

ON THE H-PROPERTY AND ROTUNDITY OF CESÀRO DIRECT SUMS OF BANACH SPACES

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Abstract. In this paper, we define the direct sum $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$ of Banach spaces X_1, \dots, X_n and consider it equipped with the Cesàro p -norm when $1 \leq p < \infty$. We show that $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$ has the H-property if and only if each X_i has the H-property, and $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$ has the Schur property if and only if each X_i has the Schur property. Moreover, we also show that $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$ is rotund if and only if each X_i is rotund.

1. Introduction. The geometric properties of direct sums of Banach spaces has been studied by many mathematicians (see [5, 9]). It is well-known that the direct sum $(\oplus_{i=1}^n X_i)_2$ of normed spaces X_i ($i = 1, 2, \dots, n$) equipped with the 2-norm $\|\cdot\|_2$ given by

$$\|(x_1, x_2, \dots, x_n)\|_2 = \sqrt{\sum_{i=1}^n \|x_i\|^2}$$

is rotund if and only if each X_i is rotund and $(\oplus_{i=1}^n X_i)_2$ is uniformly rotund if and only if each X_i is uniformly rotund (see [6]). Let X_1, X_2, \dots, X_n be Banach spaces and $p \in [1, \infty]$. We use $(\oplus_{i=1}^n X_i)_p$ to denote the product space $\oplus_{i=1}^n X_i$ equipped with the norm $\|(x_1, x_2, \dots, x_n)\|_p = (\sum_{i=1}^n \|x_i\|^p)^{\frac{1}{p}}$ ($1 \leq p < \infty$) and $\|(x_1, x_2, \dots, x_n)\|_\infty = \max_{1 \leq i \leq n} \|x_i\|$.

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In 1984, Landes [3, 4] showed that if X_1 and X_2 has weak normal structure (WNS), then $(X_1 \oplus X_2)_1$ need not have WNS.

In 2001 Marino, Pietramala and Xu [5] showed that if X_1 and X_2 has property (K) and the non-strict Opial property, then for each $p \in [1, \infty)$, $(X_1 \oplus X_2)_p$ has both property (K) and the non-strict Opial property.

The concept of Ψ -direct sum of Banach spaces X and Y equipped with the norm $\|(x, y)\|_\Psi = \|(\|x\|, \|y\|)\|_\Psi$ for $x \in X$ and $y \in Y$ was introduced by Saito and Kato. Note that the Ψ direct sum $X \oplus_\Psi Y$ is a generalization of the p -direct sum $(X \oplus Y)_p$, and they proved that $X \oplus_\Psi Y$ is strictly convex if and only if X and Y are strictly convex and Ψ is strictly convex. Building on this result, Saito and Kato [7] also proved that $X \oplus_\Psi Y$ is uniformly convex if X and Y are uniformly convex and Ψ is strictly convex.

For a Banach space X , we denote by $S(X)$ and $B(X)$ the unit sphere and unit ball of X , respectively. A point $x_0 \in S(X)$ is called

a) an *extreme point* of the unit ball of X if for $y, z \in S(X)$ the equation $2x_0 = y + z$ implies $y = z$,

b) an *H-point* if for any sequence (x_n) in X such that $\|x_n\| \rightarrow 1$ as $n \rightarrow \infty$, the weak convergence of (x_n) to x_0 (write $x_n \xrightarrow{w} x_0$) implies that $\|x_n - x_0\| \rightarrow 0$.

A Banach space X is said to be *rotund* if every point of $S(X)$ is an extreme point of $B(X)$. It is well-known that X is rotund if and only if $\|\frac{x+y}{2}\| < 1$ whenever $x, y \in S(X)$ with $x \neq y$. If every point in $S(X)$ is an H-point of $B(X)$, then X is said to have the *H-property*.

For $p \in [1, \infty)$, the *Cesàro sequence space* ces_p is defined as the space of all real sequences $x = (x(j))_{j=1}^\infty$ such that

$$\|x\|_p = \left(\sum_{n=1}^{\infty} \left(\frac{1}{n} \sum_{i=1}^n |x(i)| \right)^p \right)^{1/p} < \infty \quad \text{and} \quad \|x\|_\infty = \sup_{n \in \mathbb{N}} \frac{1}{n} \sum_{i=1}^n |x(i)| < \infty.$$

For $n \in \mathbb{N}$, ces_p^n is the space \mathbb{R}^n equipped with the norm

$$\|x\|_p = \left(\sum_{k=1}^n \left(\frac{1}{k} \sum_{i=1}^k |x(i)| \right)^p \right)^{1/p}.$$

It is well-known that ces_p ($1 < p < \infty$) is rotund, and so is the space ces_p^n . For $p \in [1, \infty]$, we use $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$ to denote the product $\oplus_{i=1}^n X_i$ equipped with the Cesàro p -norm $\|(x_1, x_2, \dots, x_n)\|_{\text{ces}_p} = (\sum_{k=1}^n (\frac{1}{k} \sum_{i=1}^k \|x_i\|)^p)^{1/p}$ and $\|(x_1, x_2, \dots, x_n)\|_{\text{ces}_\infty} = \max_{1 \leq k \leq n} \frac{1}{k} \sum_{i=1}^k \|x_i\|$.

2. Main results. We first show that $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$ has the Schur property if and only if each X_i has the Schur property. To do this, we need the following lemmas.

LEMMA 2.1. *Let X_1, X_2, \dots, X_n be Banach spaces and $p \in [1, \infty)$, and let $(x_1^{(k)}, x_2^{(k)}, \dots, x_n^{(k)})_{k=1}^\infty$ be a sequence in $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$. Then $(x_1^{(k)}, x_2^{(k)}, \dots, x_n^{(k)}) \rightarrow (0, 0, \dots, 0)$ as $k \rightarrow \infty$ if and only if $x_i^{(k)} \rightarrow 0$ as $k \rightarrow \infty$ for all $i = 1, 2, \dots, n$.*

Proof. Since

$$\|(x_1^{(k)}, \dots, x_n^{(k)})\|_{\text{ces}_p} = \left(\|x_1^{(k)}\|^p + \dots + \left(\frac{\|x_1^{(k)}\| + \|x_2^{(k)}\| + \dots + \|x_n^{(k)}\|}{n} \right)^p \right)^{1/p},$$

it follows that $(x_1^{(k)}, x_2^{(k)}, \dots, x_n^{(k)}) \rightarrow (0, 0, \dots, 0)$ as $k \rightarrow \infty$ if and only if $x_i^{(k)} \rightarrow 0$ as $k \rightarrow \infty$ for all $i = 1, 2, \dots, n$. ■

LEMMA 2.2. Let X_1, X_2, \dots, X_n be Banach spaces and let $f_i \in X_i^*$ ($i = 1, 2, \dots, n$). For each $i \in \{1, 2, \dots, n\}$ define $f'_i : \oplus_{i=1}^n X_i \rightarrow R$ by $f'_i(x_1, x_2, \dots, x_n) = f_i(x_i)$. Then $f'_i \in (\oplus_{i=1}^n X_i)_{\text{ces}_p}^*$ for each $i = 1, 2, \dots, n$.

Proof. It is easy to see that f'_i is linear. We will show that f'_i is continuous at zero. To do this, suppose that $(x_1^{(k)}, x_2^{(k)}, \dots, x_n^{(k)}) \in (\oplus_{i=1}^n X_i)_{\text{ces}_p}$ such that $(x_1^{(k)}, x_2^{(k)}, \dots, x_n^{(k)}) \rightarrow (0, 0, \dots, 0)$. By lemma 2.1, $x_i^{(k)} \rightarrow 0$ as $k \rightarrow \infty$, hence $f_i(x_i^{(k)}) \rightarrow 0$ as $k \rightarrow \infty$. It follows that $f'_i(x_1^{(k)}, x_2^{(k)}, \dots, x_n^{(k)}) \rightarrow 0$ as $k \rightarrow \infty$. Hence f'_i is continuous at zero. Therefore $f'_i \in (\oplus_{i=1}^n X_i)_{\text{ces}_p}^*$. ■

LEMMA 2.3. Let X_1, X_2, \dots, X_n be Banach spaces and let $(x_1^{(k)}, x_2^{(k)}, \dots, x_n^{(k)})_{k=1}^\infty$ be a sequence in $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$ and let $(x_1, x_2, \dots, x_n) \in (\oplus_{i=1}^n X_i)_{\text{ces}_p}$. If $(x_1^{(k)}, x_2^{(k)}, \dots, x_n^{(k)}) \xrightarrow{w} (x_1, x_2, \dots, x_n)$ as $k \rightarrow \infty$, then $x_i^{(k)} \xrightarrow{w} x_i$ as $k \rightarrow \infty$ for each $i = 1, 2, \dots, n$.

Proof. Let $f_i \in X_i^*$ ($i = 1, 2, \dots, n$). Define $f'_i : \oplus_{i=1}^n X_i \rightarrow R$ by $f'_i(x_1, x_2, \dots, x_n) = f_i(x_i)$. By Lemma 2.2, f'_i is a bounded linear functional on $\oplus_{i=1}^n X_i$, so $f'_i(x_1^{(k)}, \dots, x_n^{(k)}) \rightarrow f'_i(x_1, x_2, \dots, x_n)$. Thus $f_i(x_i^{(k)}) \rightarrow f_i(x_i)$ as $k \rightarrow \infty$, hence $x_i^{(k)} \xrightarrow{w} x_i$ as $k \rightarrow \infty$ for all $i = 1, 2, \dots, n$. ■

LEMMA 2.4. Let X_1, X_2, \dots, X_n be Banach spaces and $p \in [1, \infty)$. Then X_i is isometrically isomorphic to a subspace of $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$.

Proof. For each $i = 1, 2, \dots, n$, let $X'_i = \{(0, \dots, 0, x_i, 0, \dots, 0) \in (\oplus_{j=1}^n X_j) : x_i \in X_i\}$. It is clear that X'_i is a subspace of $\oplus_{i=1}^n X_i$. We define $T_i : X_i \rightarrow X'_i$ by

$$T_i(x_i) = (0, \dots, 0, \alpha_i x_i, 0, \dots, 0) \quad \text{where} \quad \alpha_i = \left(\frac{1}{\sum_{j=i}^n \left(\frac{1}{j}\right)^p} \right)^{\frac{1}{p}}.$$

Then T_i is linear and

$$\begin{aligned} \|T_i x\| &= \|(0, \dots, 0, \alpha_i x, 0, \dots, 0)\| = \left(\left(\left\| \frac{\alpha_i x}{i} \right\| \right)^p + \left(\left\| \frac{\alpha_i x}{i+1} \right\| \right)^p + \dots + \left(\left\| \frac{\alpha_i x}{n} \right\| \right)^p \right)^{\frac{1}{p}} \\ &= \left(\|\alpha_i x\|^p \left[\frac{1}{i^p} + \frac{1}{(i+1)^p} + \dots + \frac{1}{n^p} \right] \right)^{\frac{1}{p}} = \|x\|, \end{aligned}$$

hence $T_i : X_i \rightarrow X'_i$ is isometrically isomorphic from X_i onto X'_i . ■

THEOREM 2.5. Let X_1, X_2, \dots, X_n be Banach spaces and $p \in [1, \infty)$. Then $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$ has the Schur property if and only if each X_i has the Schur property.

Proof. Necessity is obvious, since each X_i is isometrically isomorphic to a subspace of $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$ and every subspace of a normed space with the Schur property has also the Schur property.

Sufficiency. Suppose that each X_i has the Schur property for $i = 1, 2, \dots, n$.

Let $(x_1^{(k)}, x_2^{(k)}, \dots, x_n^{(k)}), (x_1, x_2, \dots, x_n) \in (\oplus_{i=1}^n X_i)_{\text{ces}_p}$ such that $(x_1^{(k)}, x_2^{(k)}, \dots, x_n^{(k)}) \xrightarrow{w} (x_1, x_2, \dots, x_n)$. By Lemma 2.3, we have $x_i^{(k)} \xrightarrow{w} x_i$ as $k \rightarrow \infty$. Since X_i has the Schur property, $x_i^{(k)} \rightarrow x_i$ as $k \rightarrow \infty$. That is, $\|x_i^{(k)} - x_i\| \rightarrow 0$ as $k \rightarrow \infty$ for each $i = 1, 2, \dots, n$. Since

$$\begin{aligned} & \| (x_1^{(k)}, \dots, x_n^{(k)}) - (x_1, \dots, x_n) \|_{\text{ces}_p} \\ &= \| (x_1^{(k)} - x_1, x_2^{(k)} - x_2, \dots, x_n^{(k)} - x_n) \|_{\text{ces}_p} \\ &= \left(\|x_1^{(k)} - x_1\|^p + \left(\frac{\|x_1^{(k)} - x_1\| + \|x_2^{(k)} - x_2\|}{2} \right)^p + \dots \right. \\ &\quad \left. + \left(\frac{\|x_1^{(k)} - x_1\| + \|x_2^{(k)} - x_2\| + \dots + \|x_n^{(k)} - x_n\|}{n} \right)^p \right)^{\frac{1}{p}}, \end{aligned}$$

it follows that $\| (x_1^{(k)}, x_2^{(k)}, \dots, x_n^{(k)}) - (x_1, x_2, \dots, x_n) \|_{\text{ces}_p} \rightarrow 0$.

Thus $(x_1^{(k)}, x_2^{(k)}, \dots, x_n^{(k)}) \rightarrow (x_1, x_2, \dots, x_n)$ as $k \rightarrow \infty$. Hence $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$ has the Schur property. ■

If X_1, X_2, \dots, X_n are Banach spaces and $p \in [1, \infty)$, we will show that $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$ has the H-property if and only if each X_i has the H-property. To do this, it is enough to show only that $(X_1 \oplus X_2)_{\text{ces}_p}$ has the H-property if and only if X_1 and X_2 has the H-property.

THEOREM 2.6. *Let X_1 and X_2 be Banach spaces and $p \in [1, \infty)$. Then $(X_1 \oplus X_2)_{\text{ces}_p}$ has the H-property if and only if X_1 and X_2 have the H-property.*

Proof. Necessity follows from the fact that each X_i is isometrically isomorphic with a subspace of $(X_1 \oplus X_2)_{\text{ces}_p}$ (Lemma 2.4) and every subspace of the space having the H-property has also the H-property.

Sufficiency. Let $(x_1^{(k)}, x_2^{(k)}), (x_1, x_2) \in S(X_1 \oplus X_2)_{\text{ces}_p}$ such that $(x_1^{(k)}, x_2^{(k)}) \xrightarrow{w} (x_1, x_2)$ as $k \rightarrow \infty$. By Lemma 2.3, we have $x_i^{(k)} \xrightarrow{w} x_i$ as $k \rightarrow \infty$ for each $i = 1, 2$. Next we shall show that $\|x_i^{(k)}\| \rightarrow \|x_i\|$ as $k \rightarrow \infty$ for $i = 1, 2$. We have $\|x_i\| \leq \liminf_{k \rightarrow \infty} \|x_i^{(k)}\|$. We will show that $\limsup_{k \rightarrow \infty} \|x_i^{(k)}\| \leq \|x_i\|$ for $i=1,2$. If not, we get that $\limsup_{k \rightarrow \infty} \|x_1^{(k)}\| > \|x_1\|$ or $\limsup_{k \rightarrow \infty} \|x_2^{(k)}\| > \|x_2\|$.

CASE 1: $\limsup_{k \rightarrow \infty} \|x_1^{(k)}\| > \|x_1\|$. Then there exists a subsequence (m_k) of (k) such that $\|x_1^{(m_k)}\| > \|x_1\| + \epsilon_1$ for some $\epsilon_1 > 0$ for all $k \in \mathbb{N}$. Now we consider $\limsup_{k \rightarrow \infty} \|x_2^{(m_k)}\|$.

CASE 1.1: $\limsup_{k \rightarrow \infty} \|x_2^{(m_k)}\| > \|x_2\|$. Then there exists a subsequence (m'_k) of (m_k) such that $\|x_2^{(m'_k)}\| > \|x_2\| + \epsilon_2$ for some $\epsilon_2 > 0$ for all $k \in \mathbb{N}$. Hence, we have

$$\begin{aligned} 1 &= \| (x_1^{(m'_k)}, x_2^{(m'_k)}) \|_{\text{ces}_p} = \left(\|x_1^{(m'_k)}\|^p + \left(\frac{\|x_1^{(m'_k)}\| + \|x_2^{(m'_k)}\|}{2} \right)^p \right)^{\frac{1}{p}} \\ &> \left((\|x_1\| + \epsilon_1)^p + \left(\frac{\|x_1\| + \epsilon_1 + \|x_2\| + \epsilon_2}{2} \right)^p \right)^{\frac{1}{p}} > \left(\|x_1\|^p + \left(\frac{\|x_1\| + \|x_2\|}{2} \right)^p \right)^{\frac{1}{p}} = 1, \end{aligned}$$

which is a contradiction.

CASE 1.2: $\limsup_{k \rightarrow \infty} \|x_2^{(m_k)}\| \leq \|x_2\|$. Since

$$\|x_2\| \leq \liminf_{k \rightarrow \infty} \|x_2^{(m_k)}\| \leq \limsup_{k \rightarrow \infty} \|x_2^{(m_k)}\| \leq \|x_2\|,$$

we get that $\lim_{k \rightarrow \infty} \|x_2^{(m_k)}\| = \|x_2\|$. Therefore, there exists $k_o \in \mathbb{N}$ for each $k \geq k_o$, $\|x_2\| - \frac{\epsilon_1}{2} \leq \|x_2^{(m_k)}\|$. Hence, for each $k \geq k_o$ we have

$$\begin{aligned} 1 &= \|(x_1^{(m_k)}, x_2^{(m_k)})\|_{\text{ces}_p} = \left(\|x_1^{(m_k)}\|^p + \left(\frac{\|x_1^{(m_k)}\| + \|x_2^{(m_k)}\|}{2} \right)^p \right)^{\frac{1}{p}} \\ &> \left((\|x_1\| + \epsilon_1)^p + \left(\frac{\|x_1\| + \epsilon_1 + \|x_2\| - \frac{\epsilon_1}{2}}{2} \right)^p \right)^{\frac{1}{p}} \\ &= \left((\|x_1\| + \epsilon_1)^p + \left(\frac{\|x_1\| + \|x_2\| + \frac{\epsilon_1}{2}}{2} \right)^p \right)^{\frac{1}{p}} \\ &> \left(\|x_1\|^p + \left(\frac{\|x_1\| + \|x_2\|}{2} \right)^p \right)^{\frac{1}{p}} = 1, \end{aligned}$$

which is a contradiction.

CASE 2: $\limsup_{k \rightarrow \infty} \|x_2^{(k)}\| > \|x_2\|$. The proof of this case is analogous to that of case 1 which leads to a contradiction.

Hence we obtain that $\limsup_{k \rightarrow \infty} \|x_i^{(k)}\| \leq \|x_i\|$ for all $i = 1, 2$. This implies $\|x_i^{(k)}\| \rightarrow \|x_i\|$ for each $i = 1, 2$. Since X_i has the H property, we have $x_i^{(k)} \rightarrow x_i$ as $k \rightarrow \infty$. By lemma 2.1, we get that $\|(x_1^{(k)}, x_2^{(k)}) - (x_1, x_2)\|_{\text{ces}_p} \rightarrow 0$. ■

THEOREM 2.7. *Let X_1, X_2, \dots, X_n be Banach spaces and $p \in (1, \infty)$. Then $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$ is rotund if and only if each X_i is rotund.*

Proof. If $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$ is rotund, then each X_i is also rotund since X_i is isometrically isomorphic to a subspace of $(\oplus_{i=1}^n X_i)_{\text{ces}_p}$. Conversely, assume that each X_i is rotund. Let (x_1, x_2, \dots, x_n) and (y_1, y_2, \dots, y_n) be different elements in $S(\oplus_{i=1}^n X_i)_{\text{ces}_p}$. The proof will be finished if we show that $\|\frac{1}{2}(x_1 + y_1, x_2 + y_2, \dots, x_n + y_n)\| < 1$. Notice that $(\|x_1\|, \|x_2\|, \dots, \|x_n\|)$ and $(\|y_1\|, \|y_2\|, \dots, \|y_n\|) \in S(\text{ces}_p^n)$. If $\|x_i\| \neq \|y_i\|$ for some $i = 1, 2, \dots, n$, then it follows from the rotundity of ces_p^n that

$$\begin{aligned} &\left\| \frac{1}{2}(x_1 + y_1, x_2 + y_2, \dots, x_n + y_n) \right\| \\ &= \frac{1}{2} \left(\|x_1 + y_1\|^p + \left(\frac{\|x_1 + y_1\| + \|x_2 + y_2\|}{2} \right)^p + \dots \right. \\ &\quad \left. + \left(\frac{\|x_1 + y_1\| + \|x_2 + y_2\| + \dots + \|x_n + y_n\|}{n} \right)^p \right)^{\frac{1}{p}} \\ &= \frac{1}{2} \|(\|x_1 + y_1\|, \|x_2 + y_2\|, \dots, \|x_n + y_n\|)\|_{\text{ces}_p^n} \\ &\leq \frac{1}{2} \|(\|x_1\| + \|y_1\|, \|x_2\| + \|y_2\|, \dots, \|x_n\| + \|y_n\|)\|_{\text{ces}_p^n} < 1. \end{aligned}$$

Thus, it may be assumed that $\|x_i\| = \|y_i\|$ for all $i = 1, 2, \dots, n$. It may be assumed that $x_i \neq y_i$ for some i . Then $\|\frac{1}{2}(x_i + y_i)\| < \|x_i\| = \|y_i\| = \frac{1}{2}(\|x_i\| + \|y_i\|)$ by the rotundity of X_i . Therefore

$$\begin{aligned} & \left\| \frac{1}{2}(x_1 + y_1, x_2 + y_2, \dots, x_n + y_n) \right\| \\ &= \frac{1}{2} \left(\|x_1 + y_1\|^p + \left(\frac{\|x_1 + y_1\| + \|x_2 + y_2\|}{2} \right)^p + \dots \right. \\ & \quad \left. + \left(\frac{\|x_1 + y_1\| + \|x_2 + y_2\| + \dots + \|x_n + y_n\|}{n} \right)^p \right)^{\frac{1}{p}} \\ &= \frac{1}{2} \|(\|x_1 + y_1\|, \|x_2 + y_2\|, \dots, \|x_n + y_n\|)\|_{\text{ces}_p^n} \\ &< \frac{1}{2} \|(\|x_1\| + \|y_1\|, \|x_2\| + \|y_2\|, \dots, \|x_n\| + \|y_n\|)\|_{\text{ces}_p^n} \\ &= \frac{1}{2} \|(2\|x_1\|, 2\|x_2\|, \dots, 2\|x_n\|)\|_{\text{ces}_p^n} = 1. \quad \blacksquare \end{aligned}$$

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