

WEAKLY PRECOMPACT SUBSETS OF $L_1(\mu, X)$

BY

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Abstract. Let (Ω, Σ, μ) be a probability space, X a Banach space, and $L_1(\mu, X)$ the Banach space of Bochner integrable functions $f : \Omega \rightarrow X$. Let $W = \{f \in L_1(\mu, X) : \text{for a.e. } \omega \in \Omega, \|f(\omega)\| \leq 1\}$. In this paper we characterize the weakly precompact subsets of $L_1(\mu, X)$. We prove that a bounded subset A of $L_1(\mu, X)$ is weakly precompact if and only if A is uniformly integrable and for any sequence (f_n) in A , there exists a sequence (g_n) with $g_n \in \text{co}\{f_i : i \geq n\}$ for each n such that for a.e. $\omega \in \Omega$, the sequence $(g_n(\omega))$ is weakly Cauchy in X . We also prove that if A is a bounded subset of $L_1(\mu, X)$, then A is weakly precompact if and only if for every $\epsilon > 0$, there exist a positive integer N and a weakly precompact subset H of NW such that $A \subseteq H + \epsilon B(0)$, where $B(0)$ is the unit ball of $L_1(\mu, X)$.

1. Introduction. Throughout this paper, X and Y will denote Banach spaces. The unit ball of X will be denoted by B_X , and the closed linear span of a sequence (x_n) in X will be denoted by $[x_n]$. The unit basis of ℓ_1 will be denoted by (e_n^*) , and a continuous linear transformation $T : X \rightarrow Y$ will be referred to as an *operator*.

A subset S of X is said to be *weakly precompact* provided that every bounded sequence from S has a weakly Cauchy subsequence. A series $\sum x_n$ in X is said to be *weakly unconditionally convergent* (wuc) if for every $x^* \in X^*$, the series $\sum |x^*(x_n)|$ is convergent. An operator $T : X \rightarrow Y$ is *weakly precompact* if $T(B_X)$ is weakly precompact, and *unconditionally converging* if it maps weakly unconditionally convergent series to unconditionally convergent ones. An operator T is *completely continuous* (or *Dunford–Pettis*) if T maps weakly Cauchy sequences to norm convergent sequences.

A bounded subset A of X (resp. A of X^*) is called a V^* -subset of X (resp. a V -subset of X^*) provided that

$$\lim_n (\sup\{|x_n^*(x)| : x \in A\}) = 0 \quad (\text{resp. } \lim_n (\sup\{|x^*(x_n)| : x^* \in A\}) = 0)$$

for each wuc series $\sum x_n^*$ in X^* (resp. wuc series $\sum x_n$ in X).

In his fundamental paper [Pe], Pełczyński introduced property (V) and property (V^*) . The Banach space X has *property (V)* (resp. *property (V^*)*) if every

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V -subset of X^* (resp. V^* -subset of X) is relatively weakly compact. The following results were also established in [Pe]:

- (a) $C(K)$ spaces have property (V) .
- (b) L^1 -spaces have property (V^*) .
- (c) A Banach space X has property (V) if and only if every unconditionally converging operator T from X to any Banach space Y is weakly compact.
- (d) Every closed subspace of a Banach space with property (V^*) has property (V^*) .
- (e) In the last portion of the proof of Proposition 6 on p. 646, Pełczyński noted that every weakly Cauchy sequence is a V^* -set. Consequently, every bounded weakly precompact set in X is a V^* -set.
- (f) If X has property (V^*) , then X is weakly sequentially complete.

A Banach space X has *property weak* (V^*) (wV^*) if every V^* -subset of X is weakly precompact [Bom]. If X does not contain a copy of ℓ_1 , then X has property (wV^*) , by Rosenthal's theorem ([Di1, Ch. XI]). In particular, c_0 has property (wV^*) , but it does not have property (V^*) . A Banach space X has property (wV^*) if and only if every sequence in X equivalent to (e_n^*) contains a subsequence (x_{n_i}) so that $[x_{n_i}]$ is complemented in X [Bom]. A Banach space X has property (wV^*) if and only if every operator $T : Y \rightarrow X$ with unconditionally converging adjoint is weakly precompact [GL]. Every order continuous Banach lattice has property (wV^*) ([Bom], [Tz]). A Banach lattice has property (V^*) if and only if it is weakly sequentially complete if and only if it does not contain a copy of c_0 ([Bom], [LT], [Em]).

A bounded subset A of X is called a *Dunford–Pettis* (DP) *subset* of X if each weakly null sequence in X^* tends to 0 uniformly on A . Every DP set is weakly precompact; see e.g., see [Ro, p. 377], [An], [GL]. A Banach space X has the *Dunford–Pettis property* (DPP) if every weakly compact operator T with domain X is completely continuous. Equivalently, X has the DPP if and only if $x_n^*(x_n) \rightarrow 0$ for all weakly null sequences (x_n) in X and (x_n^*) in X^* ([Di2]). Schur spaces, $C(K)$ spaces, and $L_1(\mu)$ spaces have the DPP ([BDS], [DP], [Gr]). The reader can check [Di1], [Di2], [DU], and [An] for a guide to the extensive classical literature dealing with the DPP, equivalent formulations of the preceding definitions, and undefined notation and terminology.

Let $L_1(\mu, X)$ be the Banach space of all X -valued Bochner integrable functions on a probability space (Ω, Σ, μ) . In this paper we characterize weakly precompact subsets of $L_1(\mu, X)$. The problem was also studied by Bourgain [Bou] (when X does not contain a copy of ℓ_1) and Talagrand [Ta]. N. Randrianantoanina [Ra] proved that $L_1(\mu, X)$ has property (V^*) if and only if X has property (V^*) . The proof of Theorem 2 in [Ra] shows that $L_1(\mu, X)$ has property (wV^*) if and only if X has property (wV^*) .

2. Weak precompactness in $L_1(\mu, X)$. Let (Ω, Σ, μ) be a probability space, X be a Banach space, and let $L_1(\mu, X)$ be the Banach space of (equivalence classes of) μ -strongly measurable X -valued Bochner integrable functions $f : \Omega \rightarrow X$, equipped with the norm

$$\|f\|_1 = \int_{\Omega} \|f(\omega)\| d\mu.$$

For a subset A of X , let $\text{co}(A)$ denote the convex hull of A . Let $B(0)$ denote the unit ball of $L_1(\mu, X)$. A subset A of $L_1(\mu, X)$ is called *uniformly integrable* if for any $\epsilon > 0$ there exists $\delta > 0$ such that if $\mu(E) < \delta$, then $\int_E \|f(\omega)\| d\mu < \epsilon$ for all $f \in A$.

Following [U12], let $W = \{f \in L_1(\mu, X) : \text{for a.e. } \omega \in \Omega, \|f(\omega)\| \leq 1\}$. For a positive integer N , let $W(N) = \{f \in L_1(\mu, X) : \text{for a.e. } \omega \in \Omega, \|f(\omega)\| \leq N\}$. Note that $W(N) = NW$ and $W(1) = W$. For a subset H of W and $\omega \in \Omega$, let $H(\omega) = \{f(\omega) : f \in H\}$. Strictly speaking, as noted in [U12], $H(\omega)$ is not well defined since the elements of H are not single functions but classes of functions. To make the definition of $H(\omega)$ precise, one can introduce a lifting ρ of $L_{\infty}(\mu)$, and define $\rho(f)$ as in [Din, p. 212], or [IT, p. 76] and set $H(\omega) = \{\rho(f)(\omega) : f \in H\}$. However, not to complicate the notations, we do not introduce a lifting but deal with the elements of W as if they were strongly measurable bounded single functions. For a subset A of $L_1(\mu, X)$ and $\omega \in \Omega$, let $A(\omega) = \{f(\omega) : f \in A\}$.

The following two lemmas will be useful in our study.

LEMMA 2.1 ([U11, Lemma 2.2]). *Let K be a bounded subset of X . Then K is weakly precompact if and only if for each sequence (x_n) in K , there is a sequence (y_n) so that $y_n \in \text{co}\{x_i : i \geq n\}$ for each n and (y_n) is weakly Cauchy.*

LEMMA 2.2 ([DRS, Theorem 2.4]). *Assume that (f_n) is a bounded sequence in $L_1(\mu, X)$. Then there exist a sequence (g_n) with $g_n \in \text{co}\{f_i : i \geq n\}$ for each n , and three measurable subsets C_1, C_2 , and L of Ω with $\mu(C_1 \cup C_2 \cup L) = 1$, such that*

- (a) *for $\omega \in C_1$, the sequence $(g_n(\omega))$ is norm convergent in X ;*
- (b) *for $\omega \in C_2$, the sequence $(g_n(\omega))$ is weakly Cauchy but not weakly convergent in X ;*
- (c) *for $\omega \in L$, there exists a positive integer k with $(g_n(\omega))_{n \geq k} \sim (e_n^*)$.*

The main result of this paper is the following theorem.

THEOREM 2.3. *Let A be a bounded subset of $L_1(\mu, X)$. Then A is weakly precompact if and only if A is uniformly integrable and for any sequence (f_n) in A , there exists a sequence (g_n) with $g_n \in \text{co}\{f_i : i \geq n\}$ for each n such that for a.e. $\omega \in \Omega$, the sequence $(g_n(\omega))$ is weakly Cauchy in X .*

Proof. Suppose that A is weakly precompact. Then A is uniformly integrable ([DU, Theorem IV.2.4, p. 104]). Let (f_n) be a sequence in A . By Lemma 2.2, there exist a sequence (g_n) with $g_n \in \text{co}\{f_i : i \geq n\}$ for each n , and three sets C_1 , C_2 , and L in Σ with $\mu(C_1 \cup C_2 \cup L) = 1$ and satisfying conditions (a)–(c) of that lemma.

If $\mu(L) > 0$, then by [Ta, Lemma 4], there exists a positive integer k such that $(g_n)_{n \geq k} \sim (e_n^*)$. Since $(g_n)_{n \geq k}$ lies in the set $\text{co}(A)$, which is weakly precompact ([Ro, p. 377], [Sch, p. 27]), one obtains a contradiction. Hence $\mu(L) = 0$, and for a.e. $\omega \in \Omega$, the sequence $(g_n(\omega))$ is weakly Cauchy in X .

Conversely, let (f_n) be a sequence in A . Let (g_n) be a sequence with $g_n \in \text{co}\{f_i : i \geq n\}$ such that for a.e. $\omega \in \Omega$, the sequence $(g_n(\omega))$ is weakly Cauchy in X . By [Ta, Lemma 8], (g_n) is weakly Cauchy in $L_1(\mu, X)$. By Lemma 2.1, A is weakly precompact. ■

Talagrand showed that if A is a uniformly integrable subset of $L_1(\mu, X)$ and for each $\omega \in \Omega$, the set $A(\omega)$ is weakly precompact, then A is weakly precompact ([Ta, p. 704]). Theorem 2.3 enables an efficient proof of a stronger implication.

COROLLARY 2.4. *Let A be a bounded uniformly integrable subset of $L_1(\mu, X)$.*

- (i) *If the set $A(\omega)$ is weakly precompact for a.e. $\omega \in \Omega$, then A is weakly precompact.*
- (ii) *Suppose that X has property (wV^*) . If $A(\omega)$ is a V^* -set for a.e. $\omega \in \Omega$, then A is a V^* -set.*

Proof. (i) Let (f_n) be a sequence in A . By Lemma 2.2, there exist a sequence (g_n) with $g_n \in \text{co}\{f_i : i \geq n\}$ for each n , and three sets C_1 , C_2 , and L in Σ with $\mu(C_1 \cup C_2 \cup L) = 1$, such that conditions (a)–(c) of Lemma 2.2 are satisfied. Since for a.e. $\omega \in \Omega$, the set $\text{co}(A(\omega))$ is weakly precompact ([Ro, p. 377], [Sch, p. 27]), and for $\omega \in L$ the sequence $(g_n(\omega))_{n \geq k}$ lies in this set, we have $\mu(L) = 0$. Then for a.e. $\omega \in \Omega$, the sequence $(g_n(\omega))$ is weakly Cauchy in X . Apply Theorem 2.3.

(ii) Suppose that X has property (wV^*) . For a.e. $\omega \in \Omega$, the set $A(\omega)$ is a V^* -set, and thus weakly precompact (since X has property (wV^*)). Since A is bounded and weakly precompact (by (i)), A is a V^* -set ([Pe]). ■

COROLLARY 2.5. *Let $g : \Omega \rightarrow \mathbb{R}$ be a positive integrable function and (f_n) be a sequence in $L_1(\mu, X)$ such that*

- (i) *for a.e. $\omega \in \Omega$ and all $n \in \mathbb{N}$, $\|f_n(\omega)\| \leq g(\omega)$;*
- (ii) *for a.e. $\omega \in \Omega$, the sequence $(f_n(\omega))$ is weakly precompact.*

Then the sequence (f_n) is weakly precompact.

Proof. Let $A = \{f_n : n \in \mathbb{N}\}$. Then A is bounded and uniformly integrable and for a.e. $\omega \in \Omega$, the set $A(\omega)$ is weakly precompact. Apply Corollary 2.4(i). ■

The next result is motivated by [Bou, Corollary 9].

COROLLARY 2.6. *Suppose that X contains no copy of ℓ_1 , and let A be a bounded subset of $L_1(\mu, X)$. Then the following are equivalent:*

- (i) A is uniformly integrable.
- (ii) A is weakly precompact.
- (iii) A is a V^* -set.

Proof. (i) \Rightarrow (ii). Suppose that A is uniformly integrable. Let (f_n) be a sequence in A . By Lemma 2.2, there exist a sequence (g_n) with $g_n \in \text{co}\{f_i : i \geq n\}$ for each n , and three sets C_1, C_2 , and L in Σ with $\mu(C_1 \cup C_2 \cup L) = 1$, such that conditions (a)–(c) of Lemma 2.2 are satisfied. However, since X contains no copy of ℓ_1 , condition (c) is not possible. Therefore $\mu(L) = 0$, and for a.e. $\omega \in \Omega$, the sequence $(g_n(\omega))$ is weakly Cauchy. By Theorem 2.3, A is weakly precompact.

(ii) \Rightarrow (iii). If A is weakly precompact, then A is a V^* -set [Pe].

(iii) \Rightarrow (i). If A is a V^* -set, then A is uniformly integrable, by [Bom, Proposition 3.1]. ■

LEMMA 2.7 ([Bom, Theorem 1.1 and Proposition 1.1]). *Let A be a bounded subset of a Banach space X . Then A is a V^* -set if and only if $T(A)$ is relatively compact for each operator $T : X \rightarrow \ell_1$.*

THEOREM 2.8. *If A is a V^* -set in $L_1(\mu, X)$, then the set*

$$\{\|f(\cdot)\|_X : f \in A\}$$

is weakly precompact in $L_1(\mu)$.

Proof. Suppose that $\{\|f(\cdot)\|_X : f \in A\}$ is not weakly precompact in $L_1(\mu)$. By [AK, Theorem 5.2.9], there is a sequence (A_n) of pairwise disjoint sets in Ω , a sequence (f_n) in A , and an $\epsilon > 0$ such that

$$\int_{A_n} \|f_n(\omega)\| d\mu > \epsilon$$

for all $n \in \mathbb{N}$.

For each $n \in \mathbb{N}$, choose $g_n \in L_\infty(\mu, X^*)$ such that $\|g_n\|_\infty \leq 1$, g_n vanishes off A_n , and

$$\int_{A_n} \langle f_n(\omega), g_n(\omega) \rangle d\mu > \epsilon.$$

Define $T : L_1(\mu, X) \rightarrow \ell_1$ by

$$T(f) = \sum_i \left(\int_{A_i} \langle f(\omega), g_i(\omega) \rangle d\mu \right) e_i^*$$

for $f \in L_1(\mu, X)$. Note that T is a well-defined operator, $\|T\| \leq 1$, and

$$\langle T(f_n), e_n \rangle = \int_{A_n} \langle f_n(\omega), g_n(\omega) \rangle d\mu > \epsilon$$

for all n . Then $\{T(f_n) : n \geq 1\}$, and thus $T(A)$ is not relatively compact in ℓ_1 . By Lemma 2.7, A is not a V^* -set, a contradiction. ■

COROLLARY 2.9. *Let A be a bounded set in $L_1(\mu, X)$. Then the following are equivalent:*

- (i) A is weakly precompact.
- (ii) The set $\{\|f(\cdot)\|_X : f \in A\}$ is relatively weakly compact in $L_1(\mu)$, A is uniformly integrable, and for any sequence (f_n) in A , there is a sequence (g_n) with $g_n \in \text{co}\{f_i : i \geq n\}$ for each n such that for a.e. $\omega \in \Omega$, the sequence $(g_n(\omega))$ is weakly Cauchy in X .

Proof. (i) \Rightarrow (ii). If A is weakly precompact, then A is uniformly integrable ([DU, Theorem IV.2.4]) and a V^* -set [Pe]. By the previous theorem, the set $\{\|f(\cdot)\|_X : f \in A\}$ is weakly precompact, and thus relatively weakly compact (and uniformly integrable) in $L_1(\mu)$ ([AK, Theorem 5.2.9]). The third assertion of (ii) follows from Theorem 2.3.

(ii) \Rightarrow (i). Apply Theorem 2.3. ■

In order to prove a result similar to Lemma 2.1 for V^* -sets, we need the following two lemmas.

LEMMA 2.10 ([BL, Lemma 3.3]). *Let (x_n^*, x_n) be a sequence in $X^* \times X$ such that (x_n^*) is bounded and (x_n) is weakly null. If (ϵ_j) is a sequence of positive numbers, then there exists a subsequence $(x_{n_j}^*, x_{n_j})$ of (x_n^*, x_n) such that $|x_{n_i}^*(x_{n_j})| < \epsilon_j$, if $i \neq j$.*

If (x_n) is a sequence and $(y_j) \subseteq \text{co}\{(x_{n_j})\}$ for each j , then we say that (y_j) has *pairwise disjoint support* if $N_j \cap N_k = \emptyset$ whenever $j \neq k$ and $y_j = \sum_{i \in N_j} \alpha_i x_i$, with $\sum_{i \in N_j} \alpha_i = 1$, $\alpha_i \geq 0$.

LEMMA 2.11. *Let (x_n) be a bounded sequence in X such that $\{x_n : n \geq 1\}$ is not a V^* -set. Then there is a subsequence (x_{n_j}) of (x_n) such that if $(y_k) \subseteq \text{co}\{(x_{n_j})\}$ is a sequence having pairwise disjoint support, then $\{y_k : k \geq 1\}$ is not a V^* -set.*

Proof. Let $\epsilon > 0$ and $\sum x_n^*$ be wuc in X^* such that $\langle x_n^*, x_n \rangle > \epsilon$. By Lemma 2.10, there is a subsequence $(x_{n_j}^*, x_{n_j})$ of (x_n^*, x_n) such that $|\langle x_{n_j}^*, x_{n_i} \rangle| < \epsilon/2^{i+3}$ for all $i \neq j$. Let $(y_k) \subseteq \text{co}\{(x_{n_j})\}$ be a sequence having pairwise disjoint support. Suppose that $y_k = \sum_{i \in N_k} \alpha_i x_{n_i}$ with $\alpha_i \geq 0$, $i \in N_k$, and $\sum_{i \in N_k} \alpha_i = 1$. Let $y_k^* = \sum_{i \in N_k} \alpha_i x_{n_i}^*$ for each k . Then $\sum y_k^*$ is

wuc in X^* and

$$\begin{aligned} \langle y_k^*, y_k \rangle &= \left\langle \sum_{i \in N_k} x_{n_i}^*, \sum_{i \in N_k} \alpha_i x_{n_i} \right\rangle \\ &\geq \sum_{i \in N_k} \alpha_i \langle x_{n_i}^*, x_{n_i} \rangle - \sum_{i \in N_k} \alpha_i \left(\sum_{j \in N_k, j \neq i} |\langle x_{n_i}^*, x_{n_j} \rangle| \right) > \epsilon - \epsilon/2 = \epsilon/2. \blacksquare \end{aligned}$$

We now have a version of Lemma 2.1 for V^* -sets.

LEMMA 2.12. *Let A be a bounded subset of X . Then A is a V^* -set if and only if for any sequence (x_n) in A , there is a sequence (z_n) so that $z_n \in \text{co}\{x_i : i \geq n\}$ for each n and $\{z_n : n \geq 1\}$ is a V^* -set.*

Proof. Suppose A is a V^* -set and let (x_n) be a sequence in A . Set $z_n = x_n$. Then (z_n) satisfies the required conditions.

Conversely, suppose that A is not a V^* -set. Let (x_n) be a sequence in A such that $\{x_n : n \geq 1\}$ is not a V^* -set. Use Lemma 2.11 to choose a subsequence (x_{n_j}) of (x_n) such that if $(y_k) \subseteq \text{co}\{(x_{n_j})\}$ is a sequence having pairwise disjoint support, then $\{y_k : k \geq 1\}$ is not a V^* -set. Let $z_j \in \text{co}\{x_{n_i} : i \geq j\}$ for each $j \in \mathbb{N}$. Let (z_{j_k}) be a subsequence having pairwise disjoint support. Then $\{z_{j_k} : k \geq 1\}$ is not a V^* -set, and thus $\{z_i : i \geq 1\}$ is not a V^* -set. \blacksquare

COROLLARY 2.13. *Suppose that X has property (wV^*) . Then a subset A of $L_1(\mu, X)$ is a V^* -set if and only if A is bounded, uniformly integrable, and for any sequence (f_n) in A , there exists a sequence (g_n) with $g_n \in \text{co}\{f_i : i \geq n\}$ for each n such that for a.e. $\omega \in \Omega$, $(g_n(\omega))$ is a V^* -set.*

Proof. Suppose that A is a V^* -set. Then A is bounded and uniformly integrable ([Bom, Proposition 3.1]). Since X has property (wV^*) , $L_1(\mu, X)$ has property (wV^*) ([Ra]). Then A is weakly precompact. Let (f_n) be a sequence in A . By Theorem 2.3, there exists a sequence (g_n) with $g_n \in \text{co}\{f_i : i \geq n\}$ for each n such that for a.e. $\omega \in \Omega$, $(g_n(\omega))$ is weakly Cauchy. Then for a.e. $\omega \in \Omega$, $(g_n(\omega))$ is a V^* -set ([Pe]).

Conversely, let (f_n) be a sequence in A . Choose a sequence (g_n) with $g_n \in \text{co}\{f_i : i \geq n\}$ for each n such that for a.e. $\omega \in \Omega$, $(g_n(\omega))$ is a V^* -set. By Corollary 2.4(ii), $\{g_n : n \geq 1\}$ is a V^* -set. By Lemma 2.12, A is a V^* -set. \blacksquare

COROLLARY 2.14. *Suppose that X^* has the Schur property. Then a subset A of $L_1(\mu, X)$ is a DP set if and only if A is bounded, uniformly integrable, and for any sequence (f_n) in A , there exists a sequence (g_n) with $g_n \in \text{co}\{f_i : i \geq n\}$ for each n such that for a.e. $\omega \in \Omega$, $(g_n(\omega))$ is a DP set.*

Proof. We note that X^* has the Schur property if and only if X has the DPP and X contains no copy of ℓ_1 ([Di2]).

Suppose that A is a DP set. Then A is bounded, weakly precompact, and uniformly integrable [An]. Let (f_n) be a sequence in A . By Theorem 2.3, there exists a sequence (g_n) with $g_n \in \text{co}\{f_i : i \geq n\}$ for each n such that for a.e. $\omega \in \Omega$, $(g_n(\omega))$ is weakly Cauchy in X , and hence a DP set ([Di2]).

Conversely, if A is bounded and uniformly integrable, then A is a DP set, by [An, Corollary 4]. ■

We will need the following lemmas. The first of them is similar to a result of Grothendieck about relatively weakly compact sets ([Di1, p. 227]).

LEMMA 2.15. *Let A be a bounded subset of X . If for any $\epsilon > 0$ there exists a weakly precompact subset A_ϵ of X such that $A \subseteq A_\epsilon + \epsilon B_X$, then A is weakly precompact.*

Proof. Let (x_n) be a sequence in A . Choose a weakly precompact subset A_1 of X , a sequence (y_n^1) in A_1 , and a sequence (z_n^1) in B_X so that $x_n = y_n^1 + z_n^1$ for $n \geq 1$. We observe that (y_n^1) has a weakly Cauchy subsequence. Let $\phi_1 : \mathbb{N} \rightarrow \mathbb{N}$ be a strictly increasing function so that $(y_{\phi_1(n)}^1)$ is weakly Cauchy.

Now consider the sequence $(x_{\phi_1(n)})$. Choose a weakly precompact subset A_2 of X , a sequence (y_n^2) in A_2 , and a sequence (z_n^2) in $(1/2)B_X$ so that $x_{\phi_1(n)} = y_n^2 + z_n^2$ for $n \geq 1$. Then (y_n^2) has a weakly Cauchy subsequence. Let $\phi_2 : \phi_1(\mathbb{N}) \rightarrow \phi_1(\mathbb{N})$ be a strictly increasing function so that $(y_{\phi_2(n)}^2)$ is weakly Cauchy.

Consider the sequence $(x_{\phi_2\phi_1(n)})$. Choose a weakly precompact subset A_3 of X , a sequence (y_n^3) in A_3 , and a sequence (z_n^3) in $(1/3)B_X$ so that $x_{\phi_2\phi_1(n)} = y_n^3 + z_n^3$, $n \geq 1$. Let $\phi_3 : \phi_2\phi_1(\mathbb{N}) \rightarrow \phi_2\phi_1(\mathbb{N})$ be a strictly increasing function so that $(y_{\phi_3(n)}^3)$ is weakly Cauchy and consider the sequence $(x_{\phi_3\phi_2\phi_1(n)})$. Choose a weakly precompact subset A_4 of X and use the hypotheses to continue this process.

Now consider the subsequence $w_1 = x_{\phi_1(1)}$, $w_2 = x_{\phi_2\phi_1(2)}$, $w_3 = x_{\phi_3\phi_2\phi_1(3)}$, ... of (x_n) . Let $\epsilon > 0$. Choose $i \in \mathbb{N}$ so that $2/i < \epsilon/2$, and let $x^* \in X^*$, $\|x^*\| \leq 1$. Choose $N \in \mathbb{N}$ so that if $p, q > N$, then

$$|x^*(y_{\phi_i(p)}^i) - x^*(y_{\phi_i(q)}^i)| < \epsilon/2.$$

If $s, t > N + i$, then $w_s = y_{\phi_i(p)}^i + z_{\phi_i(p)}^i$ and $w_t = y_{\phi_i(q)}^i + z_{\phi_i(q)}^i$ for some $p, q > N$. Consequently,

$$\begin{aligned} |x^*(w_s) - x^*(w_t)| &\leq |x^*(y_{\phi_i(p)}^i) - x^*(y_{\phi_i(q)}^i)| + |x^*(z_{\phi_i(p)}^i) - x^*(z_{\phi_i(q)}^i)| \\ &< \epsilon/2 + \epsilon/2 = \epsilon. \end{aligned}$$

Hence (w_n) is weakly Cauchy, and A is weakly precompact. ■

LEMMA 2.16 ([Bom, Corollary 1.7]). *Let A be a bounded subset of X . If for any $\epsilon > 0$ there exists a V^* -subset A_ϵ of X such that $A \subseteq A_\epsilon + \epsilon B_X$, then A is a V^* -set.*

LEMMA 2.17. *Let A be a bounded subset of X . If for any $\epsilon > 0$ there exists a DP subset A_ϵ of X such that $A \subseteq A_\epsilon + \epsilon B_X$, then A is a DP set.*

Proof. We recall the following characterization of DP sets obtained in [An]: a subset A of X is a DP set if and only if every weakly compact operator $T : X \rightarrow c_0$ maps A into a relatively compact set. Let $T : X \rightarrow c_0$ be a weakly compact operator with $\|T\| \leq 1$. For each $\epsilon > 0$, choose a DP subset A_ϵ of X such that $A \subseteq A_\epsilon + \epsilon B_X$. Then

$$T(A) \subseteq T(A_\epsilon) + \epsilon T(B_X) \subseteq T(A_\epsilon) + \epsilon B_{c_0},$$

and $T(A_\epsilon)$ is relatively compact ([An]). Therefore $T(A)$ is relatively compact ([Di1, p. 5]), and thus A is a DP set ([An]). ■

Recall that $W = \{f \in L_1(\mu, X) : \text{for a.e. } \omega \in \Omega, \|f(\omega)\| \leq 1\}$. The following theorem is motivated by [U12, Theorem 8].

THEOREM 2.18. *Let A be a bounded subset of $L_1(\mu, X)$.*

- (i) *If A is uniformly integrable, then for every $\epsilon > 0$, there exist a positive integer N and a subset H of NW such that $A \subseteq H + \epsilon B(0)$.*
- (ii) *A is weakly precompact if and only if for every $\epsilon > 0$, there exist a positive integer N and a weakly precompact subset H of NW such that $A \subseteq H + \epsilon B(0)$.*

Proof. (i) Let $\epsilon > 0$. Since A is uniformly integrable, there is a $\delta > 0$ such that if $B \in \Sigma$, $\mu(B) < \delta$, then

$$\sup_{f \in A} \int_B \|f(\omega)\| d\mu < \epsilon.$$

Using the boundedness of A , we can find a positive integer N such that for each $f \in A$, $\mu(\{\omega \in \Omega : \|f(\omega)\| > N\}) < \delta$.

For $f \in A$, let $f_N = f \cdot \chi_{E_f}$, where $E_f = \{\omega \in \Omega : \|f(\omega)\| \leq N\}$. Note that $\|f - f_N\| < \epsilon$ for all $f \in A$. Let $H = \{f_N : f \in A\}$. Then $H \subseteq W(N) = NW$ and $A \subseteq H + \epsilon B(0)$. For all $\omega \in \Omega$, $H(\omega) \subseteq A(\omega) \cup \{0\}$.

(ii) Suppose A is weakly precompact. Then A is uniformly integrable ([DU, Theorem IV.2.4]). Let $\epsilon > 0$. By (i), there exist a positive integer N and a subset H of NW such that $A \subseteq H + \epsilon B(0)$. By [Bou, Proposition 10], the set $\{f \cdot \chi_E : f \in A, E \in \Sigma\}$ is weakly precompact, since A is a weakly precompact subset of $L_1(\mu, X)$ and $\{\chi_E : E \in \Sigma\}$ is a bounded subset of L_∞ . Since $H \subseteq \{f \cdot \chi_E : f \in A, E \in \Sigma\}$, H is weakly precompact.

The converse follows from Lemma 2.15. ■

COROLLARY 2.19. *If X has property (wV^*) , then A is a V^* -set if and only if for every $\epsilon > 0$, there exist a positive integer N and a V^* -subset H of NW such that $A \subseteq H + \epsilon B(0)$.*

Proof. Suppose X has property (wV^*) and let A be a V^* -set in $L_1(\mu, X)$. Since X has property (wV^*) , $L_1(\mu, X)$ has property (wV^*) ([Ra]). Then A is weakly precompact. Let $\epsilon > 0$. By Theorem 2.18(ii), there exist a positive integer N and a weakly precompact subset H of NW such that $A \subseteq H + \epsilon B(0)$. Since H is bounded and weakly precompact, H is a V^* -set ([Pe]).

The converse follows from Lemma 2.16. ■

COROLLARY 2.20. *If X^* has the Schur property, then A is a DP set if and only if for every $\epsilon > 0$, there exist a positive integer N and a DP subset H of NW such that $A \subseteq H + \epsilon B(0)$.*

Proof. Suppose X^* has the Schur property and let A be a DP set in $L_1(\mu, X)$. Let $\epsilon > 0$. Since A is weakly precompact ([Ro, p. 377]), there exist a positive integer N and a weakly precompact subset H of NW such that $A \subseteq H + \epsilon B(0)$ (by Theorem 2.18(ii)). Since $L_1(\mu, X)$ has the DPP ([An]), H is a DP set ([Di2]).

The converse follows from Lemma 2.17. ■

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