

ON LIFTING OF IDEMPOTENTS AND
SEMIREGULAR ENDOMORPHISM RINGS

BY

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Abstract. Starting with some observations on (strong) lifting of idempotents, we characterize a module whose endomorphism ring is semiregular with respect to the ideal of endomorphisms with small image. This is the dual of Yamagata's work [Colloq. Math. 113 (2008)] on a module whose endomorphism ring is semiregular with respect to the ideal of endomorphisms with large kernel.

1. Introduction. In this paper, rings R are associative with identity and modules M are unitary right modules. Homomorphisms of modules are written on the left of their arguments. For a submodule X of a module M , we write $X \leq_e M$ and $X \ll M$ to indicate that X is a large, respectively small, submodule of M . For an R -module M , S denotes the endomorphism ring of M , and we let

$$\Delta = \{u \in S : \text{Ker } u \leq_e M\} \quad \text{and} \quad \nabla = \{u \in S : uM \ll M\}.$$

Note that Δ and ∇ are proper ideals of S . The Jacobson radical of a ring R is denoted by $J(R)$. A ring R is *semiregular* if $R/J(R)$ is (von Neumann) regular and idempotents lift modulo $J(R)$. It is well-known from Utumi [14] that S is semiregular and $\Delta = J(S)$ for an injective module M . This result was generalized to quasi-injective modules by Faith and Utumi [2], to continuous modules by Utumi [15], and later to direct-injective, kernel-extending modules by Nicholson [9]. Dually, S is semiregular and $\nabla = J(S)$ for a discrete module (also called d -continuous module) M as shown by Mohamed and Singh [8], and more generally for a direct-projective, image-lifting module M by Nicholson [9].

This paper is motivated by recent work of Yamagata [16] who characterized a module M for which S/Δ is regular and idempotents lift modulo Δ . His results are used to obtain characterizations of a module M for which S is semiregular and $J(S) = \Delta$. Dually, we characterize a module M for which S/∇ is regular and idempotents lift modulo ∇ , and further a module

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M for which S is semiregular and $J(S) = \nabla$. Because of the role of lifting and strong lifting of idempotents in this paper, Section 2 is devoted to some basic relations between lifting and strong lifting of idempotents. If I is an ideal of R , we write $\bar{R} = R/I$ and $\bar{r} = r + I$ for $r \in R$.

2. Lifting and strong lifting of idempotents. Lifting idempotents is a basic method in determining the structure of a ring. For a left ideal I of a ring R , we say that *idempotents lift modulo I* if, whenever $a^2 - a \in I$, there exists $e^2 = e \in R$ such that $a - e \in I$. Following [12], we say that *idempotents lift strongly modulo I* if $a^2 - a \in I$ implies that $a - e \in I$ for some $e^2 = e \in aR$ (equivalently $e^2 = e \in aRa$, or $e^2 = e \in Ra$). By [12, Proposition 16], for an ideal I of R , idempotents lift strongly modulo I if and only if every direct sum decomposition of \bar{R} into left ideals lifts to a direct sum decomposition of R into left ideals, that is, $\bar{R} = \bar{R}\bar{a}_1 \oplus \cdots \oplus \bar{R}\bar{a}_n$ implies that $R = T_1 \oplus \cdots \oplus T_n$ where $T_i \subseteq Ra_i$ is a left ideal for each i . Lifting and strong lifting of idempotents are the same for several ideals including $I = J(R)$, but they differ in general (see [12]). In this section, we discuss basic relations between the two conditions through a third condition of lifting regular elements.

Following [5], we say that *regular elements lift modulo a left ideal I* of R if, whenever $a - aba \in I$, there exists a regular element r of R such that $a - r \in I$.

LEMMA 2.1. *Let I be an ideal of a ring R . The following are equivalent:*

- (1) *Idempotents lift modulo I .*
- (2) *Each idempotent of R/I lifts to a regular element of R .*

Proof. Obviously, (1) implies (2). Suppose (2) holds and let $a^2 - a \in I$. By (2), there exist $r, s \in R$ such that $r = rsr$ and $r - a \in I$. Let $e = rs$. Then $er = r$ and $f := e + er(1 - e)$ is an idempotent. Thus, $\bar{r}\bar{e} = \bar{r}^2\bar{s} = \bar{a}^2\bar{s} = \bar{a}\bar{s} = \bar{r}\bar{s} = \bar{e}$ and

$$\bar{f} = \bar{e} + \bar{e}\bar{r}(\bar{1} - \bar{e}) = \bar{e} + \bar{r}(\bar{1} - \bar{e}) = \bar{e} + \bar{a}(\bar{1} - \bar{e}) = \bar{a} + (\bar{1} - \bar{a})\bar{e} = \bar{a} + (\bar{1} - \bar{r})\bar{e} = \bar{a}$$

in R/I . This proves (1). ■

Hence, regular elements lifting modulo an ideal I implies that idempotents lift modulo I . But the converse is false.

EXAMPLE 2.2. Let $R = \mathbb{Z}$ and let $I = 5\mathbb{Z}$. Then idempotents lift modulo I by [12, Example 2]. Notice that $3 - 3 \cdot 2 \cdot 3 \in I$. Assume that regular elements lift modulo I . Then there exist $a, b \in R$ such that $a = aba$ and $3 - a \in I$. Since $a \neq 0$, we have $ab = 1$; so $a = 1$ or $a = -1$. But this contradicts that $3 - a \in I$.

LEMMA 2.3. *The following are equivalent for a left ideal I of R :*

- (1) *If $a - aba \in I$, there exists a regular element $r \in aR$ such that $a - r \in I$.*
- (2) *If $a - aba \in I$, there exists a regular element $r \in aRa$ such that $a - r \in I$.*

Proof. Suppose that (1) holds and let $a - aba \in I$. Then

$$aba - (aba)b(aba) = (1 + ab)(ab)(a - aba) \in I.$$

By (1), there exists a regular element $r \in (aba)R$ such that $r - aba \in I$, i.e., $r - a \in I$. Write $r = rsr$ with $s \in R$ and $r = (aba)c$ with $c \in R$. Define $d = rsa \in aRa$. Then $d - a = rsa - a = (rs - 1)(a - r) \in I$ and moreover

$$d(bacs)d = rsa \cdot bacs \cdot rsa = rs \cdot abac \cdot srsa = rsr \cdot srsa = rsa = d.$$

This proves (2). ■

We say that *regular elements lift strongly modulo a left ideal I* if the conditions in Lemma 2.3 are satisfied. Clearly, regular elements lift strongly modulo an ideal I if and only if, whenever $a - aba \in I$, there exists a regular element $r \in Ra$ such that $a - r \in I$. An ideal I of R is called an *enabling ideal* if whenever $a - e \in I$ with $e^2 = e \in R$ there exists $f^2 = f \in aR$ (equivalently $f^2 = f \in aRa$ or $f^2 = f \in Ra$) such that $a - f \in I$ ([1]).

The next theorem shows that, for an ideal I of R , regular elements lift strongly modulo I if and only if idempotents lift strongly modulo I .

THEOREM 2.4. *The following are equivalent for an ideal I of R :*

- (1) *Idempotents lift strongly modulo I .*
- (2) *Regular elements lift strongly modulo I .*
- (3) *Idempotents lift modulo I and I is an enabling ideal.*
- (4) *Regular elements lift modulo I and I is an enabling ideal.*

Proof. (1) \Leftrightarrow (3). This is [1, Theorem 2].

(1) \Rightarrow (2). Suppose that $a - aba \in I$. Then $(ab)^2 - ab \in I$. By hypothesis, there exists $e^2 = e \in (ab)R$ such that $e - ab \in I$. Write $e = (ab)c$ with $c \in R$ and let $d = ea \in aR$. Then $a - d = a - ea = (a - aba) + (ab - e)a \in I$ and moreover $d(bc)d = e(abc)d = e^2d = d$.

(2) \Rightarrow (4). Let $a - e \in I$ with $e^2 = e$. Then $\bar{a}^3 = \bar{a}^2 = \bar{a}$. Thus, by hypothesis, there exists a regular element $r \in aR$ such that $a - r \in I$. Write $r = rsr$ where $s \in R$. Then $f := rs + r(1 - rs) \in aR$ is an idempotent and moreover

$$\bar{f} = \bar{r}\bar{s} + \bar{r} - \bar{r}\bar{r}\bar{s} = \bar{r}\bar{s} + \bar{a} - \bar{a}^2\bar{s} = \bar{r}\bar{s} + \bar{a} - \bar{a}\bar{s} = \bar{r}\bar{s} + \bar{a} - \bar{r}\bar{s} = \bar{a};$$

so $a - f \in I$. This shows that I is an enabling ideal.

(4) \Rightarrow (3). Apply Lemma 2.1. ■

There exists a ring R with an ideal I such that regular elements lift modulo I , but not strongly.

EXAMPLE 2.5. *Let $R = \mathbb{Z}$ and $I = 2\mathbb{Z}$. Then regular elements lift modulo I , but not strongly.*

Proof. The ring R has only three regular elements: 0, 1 and -1 . If $a \in R$ is even, $a - 0 \in I$; if $a \in R$ is odd, $a - 1 \in I$. So regular elements lift modulo I . We see that $3 - 3 \cdot 1 \cdot 3 \in I$. The only regular element in $3R$ is 0, but $3 - 0 \notin I$. Thus there does not exist a regular element $r \in 3R$ such that $3 - r \in I$. So regular elements do not lift strongly modulo I . ■

COROLLARY 2.6. *Let I be an enabling ideal of a ring R . Then idempotents lift modulo I if and only if regular elements lift modulo I if and only if regular elements lift strongly modulo I .*

Various examples of enabling ideals of a ring are given in [1]. In particular, every ideal contained in $J(R)$ is an enabling ideal of R by [1, Proposition 5]. So Corollary 2.6 has the following consequence.

COROLLARY 2.7 ([5, Corollary 9.4], [17, Lemma 2.4]). *Let $I \subseteq J(R)$ be an ideal of R . Then idempotents lift modulo I if and only if regular elements lift modulo I .*

Khurana and Lam [5, Theorem 9.3] proved that, for an ideal I of R , if idempotents lift modulo every left ideal contained in I then regular elements lift modulo every left ideal contained in I . The equivalence (1) \Leftrightarrow (3) of our next theorem proves the converse, and extends the result to the case when I is a left ideal.

THEOREM 2.8. *Let I be a left ideal of R . The following are equivalent:*

- (1) *Idempotents lift modulo every left ideal contained in I .*
- (2) *Idempotents lift strongly modulo every left ideal contained in I .*
- (3) *Regular elements lift modulo every left ideal contained in I .*
- (4) *Regular elements lift strongly modulo every left ideal contained in I .*

Proof. (1) \Rightarrow (2). Let $K \subseteq I$ be a left ideal of R and suppose that $a^2 - a \in K$. Then $R(a^2 - a) \subseteq I$. By hypothesis, there exists $e^2 = e \in R$ such that $e - a \in R(a^2 - a)$. Thus, $e - a \in K$ and $e \in Ra$. This shows that idempotents lift strongly modulo K .

(2) \Rightarrow (4). Suppose that $a - aba \in K$, where $K \subseteq I$ is a left ideal of R . Then $ba - (ba)^2 \in K$. By hypothesis and by [12, Lemma 1], there exists $e^2 = e \in R(ba)$ such that $e - ba \in K$. Write $e = c(ba)$ with $c \in R$ and let $d = ae \in aRa$. Then $a - d = (a - aba) + a(ba - e) \in K$ and moreover $d(cb)d = d(cba)e = de^2 = d$. So (4) holds.

(4) \Rightarrow (3). This is clear.

(3) \Rightarrow (1). Suppose that $a^2 - a \in K$ where $K \subseteq I$ is a left ideal of R . Then $a^3 - a = (a+1)(a^2 - a) \in R(a^3 - a) \subseteq K$. By hypothesis, there exist $r, s \in R$ such that $r = rsr$ and $a - r \in R(a^3 - a)$. Let $e = sr$ and $f = e + (1 - e)re$. Then f is an idempotent of R . It suffices to show that $f - a \in K$. Since $a - r \in R(a^3 - a)$, write $a - r = b(a^3 - a)$ with $b \in R$. Then

$$(a - r)a = b(a^3 - a)a = ba(a^3 - a) \in R(a^3 - a) \subseteq K,$$

so $a^2 - r^2 = (a - r)a + r(a - r) \in K$. It follows that

$$f - a = (sr + r - sr^2) - a = (1 + s)(r - a) + s(a - a^2) + s(a^2 - r^2) \in K.$$

This proves (1). ■

By Nicholson [10, Theorem 2.1], a ring R is an exchange ring if and only if idempotents lift modulo every left ideal. Thus, letting $I = R$ in Theorem 2.8 yields the following

COROLLARY 2.9 ([3, Corollary 5]). *A ring R is an exchange ring if and only if regular elements lift modulo every left ideal of R .*

3. Yamagata's theorem and consequences. For an ideal I of a ring R , [12, Theorem 28] gives equivalent conditions on R such that R/I is regular and idempotents lift strongly modulo I . In this section, we review Yamagata's theorem which gives characterizations of a module M for which S/Δ is regular and idempotents lift modulo Δ , and show that S/Δ is regular and idempotents lift strongly modulo Δ if and only if S is semiregular with $J(S) = \Delta$. As a consequence of Yamagata's theorem, characterizations are obtained for a module M with the latter condition.

LEMMA 3.1. *If $u, v \in S$, then $\text{Ker}(u - uvu) = \text{Ker } u \oplus \text{Ker}(1 - vu)$. In particular, $\text{Ker}(u - u^2) = \text{Ker } u \oplus \text{Ker}(1 - u)$.*

Proof. It is clear that $\text{Ker}(u - uvu) \supseteq \text{Ker } u + \text{Ker}(1 - vu)$ and that $\text{Ker } u \cap \text{Ker}(1 - vu) = 0$. For $x \in \text{Ker}(u - uvu)$, $x = vux + (1 - vu)x$ with $vux \in \text{Ker}(1 - vu)$ and $(1 - vu)x \in \text{Ker } u$; so $\text{Ker}(u - uvu) = \text{Ker } u + \text{Ker}(1 - vu)$. ■

Let X, Y be submodules of a module M . Following Yamagata [16], X is called a *semicomplement* of Y in M if $X \cap Y = 0$ and $X + Y \leq_e M$. A submodule N of a module M is said to *lie under a direct summand* of M if N is large in a direct summand of M .

LEMMA 3.2 ([16]). *The following are equivalent for an idempotent $\bar{u} \in S/\Delta$:*

- (1) \bar{u} lifts to an idempotent of S .
- (2) There is a semicomplement N of $\text{Ker } u$ in M such that uN lies under a direct summand of M .

If N is a submodule of M , we write $N \hookrightarrow M$ for the inclusion. Let $u \in S$. If N is a semicomplement of $\text{Ker } u$ in M , then $u|_N : N \rightarrow uN$ is an isomorphism, so $(u|_N)^{-1} : uN \rightarrow N$ is well defined.

LEMMA 3.3 ([16]). *The following are equivalent for $u \in S$:*

- (1) \bar{u} is regular in S/Δ .
- (2) *There exist $v \in S$ and a semicomplement N of $\text{Ker } u$ in M such that the following diagram is commutative:*

$$\begin{array}{ccc} uN \hookrightarrow & M & \\ (u|_N)^{-1} \downarrow & & \downarrow v \\ N \hookrightarrow & M & \end{array}$$

- (3) *There exists a semicomplement N of $\text{Ker } u$ in M such that $N \subseteq \text{Ker}(1 - vu)$ for some $v \in S$.*

For $u \in S$, $\text{Ker}(1 - u) \leq_e M$ implies that u is a monomorphism because $\text{Ker } u \cap \text{Ker}(1 - u) = 0$.

LEMMA 3.4 ([16]). *For a module M , $\Delta \subseteq J(S)$ iff every $u \in S$ with $\text{Ker}(1 - u) \leq_e M$ is an isomorphism.*

THEOREM 3.5 ([16]). *The following are equivalent for a module M :*

- (1) S/Δ is regular, and idempotents lift modulo Δ .
- (2) *For any $u \in S$, there exist semicomplements N_1, N_2 of $\text{Ker } u$ in M such that*
 - (a) $(u|_{N_1})^{-1} : uN_1 \rightarrow N_1$ extends to an endomorphism of M ,
 - (b) uN_2 lies under a direct summand of M if $u^2 - u \in \Delta$.
- (3) *For any $u \in S$, there exists a semicomplement N of $\text{Ker } u$ in M such that*
 - (a) $(u|_N)^{-1} : uN \rightarrow N$ extends to an endomorphism of M ,
 - (b) uN lies under a direct summand of M if $u^2 - u \in \Delta$.

Next we discuss some consequences of Theorem 3.5. In the literature, various sufficient conditions on a module M are obtained so that S is semiregular and $\Delta = J(S)$; for example, see [2], [7], [9], [11], [14], [15] and [16]. Here we characterize a module M for which S is semiregular and $\Delta = J(S)$. A submodule X of M is called a *kernel submodule* if $X = \text{Ker } u$ for some $u \in S$. The module M is called *kernel-extending* if every kernel submodule of M lies under a direct summand. For $M_R = R_R$, the equivalence (1) \Leftrightarrow (2) in Corollary 3.6 below is obtained in [12, Corollary 35].

COROLLARY 3.6. *Let M be a module. The following are equivalent:*

- (1) S/Δ is regular, and idempotents lift strongly modulo Δ .

- (2) S is semiregular and $J(S) = \Delta$.
 (3) The following hold:
 (a) M is kernel-extending.
 (b) Every monomorphism in S with essential image is onto.
 (c) For any $u \in S$, there exists a semicomplement N of $\text{Ker } u$ in M such that $(u|_N)^{-1} : uN \rightarrow N$ extends to an endomorphism of M .

Proof. (2) \Rightarrow (1). Apply [12, Lemma 5].

(1) \Rightarrow (2). Since S/Δ is regular, $J(S) \subseteq \Delta$. So to show (2), it suffices to show that $J(S) \supseteq \Delta$. Assume that $u \in S$ with $\text{Ker}(1-u) \leq_e M$. We only need to show that u is an isomorphism by Lemma 3.4. Since $u-1 \in \Delta$, by (1) there exists $e^2 = e \in uSu$ with $u-e \in \Delta$. So $N := \text{Ker}(u-e) \cap \text{Ker}(1-u) \leq_e M$. Thus $N = uN = eN \leq_e eM$. This implies that $eM \leq_e M$. So $M = eM \subseteq uM$ (as $e \in uSu$). Hence $uM = M$. But $\text{Ker } u = 0$ by Lemma 3.1. Hence $u \in S$ is an automorphism.

(3) \Rightarrow (2). By Lemma 3.3, (c) means that S/Δ is regular, so it follows that $J(S) \subseteq \Delta$. Moreover, (b) implies that $\Delta \subseteq J(S)$. In fact, for $u \in S$ with $\text{Ker}(1-u) \leq_e M$, we have $\text{Ker } u = 0$ and $uM \leq_e M$, because $\text{Ker } u \cap \text{Ker}(1-u) = 0$ and $\text{Ker}(1-u) \subseteq uM$. So u is an isomorphism by (b). Thus, by Lemma 3.4, $\Delta \subseteq J(S)$. Lastly, (a) implies Lemma 3.2(2). To see this, let $u^2 - u \in \Delta$. Then $\text{Ker}(1-u)$ is a semicomplement of $\text{Ker } u$ in M by Lemma 3.1. Moreover $u \text{Ker}(1-u) = \text{Ker}(1-u)$ is a kernel submodule of M and it lies under a direct summand of M by (a). Hence Lemma 3.2(2) holds.

(2) \Rightarrow (3). Suppose that S is semiregular and $J(S) = \Delta$. Then (c) holds by Theorem 3.5. To verify (a), let $u \in S$. Since S is semiregular, there exists $v \in S$ such that $v = vuv$ and $u - uvu \in J(S)$ by [9, Theorem 2.9]. So $M = vuM \oplus (1-vu)M$. It is clear that $\text{Ker } u \subseteq (1-vu)M$. Since $\text{Ker}(u-uvu) \leq_e M$, $\text{Ker}(u-uvu) \cap (1-vu)M \leq_e (1-vu)M$. But

$$\begin{aligned} \text{Ker}(u-uvu) \cap (1-vu)M &= [\text{Ker } u \oplus \text{Ker}(1-vu)] \cap (1-vu)M \\ &= \text{Ker } u \oplus (\text{Ker}(1-vu) \cap (1-vu)M) \\ &= \text{Ker } u \oplus 0 = \text{Ker } u, \end{aligned}$$

so $\text{Ker } u \leq_e (1-vu)M$. This proves that M is kernel-extending. Assume further that $\text{Ker } u = 0$ and $uM \leq_e M$ and let $N := \text{Ker}(u-uvu)$. Since u is monic, $uN \leq_e uM$ and hence $uN \leq_e M$ because $uM \leq_e M$. Since $(1-uv)uN = 0$, $1-uv \in \Delta = J(S)$ and this shows that uv is a unit of S . Hence $uM = M$ and (b) holds. ■

A module M is called *extending* if every submodule of M lies under a direct summand. It is worth noting that there exists a module M such that S is semiregular and $J(S) = \Delta$, but M is not extending (see [11, Examples (4), p. 186]). A module M is called *direct-injective* if every submodule that is

isomorphic to a direct summand of M is itself a direct summand (see [9]). Such modules are also called C_2 -modules in [7].

COROLLARY 3.7 ([9]). *If a module M is direct-injective and kernel-extending, then S is semiregular and $J(S) = \Delta$.*

Proof. By Corollary 3.6, it suffices to show that (3)(c) of Corollary 3.6 holds. Let $u \in S$. Since M is kernel-extending, there exists a decomposition $M = X \oplus Y$ such that $\text{Ker } u \leq_e X$. Thus Y is a semicomplement of $\text{Ker } u$ in M . Since M is direct-injective, uY is a direct summand of M , and hence $(u|_Y)^{-1} : uY \rightarrow Y$ extends to an endomorphism of M . ■

A module M is called *mono-injective* if, for any submodule N of M , every monomorphism $N \rightarrow M$ can be extended to M ([4]). Mono-injective modules are also called pseudo-injective by Jain and Singh [13].

COROLLARY 3.8. *If M is a mono-injective module, then $S/J(S)$ is regular and $J(S) = \Delta$.*

Proof. For any $u \in S$, there exists $N \leq M$ such that $N \oplus \text{Ker } u \leq_e M$. Since M is mono-injective, $(u|_N)^{-1} : uN \rightarrow N$ extends to an endomorphism of M ; so S/Δ is regular by Lemma 3.3. It follows that $J(S) \subseteq \Delta$. To finish the proof, it suffices to show that $\Delta \subseteq J(S)$. Let $u \in S$ with $\text{Ker}(1-u) \leq_e M$. We only need to show that u is onto by Lemma 3.4. Since u is monic, $u^{-1} : uM \rightarrow M$ is a monomorphism, so there exists $v \in S$ such that $vx = u^{-1}(x)$ for all $x \in uM$. That is, $vu = 1_M$. Hence $M = \text{Ker } v \oplus uM$. If $y \in \text{Ker } v \cap \text{Ker}(1-u)$, then $vy = 0$ and $y = uy$; so $0 = vy = vuy = y$. Hence $\text{Ker } v \cap \text{Ker}(1-u) = 0$. It follows that $\text{Ker } v = 0$ since $\text{Ker}(1-u) \leq_e M$. So v is a unit of S and hence $u = v^{-1}$ is certainly onto. ■

By Yamagata [16], for a module M which is a direct sum of indecomposable injective modules, S/Δ is regular and idempotents lift modulo Δ , but idempotents do not lift strongly modulo Δ in general. We include two easy examples of the same kind. Recall that the *trivial extension* of a ring R by an R -bimodule M is the ring $R \rtimes M = \{(a, x) : a \in R, x \in M\}$ with addition defined componentwise and multiplication defined by $(a, x)(b, y) = (ab, ay + xb)$. For a subset I of R and a subset X of M , we write $I \rtimes X = \{(a, x) : a \in I, x \in X\}$ for convenience. The right singular ideal of R is denoted by $Z_r(R)$.

EXAMPLE 3.9. *Let $R = \mathbb{Z} \rtimes \mathbb{Z}_{5^\infty}$, where \mathbb{Z}_{5^∞} is the Prüfer group. Then $R/Z_r(R)$ is regular and idempotents lift modulo $Z_r(R)$, but regular elements do not lift modulo $Z_r(R)$.*

Proof. It is easily seen that $J(R) = 0 \rtimes \mathbb{Z}_{5^\infty}$ and $Z_r(R) = 5\mathbb{Z} \rtimes \mathbb{Z}_{5^\infty}$; so $R/Z_r(R) \cong \mathbb{Z}_5$. Moreover, $R/Z_r(R)$ has only two trivial idempotents which are the images of the two trivial idempotents of R . Hence every idempotent

of $R/Z_r(R)$ can be lifted to an idempotent of R . For $a = (3, 0) \in R$ and $b = (2, 0)$, $a - aba = (-15, 0) \in Z_r(R)$. But, for any regular element $c = (n, m) \in R$, either $n = 0$ or $n = 1$ or $n = -1$, so $a - d \notin Z_r(R)$. ■

EXAMPLE 3.10. *Let $R = \mathbb{Z} \rtimes \mathbb{Z}_{2^\infty}$, where \mathbb{Z}_{2^∞} is the Prüfer group. Then $R/Z_r(R)$ is regular and regular elements lift modulo $Z_r(R)$, but idempotents do not lift strongly modulo $Z_r(R)$.*

Proof. As above, $J(R) = 0 \rtimes \mathbb{Z}_{2^\infty}$, $Z_r(R) = 2\mathbb{Z} \rtimes \mathbb{Z}_{2^\infty}$, and $R/Z_r(R) \cong \mathbb{Z}_2$. Moreover, every element of $R/Z_r(R)$ can be lifted to an idempotent of R . For $a = (3, 0) \in R$, we have $a - 1 \in Z_r(R)$. But, for any idempotent $e = (n, m) \in aR$, $n = 0$, so $a - e \notin Z_r(R)$. ■

4. The dual of Yamagata's theorem and consequences. As the dual of Yamagata's theorem, we characterize a module M for which S/∇ is regular and idempotents lift modulo ∇ . We further characterize a module M for which S is semiregular and $J(S) = \nabla$.

LEMMA 4.1. *Let $u, v \in S$. Then*

$$(u - uvu)M = uM \cap (1 - uv)M \quad \text{and} \quad M = uM + (1 - uv)M.$$

In particular,

$$(u - u^2)M = uM \cap (1 - u)M \quad \text{and} \quad M = uM + (1 - u)M.$$

Proof. It is clear that $(u - uvu)M \subseteq uM \cap (1 - uv)M$ and $uM + (1 - uv)M = M$. For $x \in uM \cap (1 - uv)M$, write $x = uy = (1 - uv)z$ with $y, z \in M$. Then $z = u(y + vz)$ and hence

$$x = (1 - uv)z = (u - uvu)(y + vz) \in (u - uvu)M. \quad \blacksquare$$

Let X, Y be submodules of a module M . We call Y a *semisupplement* of X in M if $M = X + Y$ and $X \cap Y \ll M$. A submodule N of a module M is said to *lie over a direct summand* of M if there exists a decomposition $M = P \oplus Q$ such that $P \subseteq N$ and $N \cap Q \ll M$.

LEMMA 4.2. *The following are equivalent for an idempotent $\bar{u} \in S/\nabla$:*

- (1) \bar{u} lifts to an idempotent of S .
- (2) There is a semisupplement N of uM in M such that $uN \ll M$ and N lies over a direct summand of M .

Proof. We may assume $\bar{u} \neq 0$, because the conditions hold trivially for $\bar{u} = 0$.

(1) \Rightarrow (2). Let $e^2 = e \in S$ be such that $\bar{e} = \bar{u}$. Let

$$\begin{aligned} L_1 &= (u^2 - u)M, & L_2 &= (e - u)M, & X &= (1 - u)M, \\ N &= X + L_1 + L_2 = X + L_2. \end{aligned}$$

We first show that N is a semisupplement of uM in M . Clearly, $N + uM = X + L_2 + uM = M$. Let $m \in N \cap uM$ and write $m = uz = (1-u)x + (u-e)y$ with $x, y, z \in M$. Then $x = u(x+z) - (u-e)y$, and so

$$(1-u)x = (u-u^2)(x+z) - (1-u)(u-e)y.$$

Hence $N \cap uM \subseteq L_1 + (1-u)L_2 + L_2 \ll M$, because L_1 and L_2 are small in M . So N is a semisupplement of uM in M . Moreover, $uN \subseteq uL_2 + (u^2-u)M \ll M$. Next we show that N lies over $(1-e)M$. For $x \in M$, $(1-e)x = (1-u)x - (e-u)x \in N$, so $(1-e)M \subseteq N$. For $m \in N \cap eM$, write $m = ez = (1-u)x + (e-u)y$ where $x, y, z \in M$. Then $x = ez + ux - (e-u)y$ and so

$$\begin{aligned} (1-u)x &= (1-u)ez + (1-u)ux - (1-u)(e-u)y \\ &= (e-u)ez - (u^2-u)x - (1-u)(e-u)y \\ &\in L_2 + L_1 + (1-u)L_2. \end{aligned}$$

Hence $m = (1-u)x + (e-u)y \in L_2 + L_1 + (1-u)L_2 \ll M$, which gives $N \cap eM \ll M$. So N lies over $(1-e)M$.

(2) \Rightarrow (1). By hypothesis, there exist $e^2 = e \in S$ and a submodule N of M such that

$$\begin{aligned} N + uM &= M, & N \cap uM &\ll M, \\ (1-e)M &\subseteq N, & N \cap eM &\ll M, \quad \text{and} \quad uN \ll M. \end{aligned}$$

Then $N = (1-e)M + (N \cap eM)$ and $M = uM + N = uM + (1-e)M + (N \cap eM)$. Since $N \cap eM \ll M$, we have

$$M = uM + (1-e)M.$$

Since $(u-ue)M = u(1-e)M \subseteq uN \ll M$, $\bar{u} = \overline{ue} = \bar{u}\bar{e}$. Since $\bar{u}^2 = \bar{u}$, we obtain $(\bar{e}\bar{u})^2 = \bar{e}\bar{u}\bar{e}\bar{u} = \bar{e}\bar{u}^2 = \bar{e}\bar{u}$. Let $f = e + (1-e)ue$. Then $f^2 = f \in S$, and

$$\begin{aligned} (ue-f)M &= (ue-e-(1-e)ue)(uM+(1-e)M) \\ &= (-e+eue)(uM) \\ &= (-eu+eueu)M \ll M \quad (\text{as } (\bar{e}\bar{u})^2 = \bar{e}\bar{u}). \end{aligned}$$

Hence $\bar{u} = \bar{u}\bar{e} = \bar{f}$. ■

If N is a submodule of M , we write $\pi_N : M \rightarrow M/N$ for the natural epimorphism.

LEMMA 4.3. *The following are equivalent for $u \in S$:*

- (1) \bar{u} is regular in S/∇ .
- (2) There exist $v \in S$ and a semisupplement N of uM in M such that

the following diagram is commutative:

$$\begin{array}{ccc} M & \xrightarrow{u} & M \\ v \uparrow & & \downarrow \pi_N \\ M & \xrightarrow{\pi_N} & M/N \end{array}$$

- (3) *There exists a semisupplement N of uM in M such that $(1 - uv)M \subseteq N$ for some $v \in S$.*

Proof. (1) \Rightarrow (2). Assume that $u - uvu \in \nabla$ where $v \in S$. Then $L := (u - uvu)M \ll M$. So, by Lemma 4.1, $N := (1 - uv)M$ is a semisupplement of uM in M . Since $L \subseteq N$, $uvux + N = ux + N$ in M/N for all $x \in M$, i.e., $(\pi_N uv)(ux) = \pi_N(ux)$. Since $M = uM + N$, it follows that $\pi_N uv = \pi_N$.

(2) \Leftrightarrow (3). It is clear.

(3) \Rightarrow (1). By (3), there exists a semisupplement N of uM in M such that $(1 - uv)M \subseteq N$ where $v \in S$. Then $uM \cap N \ll M$ and

$$(u - uvu)M = uM \cap (1 - uv)M \subseteq uM \cap N \ll M,$$

so $\bar{u} = \bar{u}\bar{v}\bar{u}$. ■

For $u \in S$, $(1 - u)M \ll M$ implies that u is an epimorphism because $uM + (1 - u)M = M$.

LEMMA 4.4 ([6]). *For a module M , $\nabla \subseteq J(S)$ iff every $u \in S$ with $(1 - u)M \ll M$ is an isomorphism.*

The following theorem is the dual of Theorem 3.5.

THEOREM 4.5. *The following are equivalent for a module M :*

- (1) *S/∇ is regular, and idempotents lift modulo ∇ .*
- (2) *For any $u \in S$, there exist semisupplements N_1, N_2 of uM in M such that*
 - (a) *$(1 - uv)M \subseteq N_1$ for some $v \in S$,*
 - (b) *$uN_2 \ll M$ and N_2 lies over a direct summand of M if $u^2 - u \in \nabla$.*
- (3) *For any $u \in S$, there exists a semisupplement N of uM in M such that*
 - (a) *$(1 - uv)M \subseteq N$ for some $v \in S$,*
 - (b) *$uN \ll M$ and N lies over a direct summand of M if $u^2 - u \in \nabla$.*

Proof. The implications (1) \Rightarrow (2) and (3) \Rightarrow (1) follow from Lemmas 4.2 and 4.3.

(2) \Rightarrow (3). Let $u \in S$. If $u^2 - u \notin \nabla$, then we simply take $N = N_1$. So we can assume that $u^2 - u \in \nabla$, and let N_1, N_2 be given as in (2). It is enough to show that we can choose a common submodule N as N_1 and N_2 . Let

$N = N_2 + uN_2 + (u - u^2)M$. To see that N satisfies (3)(a), let $v = 1_M$. Then

$$\begin{aligned} (1 - uv)M &= (1 - uv)(N_2 + uM) = (1 - u)(N_2 + uM) \\ &= (1 - u)N_2 + (u - u^2)M \leq N. \end{aligned}$$

Next we show that N satisfies (3)(b). One sees that $N \cap uM = N_2 \cap uM + [uN_2 + (u - u^2)M]$ is small in M , because $N_2 \cap uM, uN_2, (u - u^2)M$ are all small in M . Since $N + uM = M$, N is a semisupplement of uM in M . Moreover, $uN = uN_2 + u[uN_2 + (u - u^2)M] \ll M$. By our assumption on N_2 , there exists $e^2 = e \in S$ such that $(1 - e)M \subseteq N_2$ and $N_2 \cap eM \ll M$. Then $(1 - e)M \subseteq N$, and

$$\begin{aligned} eM \cap N &= eM \cap [N_2 + uN_2 + (u - u^2)M] \\ &= eM \cap [N_2 \cap eM + (1 - e)M + uN_2 + (u - u^2)M] \\ &= N_2 \cap eM + eM \cap [(1 - e)M + uN_2 + (u - u^2)M] \\ &\leq N_2 \cap eM + e(uN_2 + (u - u^2)M) \ll M. \end{aligned}$$

So N lies over $(1 - e)M$ and hence N satisfies (3)(b). The proof is complete. ■

Next we show that S/∇ is regular and idempotents lift strongly modulo ∇ if and only if S is semiregular with $J(S) = \nabla$, and characterize modules M with the latter condition. We refer to [7], [8] and [9] for some sufficient conditions on a module M for which S is semiregular and $J(S) = \nabla$. A submodule X of M is called an *image submodule* if $X = uM$ for some $u \in S$. The module M is called *image-lifting* if every image submodule of M lies over a direct summand.

COROLLARY 4.6. *Let M be a module. The following are equivalent:*

- (1) S/∇ is regular, and idempotents lift strongly modulo ∇ .
- (2) S is semiregular and $J(S) = \nabla$.
- (3) The following hold:
 - (a) M is image-lifting.
 - (b) Every epimorphism in S with small kernel is one-to-one.
 - (c) For any $u \in S$, there exists a semisupplement N of uM in M such that $(1 - uv)M \subseteq N$ for some $v \in S$.

Proof. (2) \Rightarrow (1). Apply [12, Lemma 5].

(1) \Rightarrow (2). Since S/∇ is regular, $J(S) \subseteq \nabla$. So to show (2), it suffices to show that $J(S) \supseteq \nabla$. Assume that $u \in S$ with $(1 - u)M \ll M$. We only need to show that u is an isomorphism by Lemma 4.4. Since $u - 1 \in \nabla$, by (1) there exists $e^2 = e \in uSu$ such that $u - e \in \nabla$. So $N := (u - e)M + (1 - u)M \ll M$. For $x \in M$, $(1 - e)x = (u - e)x + (1 - u)x$, so $(1 - e)M \subseteq (u - e)M + (1 - u)M$. Thus $(1 - e)M \ll M$. It follows that $eM = M$. So $1 = e \in uSu$. This shows that $u \in S$ is an automorphism.

(3) \Rightarrow (2). By Lemma 4.3, (c) means that S/∇ is regular, so it follows that $J(S) \subseteq \nabla$. Moreover, (b) implies that $\nabla \subseteq J(S)$. In fact, for $u \in S$ with $(1-u)M \ll M$, we have $uM = M$ and $\text{Ker } u \ll M$, because $M = uM + (1-u)M$ and $\text{Ker } u \subseteq (1-u)M$. So u is an isomorphism by (b). Thus, by Lemma 4.4, $\nabla \subseteq J(S)$. Lastly, (a) implies Lemma 4.2(2). To see this, let $u^2 - u \in \nabla$. Then $(1-u)M$ is a semisupplement of uM in M by Lemma 4.1, $u(1-u)M \ll M$, and $(1-u)M$ lies over a direct summand of M by (a). Hence Lemma 4.2(2) holds.

(2) \Rightarrow (3). Suppose that S is semiregular and $J(S) = \nabla$. Then (c) holds by Theorem 4.5. To verify (a), let $u \in S$. Since S is semiregular, there exists $v \in S$ such that $v = vuv$ and $u - uvu \in J(S)$ by [9, Theorem 2.9]. So $M = uvM \oplus (1-uv)M$. Since $uvM \subseteq uM$ and $uM \cap (1-uv)M = (u-uvu)M \ll M$, uM lies over uvM . This proves that M is image-lifting. To verify (b), we assume further that $uM = M$ and $\text{Ker } u \ll M$, and prove $\text{Ker } u = 0$. Since $J(S) = \nabla$, it suffices to show $(1-vu)M \ll M$. Let $M = (1-vu)M + N$ for some submodule N . Then $M = uM = u(1-vu)M + uN$, and this implies that $uM = uN$ since $u(1-vu)M \ll M$. Hence $M = N + \text{Ker } u$, and this shows that $M = N$ since $\text{Ker } u \ll M$. So $(1-vu)M \ll M$. ■

A module M is called *lifting* if every submodule of M lies over a direct summand. There exists a module M such that S is semiregular with $J(S) = \nabla$, but M is not lifting. Indeed, if R is a semiregular ring that is not semiperfect, then $M := R_R$ is such a module by [7, 4.38, p. 69; 4.42, p. 71]. A module M is called *direct-projective* if, whenever a factor module M/K is isomorphic to a direct summand of M , K is a direct summand of M ([9]). These modules are also called D_2 -modules in [7].

COROLLARY 4.7 ([9]). *If a module M is direct-projective and image-lifting, then S is semiregular and $J(S) = \nabla$.*

Proof. By Corollary 4.6, it suffices to show that (3)(c) of Corollary 4.6 holds. Let $u \in S$. Since M is image-lifting, there exists $e^2 = e \in S$ such that $eM \subseteq uM$ and $uM \cap (1-e)M \ll M$. Thus $(1-e)M$ is a semisupplement of uM in M . Since $eu : M \rightarrow eM$ is onto and since M is direct-projective, $\text{Ker}(eu)$ is a direct summand of M . Write $M = \text{Ker}(eu) \oplus Z$. Then $eu|_Z : Z \rightarrow eM$ is an isomorphism. Define $v \in S$ by $v(x+y) = (eu|_Z)^{-1}(x)$ for $x \in eM, y \in (1-e)M$. Then, for $x \in eM$, $evv(x) = x$ so $(1-uv)x = evvx - uvx = -(1-e)uvx \in (1-e)M$. Moreover, $(1-uv)y = y$ for all $y \in (1-e)M$. So $(1-uv)M \subseteq (1-e)M$. This shows (3)(c) of Corollary 4.6. Hence S is semiregular and $J(S) = \nabla$. ■

A module M is said to be *epi-projective* if, for any submodule N of M , every epimorphism $f : M \rightarrow M/N$ can be lifted to M , that is, there exists $g \in S$ such that $\pi_N = fg$ (see [4]).

COROLLARY 4.8. *Suppose that every submodule of M has a semisupplement in M . If M is an epi-projective module, then $S/J(S)$ is regular and $J(S) = \nabla$.*

Proof. For any $u \in S$, there exists $N \leq M$ such that $M = N + uM$ and $N \cap uM \ll M$. Thus $\pi_N u : M \rightarrow M/N$ is an epimorphism. Since M is epi-projective, $\pi_N = \pi_N u v$ for some $v \in S$; so S/∇ is regular by Lemma 4.3. It follows that $J(S) \subseteq \nabla$. To finish the proof, it suffices to show that $\nabla \subseteq J(S)$. Let $u \in S$ with $(1 - u)M \ll M$. We only need to show that u is one-to-one by Lemma 4.4. Since u is onto and since M is epi-projective, there exists $v \in S$ such that $uv = 1_M$. Hence $M = \text{Ker } u \oplus vM$. But $\text{Ker } u \subseteq (1 - u)M$, so $\text{Ker } u \ll M$. It follows that $M = vM$, and hence $\text{Ker } u = 0$. ■

In contrast to Corollary 3.8, the assumption in Corollary 4.8 that every submodule of M has a semisupplement in M is not superfluous. In fact, it is easy to check that the module $\mathbb{Z}_{\mathbb{Z}}$ is epi-projective, $\text{End}(\mathbb{Z}_{\mathbb{Z}}) \cong \mathbb{Z}$ is semiprimitive, but \mathbb{Z} is not regular. As seen in Section 3, there exist modules M for which S/Δ is regular, idempotents lift modulo Δ , but $\Delta \neq J(S)$. We do not know an example of a module M such that S/∇ is regular, idempotents lift modulo ∇ , but $\nabla \neq J(S)$.

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