

*BOCHNER'S FORMULA FOR HARMONIC MAPS  
FROM FINSLER MANIFOLDS*

BY

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**Abstract.** Let  $\phi : (M, F) \rightarrow (N, h)$  be a harmonic map from a Finsler manifold to any Riemannian manifold. We establish Bochner's formula for the energy density of  $\phi$  and maximum principle on Finsler manifolds, from which we deduce some properties of harmonic maps  $\phi$ .

**1. Introduction.** Let  $(M, F)$  be a Finsler manifold,  $SM$  the projective sphere bundle of  $M$ , with canonical projection map  $\pi : SM \rightarrow M$  given by  $(x, [y]) \mapsto x$ , and let  $S_x M := \pi^{-1}(x)$  be the projective sphere at  $x$ . We denote the pull-backs of  $TM$  and  $T^*M$  by  $\pi^*TM$  and  $\pi^*T^*M$ , respectively. Let  $\phi : (M, F) \rightarrow (N, h)$  be a smooth map from a Finsler manifold to a Riemannian manifold. The *energy density* of  $\phi$  is the function  $e(\phi) : SM \rightarrow \mathbb{R}$  defined by

$$e(\phi)(x, [y]) = \frac{1}{2} \sum_i h(\phi_* e_i, \phi_* e_i),$$

where  $\{e_i\}$  is an orthonormal basis with respect to  $g$  (the fundamental tensor of  $F$ ) at  $(x, [y])$ . The *tension field* of  $\phi$  is (see [3])

$$(1.1) \quad \tau(\phi) := -\langle d\phi, \dot{\eta} \rangle + \text{Tr } Dd\phi \in \Gamma((\phi \circ \pi)^*TN),$$

where  $\eta$  (resp.  $Dd\phi$ ) denotes the Cartan form (resp. the second fundamental form) of  $\phi$  and the dot “ $\cdot$ ” denotes the covariant derivative along the Hilbert form.

PROPOSITION 1 ([4]).  *$\phi$  is a harmonic map if and only if  $\tau(\phi) = 0$ .*

In this paper, we shall establish Bochner's formula for  $e(\phi)$  and the maximum principle on Finsler manifolds, from which we deduce some properties of harmonic maps  $\phi$ .

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**2. Bochner’s formula.** Let

$$1 \leq i, j, \dots \leq m, \quad 1 \leq \mu, \nu, \dots \leq m - 1, \quad 1 \leq \alpha, \beta, \dots \leq n,$$

where  $m = \dim M$  and  $n = \dim N$ .

Take a  $g$ -orthonormal frame field  $\{e_i\}$  for  $\pi^*TM$  and let  $\{\omega_i\}$  be a local coframe. Let  $\{\omega_{ij}\}$  and  $\{{}^b\omega_{ij}\}$  be the Chern connection 1-form and the Berwald connection 1-form, respectively. We have (see [1])

$$(2.1) \quad {}^b\omega_{ij} = \omega_{ij} + \dot{A}_{ij\mu}\omega_\mu,$$

$$(2.2) \quad {}^bR^M_{ijkl} = R^M_{ijkl} + \dot{A}_{ijl|k} - \dot{A}_{ijk|l} + \dot{A}_{ipk}\dot{A}_{pjl} - \dot{A}_{ipl}\dot{A}_{pjk},$$

where  $A = A_{\lambda\mu\nu}\omega_\lambda\omega_\mu\omega_\nu$  is the Cartan tensor of  $M$  and “ $\dot{\phantom{A}}$ ” denotes the horizontal covariant differentials with respect to the Chern connection.

LEMMA 1 ([4]). For  $X = \sum_i x_i\omega_i \in \Gamma(\pi^*T^*M)$ ,

$$\operatorname{div} X = \sum_i x_{i|i} + \sum_{\mu,\lambda} x_\mu P_{\lambda\lambda\mu},$$

where  $\operatorname{div} X$  denotes the divergence of  $X$  on  $SM$  with respect to the Riemannian metric  $G$  on  $SM$ , and  $P_{\lambda\mu\nu} = -\dot{A}_{\lambda\mu\nu}$  is the Landsberg curvature of  $M$ .

Let  $\phi : (M, F) \rightarrow (N, h)$  be a smooth map. Set  $h = \sum_\alpha \theta_\alpha^2 \in \Gamma(\odot^2 T^*N)$  and  $\phi^*\theta_\alpha = \sum_i a_{\alpha i}\omega_i$ . The covariant differentials of  $a_{\alpha i}$  with respect to the Berwald connection and the Chern connection are defined by, respectively,

$$(2.3) \quad \begin{aligned} Da_{\alpha i} &= da_{\alpha i} - \sum_j a_{\alpha j} {}^b\omega_{ij} - \sum_\beta a_{\beta i} \phi^*\theta_{\alpha\beta} \\ &= \sum_j a_{\alpha i,j}\omega_j + \sum_\mu a_{\alpha i;\mu}\omega_{m\mu}, \end{aligned}$$

$$(2.4) \quad \begin{aligned} Da_{\alpha i} &= da_{\alpha i} - \sum_j a_{\alpha j}\omega_{ij} - \sum_\beta a_{\beta i}\phi^*\theta_{\alpha\beta} \\ &= \sum_j a_{\alpha i|j}\omega_j + \sum_\mu a_{\alpha i;\mu}\omega_{m\mu}. \end{aligned}$$

where “ $\dot{\phantom{A}}$ ” denotes the horizontal covariant differentials with respect to the Berwald connection.

LEMMA 2 ([4]). The second fundamental form of  $\phi : (M, F) \rightarrow (N, h)$  satisfies  $a_{\alpha i|j} = a_{\alpha j|i}$  and  $a_{\alpha i;\mu} = 0$  for all  $\alpha, i, j, \mu$ .

LEMMA 3. For  $X = \sum_i x_i\omega_i \in \Gamma(\pi^*T^*M)$ , we have

$$x_{i,j} = x_{i|j} + x_\mu P_{ij\mu}.$$

*Proof.* The covariant differentials of  $x_i$  with respect to the Berwald connection and the Chern connection are defined by, respectively,

$$(2.5) \quad dx_i - x_j {}^b\omega_{ij} = x_{i,j}\omega_j + x_{i;\mu}\omega_{m\mu},$$

$$(2.6) \quad dx_i - x_j\omega_{ij} = x_{i|j}\omega_j + x_{i;\mu}\omega_{m\mu}.$$

Using (2.1) and (2.5), we obtain

$$(2.7) \quad x_{i,j}\omega_j + x_{i;\mu}\omega_{m\mu} = dx_i - x_j\omega_{ij} + x_\mu P_{i\lambda\mu}\omega_\lambda.$$

Combining (2.6) and (2.7) completes the proof.

From (2.3) and Lemma 2, we have

$$(2.8) \quad \begin{aligned} da_{\alpha i,j} \wedge \omega_j + a_{\alpha i,j} d\omega_j &= -da_{\alpha i} \wedge {}^b\omega_{ij} - a_{\alpha i} d {}^b\omega_{ij} + da_{\beta i} \wedge \phi^* \theta_{\alpha\beta} + a_{\beta i} \phi^* d\theta_{\alpha\beta} \\ &= (-a_{\alpha j,k}\omega_k - a_{\alpha k} {}^b\omega_{jk} + a_{\beta j}\phi^* \theta_{\alpha\beta}) \wedge {}^b\omega_{ij} \\ &\quad - a_{\alpha j} \left( \frac{1}{2} {}^bR_{ijkl}^M \omega_k \wedge \omega_l + {}^bP_{ijk\mu}\omega_k \wedge \omega_{m\mu} + {}^b\omega_{ik} \wedge {}^b\omega_{kj} \right) \\ &\quad + (a_{\beta i,k}\omega_k + a_{\beta k} {}^b\omega_{ik} - a_{\gamma i}\phi^* \theta_{\beta\gamma}) \wedge \phi^* \theta_{\alpha\beta} \\ &\quad + a_{\beta i}\phi^* \left( \frac{1}{2} K_{\alpha\beta\gamma\delta}^N \theta_\gamma \wedge \theta_\delta + \theta_{\beta\gamma} \wedge \theta_{\gamma\alpha} \right) \\ &= (-a_{\alpha j,k}\omega_k - a_{\alpha k} {}^b\omega_{jk} + a_{\beta j}\phi^* \theta_{\alpha\beta}) \wedge \omega_{ij} \\ &\quad - a_{\alpha j} \left( \frac{1}{2} {}^bR_{ijkl}^M \omega_k \wedge \omega_l + {}^bP_{ijk\mu}\omega_k \wedge \omega_{m\mu} + {}^b\omega_{ik} \wedge {}^b\omega_{kj} \right) \\ &\quad + (a_{\beta i,k}\omega_k + a_{\beta k} {}^b\omega_{ik} - a_{\gamma i}\phi^* \theta_{\beta\gamma}) \wedge \phi^* \theta_{\alpha\beta} \\ &\quad + a_{\beta i}\phi^* \left( \frac{1}{2} a_{\gamma k} a_{\delta l} K_{\alpha\beta\gamma\delta}^N \omega_k \wedge \omega_l + \theta_{\beta\gamma} \wedge \theta_{\gamma\alpha} \right). \end{aligned}$$

Define the covariant derivative of  $a_{\alpha i,j}$  by

$$(2.9) \quad a_{\alpha i,j,k}\omega_k + a_{\alpha i,j;\mu}\omega_{m\mu} = da_{\alpha i,j} - a_{\alpha k,j} {}^b\omega_{ik} - a_{\alpha i,k} {}^b\omega_{jk} + a_{\beta i,j}\phi^* \theta_{\alpha\beta}.$$

From (2.8) and (2.9), we obtain

$$(2.10) \quad \begin{aligned} a_{\alpha i,j,k}\omega_j \wedge \omega_k - a_{\alpha i,j;\mu}\omega_j \wedge \omega_{m\mu} &= \frac{1}{2} a_{\beta i} a_{\gamma j} a_{\delta k} K_{\alpha\beta\gamma\delta}^N \omega_j \wedge \omega_k - \frac{1}{2} {}^bR_{ijkl}^M \omega_k \wedge \omega_l - a_{\alpha j} {}^bP_{jik\mu}\omega_k \wedge \omega_{m\mu}. \end{aligned}$$

Thus we have the following result:

LEMMA 4. *The second fundamental form of  $\phi : (M, F) \rightarrow (N, h)$  satisfies*

$$\begin{cases} a_{\alpha i,j,k} - a_{\alpha i,k,j} = -a_{\beta i} a_{\gamma j} a_{\delta k} K_{\alpha\beta\gamma\delta}^N + a_{\alpha l} {}^bR_{ilkj}^M, \\ a_{\alpha i,j;\mu} = a_{\alpha k} {}^bP_{kij\mu}. \end{cases}$$

Define  $\omega := [D_{e_i}e(\phi)]\omega_i = a_{\alpha j}a_{\alpha j,i}\omega_i$ . Then  $\omega$  is a global section of  $\pi^*T^*M$ . By Lemmas 1-4, we have

$$\begin{aligned}
 (2.11) \quad \operatorname{div} \omega &= (a_{\alpha i}a_{\alpha i,j})|_j + a_{\alpha i}a_{\alpha i,\mu}P_{\lambda\lambda\mu} \\
 &= (a_{\alpha i}a_{\alpha i,j})_{,j} = \langle D_{e_j}d\phi, D_{e_j}d\phi \rangle + a_{\alpha i}a_{\alpha i,j,j} \\
 &= \langle D_{e_j}d\phi, D_{e_j}d\phi \rangle + a_{\alpha i}a_{\alpha j,i,j} \\
 &= \langle D_{e_j}d\phi, D_{e_j}d\phi \rangle + a_{\alpha i}a_{\alpha j,j,i} - a_{\alpha i}a_{\beta j}a_{\gamma i}a_{\delta j}K_{\alpha\beta\gamma\delta}^N + a_{\alpha i}a_{\alpha l}{}^b R_{jlji}^M.
 \end{aligned}$$

From  $\tau(\phi) = 0$ , we have  $a_{\alpha i}|_i + a_{\alpha\mu}P_{\lambda\lambda\mu} = 0$  for all  $\alpha$ , thus by (2.9) and Lemma 3 we obtain

$$(2.12) \quad a_{\alpha j,j,i} = 0.$$

Now (2.2), (2.11) and (2.12) yield

PROPOSITION 2 (Bochner’s formula). *Let  $\phi : (M, F) \rightarrow (N, h)$  be a harmonic map from a Finsler manifold to any Riemannian manifold. Then  $\operatorname{div}[D_{e_i}e(\phi)]\omega_i = \operatorname{div} \omega$*   

$$= \langle D_{e_i}d\phi, D_{e_i}d\phi \rangle - \langle R^N(d\phi e_i, d\phi e_j)d\phi e_i, d\phi e_j \rangle + \langle d\phi(\operatorname{Ric}^M(e_l)), d\phi e_i \rangle,$$
  
*where  $\operatorname{Ric}^M(X) = \sum_{i,j,k} R_{ijk}^M x^i x^k / \langle X, X \rangle$  for  $X = x_i e_i \in TM$ .*

PROPOSITION 3 (Maximum principle). *Let  $M$  be a compact Finsler manifold and suppose  $f \in C^\infty(SM)$  satisfies  $\operatorname{div}((D_{e_i}f)\omega_i) \geq 0$ . Then  $f|_M$  is constant.*

*Proof.* Set  $\bar{f} = f - f_{\min}$ , where  $f_{\min} = \min_{SM} f$ . Then

$$\begin{aligned}
 (2.13) \quad \int_{SM} \operatorname{div}((D_{e_i}\bar{f}^2)\omega_i) &= \int_{SM} \operatorname{div}(2\bar{f}f_i\omega_i) \\
 &= \int_{SM} \{(2\bar{f}f_i)|_i + 2\bar{f}f_\mu P_{\lambda\lambda\mu}\} \\
 &= \int_{SM} \{2f_i^2 + 2\bar{f}f_{i|i} + 2\bar{f}f_\mu P_{\lambda\lambda\mu}\} \\
 &= \int_{SM} \{2f_i^2 + 2\bar{f} \operatorname{div}((D_{e_i}f)\omega_i)\}.
 \end{aligned}$$

By (2.13), since  $f \in C^\infty(SM)$  satisfies  $\operatorname{div}((D_{e_i}f)\omega_i) \geq 0$ , we have  $f|_M = \operatorname{const}$ .

### 3. The properties

THEOREM 1. *Let  $\phi : (M, F) \rightarrow (N, h)$  be a harmonic map from a compact Finsler manifold to a Riemannian manifold. Suppose  $\operatorname{Ric}^M \geq 0$  and  $\operatorname{Riem}^N \leq 0$ . Then*

- (a)  $\phi$  is totally geodesic.
- (b) If  $\operatorname{Ric}^M$  is strictly positive definite at some point, then  $\phi$  is constant.

(c) If  $\text{Riem}^N < 0$ , then  $\phi$  is either constant or of rank one, in which case its image is a closed geodesic.

REMARK 1. When  $(M, F)$  is a Riemannian manifold, this theorem becomes the theorem of J. Eells and J. H. Sampson [3].

*Proof.* Integrating the formula of Proposition 2, we get

$$(3.1) \quad \int_{SM} \langle D_{e_i} d\phi, D_{e_i} d\phi \rangle \\ = \int_{SM} \{ \langle R^N(d\phi e_j, d\phi e_j) d\phi e_i, d\phi e_j \rangle - \langle d\phi(\text{Ric}^M(e_i)), d\phi e_i \rangle \}.$$

The left-hand side of (3.1) is nonnegative and the right-hand side of (3.1) is nonpositive, so that  $D_{e_i} d\phi = 0$  for all  $i$  and  $\phi$  is totally geodesic.

If  $\text{Ric}^M > 0$  at a point  $x \in M$ , then by (3.1) we get  $e(\phi) = 0$  at  $x$ . On the other hand, by the formula of Proposition 2,  $\text{div}(D_{e_i} e(\phi) \omega_i) = \text{div} \omega \geq 0$ , and Proposition 3 shows that  $e(\phi)|_M$  is constant, hence  $e(\phi)|_M \equiv 0$  and  $\phi$  is constant by Lemma 2.

If  $\text{Riem}^N < 0$ , then  $\langle R^N(d\phi e_i, d\phi e_j) d\phi e_i, d\phi e_j \rangle = 0$  implies that the rank of  $\phi$  is zero or one. In the first case,  $\phi$  is constant; and in the second case, the fact that  $\phi$  is totally geodesic implies that the image of  $\phi$  is a closed geodesic.

THEOREM 2. Let  $\phi : (M, F) \rightarrow (N, h)$  be a harmonic map from a compact Finsler manifold to a Riemannian manifold. Suppose  $\text{Ric}^M \geq a > 0$  and  $\text{Riem}^N \leq b$  ( $b > 0$ ). If

$$\max\{\text{the rank of } \phi\} \leq p \quad (p \geq 2)$$

and

$$e(\phi) \leq \frac{pa}{2(p-1)b},$$

then  $\phi$  is either constant or totally geodesic; in particular, when  $e(\phi) \leq a/2b$ ,  $\phi$  is constant.

REMARK 2. When  $(M, F)$  is a Riemannian manifold, this theorem becomes the theorem of H. C. J. Sealey [5].

*Proof.* Fix a point  $x \in M$  and diagonalize  $(\phi^*h)$  at the point  $x$  so that  $\langle d\phi e_i, d\phi e_j \rangle = \lambda_i \delta_{ij}$ . Suppose the rank of  $\phi$  is  $q$ . By the Schwarz inequality and Proposition 2, we obtain

$$(3.2) \quad \text{div} \omega = \langle D_{e_i} d\phi, D_{e_i} d\phi \rangle + R_{ij}^M \langle d\phi e_i, d\phi e_j \rangle \\ - (|d\phi e_i|^2 |d\phi e_j|^2 - \langle d\phi e_i, d\phi e_j \rangle \langle d\phi e_i, d\phi e_j \rangle) \text{Riem}^N(d\phi e_i, d\phi e_j)$$

$$\begin{aligned} &\geq \langle D_{e_i} d\phi, D_{e_i} d\phi \rangle + 2ae(\phi) - b \left[ 4(e(\phi))^2 - \sum_{s=1}^q \lambda_s^2 \right] \\ &\geq \langle D_{e_i} d\phi, D_{e_i} d\phi \rangle + 2e(\phi) \left[ a - \frac{2(p-1)}{p} be(\phi) \right] \geq 0. \end{aligned}$$

By Proposition 3 and (3.2),  $e(\phi)$  is constant and

$$(3.3) \quad \langle D_{e_i} d\phi, D_{e_i} d\phi \rangle \equiv 0,$$

$$(3.4) \quad e(\phi) \left[ a - \frac{2(p-1)}{p} be(\phi) \right] \equiv 0.$$

If  $e(\phi) \leq a/2b$ , then by (3.4), we get  $e(\phi) \equiv 0$ .

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