

ON  $s$ -SETS IN SPACES OF HOMOGENEOUS TYPE

BY

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**Abstract.** Let  $(X, d, \mu)$  be a space of homogeneous type. We study the relationship between two types of  $s$ -sets: relative to a distance and relative to a measure. We find a condition on a closed subset  $F$  of  $X$  under which  $F$  is an  $s$ -set relative to the measure  $\mu$  if and only if  $F$  is an  $s$ -set relative to  $\delta$ . Here  $\delta$  denotes the quasi-distance defined by Macías and Segovia such that  $(X, \delta, \mu)$  is a normal space. In order to prove this result, we prove a covering type lemma and a type of Hausdorff measure based criterion for a given set to be an  $s$ -set relative to  $\mu$ .

**1. Introduction, notation and definitions.** A *quasi-metric* on a set  $X$  is a non-negative function  $d$  defined on  $X \times X$  satisfying the following properties:

- (1)  $d(x, y) = 0$  if and only if  $x = y$ ;
- (2)  $d(x, y) = d(y, x)$  for all  $x, y \in X$ ;
- (3) there exists a constant  $K \geq 1$  such that  $d(x, y) \leq K(d(x, z) + d(z, y))$  for all  $x, y, z \in X$ .

We will refer to  $K$  as the *triangle constant* for  $d$ . A quasi-distance  $d$  on  $X$  induces a topology through the neighborhood system given by the family of all subsets of  $X$  containing a  $d$ -ball  $B(x, r) = \{y \in X : d(x, y) < r\}$ ,  $r > 0$  (see [4]). In a quasi-metric space  $(X, d)$  the *diameter* of a subset  $E$  is defined as

$$\text{diam}(E) = \sup\{d(x, y) : x, y \in E\}.$$

Throughout this paper  $(X, d)$  will be a quasi-metric space such that all  $d$ -balls are open sets. Also we shall assume that  $(X, d)$  has *finite metric dimension*. This means that there exists a constant  $N \in \mathbb{N}$  such that any  $d$ -ball  $B(x, 2r)$  contains at most  $N$  points of any  $r$ -disperse subset of  $X$ . A set  $U$  is said to be  $r$ -disperse if  $d(x, y) \geq r$  for any  $x, y \in U$ ,  $x \neq y$ . If a quasi-metric space  $(X, d)$  has finite metric dimension, then every  $r$ -disperse subset of  $X$  has at most  $N^m$  points in each  $d$ -ball of radius  $2^m r$  for all  $m \in \mathbb{N}$  and every  $r > 0$  (see [4] and [3]). Also it is well known that every bounded subset  $F$  of  $X$  is totally bounded, so that for every  $r > 0$  there exists a finite

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2010 *Mathematics Subject Classification*: Primary 28A05; Secondary 28A78.

*Key words and phrases*: space of homogeneous type,  $s$ -sets, Hausdorff measure.

maximal  $r$ -disperse subset of  $F$ , whose cardinality depends on  $\text{diam}(F)$  and on  $r$ .

We shall say that a closed subset  $F$  of  $X$  is an  $s$ -set in  $(X, d)$  with associated measure  $\nu$  if  $\nu$  is a Borel measure supported on  $F$  such that

$$(1.1) \quad c^{-1}r^s \leq \nu(B(x, r)) \leq cr^s$$

for all  $x \in F$  and  $0 < r < \text{diam}(F)$ , for some constant  $c \geq 1$ . When the above conditions hold for every  $0 < r < r_0$ , where  $r_0$  is a positive number less than  $\text{diam}(F)$ , we say that  $F$  is *locally an  $s$ -set in  $(X, d)$* . In some references related to problems of harmonic analysis and partial differential equations (see for example [1]), such sets are called (*locally*)  *$s$ -Ahlfors*. In geometric measure theory (see e.g. [7]), an  $s$ -set  $F$  is one for which  $0 < \mathcal{H}^s(F) < \infty$  where  $\mathcal{H}^s$  is the Hausdorff measure of dimension  $s$ . However, following [11] we shall use the term  $s$ -set for a set that supports a measure  $\nu$  for which  $\nu(B(x, r))$  behaves as  $r^s$  for  $r$  small.

In [1] it is proved that the concepts of  $s$ -set and locally  $s$ -set coincide when the set  $F$  is bounded and  $(X, d)$  has finite metric dimension.

We shall now recall the definitions of Hausdorff measure and Hausdorff dimension of a set in a quasi-metric space  $(X, d)$ . The basic background related to these concepts can be found in [7]. For  $\rho > 0$ , we say that a sequence  $\{B_i = B(x_i, r_i)\}$  of subsets of  $X$  is a  $\rho$ -cover by  $d$ -balls of a set  $F$  if  $F \subseteq \bigcup B_i$  and  $r_i \leq \rho$  for every  $i$ . Let  $F \subseteq X$  and  $s \geq 0$  be fixed. We define

$$\mathcal{H}_\rho^s(F) = \inf \left\{ \sum_{i=1}^{\infty} r_i^s : \{B_i\} \text{ is a } \rho\text{-cover of } F \text{ by } d\text{-balls} \right\}.$$

Clearly  $\mathcal{H}_\rho^s(F)$  increases when  $\rho$  decreases, so that its limit when  $\rho$  tends to 0 exists (although it may be infinite). We define

$$\mathcal{H}^s(F) = \lim_{\rho \rightarrow 0} \mathcal{H}_\rho^s(F) = \sup_{\rho > 0} \mathcal{H}_\rho^s(F).$$

We shall refer to  $\mathcal{H}^s(F)$  as the *Hausdorff measure* of  $F$ . The corresponding *Hausdorff dimension* of  $F$  is defined as  $\dim_{\mathcal{H}}(F) = \inf\{s > 0 : \mathcal{H}^s(F) = 0\}$ . It is easy to see that every  $s$ -set  $F$  in  $(X, d)$  has  $\dim_{\mathcal{H}}(F) = s$  (see [11]).

We point out that if  $(F, d)$  is (locally) an  $s$ -set, then there exists essentially only one Borel measure  $\nu$  satisfying the condition required in the definition. This fact is known in the Euclidean setting (see for instance [12]), and was proved for general quasi-metric spaces in [1]. More precisely, it is proved that if  $(X, d)$  has finite metric dimension and  $F$  is (locally) an  $s$ -set in  $(X, d)$  with measure  $\nu$ , then  $F$  is (locally) an  $s$ -set in  $(X, d)$  with the restriction of  $\mathcal{H}^s$  to  $F$ .

A sufficient condition for a quasi-metric space  $(X, d)$  to have finite metric dimension is that  $X$  supports a doubling measure (see [4]). A Borel measure

$\mu$  defined on  $d$ -balls is said to be *doubling* if for some constant  $A \geq 1$ ,

$$0 < \mu(B(x, 2r)) \leq A\mu(B(x, r)) < \infty$$

for all  $x \in X$  and  $r > 0$ . We say that a point  $x$  in  $(X, d, \mu)$  is an *atom* if  $\mu(\{x\}) > 0$ . When  $\mu(\{x\}) = 0$  for every  $x \in X$ , we say that  $\mu$  is *non-atomic*. Macías and Segovia [9] proved that a point is an atom if and only if it is topologically isolated, and that the set of such points is at most countable. Throughout this paper we shall say that  $(X, d, \mu)$  is a *space of homogeneous type* if  $\mu$  is a non-atomic doubling measure on the quasi-metric space  $(X, d)$ .

Given a space of homogeneous type  $(X, d, \mu)$ , the Hausdorff measure and the Hausdorff dimension *relative to  $\mu$*  are considered in [11]. Precisely, the *Hausdorff measure relative to  $\mu$*  is defined as  $H^s(F) := \lim_{\rho \rightarrow 0} H^s_\rho(F)$ , where

$$H^s_\rho(F) = \inf \left\{ \sum_{i=1}^{\infty} \mu^s(B_i) : F \subseteq \bigcup_i B_i \text{ and } \mu(B_i) \leq \rho \right\},$$

where the  $B_i$  are  $d$ -balls on  $X$ . The *Hausdorff dimension relative to  $\mu$*  is defined by

$$\dim_H(F) = \inf \{s > 0 : H^s(F) = 0\}.$$

These concepts lead to a definition of an *s-set* relative to the measure  $\mu$ , compatible with  $H^s$ . Given a space of homogeneous type  $(X, d, \mu)$ , we shall say that a closed subset  $F$  of  $X$  is an *s-set in  $(X, d, \mu)$*  with associated measure  $m$  if  $m$  is a Borel measure supported on  $F$  such that

$$(1.2) \quad c^{-1}\mu(B(x, r))^s \leq m(B(x, r)) \leq c\mu(B(x, r))^s$$

for all  $x \in F$  and  $0 < r < \text{diam}(F)$ , for some constant  $c \geq 1$ . As before, if (1.2) holds for every  $0 < r < r_0$ , where  $r_0 < \text{diam}(F)$ , we say that  $F$  is *locally an s-set in  $(X, d, \mu)$* .

It is now easy to see that each *s-set*  $F$  in  $(X, d, \mu)$  satisfies  $\dim_H(F) = s$ .

Given a space of homogeneous type  $(X, d, \mu)$ , in [11] there are also considered the concepts of *s-sets*, Hausdorff measure and Hausdorff dimension relative to a particular quasi-metric  $\delta$  related to  $(X, d, \mu)$ . This quasi-metric was constructed by Macías and Segovia [9] in such a way that the new structure  $(X, \delta, \mu)$  becomes a normal space (in the sense that every  $\delta$ -ball in  $X$  has  $\mu$ -measure equivalent to its radius), and the topologies induced on  $X$  by  $d$  and  $\delta$  coincide. This quasi-metric is defined by

$$\delta(x, y) = \inf \{ \mu(B) : B \text{ is a } d\text{-ball with } x, y \in B \}$$

if  $x \neq y$ , and  $\delta(x, y) = 0$  if  $x = y$ . It will also be useful to notice that in the proof of the above mentioned result of Macías and Segovia it is proved that

$$B_\delta(x, r) = \bigcup \{ B : B \text{ is a } d\text{-ball with } x \in B \text{ and } \mu(B) < r \}$$

for all  $x \in X$  and  $r > 0$ , where  $B_\delta(x, r) := \{y \in X : \delta(x, y) < r\}$  denotes the ball in  $X$  relative to  $\delta$ . Throughout this paper,  $\delta$  will denote this quasi-metric.

Furthermore, we can consider the concepts of  $s$ -set in  $(X, \delta)$ , of the Hausdorff measure relative to  $\delta$  and of the corresponding Hausdorff dimension. More precisely, we shall denote  $G^s(F) := \lim_{\rho \rightarrow 0} G_\rho^s(F)$ , where

$$G_\rho^s(F) = \inf \left\{ \sum_{i=1}^{\infty} r_i^s : F \subseteq \bigcup_i B_\delta(x_i, r_i) \text{ and } r_i \leq \rho \right\}$$

and

$$\dim_G(F) = \inf \{s > 0 : G^s(F) = 0\}.$$

In [11, Prop. 1.5] it is proved that  $H^s(F)$  and  $G^s(F)$  are equivalent, and hence  $\dim_H(F) = \dim_G(F)$  for any subset  $F$  of  $X$ . In this note we explore the relationship between the concepts of  $s$ -set in  $(X, d, \mu)$  and in  $(X, \delta)$ . This natural question completes the analysis of the concepts referring to  $\delta$  and  $\mu$ . It is also related to the theory of Muckenhoupt weights. The results in [2] give us a sufficient condition on a closed set  $F$  in a general space of homogeneous type  $(X, d, \mu)$  for  $\mu(B(x, d(x, F)))^\beta$  to become a Muckenhoupt weight for suitable values of  $\beta$ : that  $F$  be an  $s$ -set in  $(X, \delta)$  (see [2, Thms. 1 and 9]). In this note we find a class of sets for which this condition is guaranteed if  $H^s(F \cap B(x, r)) \simeq r^s$  for all  $x \in F$  and  $r > 0$  (see Theorem 2.5 and Proposition 2.6).

The paper is organized as follows. Section 2 contains the main results. Theorem 2.1 states that under certain typical conditions, being an  $s$ -set in  $(X, \delta)$  is stronger than being an  $s$ -set in  $(X, d, \mu)$ . A sufficient condition for every  $s$ -set in  $(X, d, \mu)$  to be an  $s$ -set in  $(X, \delta)$  is given in Theorem 2.5. We show that every bounded set satisfies this condition, and we give examples of unbounded sets satisfying it. In Proposition 2.6 we obtain a criterion to check the  $s$ -set condition relative to  $\mu$  based on the Hausdorff measure. Section 3 is devoted to the proof of Proposition 2.6; for this we prove a lemma on covering a bounded set by balls with small measure and controlled overlap (see Lemma 3.1).

**2. Main results.** Let  $(X, d, \mu)$  be a given space of homogeneous type, and  $\delta$  the quasi-metric defined in the previous section. We shall first prove that, under a certain condition, being an  $s$ -set in  $(X, \delta)$  is stronger than being an  $s$ -set in  $(X, d, \mu)$ .

THEOREM 2.1.

- (1) *If  $F$  is an  $s$ -set in  $(X, \delta)$  with associated measure  $\nu$  and  $\text{diam}(F) = \infty$ , then  $F$  is an  $s$ -set in  $(X, d, \mu)$  with the same measure  $\nu$ .*
- (2) *If  $F$  is locally an  $s$ -set in  $(X, \delta)$  with associated measure  $\nu$  and  $\mu(F) = 0$ , then  $F$  is locally an  $s$ -set in  $(X, d, \mu)$  with the same measure  $\nu$ .*

*Proof.* By hypothesis, there exist  $c \geq 1$  and  $r_0 > 0$  such that

$$c^{-1}r^s \leq \nu(B_\delta(x, r)) \leq cr^s$$

for all  $x \in F$  and  $0 < r < r_0$ , where  $\nu$  is a Borel measure supported in  $F$ , and  $r_0 = \infty$  in case (1).

Fix  $x \in F$  and  $r > 0$ . By definition of  $\delta$ , we have

$$B(x, r) \subseteq B_\delta(x, 2\mu(B(x, r))).$$

Then

$$\nu(B(x, r)) \leq \nu(B_\delta(x, 2\mu(B(x, r)))) \leq c2^s \mu^s(B(x, r))$$

provided that  $\mu(B(x, r)) < r_0/2$ . On the other hand, fix  $\ell$  such  $3K^2 \leq 2^\ell$  where  $K$  denotes the triangle constant for  $d$ . Following [9, p. 262], we shall see now that  $B_\delta(x, A^{-\ell}\mu(B(x, r))) \subseteq B(x, r)$ , where  $A$  is the constant from the doubling condition for  $\mu$ . Indeed, for  $y \in B_\delta(x, A^{-\ell}\mu(B(x, r)))$ ,  $y \neq x$ , there exists a ball  $B(z, s)$  containing  $x$  and  $y$  and such that  $\mu(B(z, s)) < A^{-\ell}\mu(B(x, r))$ . It is easy to show that  $y \in B(x, 2Ks) \subseteq B(z, 3K^2s)$ . Therefore,

$$\mu(B(x, 2Ks)) \leq \mu(B(z, 3K^2s)) \leq A^\ell \mu(B(z, s)) < \mu(B(x, r)).$$

Consequently,  $2Ks < r$ . Thus  $y \in B(x, 2Ks) \subseteq B(x, r)$ , and the inclusion is proved. Hence

$$\nu(B(x, r)) \geq \nu(B_\delta(A^{-\ell}\mu(B(x, r)))) \geq c^{-1}A^{-\ell s} \mu^s(B(x, r)),$$

provided that  $\mu(B(x, r)) < A^\ell r_0$ .

Since every  $d$ -ball has finite  $\mu$ -measure, (1) is proved. On the other hand, we obtain (2) if we can choose  $r_1$  in such a way that  $0 < r < r_1$  implies  $\mu(B(x, r)) < \min\{r_0/2, A^\ell r_0\} = r_0/2$  for every  $x \in F$ . But this is possible from the hypothesis  $\mu(F) = 0$ . ■

We point out that the assumption  $\mu(F) = 0$  is natural in many problems related to partial differential equations, where  $F$  plays the role of the boundary of a domain in a metric measure space  $(X, d, \mu)$  (see for example [6] or [5]).

To obtain a sufficient condition for every locally  $s$ -set in  $(X, d, \mu)$  to be locally an  $s$ -set in  $(X, \delta)$ , we shall give the following definition.

**DEFINITION 2.2.** Let  $F$  be a closed subset of  $X$ . We shall say that  $F$  is *consistent with  $\mu$*  if there exists a positive number  $R$  such that

$$\inf_{x \in F} \mu(B(x, R)) > 0.$$

Note that if  $F$  is consistent with  $\mu$ , then  $\inf_{x \in F} \mu(B(x, r)) > 0$  for every  $r > 0$ . In fact, the inequality is trivial for  $r \geq R$ . On the other hand, for a fixed  $0 < r < R$ , for every  $x \in F$  we have

$$\mu(B(x, r)) = \mu\left(x, \frac{r}{R}R\right) \geq \frac{1}{A^m} \mu(B(x, R)),$$

where  $m$  is a positive integer such that  $2^m \geq R/r$  and  $A$  denotes the doubling constant for  $\mu$ .

We also point out that every bounded subset of  $X$  is consistent with  $\mu$ . In fact, set  $R = 2K \operatorname{diam}(F)$  with  $K$  the triangle constant for  $d$ , and fix  $x_0 \in F$ . Hence  $B(x_0, \operatorname{diam}(F)) \subseteq B(x, R)$  for every  $x \in F$ . Hence  $\inf_{x \in F} \mu(B(x, R)) \geq \mu(B(x_0, \operatorname{diam}(F))) > 0$ , since  $\mu$  is doubling.

However, there also exist unbounded sets satisfying this condition.

EXAMPLE 2.3. Consider  $X = \mathbb{R}^2$  equipped with the usual distance  $d$  and the Lebesgue measure  $\lambda$ . Fix  $a > 0$  and set  $F = \{(t, 0) : t \geq a\}$ . Then  $\lambda(B(x, r))$  is equivalent to  $r^2$  for every  $x \in F$ , so  $F$  is consistent with  $\lambda$ .

Recall that a quasi-metric measure space is said to be an  $\alpha$ -Ahlfors space if there exists a constant  $c \geq 1$  such that  $c^{-1}r^\alpha \leq \mu(B(x, r)) \leq cr^\alpha$  for all  $x \in X$  and  $r > 0$ . The most classical example of an  $n$ -Ahlfors space is the Euclidean space  $\mathbb{R}^n$  equipped with the usual distance and the Lebesgue measure. So in the above example, the underlying space  $(\mathbb{R}^2, d, \lambda)$  is 2-Ahlfors. Notice that if  $(X, d, \mu)$  is an  $\alpha$ -Ahlfors space, then every subset  $F$  of  $X$  is consistent with  $\mu$ . In the following example we shall consider another measure  $\mu$  defined on  $(\mathbb{R}^2, d)$  such that  $(\mathbb{R}^2, d, \mu)$  is not an Ahlfors space.

EXAMPLE 2.4. Let  $X$  be  $\mathbb{R}^2$  equipped with the usual distance  $d$ , and consider the measure  $\mu$  defined by

$$\mu(E) = \int_E |y|^\beta dy$$

for a fixed  $\beta > -2$ . Then  $(X, d, \mu)$  is a space of homogeneous type since  $|x|^\beta$  is a Muckenhoupt weight (see [10] or [8]). For the set  $F$  considered in the above example, it is easy to see that  $\mu(B(x, r))$  is equivalent to  $r^2|x|^\beta$  for  $x \in F$  and  $0 < r \leq a/2$ . So  $F$  is consistent with  $\mu$  if and only if  $\beta \geq 0$ .

With this terminology, we have the following result.

THEOREM 2.5.

- (1) If  $F$  is an  $s$ -set in  $(X, d, \mu)$  with  $\operatorname{diam}(F) = \infty$ , then  $F$  is an  $s$ -set in  $(X, \delta)$ .
- (2) If  $F$  is locally an  $s$ -set in  $(X, d, \mu)$  which is consistent with  $\mu$ , then  $F$  is locally an  $s$ -set in  $(X, \delta)$ .

To prove the above theorem, we shall use three auxiliary results.

The first one states that, as in the case of  $s$ -sets relative to a distance, when  $F$  is an  $s$ -set relative to the measure  $\mu$ , there exists essentially only one Borel measure  $\nu$  satisfying the required condition. More precisely, we state the following result that we shall prove in Section 3.

PROPOSITION 2.6. *If  $F$  is (locally) an  $s$ -set in  $(X, d, \mu)$  with associated measure  $m$ , then  $F$  is (locally) an  $s$ -set in  $(X, d, \mu)$  with the restriction of  $H^s$  to  $F$ , where  $H^s$  denotes the  $s$ -dimensional Hausdorff measure relative to  $\mu$ .*

The following statement provides a characterization of a set  $F$  consistent with a given measure: if the measure of a  $d$ -ball with center in  $F$  is sufficiently small, then so is its radius.

LEMMA 2.7.  *$F$  is consistent with  $\mu$  if and only if given  $r_0 > 0$ , there exists  $C$  such that if  $x \in F$  and  $\mu(B(x, t)) \leq C$ , then  $t < r_0$ .*

*Proof.* Suppose first that  $F$  is consistent with  $\mu$  but the above property is false. Then there exists  $r_0 > 0$  such that for every natural number  $n$  we can find  $x_n \in F$  and  $t_n \geq r_0$  with  $\mu(B(x_n, t_n)) \leq 1/n$ . So  $\mu(B(x_n, r_0)) \leq 1/n$  for every natural  $n$ , which implies that  $\inf_{x \in F} \mu(B(x, r_0)) = 0$ . But this is a contradiction, since  $F$  is consistent with  $\mu$ .

Conversely, assume that  $F$  is not consistent with  $\mu$ . Then, for every  $r_0 > 0$  we have  $\inf_{x \in F} \mu(B(x, r_0)) = 0$ . So for every natural  $n$  there exists  $x_n \in F$  such that  $\mu(B(x_n, r_0)) < 1/n$ . Hence, given  $C > 0$  we can choose  $n$  such that  $1/n \leq C$  and obtain  $\mu(B(x_n, r_0)) < C$ , but  $r_0 \not< r_0$ . ■

The last result that we shall need is a technical lemma, proved in [11].

LEMMA 2.8. *Given  $x \in X$  and  $0 < r < 2\mu(X)$ , there exist  $0 < a \leq b < \infty$  such that*

$$B(x, a) \subseteq B_\delta(x, r) \subseteq B(x, b)$$

and

$$C_1 r \leq \mu(B(x, a)) \leq \mu(B(x, b)) \leq C_2 r,$$

where  $C_1$  and  $C_2$  only depend on  $X$ .

*Proof of Theorem 2.5.* From Proposition 2.6, there exist  $c \geq 1$  and  $r_0 > 0$  such that

$$c^{-1} \mu(B(x, r))^s \leq H^s(B(x, r) \cap F) \leq c \mu(B(x, r))^s$$

for all  $x \in F$  and  $0 < r < r_0$ , where  $r_0 = \infty$  in case (1).

Fix  $x \in F$  and  $0 < r < 2\mu(X)$ , and let  $a$  and  $b$  be as in Lemma 2.8. Then, if  $a, b < r_0$ , we have

$$H^s(B_\delta(x, r) \cap F) \leq H^s(B(x, b) \cap F) \leq c \mu^s(B(x, b)) \leq c C_2^s r^s,$$

$$H^s(B_\delta(x, r) \cap F) \geq H^s(B(x, a) \cap F) \geq c^{-1} \mu^s(B(x, a)) \geq c^{-1} C_1^s r^s.$$

Thus (1) is proved. Moreover, (2) will be showed if we can choose  $r_1 \leq 2\mu(X)$  such that  $r < r_1$  implies  $a, b < r_0$ . To do this, let  $C$  be such that if  $x \in F$  and  $\mu(B(x, t)) \leq C$ , then  $t < r_0$  (see Lemma 2.7). Define  $r_1 = \min\{2\mu(X), C/C_2\}$  with  $C_2$  the constant of Lemma 2.8. Then  $\mu(B(x, a))$  and  $\mu(B(x, b))$  are both bounded above by  $C$ , so that  $a, b < r_0$ . ■

REMARK 2.9. We point out that only in the case of a *locally*  $s$ -set  $F$  in  $(X, d, \mu)$  with  $\text{diam}(F) = \infty$  and such that  $(X, d, \mu)$  is not an Ahlfors space, we shall need to check if  $F$  is consistent with  $\mu$  to conclude that  $F$  is locally an  $s$ -set in  $(X, \delta)$ .

In the remaining cases, being (locally) an  $s$ -set in  $(X, d, \mu)$  implies being (locally) an  $s$ -set in  $(X, \delta)$ . Indeed, the concepts of  $s$ -set and locally  $s$ -set in  $(X, d, \mu)$  coincide when  $F$  is bounded, and every bounded set is consistent with  $\mu$ , just as every subset of an Ahlfors space.

**3. Proof of Proposition 2.6.** To prove Proposition 2.6, we shall use the following covering type lemma that we shall prove at the end of this section.

LEMMA 3.1. *Let  $G$  be a bounded subset of  $X$ . For a given  $\rho > 0$ , there exists a finite covering  $\{B(x_i, r_i) : i = 1, \dots, I_\rho\}$  of  $G$  by  $d$ -balls with  $x_i \in G$  and  $\mu(B(x_i, r_i)) < \rho$ . Also, each  $y \in X$  belongs to at most  $\Lambda$  such balls, where  $\Lambda$  is a geometric constant which depends only on  $X$ .*

REMARK 3.2. Notice that if  $\rho \leq \mu(G)$ , then  $r_i \leq \text{diam}(G)$  for every  $i$ . In fact, assume that  $r_i > \text{diam}(G)$  for some  $i$ . Then  $G \subseteq B(x_i, r_i)$ , so that  $\mu(G) \leq \mu(B(x_i, r_i)) < \rho \leq \mu(G)$ , which is absurd.

*Proof of Proposition 2.6.* By hypothesis there exist  $r_0 > 0$ , a constant  $c \geq 1$  and a Borel measure  $m$  supported on  $F$  such that

$$c^{-1}\mu(B(x, r))^s \leq m(B(x, r)) \leq c\mu(B(x, r))^s$$

for all  $x \in F$  and  $0 < r < r_0$ . Here  $r_0$  is infinite if  $F$  is an unbounded  $s$ -set in  $(X, d, \mu)$ , and is finite otherwise.

Fix  $x \in F$ ,  $0 < r < r_0$  and  $\varepsilon > 0$ . For each  $\rho > 0$ , there exists a covering  $\{B_i = B(x_i, r_i)\}$  of  $B(x, r) \cap F$  by balls such that  $\mu(B_i) < \rho$  and

$$\sum_{i \geq 1} \mu^s(B_i) < H_\rho^s(B(x, r) \cap F) + \varepsilon \leq H^s(B(x, r) \cap F) + \varepsilon.$$

Choosing an appropriate value of  $\rho$ , we can also obtain  $r_i < r_0$  for every  $i$ . In fact, take  $\rho = \mu(B(x, r))/A^\ell$  with  $\ell$  an integer such that  $2^\ell \geq 3K^2$ . Then, since we can assume that each  $B(x_i, r_i)$  intersects  $B(x, r)$ , if  $r_i \geq r_0$  then  $B(x, r) \subseteq B(x_i, 3K^2 r_i)$ . Hence  $\mu(B(x, r)) \leq A^\ell \mu(B_i) < \mu(B(x, r))$ , which is absurd. Thus we can assume  $r_i < r_0$  for every  $i$ , and hence

$$c^{-1}\mu(B(x, r))^s \leq m(B(x, r)) \leq \sum_i m(B_i) \leq c \sum_i \mu(B_i)^s.$$

Hence,  $c^{-1}\mu(B(x, r))^s < cH^s(B(x, r) \cap F) + c\varepsilon$  for every  $\varepsilon > 0$ , which proves

$$H^s(B(x, r) \cap F) \geq c^{-2}\mu(B(x, r))^s.$$



To obtain an upper bound for  $H^s(B(x, r) \cap F)$ , first assume that  $r < r_0/(4K^2)$  and fix  $0 < \rho < \mu(B(x, r) \cap F)$ . From Lemma 3.1, there exists a finite covering  $\{B(x_i, r_i) : i = 1, \dots, I_\rho\}$  of  $B(x, r) \cap F$  by  $d$ -balls with  $\mu(B(x_i, r_i)) < \rho$ ,  $x_i \in F$  and  $r_i \leq 2Kr$ . Also, each  $y \in X$  belongs to at most  $\Lambda$  such balls, where  $\Lambda$  is a geometric constant which does not depend on  $\rho$ ,  $r$  or  $x$ . So, we have

$$\begin{aligned} H^s_\rho(B(x, r) \cap F) &\leq \sum_{i=1}^{I_\rho} \mu(B(x_i, r_i))^s \leq c \sum_{i=1}^{I_\rho} m(B(x_i, r_i)) \\ &\leq c\Lambda m\left(\bigcup_{i=1}^{I_\rho} B(x_i, r_i)\right) \leq c\Lambda m(B(x, 4K^2r)) \\ &\leq c^2\Lambda\mu(B(x, 4K^2r))^s = \tilde{C}\mu(B(x, r))^s \end{aligned}$$

with  $\tilde{C} = c^2\Lambda A^j$ , where  $j$  is a positive integer such that  $2^{j-2} \geq K^2$ . Taking  $\rho \rightarrow 0$  we obtain the desired result for this case.

Finally, if  $r_0$  is finite, we shall consider the case  $r_0/(4K^2) \leq r < r_0$ . In this case, since  $B(x, r)$  is bounded, there exists a finite  $r_0(8K^2)^{-1}$ -disperse maximal set in  $B(x, r)$ , say  $U = \{x_1, \dots, x_I\}$  with  $I \leq N^{2+\log_2 K}$ . Then  $B(x, r) \cap F \subseteq \bigcup_{i=1}^I B(x_i, r_0/(8K^2))$ , and applying the previous case we obtain

$$H^s(B(x, r) \cap F) \leq \sum_{i=1}^I H^s\left(B\left(x_i, \frac{r_0}{8K^2}\right) \cap F\right) \leq \tilde{C}I\mu(B(x, 2Kr))^s,$$

and the result follows from the doubling property of  $\mu$ . ■

For the proof of Lemma 3.1, we shall use the next result about the behavior of the  $\delta$ -diameter  $\text{diam}_\delta(E) := \sup\{\delta(y, w) : y, w \in E\}$  of a bounded set  $E$ .

LEMMA 3.3. *Let  $E$  be a bounded subset of  $X$ . For  $B = B(x, \text{diam}(E))$  and  $x \in E$  we have*

$$A^{-\ell}\mu(B) \leq \text{diam}_\delta(E) \leq A\mu(B),$$

where  $A$  is the doubling constant for  $\mu$ , and  $\ell$  is a positive integer satisfying  $\ell \geq \log_2(8K^3)$ , with  $K$  the triangle constant for  $d$ .

*Proof.* Fix  $x \in E$ , and let  $y$  and  $w$  be any two points in  $E$ . Since  $y, w \in B(x, 2\text{diam}(E))$ , from the definition of  $\delta$  it follows that  $\delta(y, w) \leq \mu(B_d(x, 2\text{diam}(E))) \leq A\mu(B)$ . Taking the supremum yields the upper bound for  $\text{diam}_\delta(E)$ .

For the lower bound, let  $y_0, w_0 \in E$  be such that  $\text{diam}(E) < 2d(y_0, w_0)$ . For a given  $\varepsilon > 0$ , let  $B(x_0, r_0)$  be a ball containing  $y_0$  and  $w_0$  such that  $\mu(B(x_0, r_0)) < \delta(y_0, w_0) + \varepsilon$ . We claim that  $B \subseteq B(x_0, 8K^3r_0)$ . Assuming

this is true, we have

$$\text{diam}_\delta(F) \geq \delta(y_0, w_0) > \mu(B(x_0, r_0)) - \varepsilon \geq A^{-\ell} \mu(B) - \varepsilon.$$

By letting  $\varepsilon$  tend to zero we obtain the result.

It remains to prove the claim. Fix  $z \in B$ . Then

$$\begin{aligned} d(z, x_0) &\leq K^2[d(x, x) + d(x, w_0) + d(w_0, x_0)] \\ &< K^2[2 \text{diam}(E) + r_0] < K^2[4d(y_0, w_0) + r_0] \\ &< K^2[4K(d(y_0, x_0) + d(x_0, w_0)) + r_0] < 8K^3 r_0, \end{aligned}$$

and the lemma is proved. ■

*Proof of Lemma 3.1.* Let  $\tilde{K}$  be the triangle constant for  $\delta$ , and  $\tilde{N}$  the constant for the finite metric dimension of  $(X, \delta, \mu)$ . Given  $\rho > 0$ , let  $t = \rho/(4\tilde{K}A^{\ell+1})$ , with  $\ell$  as in Lemma 3.3. Set  $U = \{x_1, \dots, x_{I_t}\}$  a finite  $t$ -disperse maximal set in  $G$  with respect to the quasi-metric  $\delta$ . So  $\{B_\delta(x_i, t)\}$  is a covering of  $G$ . Define  $B_i = B(x_i, r_i)$  with  $r_i = 2 \text{diam}(B_\delta(x_i, t))$ .

Let us first check that  $\{B_i\}$  is a covering of  $G$ . In fact, if  $y \in G$  then there exists  $i$  such that  $y \in B_\delta(x_i, t)$ . Then

$$d(x_i, y) \leq \text{diam}(B_\delta(x_i, t)) < 2 \text{diam}(B_\delta(x_i, t))$$

so that  $y \in B_i$ .

To estimate the measure of each  $B_i$ , using Lemma 3.3 with  $E = B_\delta(x_i, t)$  we obtain

$$\mu(B_i) \leq A\mu(B(x_i, \text{diam}(B_\delta(x_i, t)))) \leq A^{\ell+1} \text{diam}_\delta(B_\delta(x_i, t)) \leq A^{\ell+1} 2\tilde{K}t.$$

From the choice of  $t$ , we have  $\mu(B_i) < \rho$ . So it remains to prove that we can control the overlapping of these balls by a geometric constant  $\Lambda$ . In fact, for a fixed  $y \in X$ , if  $y \in B(x_i, r_i)$ , then  $B(y, r_i) \subseteq B(x_i, 2Kr_i)$ . So  $\mu(B(y, r_i)) \leq A^p \rho$  with  $p$  an integer such that  $2^{p-1} \geq K$ , and thus

$$x_i \in B(y, r_i) \subseteq B_\delta(y, 2\mu(B(y, r_i))) \subseteq B_\delta(y, 2A^p \rho) = B_\delta(y, 8\tilde{K}A^{\ell+p+1}t).$$

Hence, the number of balls  $B(x_i, r_i)$  to which  $y$  belongs is less than or equal to the cardinality of  $U \cap B_\delta(y, 2^m t)$ , with  $m$  a natural number such that  $2^m \geq 8\tilde{K}A^{\ell+p+1}$ . Since  $U$  is  $t$ -disperse with respect to  $\delta$ , we find that  $\Lambda \leq \tilde{N}^m$  and the lemma is proved. ■

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*Received 19 November 2013;*

*revised 10 September 2014*

(6077)

