1}^{\nu_k}$. Lemma 4.1 implies that a_{ν_k}, b_{ν_k} are bounded. Passing to a subsequence if necessary we may assume that

$$y_j^{\nu_k} \rightarrow y_j \quad (j = 1, \dots, 2d + 1), \quad a_{\nu_k} \rightarrow a, \quad b_{\nu_k} \rightarrow b,$$

for some $y_j \in \{|z| \leq 1\}$ and $a, b \in \mathbb{C}$. Put $g(z) = e^z - az - b$ and $g_{\nu_k}(z) = h_{\nu_k}(z) - a_{\nu_k}z - b_{\nu_k}$ for $z \in \mathbb{C}$. Obviously, $g_{\nu_k} \rightarrow g$ uniformly in $\{|z| \leq 2\}$. It is easy to see that $g(y_j) = 0$ for $j = 1, \dots, 2d + 1$. By the previous lemma, at least three numbers among y_1, \dots, y_{2d+1} are equal, say $y_1 = y_2 = y_3$. By the Hurwitz theorem, y_1 is a zero of g of multiplicity at least 3. This is impossible, because $g''(y_1) = e^{y_1} \neq 0$.

EXAMPLE 4.4. Let $\Omega := \{|z| < 1\} \times \mathbb{C} \subset \mathbb{C}^2$. For any $\nu \in \mathbb{N}$ put

$$N_\nu := \{(z, y) \in \mathbb{C}^2 : y = h_\nu(z)\} \cap \Omega$$

and

$$A := \{(z, y) \in \mathbb{C}^2 : y = e^z\}.$$

Note that each N_ν is an irreducible Nash subset of Ω and A is an irreducible analytic set in \mathbb{C}^2 . Moreover, $\text{dg}(N_\nu; \Omega) \leq 2d$ for $\nu \geq \nu_0$ (cf. Lemma 4.3) and $N_\nu \rightarrow A \cap \Omega$ in \mathcal{F}_Ω . Nevertheless, A is not algebraic.

5. Appendix. In this section we present a general result concerning o-minimal structures ⁽⁷⁾ from which Theorem 2.5 follows immediately.

⁽⁶⁾ See [5] and [1] for the definition and properties of semianalytic sets.

⁽⁷⁾ The definition and properties of o-minimal structures can be found in [3].

Let J denote the set of all increasing functions $\alpha : \{1, \dots, n - k\} \rightarrow \{1, \dots, n\}$. For each $\alpha \in J$ put

$$V_\alpha := \sum_{j=1}^{n-k} \mathbb{C}e_{\alpha(j)}, \quad W_\alpha := \sum_{\nu \notin \text{im } \alpha} \mathbb{C}e_\nu,$$

where e_1, \dots, e_n is the usual basis in \mathbb{C}^n . We denote by $\Omega(V_\alpha)$ the subset of $G_k(\mathbb{C}^n)$ consisting of all linear complements of V_α . Recall that the family of maps

$$\varphi_\alpha : \mathcal{L}(W_\alpha, V_\alpha) \ni L \mapsto \widehat{L} \in \Omega(V_\alpha),$$

where $\alpha \in J$ and $\widehat{L} := \{y + L(y) : y \in W_\alpha\}$, is an inverse atlas on $G_k(\mathbb{C}^n)$ ⁽⁸⁾.

We will use the following result:

THEOREM 5.1. *Let $f : X \rightarrow \mathbb{R}^m$ be definable in some o-minimal structure, where $X \subset \mathbb{R}^p$. For each $j \in \{0, 1, \dots, p\}$ put $A_j := \{x \in \mathbb{R}^m : \dim f^{-1}(x) = j\}$. Then*

$$\dim f^{-1}(A_j) = \dim A_j + j.$$

Proof. Cf. [3, p. 66].

THEOREM 5.2. *Suppose that $B \subset \mathbb{C}^n$ is definable (as a subset of \mathbb{R}^{2n}) in some o-minimal structure and $\dim B \leq 2(n - k)$. Then there exists a positive integer d and a set $S \subset G_k(\mathbb{C}^n)$ of measure zero such that $\#(H \cap B) \leq d$ for any $H \in G_k(\mathbb{C}^n) \setminus S$.*

Proof. It is enough to show that for any $\alpha \in J$ we can find a positive integer d_α and a subset $S_\alpha \subset \mathcal{L}(W_\alpha, V_\alpha)$ of measure zero such that $\#(\widehat{L} \cap B) \leq d_\alpha$ whenever $L \in \mathcal{L}(W_\alpha, V_\alpha) \setminus S_\alpha$. We will prove this for $V_\alpha = \{0\} \times \mathbb{C}^{n-k} \subset \mathbb{C}^n$ (the general case is analogous). Put $V := V_\alpha, W := W_\alpha = \mathbb{C}^k \times \{0\} \subset \mathbb{C}^n$. Define

$$F : \mathbb{C}^k \times \mathcal{L}(W, V) \ni (u, L) \mapsto (u, 0) + L(u, 0) \in \mathbb{C}^n.$$

Since $\mathbb{C}^k = \mathbb{R}^{2k}$ and $\mathcal{L}(W, V)$ can be identified with $\mathbb{R}^{2k(n-k)}$, $F^{-1}(B)$ may be regarded as a subset of $\mathbb{R}^{2k} \times \mathbb{R}^{2k(n-k)}$. Obviously, $F^{-1}(B)$ is then definable, for F and B are. For fixed $u \in \mathbb{C}^k$ we have the linear mapping

$$h_u : \mathcal{L}(W, V) \ni L \mapsto L(u, 0) \in V.$$

Note the following facts:

- (1) If $u \neq 0$, then for any $v \in V$ the set $h_u^{-1}(v)$ is a $(k - 1)(n - k)$ -dimensional affine complex subspace.
- (2) $h_0^{-1}(0) = \mathcal{L}(W, V)$ and $h_0^{-1}(v) = \emptyset$ if $v \neq 0$.

⁽⁸⁾ $\mathcal{L}(X, Y)$ denotes the space of all linear maps $X \rightarrow Y$.

It is easy to see that for any $x = (x', x'') \in \mathbb{C}^k \times \mathbb{C}^{n-k} = \mathbb{C}^n$ we have $F^{-1}(x) = \{x'\} \times h_{x'}^{-1}(0, x'')$. Therefore

$$\dim F^{-1}(x) \leq 2(k-1)(n-k) \quad \text{if } x \neq 0, \quad \dim F^{-1}(0) = 2k(n-k). \quad (9)$$

By Theorem 5.1, we get $\dim F^{-1}(B \setminus \{0\}) \leq 2k(n-k)$. Combining this with the fact that $\dim F^{-1}(0) = 2k(n-k)$ we have

$$\dim F^{-1}(B) \leq 2k(n-k).$$

Hence there exists a positive integer s and a nowhere dense and definable ⁽¹⁰⁾ set $Z \subset \mathcal{L}(W, V)$ such that $\#F^{-1}(B)_L \leq s$ for any $L \in \mathcal{L}(W, V) \setminus Z$, where $F^{-1}(B)_L$ is the fibre of $F^{-1}(B)$ over L . Consider the bijection

$$g_L : \mathbb{C}^k \ni u \mapsto (u, 0) + L(u, 0) \in \widehat{L}.$$

Since $F^{-1}(B)_L = g_L^{-1}(\widehat{L} \cap B)$, we obtain

$$\#F^{-1}(B)_L = \#(\widehat{L} \cap B).$$

To summarize, for any $L \in \mathcal{L}(W, V) \setminus Z$ we have $\#(\widehat{L} \cap B) \leq s$.

THEOREM 5.3. *Let $X \subset \mathbb{C}^n$. Suppose that for each $x \in X$ there exists an open neighbourhood $U_x \subset \mathbb{C}^n$ of x such that $U_x \cap X$ is definable in some o -minimal structure (which may depend on x) and $\dim(U_x \cap X) \leq 2(n-k)$. Then there exists a subset $S \subset G_k(\mathbb{C}^n)$ of measure zero such that $H \cap X$ is a discrete set for any $H \in G_k(\mathbb{C}^n) \setminus S$.*

Proof. It is enough to use the previous theorem and the following facts:

- X is a second-countable space,
- a countable union of sets of measure zero is of measure zero.

REMARK 5.4. Theorem 2.5 follows immediately from Theorem 5.3.

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⁽⁹⁾ Here \dim denotes the dimension of a definable set.

⁽¹⁰⁾ And thus of measure zero.

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