

*DIFFERENTIATION AND SPLITTING
FOR LATTICES OVER ORDERS*

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Abstract. We extend our module-theoretic approach to Zavadskii's differentiation techniques in representation theory. Let R be a complete discrete valuation domain with quotient field K , and Λ an R -order in a finite-dimensional K -algebra. For a hereditary monomorphism $u : P \hookrightarrow I$ of Λ -lattices we have an equivalence of quotient categories $\tilde{\partial}_u : \Lambda\text{-lat}/[\mathcal{H}] \xrightarrow{\sim} \delta_u\Lambda\text{-lat}/[B]$ which generalizes Zavadskii's algorithms for posets and tiled orders, and Simson's reduction algorithm for vector space categories. In this article we replace u by a more general type of monomorphism, and the derived order $\delta_u\Lambda$ by some over-order $\partial_u\Lambda \supset \delta_u\Lambda$. Then $\tilde{\partial}_u$ remains an equivalence if $\delta_u\Lambda\text{-lat}$ is replaced by a certain subcategory of $\partial_u\Lambda\text{-lat}$. The extended differentiation comprises a splitting theorem that implies Simson's splitting theorem for vector space categories.

Introduction. In a previous article [19] we generalized Zavadskii's differentiation algorithm [26–28] for representations of posets to lattices over orders Λ in a finite-dimensional algebra A over a field K with a complete discrete valuation. Instead of a pair of points in a poset, our differentiation depends on a *hereditary* monomorphism $u : P \hookrightarrow I$ of Λ -lattices, that is, I/P is of finite length and satisfies

$$\text{Hom}_\Lambda(P, I/P) = \text{Ext}_\Lambda(I/P, I) = \text{Ext}_\Lambda(H, L) = 0$$

for Λ -lattices H, L between P and I , and

$$(P) \quad P \text{ and } I^* \text{ are projective.}$$

Then the isomorphism classes of Λ -lattices between P and I can be represented by a finite set \mathcal{H}_u . With each (left) Λ -lattice E , we associate a pair $\partial_u E = \left(\begin{smallmatrix} E^+ \\ E_- \end{smallmatrix} \right)$ of Λ -lattices with $E_- \subseteq E \subseteq E^+$. Dually, the hereditary monomorphism $u^* : I^* \hookrightarrow P^*$ yields a pair $\left(\begin{smallmatrix} F^- \\ F_+ \end{smallmatrix} \right)$ of right Λ -lattices with $F_+ \subseteq F \subseteq F^-$ for any given right Λ -lattice F . Then we can form the *derived order*

$$\delta_u\Lambda := \left(\begin{array}{cc} \Lambda^+ & \Lambda^+\Lambda^- \\ \Lambda_- & \Lambda^- \end{array} \right) \subseteq M_2(A)$$

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of Λ , and ∂_u becomes a functor

$$\partial_u : \Lambda\text{-lat} \rightarrow \delta_u\Lambda\text{-lat}$$

between Λ - and $\delta_u\Lambda$ -lattices. Since $\Lambda_+ = \Lambda_-$, the definition of $\delta_u\Lambda$ is self-dual.

In [19] we proved that ∂_u induces an equivalence of quotient categories

$$(0) \quad \tilde{\partial}_u : \Lambda\text{-lat}/[\mathcal{H}_u] \xrightarrow{\sim} \delta_u\Lambda\text{-lat}/\left[\left(\frac{I}{P}\right)\right],$$

which generalizes known versions of Zavadskii's algorithm, e.g. Simson's algorithm for vector space categories [21–23] in case Λ is subhereditary, and Zavadskii's algorithm for tiled orders [28] in case P and I are tame irreducible with I/P of length one ([19], §3).

In the present article we show that a modified version of (0) remains valid when the projectivity condition (P) is dropped. To this end we consider *pre-hereditary* monomorphisms $u : P \rightarrow I$, i.e. such that $U := I/P$ is length-finite with

$$(C) \quad \partial_u P = \partial_u I = \begin{pmatrix} I \\ P \end{pmatrix},$$

$\text{End}_\Lambda(I) \rightarrow \text{End}_\Lambda(U)$ surjective, and U is a *Zavadskii module* [19] over $B := \Lambda/\Lambda_-$, that is, a module ${}_B U$ with the property that each submodule is U -projective and each factor module U -injective. The closure condition (C) implies that

$$\partial_u\Lambda := \begin{pmatrix} \Lambda^+ & \Lambda^{+-} + \Lambda^{-+} \\ \Lambda_- & \Lambda^- \end{pmatrix} \subseteq M_2(\Lambda)$$

is an over-order of $\delta_u\Lambda$. If u is pre-hereditary, ∂_u induces an equivalence (Theorem 1)

$$(0') \quad \tilde{\partial}_u : \Lambda\text{-lat}/[\mathcal{H}_u] \xrightarrow{\sim} \partial_u\Lambda\text{-lat}^s/\left[\left(\frac{I}{P}\right)\right],$$

where $\partial_u\Lambda\text{-lat}^s$ consists of the $\partial_u\Lambda$ -lattices $\begin{pmatrix} F \\ G \end{pmatrix}$ with $F \supseteq G^+$ and $G \subseteq F_-$. Moreover, $\partial_u\Lambda\text{-lat}^s$ coincides with $\partial_u\Lambda\text{-lat}$ if

$$(P^\circ) \quad \Lambda_-P \text{ and } I^*_{\Lambda^+} \text{ are projective.}$$

When the stronger projectivity condition (P) holds, the orders $\partial_u\Lambda$ and $\delta_u\Lambda$ coincide.

If $u : P \hookrightarrow I$ is pre-hereditary, then any decomposition of I/P induces a decomposition of u . The functor ∂_u does not change if multiplicities of indecomposable direct summands of u are reduced to one. For $u = u_1 \oplus \dots \oplus u_n$ with u_1, \dots, u_n indecomposable and pairwise non-isomorphic, $u'_1 := \partial_{u_2 \oplus \dots \oplus u_n}(u)$ is pre-hereditary, and the functor ∂_u is equivalent to the composition $\partial_{u'_1} \partial_{u_2 \oplus \dots \oplus u_n}$. Therefore, we may assume u to be indecomposable. In this case, I/P is uniserial.

Apart from the various Zavadskii algorithms mentioned above, the modified equivalence (0') generalizes D. Simson's splitting theorem ([24], Theorem 17.53) which extends previous results of Nazarova & Roïter ([24], Lemma 8.1), and Dlab & Ringel ([2], Lemma 8.4). The splitting theorem has served as a basic tool in the theory of representation-finite Schurian vector space categories [7].

For our splitting theory (§5) which we are going to explain now, the use of $\partial_u \Lambda$ instead of $\delta_u \Lambda$ is indispensable (see §7, Example 6).

In dealing with orders in not necessarily semisimple algebras A , the concept of *generalized over-order* Γ of A introduced (for A semisimple) by the Kiev school (e.g. [3]) is important. Such a Γ is given by a ring homomorphism $\Lambda \rightarrow \Gamma$ with R -torsion cokernel. A pre-hereditary monomorphism $u : P \hookrightarrow I$ with $S := KP = KI$ simple and $\Delta := \text{End}_\Lambda(P) = \text{End}_\Lambda(I)$ the (unique) maximal order in the skew field $D := \text{End}_A(S)$ will be called *splitting* if $A = \text{End}_D(S) \times A'$ and $\text{Hom}_\Delta(I, P\Pi) \subseteq \Lambda$. Our fundamental splitting lemma (Proposition 18) then says that in this case, the maximal order Γ_0 in $M_2(\text{End}_D(S))$ with indecomposable representation $\begin{pmatrix} I \\ P \end{pmatrix}$ satisfies $\text{Rad } \Gamma_0 \subseteq \partial_u \Lambda$. (Hence $\partial_u \Lambda$ is subhereditary whenever A is simple.) Remarkably, that inclusion does not hold for $\delta_u \Lambda$ instead of $\partial_u \Lambda$.

In order to apply this result, we define a *splitting* of Λ as a pair of generalized over-orders Λ_1, Λ_2 such that $\Lambda_1 \Lambda_2 = \Lambda_2 \Lambda_1$ is an order, and each indecomposable Λ -lattice is a Λ_i -lattice for some $i \in \{1, 2\}$. (Here, the product $\Lambda_1 \Lambda_2$ is an R -lattice in $K\Lambda_1 \otimes_{K\Lambda} K\Lambda_2$.) The importance of this notion comes from the fact (Proposition 17) that a splitting is tantamount to an equivalence of categories

$$\Lambda_1\text{-lat}/[\Gamma] \times \Lambda_2\text{-lat}/[\Gamma] \rightarrow \Lambda\text{-lat}/[\Gamma]$$

with $\Gamma := \Lambda_1 \Lambda_2$. Of particular interest is the case where Γ is hereditary. We then speak of a *hereditary splitting*. Under some extra assumption, a splitting pre-hereditary monomorphism u gives rise to a hereditary splitting (Theorem 4). For orders Λ in a simple K -algebra, this result is equivalent to Simson's splitting theorem ([24], §17.53).

A special case of hereditary splitting will be characterized in Theorem 3: Here, ${}_\Lambda \Lambda$ admits a decomposition $\Lambda = P_1 \oplus P_2$ which yields an equivalence

$$\Omega_1\text{-lat}/[\Gamma_1] \times \Omega_2\text{-lat}/[\Gamma_2] \xrightarrow{\sim} \Lambda\text{-lat}/[\Gamma]$$

of categories with $\Omega_i := (\text{End}_\Lambda P_i)^{\text{op}}$ and $\Gamma_i := (\text{End}_\Gamma \Gamma P_i)^{\text{op}}$. Such type of splitting arises for generalized Brauer tree orders (Example 5 of §7).

For an R -order Λ , there always exist proper monomorphisms $u : P \hookrightarrow I$ with $S := KP = KI$ simple, $\text{End}_\Lambda(P) = \text{End}_\Lambda(I) =: \Delta$ maximal, and I/P uniserial with pairwise non-isomorphic composition factors. Then u is pre-hereditary if and only if $P \not\cong I$. For $P \cong I$, however, there are cases where

(0') still holds. Namely, if the identical morphism $1 : I \rightarrow I$ is splitting, and the projection of Λ into $\text{End}_{K\Delta}(S)$ is a hereditary order Λ_0 , Proposition 20 yields an equivalence

$$(0'') \quad \Lambda\text{-lat}/[\Lambda_0] \xrightarrow{\sim} \Lambda'\text{-lat}$$

for some R -order Λ' . If $\partial_u \Lambda$ is an order (which is not always true here since (C) is no longer valid), then $\Lambda'\text{-lat}$ coincides with $\partial_u \Lambda\text{-lat}/[\partial_u P]$, and the equivalence is given by $\tilde{\partial}_u$. Only the weak form (P $^\circ$) of the projectivity condition (P) is satisfied in that case.

Equivalences of type (0'') with Λ_0 not necessarily hereditary have recently been studied by Iyama [5] who defines Λ' in terms of the Auslander–Reiten quiver of Λ . The question arises whether a similar generalization of (0) or even (0') is possible. We shall take up this problem in [20].

Some examples are collected in §7, chosen as small as possible, to illustrate the results of the paper.

1. The derivative. Throughout this article, let R be a complete discrete valuation domain with quotient field K , and Λ an R -order in a finite-dimensional K -algebra A ; that is to say, Λ is an R -subalgebra of A which is finitely generated over R such that $K\Lambda = A$. Unless otherwise stated, modules over a ring S will be assumed to be left modules. By $S\text{-mod}$ we denote the category of finitely generated S -modules.

A Λ -submodule E of a left A -module M is said to be a (full) Λ -lattice in M if ${}_R E$ is finitely generated and $KE = M$. Since M can be identified with $K \otimes_R E$, the embedding $E \hookrightarrow M$ is determined by the Λ -module E , which is also called a Λ -representation. Every homomorphism $f : E \rightarrow F$ of Λ -lattices has a unique A -linear extension $KE \rightarrow KF$, which we again denote by f . Therefore, the inverse image $f^{-1}(F)$ will be regarded as a Λ -submodule of KE which may strictly contain E . The category of Λ -lattices is denoted by $\Lambda\text{-lat}$. Recall that a (left) Λ -lattice E is said to be *injective* if the right Λ -lattice $E^* := \text{Hom}_R(E, R)$ is projective. When ${}_A E$ is projective and injective, then E is also called *bijective*. Moreover, a Λ -lattice E is said to be *irreducible* if KE is a simple A -module. If KE decomposes into two simple A -modules, we call E *binomial*. An irreducible Λ -lattice E with $\text{End}_\Lambda(E)$ a maximal order in $\text{End}_A(KE)$ is said to be *tame*. For the general theory of lattices over orders we refer to [12].

Let $u : P \hookrightarrow I$ be a monomorphism of Λ -lattices with $KP = KI$. In [19] we defined for any Λ -lattice E the u -trace and u -cotrace:

$$\begin{aligned} \text{trc}_u E &:= \sum \{f(I) \mid f \in \text{Hom}_\Lambda(P, E)\}, \\ \text{ctr}_u E &:= \bigcap \{f^{-1}(P) \mid f \in \text{Hom}_\Lambda(E, I)\}. \end{aligned}$$

Thus $\text{trc}_u E$ is R -finite, and $\text{ctr}_u E$ is *full* in KE , i.e. $K(\text{ctr}_u E) = KE$. Hence

$$(1) \quad E^+ := E + \text{trc}_u E, \quad E_- := E \cap \text{ctr}_u E$$

are Λ -lattices in KE with $E_- \subseteq E \subseteq E^+$. Dually, with respect to the monomorphism $u^* : I^* \hookrightarrow P^*$ of Λ^{op} -lattices, for $F \in \Lambda^{\text{op}}\text{-lat}$ we define

$$(2) \quad F^- := F + \text{trc}_{u^*} F, \quad F_+ := F \cap \text{ctr}_{u^*} F.$$

Then $F_+ \subseteq F \subseteq F^-$, and

$$(3) \quad (E^+)^* = (E^*)_+, \quad (E_-)^* = (E^*)^-.$$

Since every homomorphism ${}_{\Lambda}\Lambda \rightarrow I$ is of the form $a \mapsto ax$ with $x \in I$, we obtain $\Lambda_- = \{a \in \Lambda \mid aI \subseteq P\} = \{a \in \Lambda \mid P^*a \subseteq I^*\}$ and thus

$$(4) \quad \Lambda_- = \Lambda_+,$$

which is a (two-sided) ideal of Λ .

The following *closure condition*:

$$(C) \quad \boxed{I^+ = I, \quad P_- = P}$$

has been introduced in [19]. Since the identity $1 : P \rightarrow P$ carries I to I , we have $I \subseteq P^+$. On the other hand, $P \hookrightarrow I$ gives $P^+ \subseteq I^+$. Therefore, condition (C) implies that P and I determine each other:

$$(5) \quad P^+ = I, \quad I_- = P.$$

Note, however, that (C) does not imply the *minimality condition*

$$(M) \quad I = \Lambda^+ P, \quad P = \text{Hom}_{\Lambda}(\Lambda^-, I),$$

which states that there are no Λ^+ - or Λ^- -lattices strictly between P and I . Moreover, we shall see that (C) does not even imply the *weak minimality condition*

$$(M^{\circ}) \quad I = \Lambda^{-+} P, \quad P = \text{Hom}_{\Lambda}(\Lambda^{+-}, I).$$

Here, the second equations in (M) and (M[◦]) assume that P is identified with $\text{Hom}_{\Lambda}(\Lambda, P)$.

In [19] we proved the following

PROPOSITION 1. *If $I^+ = I$ (resp. $P_- = P$), then Λ^+ (resp. Λ^-) is an over-order of Λ , and for any Λ -lattice E we have $E^+ = \Lambda^+ E^+$ (resp. $E_- = \Lambda^- E_-$). Moreover, (C) implies $\Lambda_- E^+ \subseteq E_-$.*

PROPOSITION 2. *If (C) is satisfied, then $\Lambda_- E^+ \subseteq E_- \subseteq (\Lambda^{+-} + \Lambda^{-+}) E_- \subseteq E^+$ for every Λ -lattice E .*

Proof. The inclusion $\Lambda^{-+} E_- \subseteq E^+$ follows since Λ^{-+} is mapped into E^+ by each homomorphism $\Lambda^- \rightarrow E_-$. Dually, $(E^*)_+ \Lambda^{+-} \subseteq (E^*)^-$ and thus $(E^+)^* \Lambda^{+-} \subseteq (E_-)^*$, which gives $\Lambda^{+-} E_- \subseteq E^+$. ■

In particular, (C) implies:

$$(6) \quad \begin{aligned} \Lambda^- \Lambda_- \Lambda^+ &= \Lambda_-, & \Lambda^+ \Lambda^{-+} \Lambda^- &= \Lambda^{-+}, \\ \Lambda^{-+} \Lambda_- &\subseteq \Lambda^+, & \Lambda_- \Lambda^{-+} &\subseteq \Lambda^-. \end{aligned}$$

Here the first equation follows by (4) and Proposition 1; the second follows since the functor $(\)^+$ respects right Λ^- -lattices; thirdly, $\Lambda^{-+} \Lambda_- \subseteq (\Lambda^- \Lambda_-)^+ \subseteq \Lambda^+$, and the fourth equation follows by Proposition 2. By duality, the last three equations also hold for Λ^{+-} instead of Λ^{-+} .

Thus under the assumption (C) we can define the *u-derivative* of Λ as the R -order:

$$(7) \quad \Lambda' = \partial_u \Lambda := \begin{pmatrix} \Lambda^+ & \Lambda^{+-} + \Lambda^{-+} \\ \Lambda_- & \Lambda^- \end{pmatrix} \subseteq M_2(\Lambda).$$

Then a Λ' -lattice is suitably given by a column $\begin{pmatrix} F \\ G \end{pmatrix}$ with $F \in \Lambda^+ \mathbf{-lat}$, $G \in \Lambda^- \mathbf{-lat}$, and $\Lambda_- F \subseteq G \subseteq (\Lambda^{+-} + \Lambda^{-+})G \subseteq F$. Hence, the map $E \mapsto \begin{pmatrix} E^+ \\ E_- \end{pmatrix}$ gives rise to a functor

$$(8) \quad \partial_u : \Lambda \mathbf{-lat} \rightarrow \Lambda' \mathbf{-lat}^s$$

into the full subcategory

$$(9) \quad \Lambda' \mathbf{-lat}^s := \left\{ \begin{pmatrix} F \\ G \end{pmatrix} \in \Lambda' \mathbf{-lat} \mid F \supseteq G^+, G \subseteq F_- \right\}$$

of $\Lambda' \mathbf{-lat}$. We shall call (8) the *differentiation functor* with respect to u , or simply the *u-differentiation*. (For representations of partially ordered sets, a similar functor is known as “refinement functor”; see [24], Definition 9.14.) Note that the order $\partial_u \Lambda$ has to be distinguished from the Λ -lattice $\partial_u(\Lambda \Lambda)$, which is a proper direct summand of $\Lambda(\partial_u \Lambda)$.

Let us call $u : P \hookrightarrow I$ *pre-hereditary* (cf. [19], §2) if the following holds:

$$(Z) \quad \text{Condition (C) is valid, and for } \Lambda\text{-lattices } H, H', L, L' \text{ with } P \subseteq H' \subseteq H \subseteq I \text{ and } P \subseteq L' \subseteq L \subseteq I, \text{ every isomorphism } \bar{h} : H/H' \xrightarrow{\sim} L/L' \text{ is induced by a homomorphism } h : H \rightarrow L \text{ with } h(H') \subseteq L'.$$

An analysis of this condition will be given in §§2–3.

For a class \mathcal{C} of objects in an additive category, let $[\mathcal{C}]$ denote the ideal of morphisms which factor through a finite direct sum of objects in \mathcal{C} . By $\text{add } \mathcal{C}$ we denote the full subcategory consisting of direct summands of finite direct sums of objects isomorphic to those in \mathcal{C} . In particular, define

$$(10) \quad \mathcal{H}_u := \text{add}\{H \in \Lambda \mathbf{-lat} \mid P^s \subseteq H \subseteq I^s \text{ for some } s \in \mathbb{N}\}.$$

As usual, $\text{ind } \Lambda$ denotes a representative system of isomorphism classes of indecomposable Λ -lattices. The following theorem generalizes [19], Theorem 2:

THEOREM 1. *If $u : P \hookrightarrow I$ is pre-hereditary, then the u -differentiation (8) induces an equivalence of categories*

$$\tilde{\partial}_u : \Lambda\text{-lat}/[\mathcal{H}_u] \xrightarrow{\sim} \Lambda'\text{-lat}^s/[(\frac{I}{P})]$$

Moreover, $\Lambda'\text{-lat}^s = \Lambda'\text{-lat}$ if and only if the weak minimality condition (M°) is satisfied.

We shall prove in §3 that (M°) follows by the *weak projectivity condition* (P°)

$$\Lambda_-P \text{ and } I_{\Lambda^+}^* \text{ are projective,}$$

and that (P°) and (M°) are equivalent whenever u has no direct summands $u_1 : P_1 \hookrightarrow I_1$ with $u_1(P_1) = I_1 \neq 0$.

Thus if (M°) holds, the theorem yields a bijection:

$$\text{ind } \Lambda \setminus \text{ind } \mathcal{H}_u \xrightarrow{\sim} \text{ind } \Lambda' \setminus \text{ind add } \left\{ \left(\frac{I}{P} \right) \right\}.$$

Here, $\text{ind add } \left\{ \left(\frac{I}{P} \right) \right\}$ consists of the indecomposable direct summands of $\left(\frac{I}{P} \right)$. An explicit determination of $\text{ind } \mathcal{H}_u$ will be given in §2.

REMARK. If the u -derivative (7) of Λ is replaced by the suborder (see [19])

$$(11) \quad \delta_u \Lambda := \begin{pmatrix} \Lambda^+ & \Lambda^+ \Lambda^- \\ \Lambda_- & \Lambda^- \end{pmatrix},$$

we gain some simplification in return for a slightly weaker statement of the main theorem. Then a $\delta_u \Lambda$ -lattice is just given by a pair $\begin{pmatrix} F \\ G \end{pmatrix}$ with $\Lambda_- F \subseteq G \subseteq F$, and the u -differentiation (8) induces an equivalence $\Lambda\text{-lat}/[\mathcal{H}_u] \xrightarrow{\sim} \delta_u \Lambda\text{-lat}/[(\frac{I}{P})]$ if and only if the (strong) minimality condition (M) holds. In analogy with the above, (M) is a consequence of the (strong) *projectivity condition*

$$(P) \quad P \text{ and } I^* \text{ are projective } \Lambda\text{-lattices.}$$

In the presence of this condition, the collection of concepts related with u attains its simplest form (§3). Thus (11) seems to be more natural than the definition (7) of the u -derivative. On the other hand, all the results of §5 depending on Proposition 18 are no longer valid if $\partial_u \Lambda$ is replaced by $\delta_u \Lambda$. In §3 we shall prove that (P) implies $\delta_u \Lambda = \partial_u \Lambda$.

2. Pre-hereditary monomorphisms. The proof of Theorem 1 will be divided into three parts showing that $\tilde{\partial}_u$ is faithful, full, and dense, respectively. For this purpose, we shall prove that a pre-hereditary monomorphism u satisfies three conditions which will be used in order to conclude each of the partial assertions on $\tilde{\partial}_u$. For any Λ -lattice E , Proposition 2 implies that

E^+/E_- is a module over the artinian ring

$$(12) \quad B := \Lambda/\Lambda_-.$$

This notation will be maintained throughout the paper. The three conditions mentioned are:

$$(C) \quad I^+ = I, \quad P_- = P.$$

(L) Condition (C) holds, and for $M, M' \in B\text{-mod}$ and $H, H' \in \mathcal{H}_u$, each diagram

$$\begin{array}{ccc} H & \dashrightarrow & H' \\ \downarrow q & & \downarrow q' \\ M & \xrightarrow{f} & M' \end{array}$$

with $q(H_-) = 0$ and $q'(H'_-) = 0$ can be completed.

(H) Condition (C) holds, B is (left) hereditary, and I/P is a bijective B -module.

The fundamental condition (C) has already been introduced. Together with (C), (L), and (H), we shall discuss the following related properties. Firstly, there are two stronger versions of (C):

$$(C') \quad \text{Ext}_\Lambda(I/P, I) = \text{Hom}_\Lambda(P, I/P) = 0.$$

(C'') $P/\text{Rad } P$ and $\text{Rad}^\circ I/I$ have no common composition factors with I/P .

Here, $\text{Rad } P = (\text{Rad } \Lambda)P$ denotes the Jacobson radical, and the *upper radical* Rad° is defined for any $E \in \Lambda\text{-lat}$ by

$$(\text{Rad}^\circ E)^* = \text{Rad } E^*.$$

Stronger than the *lifting condition* (L) is the *extension property*:

$$(E) \quad (C) \text{ holds, and } \text{Ext}_\Lambda(H, L) = 0 \text{ for } H, L \in \mathcal{H}_u;$$

weaker is the *restricted lifting condition*:

$$(R) \quad (C) \text{ holds, and } \text{End}_\Lambda(I) \rightarrow \text{End}_\Lambda(I/P) \text{ is surjective.}$$

In §3, the rôle of the *projectivity conditions*

$$(P^\circ) \quad \Lambda^-P \text{ and } I_{\Lambda^+}^* \text{ are projective,}$$

$$(P) \quad \Lambda P \text{ and } I_{\Lambda}^* \text{ are projective,}$$

and their relationship to the *minimality conditions*

$$(M^\circ) \quad I = \Lambda^{-+}P, \quad P = \text{Hom}_\Lambda(\Lambda^{+-}, I),$$

$$(M) \quad I = \Lambda^+P, \quad P = \text{Hom}_\Lambda(\Lambda^-, I)$$

will be clarified.

Let us show first that all these conditions (including (Z)) are self-dual. This is obvious in all cases except (L), (H), and (C'). For the *heredity condition* (H) this follows by (4) and the fact that $\text{Ext}_R(-, R)$ gives a duality in $B\text{-mod}$. In particular,

$$\text{Ext}_R(I/P, R) \cong P^*/I^*.$$

In order to verify that (L) is self-dual, note that $q(H_-) = 0$ signifies that $M \cong H/L$ with $H_- \subseteq L \subseteq H$. Thus if we identify M with H/L and M' with H'/L' for some $L' \supset H'_-$, we can assume q, q' to be the natural epimorphisms. Hence the dual diagram is

$$\begin{array}{ccc} L^* & \longleftarrow & L'^* \\ \downarrow & & \downarrow \\ L^*/H^* & \xleftarrow{f^*} & L'^*/H'^* \end{array}$$

with $f^* = \text{Ext}_R(f, R)$ and $L^* \subseteq (H^*)^-$ by (3). Hence, (L) is self-dual.

For a finitely generated R -torsion Λ -module V and $F \in \Lambda\text{-lat}$ define $\text{Ext}_\Lambda^{\text{lat}}(V, F)$ as the subset of extensions $F \twoheadrightarrow E \twoheadrightarrow V$ in $\text{Ext}_\Lambda(V, F)$ with $E \in \Lambda\text{-lat}$.

LEMMA 1. *If U runs through the submodules of V , there is a natural partition of sets:*

$$\text{Ext}_\Lambda(V, F) = \coprod_{U \subseteq V} \text{Ext}_\Lambda^{\text{lat}}(V/U, F).$$

Proof. For any $\varepsilon : F \hookrightarrow E \twoheadrightarrow V$ in $\text{Ext}_\Lambda(V, F)$, the R -torsion part $T(E)$ is mapped bijectively onto a submodule U of V which yields an exact sequence $\varepsilon_0 : F \hookrightarrow E_0 \twoheadrightarrow V/U$ with $E_0 = E/T(E)$. The diagram

$$\begin{array}{ccccc} \varepsilon_0 : & F & \hookrightarrow & E_0 & \twoheadrightarrow & V/U \\ & \parallel & & \uparrow & & \uparrow \\ \varepsilon : & F & \hookrightarrow & E & \twoheadrightarrow & V \\ & & & \uparrow & & \uparrow \\ & & & T(E) & \xrightarrow{\sim} & U \end{array}$$

PB

shows that ε and ε_0 determine each other since PB is a pullback square. ■

As a consequence, we find that (C') is self-dual:

$$\text{Ext}_\Lambda(I/P, I) = 0 \Leftrightarrow \text{Hom}_\Lambda(I^*, P^*/I^*) = 0.$$

In fact, by the lemma, $\text{Ext}_\Lambda(I/P, I) = 0$ says that any overlattice E of I with E/I isomorphic to a factor module of I/P must coincide with I . Therefore, we get the implications

$$(13) \qquad (C'') \Rightarrow (C') \Rightarrow (C).$$

As an immediate consequence of (1), we obtain

$$(14) \quad (C) \Leftrightarrow \text{Hom}_\Lambda(I, I) = \text{Hom}_\Lambda(P, I) = \text{Hom}_\Lambda(P, P).$$

Next we shall derive an equivalent formulation of (C''). Firstly, we have

PROPOSITION 3. *A simple Λ -module is annihilated by Λ_- if and only if it occurs as a composition factor in I/P .*

Proof. By the definition of Λ_- we have $\Lambda_- I \subseteq P$. Conversely, [19], Lemma 4, implies that B is finitely cogenerated by I/P . Hence, the simple B -modules occur as composition factors in I/P . ■

The proposition yields an alternative formulation of (C''):

$$(15) \quad (C'') \Leftrightarrow (\Lambda_- P = P \text{ and } I^* \Lambda_- = I^*).$$

Here, the condition $I^* \Lambda_- = I^*$ can be replaced by virtue of the equivalence

$$(16) \quad I^* \Lambda_- = I^* \Leftrightarrow \text{Hom}_\Lambda(\Lambda_-, I) = I,$$

where $\text{Hom}_\Lambda(\Lambda_-, I)$ is identified with $\{x \in KI \mid \Lambda_- x \subseteq I\}$.

Next we turn our attention to the lifting condition (L). Define

$$(17) \quad \mathfrak{p} := \text{Rad } R, \quad \mathfrak{k} := R/\mathfrak{p}.$$

Then [19], Proposition 9, implies that B is a finite-dimensional \mathfrak{k} -algebra. Whenever (C) holds, let us consider two full subcategories of $B\text{-mod}$:

$$(18) \quad \mathfrak{B}^+ := \{H^+/H \mid H \in \mathcal{H}_u\}, \quad \mathfrak{B}^- := \{H/H_- \mid H \in \mathcal{H}_u\}.$$

LEMMA 2. *If (L) is satisfied, and $H \in \mathcal{H}_u$ is indecomposable, then H^+ and H^- are indecomposable.*

Proof. Suppose $H^+ = I_1 \oplus I_2$ with I_1 indecomposable, and let $q : H^+ \twoheadrightarrow I_1$ be the natural projection. If $P_1 := (I_1)_-$ and $H_1 := q(H) \supseteq P_1$, then (L) implies that the natural epimorphism $r : H_1 \twoheadrightarrow H_1/P_1$ can be lifted along the epimorphism $r \circ q|_H : H \rightarrow H_1 \twoheadrightarrow H_1/P_1$, i.e. there is an $s : H_1 \rightarrow H$ with $r q \circ s = r$. Hence, $1 - qs \in \text{End}_\Lambda(H_1)$ factors through $P_1 \hookrightarrow H_1$. Now if $H_1 = P_1$, then P_1 is a direct summand of H , whence $H = P_1$ and $H^+ = I_1$ is indecomposable. Otherwise, qs is an isomorphism, i.e. H_1 is a direct summand of H and thus $H = H_1$. ■

For a module $M \in B\text{-mod}$, let $\text{Gen}(M)$ be the class of B -modules which are finitely *generated* by M , i.e. are epimorphic images of finite direct sums M^s of M . Similarly, $\text{Cog}(M)$ denotes the class of B -modules finitely *cogenerated* by M , i.e. submodules of M^s , $s \in \mathbb{N}$. If (C) holds, then

$$(19) \quad \mathfrak{B}^+ = \text{Gen}(I/P), \quad \mathfrak{B}^- = \text{Cog}(I/P).$$

PROPOSITION 4. *If (L) is valid, then the functors $Q^+ : \mathcal{H}_u \rightarrow \mathfrak{B}^+$ and $Q^- : \mathcal{H}_u \rightarrow \mathfrak{B}^-$ with $Q^+(H) = H^+/H$ and $Q^-(H) = H/H_-$ yield equiva-*

lences of categories:

$$\mathcal{H}_u/[I] \xrightarrow{\sim} \mathfrak{B}^+, \quad \mathcal{H}_u/[P] \xrightarrow{\sim} \mathfrak{B}^-.$$

Proof. A morphism $f : H \rightarrow L$ in \mathcal{H}_u factors through some I^s if and only if f extends to H^+ . But this is tantamount to $Q^+(f) = 0$. Thus Q^+ is faithful modulo $[I]$. It is also full by virtue of (L), and dense by (18). Hence, Q^+ induces an equivalence. The remaining assertion follows by duality. ■

As an immediate consequence, we get

COROLLARY. *If (L) is valid, and $H \in \mathcal{H}_u$ has no direct summand in $\text{add}\{I\}$ (resp. $\text{add}\{P\}$), then H is indecomposable if and only if H^+/H (resp. H/H_-) is indecomposable.*

PROPOSITION 5. *If (L) is satisfied, and $H \in \mathcal{H}_u$ is indecomposable, then*

$$H/H_- \in \mathfrak{B}^+ \Leftrightarrow H^+/H \in \mathfrak{B}^- \Leftrightarrow H \in \text{add}\{P \oplus I\}.$$

Proof. $H \in \text{add}\{P \oplus I\}$ says that $H = H^+$ or $H = H_-$. If $H/H_- \in \mathfrak{B}^+$ and $H \neq H_-$, then we have an isomorphism $h : H/H_- \xrightarrow{\sim} L^+/L$ with $L \in \mathcal{H}_u$, and by the above corollary, we may assume L to be indecomposable. Thus by the symmetry of this assumption, it remains to prove that $H = H^+$ and $L = L_-$. Now (L) implies that h lifts to an $f : H \rightarrow L^+$ with $f(H_-) \subseteq L$. Then f extends to H^+ , whence H/H_- is a direct summand of H^+/H_- . By Lemma 2 we infer that H^+ , hence also H^+/H_- , is indecomposable. Consequently, $H = H^+$. Similarly, h factors through L^+/L_- , which yields $L = L_-$. ■

In particular, (L) implies

$$(20) \quad \mathfrak{B}^+ \cap \mathfrak{B}^- = \text{add}\{I/P\}.$$

Our next result holds without the assumption (L). Let $B\text{-proj}$ (resp. $B\text{-inj}$) denote the full subcategory of projective (resp. injective) modules in $B\text{-mod}$.

PROPOSITION 6. *If (C) is valid, then every module $M \in B\text{-mod}$ is of the form $M = H/L$ with $P^s \subseteq L \subseteq H \subseteq I^s$ for some $s \in \mathbb{N}$. Moreover, $B\text{-proj} \subseteq \mathfrak{B}^-$ and $B\text{-inj} \subseteq \mathfrak{B}^+$.*

Proof. By [19], Lemma 4, every finitely generated free B -module is isomorphic to some H/P^s with $P^s \subseteq H \subseteq I^s$. Hence M is of the desired form. If M is projective, then M is a direct summand of some $B^t \cong H/P^s \in \mathfrak{B}^-$, and if $M = H/L$ is injective, then $H/L \hookrightarrow L^+/L$ splits, whence $M \in \mathfrak{B}^+$. ■

Concluding the analysis of (L), we show

$$(21) \quad (\text{E}) \Rightarrow (\text{L}).$$

In fact, if we put $L := \text{Ker } q'$ in the diagram of (L), then $L \in \mathcal{H}_u$, and the exact sequence

$$\text{Hom}_\Lambda(H, L) \hookrightarrow \text{Hom}_\Lambda(H, H') \xrightarrow{q'_*} \text{Hom}_\Lambda(H, M') \rightarrow \text{Ext}_\Lambda(H, L)$$

yields (21).

Now let us focus our attention upon the heredity condition (H). Since ${}_B B \in \mathfrak{B}^-$, we have

$$(22) \quad (\text{H}) \Leftrightarrow ((\text{C}) \ \& \ \mathfrak{B}^+ = B\text{-inj} \ \& \ \mathfrak{B}^- = B\text{-proj}).$$

Moreover, the following characterization of (H) is valid. Recall ([19], §1) that a B -module M is called a *Zavadskiĭ module* if each submodule is M -projective, and each factor module M -injective.

PROPOSITION 7. (H) is satisfied if and only if (C) holds and I/P is a *Zavadskiĭ module*.

Proof. Suppose (H). Then every submodule of I/P is projective, and every factor module of I/P is injective, whence I/P is a *Zavadskiĭ module*. Conversely, suppose (C) holds and I/P is a *Zavadskiĭ module*. Then Proposition 6 (with [1], 16.12.f) implies that a module $M \in B\text{-mod}$ is projective (resp. injective) if and only if M is I/P -projective (resp. I/P -injective). By [19], Proposition 2, $(I/P)^s$ is a *Zavadskiĭ module* for any $s \in \mathbb{N}$. Hence, every submodule of ${}_B B$ is projective, i.e. B is left hereditary. Moreover, I/P is bijective, whence (H). ■

Now we are able to prove

$$\text{THEOREM 2. } (\text{Z}) \Leftrightarrow ((\text{H}) \ \& \ (\text{R})) \Leftrightarrow (\text{L}).$$

Proof. (Z) \Rightarrow ((H) & (R)). By (C), the homomorphism h in condition (Z) induces an endomorphism of I/P , whence I/P is a *Zavadskiĭ module*. By Proposition 7, this implies (H). In order to verify (R), suppose $\bar{f} \in \text{End}_\Lambda(I/P)$. Then there are Λ -lattices H, L between I and P with $\bar{f} : I/P \twoheadrightarrow I/L \xrightarrow{\sim} H/P \hookrightarrow I/P$, and (Z) yields a homomorphism $f : I \rightarrow H$ with $f(L) \subseteq P$ which induces the isomorphism $I/L \xrightarrow{\sim} H/P$. By (C), the endomorphism \bar{f} is also induced by f .

((H) & (R)) \Rightarrow (L). Under the hypothesis (H) we shall reduce (L) to (R). Consider the diagram for (L) and replace H' by L . The conditions $q(H_-) = 0$ and $q'(L_-) = 0$ imply that q and q' factor through the natural epimorphisms $H \twoheadrightarrow H/H_-$ and $L \twoheadrightarrow L/L_-$. By (22), $H/H_- \in \mathfrak{B}^-$ is a projective B -module. Hence, f lifts to a map $g : H/H_- \rightarrow L/L_-$, and it remains to prove that the diagram

$$\begin{array}{ccc} H & \dashrightarrow & L \\ \downarrow & & \downarrow \\ H/H_- & \xrightarrow{g} & L/L_- \end{array}$$

can be completed. Considering the pullback

$$\begin{array}{ccc} L & \hookrightarrow & L^+ \\ \downarrow & & \downarrow \\ L/L_- & \hookrightarrow & L^+/L_- \end{array}$$

we may assume without loss of generality that $L = L^+$. But then L/L_- is injective, whence g factors through $H/H_- \hookrightarrow H^+/H_-$. Therefore, it suffices to complete a diagram

$$\begin{array}{ccc} I_1 & \dashrightarrow & I_2 \\ \downarrow & & \downarrow \\ I_1/P_1 & \longrightarrow & I_2/P_2 \end{array}$$

with $I_1, I_2 \in \text{add}\{I\}$ and $P_i = (I_i)_-$ for $i \in \{1, 2\}$. Then I_1, I_2 may be assumed to be indecomposable, and thus (R) yields the desired lifting.

The remaining implication (L) \Rightarrow (Z) is trivial. ■

COROLLARY. $u : P \hookrightarrow I$ is pre-hereditary if and only if (R) holds, and I/P is a Zavadskiĭ module.

Let us investigate which modifications of $u : P \hookrightarrow I$ preserve the property (Z). Firstly, we have:

PROPOSITION 8. Property (Z) remains valid if u is replaced by a finite direct sum $u^s : P^s \hookrightarrow I^s$. If $u_1 : P_1 \hookrightarrow I_1$ and $u_2 : P_2 \hookrightarrow I_2$ satisfy (Z), and the modules I_1/P_1 and I_2/P_2 have no composition factor in common, then $u_1 \oplus u_2 : P_1 \oplus P_2 \hookrightarrow I_1 \oplus I_2$ is pre-hereditary if it satisfies (C).

Proof. Clearly, the restricted lifting property (R) carries over to u^s and $u_1 \oplus u_2$ under the given hypothesis, and (C) carries over to u^s . By [19], Theorem 1, I^s/P^s and $I_1 \oplus I_2/P_1 \oplus P_2$ are Zavadskiĭ modules, whence the above corollary gives the desired result. ■

If (C) holds, then by (14), any decomposition of P or I gives rise to a decomposition of $u : P \hookrightarrow I$, say,

$$(23) \quad u = u_1 \oplus \dots \oplus u_n, \quad u_i : P_i \hookrightarrow I_i.$$

The trace and cotrace of a Λ -lattice E are then given by

$$(24) \quad \text{trc}_u E = \sum_{i=1}^n \text{trc}_{u_i} E, \quad \text{ctr}_u E = \bigcap_{i=1}^n \text{ctr}_{u_i} E,$$

and similarly, the u -differentiation ∂_u is calculated by means of the ∂_{u_i} . If two different summands u_i and u_j in (23) are equivalent, i.e. if there is an isomorphism $f : I_i \xrightarrow{\sim} I_j$ with $f(P_i) = P_j$, then ∂_u does not change if the direct summand u_j in (23) is cancelled. On the other hand, if u is an isomorphism, then $E^+ = E_- = E$. Such monomorphisms will be called *trivial*. Clearly, ∂_u also does not change if a trivial direct summand of u is cancelled. Therefore, we shall say that u is *reduced* if there are neither multiple nor trivial summands in a decomposition (23). Thus if (Z) is satisfied for a reduced monomorphism (23), then each I_i/P_i is an indecomposable Zavadskiĭ module, and the composition factors of I/P are pairwise non-isomorphic. Hence each submodule of I/P is of the form $M_1 \oplus \dots \oplus M_n$ with submodules M_i of I_i/P_i . The following result is easily verified:

PROPOSITION 9. *If $u : P \hookrightarrow I$ is reduced pre-hereditary, then each $u' : P' \hookrightarrow I'$ with Λ -lattices P', I' , and $P \subseteq P' \subseteq I' \subseteq I$, is again pre-hereditary.*

By [19], Proposition 5, we have

PROPOSITION 10. *If (Z) is satisfied, then $B = \Lambda/\Lambda_-$ is Morita equivalent to a product of triangular matrix algebras over finite-dimensional division algebras over \mathfrak{k} .*

The indecomposable B -modules are thus of the form H_1/H_2 with indecomposable $H_1, H_2 \in \mathcal{H}_u$ and $H_1 \subseteq H_2 \subseteq H_1^+$. This also follows by Proposition 6 and the structure of Zavadskiĭ modules ([19], §1).

3. The projectivity conditions. In the known versions [28, 26, 21, 19] of Zavadskiĭ's algorithm, if considered as special cases of Theorem 1, the projectivity condition

$$(P) \quad P \text{ is projective, } I \text{ is injective}$$

is satisfied. We shall demonstrate in this section how the relationship between the various conditions on $u : P \hookrightarrow I$ is simplified in the presence of (P).

Firstly, the implications (13) are turned into equivalences:

$$(25) \quad (P) \Rightarrow ((C'') \Leftrightarrow (C') \Leftrightarrow (C)).$$

Namely, if I/P and $P/\text{Rad } P$ had a common composition factor, (P) would yield a homomorphism $P \rightarrow I$ with image not in P .

Secondly, we have

$$(26) \quad (P) \Rightarrow ((L) \Leftrightarrow (E)).$$

Indeed, suppose (P) and (L) are satisfied, and $H, L \in \mathcal{H}_u$. Then $L \hookrightarrow L^+ \xrightarrow{q} L^+/L$ induces an exact sequence

$$\text{Hom}_\Lambda(H, L^+) \xrightarrow{q^*} \text{Hom}_\Lambda(H, L^+/L) \rightarrow \text{Ext}_\Lambda(H, L) \rightarrow \text{Ext}_\Lambda(H, L^+),$$

where $\text{Ext}_\Lambda(H, L^+) = 0$ since L^+ is injective; moreover, for each homomorphism $H \rightarrow L^+/L$, the composition $g : H_- \hookrightarrow H \rightarrow L^+/L$ factors through $L^+ \twoheadrightarrow L^+/L$ by the projectivity of H_- . Hence $g = 0$, and we infer that q_* is surjective by virtue of (L). In conjunction with (21), the equivalence (26) follows.

Thirdly, let us focus our attention upon the minimality condition

$$(M) \quad I = \Lambda^+ P, \quad P = \text{Hom}_\Lambda(\Lambda^-, I).$$

PROPOSITION 11. *Let (C) be satisfied. Then (M) is equivalent to each of the following properties:*

- (a) $E^+ = \Lambda^+ E$ and $E_- = \text{Hom}_\Lambda(\Lambda^-, E)$ for every Λ -lattice E .
- (b) $(\Lambda^+)_+ = \Lambda^+$ and $(\Lambda^-)_- = \Lambda^-$.

Proof. (M) \Rightarrow (a). For any morphism $f : P \rightarrow E$ in $\Lambda\text{-lat}$, we have $f(I) = f(\Lambda^+ P) \subseteq \Lambda^+ E \subseteq E^+$. Hence $E^+ = \Lambda^+ E$, i.e. E^+ is the smallest Λ^+ -overlattice of E . Therefore, $E_- = \text{Hom}_\Lambda(\Lambda^-, E)$ follows by duality.

(a) \Rightarrow (b) \Rightarrow (M). The equality $(\Lambda^-)_- = \Lambda^-$ states that $\text{Hom}_\Lambda(\Lambda^-, I)$ coincides with $\text{Hom}_\Lambda(\Lambda^-, P) = P$, that is, the second assertion of (a) with $E = I$. By duality, the first assertion of (a) implies $(\Lambda^+)_+ = \Lambda^+$. The latter equation is equivalent to $I = \Lambda^+ P$. ■

In particular, the proposition implies that if (C) and (M) are satisfied, then $\partial_u \Lambda$ coincides with the simplified u -derivative $\delta_u \Lambda$ defined in (11), and

$$(27) \quad E^{++} = E^+, \quad E_{--} = E_-$$

for each $E \in \Lambda\text{-lat}$. Clearly, this also follows by (C'').

If in the definition (1) of E^+ , the morphisms $P \rightarrow E$ are restricted to those which factor through a free Λ -lattice, then $\Lambda^+ E$ is obtained instead of E^+ . Similarly, if $E \in \Lambda^-\text{-lat}$, and we restrict ourselves to homomorphisms $P \rightarrow E$ in $[\Lambda^-]$, we get $\Lambda^{-+} E$ instead of E^+ . Therefore, the implications

$$(28) \quad (P) \Rightarrow (M), \quad (P^\circ) \Rightarrow (M^\circ)$$

hold in general. Under the hypothesis of Theorem 1, the converse is also true:

PROPOSITION 12. *If $u : P \hookrightarrow I$ is reduced pre-hereditary, then the equivalences $(P) \Leftrightarrow (M)$ and $(P^\circ) \Leftrightarrow (M^\circ)$ are valid.*

Proof. (M) \Rightarrow (P). By duality it suffices to prove that $I = \Lambda^+ P$ implies the projectivity of P . Let P_1 be any indecomposable direct summand of P . Then $I = \Lambda^+ P$ implies $\Lambda^+ P_1 = P_1^+$. Therefore, an epimorphism $g : \Lambda^n \twoheadrightarrow P_1$ maps $(\Lambda^+)^n$ onto P_1^+ . Since by assumption $P_1^+ \neq P_1$, there exists a direct summand P_2 of P together with a homomorphism $f : P_2 \rightarrow \Lambda^n$ such that $gf(P_2^+) \not\subseteq P_1$. By [19], Proposition 9, we conclude that $gf : P_2^+ \rightarrow P_1^+$ is an

isomorphism. Hence $gf : P_2 \rightarrow \Lambda^n \rightarrow P_1$ is an isomorphism, and thus P_1 is projective. Analogously, $(M^\circ) \Rightarrow (P^\circ)$ follows. ■

REMARK. By the above implications (25), (26), we obtain [19], Theorem 2, as a special case of Theorem 1.

4. Proof of Theorem 1. The fundamental condition (C) already suffices to prove that the u -differentiation (8) induces a faithful functor of quotient categories:

PROPOSITION 13. *Let (C) be satisfied. Then ∂_u induces a faithful functor $\tilde{\partial}_u$.*

Proof. Clearly, the ideal $[\mathcal{H}_u]$ is mapped into $[\left(\begin{smallmatrix} I \\ P \end{smallmatrix}\right)]$. Hence $\tilde{\partial}_u$ is well defined. For any $E \in \Lambda\text{-lat}$ we have

$$\begin{aligned} \text{Hom}_{\Lambda'} \left(\left(\begin{smallmatrix} E^+ \\ E_- \end{smallmatrix} \right), \left(\begin{smallmatrix} I \\ P \end{smallmatrix} \right) \right) &= \text{Hom}_{\Lambda}(E, I), \\ \text{Hom}_{\Lambda'} \left(\left(\begin{smallmatrix} I \\ P \end{smallmatrix} \right), \left(\begin{smallmatrix} E^+ \\ E_- \end{smallmatrix} \right) \right) &= \text{Hom}_{\Lambda}(P, E). \end{aligned}$$

Now let $f : E \rightarrow F$ be a morphism in $\Lambda\text{-lat}$ such that $\partial_u f$ has a factorization

$$\partial_u f : \left(\begin{smallmatrix} E^+ \\ E_- \end{smallmatrix} \right) \xrightarrow{g} \left(\begin{smallmatrix} I^s \\ P^s \end{smallmatrix} \right) \xrightarrow{h} \left(\begin{smallmatrix} F^+ \\ F_- \end{smallmatrix} \right).$$

Then $f = h \circ g$ with $g : E \rightarrow I^s$ and $h : P^s \rightarrow F$. Hence, f factors through $g(E) + P^s \in \mathcal{H}_u$. ■

For the proof of Theorem 1 we need a criterion which decides for a Λ' -lattice in $\Lambda'\text{-lat}^s$ whether it has a direct summand in common with $\left(\begin{smallmatrix} I \\ P \end{smallmatrix}\right)$:

PROPOSITION 14. *Let $u : P \hookrightarrow I$ be reduced pre-hereditary. Then $\left(\begin{smallmatrix} F \\ G \end{smallmatrix}\right) \in \Lambda'\text{-lat}^s$ has a direct summand in $\text{add} \left\{ \left(\begin{smallmatrix} I \\ P \end{smallmatrix}\right) \right\}$ if and only if $G^+ \not\subseteq F_-$.*

Proof. This follows by the proof of [19], Proposition 12. ■

LEMMA 3. *If (C) is satisfied, then for each Λ -lattice E ,*

$$\Lambda^{+-} E_- \subseteq \Lambda^{-+} E, \quad \text{Hom}_{\Lambda}(\Lambda^{+-}, E) \subseteq \text{Hom}_{\Lambda}(\Lambda^{-+}, E^+).$$

Proof. The first inclusion is equivalent to $(\Lambda^{-+} E)^* \Lambda^{+-} \subseteq (E_-)^*$. Now $(\Lambda^{-+} E)^*$ is a right Λ^+ -lattice. Hence, every homomorphism $\Lambda^+ \rightarrow (\Lambda^{-+} E)^*$ of right Λ^+ -lattices maps Λ^{+-} into $(\Lambda^{-+} E)^{*-}$, i.e. $(\Lambda^{-+} E)^* \Lambda^{+-} \subseteq (\Lambda^{-+} E)^{*-} \subseteq E^{*-} = (E_-)^*$. The second inclusion is dual to the first. ■

Proof of Theorem 1. An obvious modification of the proof of [19], Theorem 2, using Proposition 14 above, shows that $\tilde{\partial}_u$ is full and dense, hence an equivalence by virtue of Proposition 13.

If (M°) is satisfied, then each homomorphism $P \rightarrow G \in \Lambda^-\text{-lat}$ carries $I = \Lambda^{-+} P$ into $\Lambda^{-+} G$. Hence $G^+ \subseteq \Lambda^{-+} G$, and dually, $\text{Hom}_{\Lambda}(\Lambda^{+-}, F) \subseteq$

F_- for every Λ^+ -lattice F . Hence $\Lambda'\text{-lat}^s$ coincides with $\Lambda'\text{-lat}$. Conversely, if $\Lambda'\text{-lat}^s$ coincides with $\Lambda'\text{-lat}$, then Lemma 3 implies that $\binom{\Lambda^{-+}P}{P}$ is a Λ' -lattice, and thus $I = P^+ \subseteq \Lambda^{-+}P$. By duality, we obtain (M°) . ■

Let us add some remarks on the subcategory $\Lambda'\text{-lat}^s$ of $\Lambda'\text{-lat}$. If we assume that (C) is valid, there are two monomorphisms in $\Lambda'\text{-lat}$ which are naturally associated with u :

$$(29) \quad u^+ : \binom{I}{P} \hookrightarrow \binom{I}{\text{Hom}_\Lambda(\Lambda^{+-}, I)}, \quad u^- : \binom{\Lambda^{-+}P}{P} \hookrightarrow \binom{I}{P}.$$

Then the inclusion

$$(30) \quad \text{trc}_{u^-} E' \subseteq \text{ctr}_{u^+} E'$$

holds for each Λ' -lattice E' , and for $E' = \binom{F}{G}$ we have

$$(31) \quad F \supseteq G^+ \Leftrightarrow \text{trc}_{u^-} E' \subseteq E', \quad G \subseteq F_- \Leftrightarrow \text{ctr}_{u^+} E' \supseteq E'.$$

Hence there is a functor

$$(32) \quad \sigma_u : \Lambda'\text{-lat} \rightarrow \Lambda'\text{-lat}^s$$

given by

$$(33) \quad \sigma_u E' := (E' + \text{trc}_{u^-} E') \cap \text{ctr}_{u^+} E' = (E' \cap \text{ctr}_{u^+} E') + \text{trc}_{u^-} E'.$$

Explicitly, we have

$$(34) \quad \sigma_u \binom{F}{G} = \binom{F + G^+}{G \cap F_-},$$

and therefore, σ_u operates identically on the objects of $\Lambda'\text{-lat}^s$. This gives an intrinsic characterization of $\Lambda'\text{-lat}^s$:

$$(35) \quad E' \in \Lambda'\text{-lat}^s \Leftrightarrow \sigma_u E' \cong E'.$$

PROPOSITION 15. *If (C) is satisfied, then the functor (32) induces a faithful dense functor $\tilde{\sigma}_u : \Lambda'\text{-lat}/[\mathcal{H}'_u] \rightarrow \Lambda'\text{-lat}^s/[\binom{I}{P}]$, where*

$$\mathcal{H}'_u := \text{add} \left\{ \binom{H}{L} \in \Lambda'\text{-lat} \mid H, L \in \mathcal{H}_u, H \subseteq L^+ \right\}.$$

Proof. Clearly, σ_u maps $[\mathcal{H}'_u]$ into $[\binom{I}{P}]$, whence $\tilde{\sigma}_u$ is well defined. Conversely, suppose that a morphism $h : \binom{F}{G} \rightarrow \binom{F'}{G'}$ in $\Lambda'\text{-lat}$ has the property that $\sigma_u h$ factors through $\binom{I^s}{P^s}$ for some $s \in \mathbb{N}$. Then h is a composition $g \circ f$ with $f \in \text{Hom}_\Lambda(F, I^s)$ and $g \in \text{Hom}_\Lambda(P^s, G')$. Hence, h factors through $\binom{H}{L} \in \mathcal{H}'_u$ with $H := g^{-1}(F') \cap I^s$ and $L := f(G) + P^s$. This proves that $\tilde{\sigma}_u$ is a faithful functor which is dense by virtue of (35). ■

In general, however, $\tilde{\sigma}_u$ is not full, and for that reason, there is no way to replace $\Lambda'\text{-lat}^s/[\binom{I}{P}]$ in Theorem 1 by $\Lambda'\text{-lat}/[\mathcal{H}'_u]$. In fact, there may be indecomposable Λ' -lattices neither in $\Lambda'\text{-lat}^s$ nor in \mathcal{H}'_u (see Examples 3, 4 in §7).

As in [19], Proposition 13, we usually can replace $\Lambda' = \partial_u \Lambda$ by a Morita equivalent R -order with less indecomposable projectives. Retaining assumption (C), let

$$(36) \quad \Lambda = Q \oplus Q_0$$

be a decomposition of Λ -lattices such that $\text{Hom}_\Lambda(Q', I/P) \neq 0$ for each indecomposable direct summand Q' of Q , and $\text{Hom}_\Lambda(Q_0, I/P) = 0$. We define the *reduced u -derivative* of Λ by

$$(37) \quad \partial'_u \Lambda := \begin{pmatrix} \text{Hom}_\Lambda(Q, Q^+) & \text{Hom}_\Lambda(Q, \Lambda^{+-} + \Lambda^{-+}) \\ Q_- & \Lambda^- \end{pmatrix}.$$

PROPOSITION 16. *If (C) is valid, then the reduced u -derivative $\partial'_u \Lambda$ is Morita equivalent to $\partial_u \Lambda$.*

Proof. Since $(Q_0)_- = Q_0$, Lemma 3 implies $(\Lambda^{+-} + \Lambda^{-+})Q_0 = \Lambda^{-+}Q_0 = \Lambda^+Q_0$. Hence $\partial_u Q_0$ is a simultaneous direct summand of $\partial_u(\Lambda)$ and $Q' := (\Lambda^{+-} + \Lambda^{-+})_{\Lambda^-}$, and $\partial_u Q \oplus Q'$ is a progenerator of $\partial_u \Lambda$. By Proposition 2, the decomposition $\Lambda_- = Q_- \oplus Q_0 = \Lambda_- Q \oplus \Lambda_- Q_0$ yields $Q_- = \Lambda_- Q \subseteq \Lambda_- Q^+ \subseteq Q_-$. Similarly, $Q^+ = \Lambda^+ Q$, and thus

$$\begin{aligned} \text{End}_{\partial_u \Lambda}(\partial_u Q) &= \text{Hom}_\Lambda(Q, Q^+), \\ \text{Hom}_{\partial_u \Lambda}(\partial_u Q, Q') &= \text{Hom}_\Lambda(Q, \Lambda^{+-} + \Lambda^{-+}). \end{aligned}$$

Consequently, the progenerator $\partial_u Q \oplus Q'$ leads to the Morita equivalent R -order (37). ■

5. Splitting over-orders. Recall that a generalized over-order Γ of Λ is given by a ring homomorphism $f : \Lambda \rightarrow \Gamma$ with R -torsion cokernel. Equivalently, Γ is given by its inverse image $\Omega = f^{-1}(\Gamma)$ in A , which is an *overring* of Λ , i.e. an R -subalgebra Ω of A with $\Omega \supset \Lambda$. If Ω is given, then $\Gamma \cong \Omega/\Omega_\infty$, where $\Omega_\infty := \{a \in A \mid Ka \subseteq \Omega\} \triangleleft A$. In this way, we have a one-to-one correspondence between generalized over-orders Γ and overrings Ω of Λ . For a Λ -lattice E , define $\Gamma E := \Gamma \odot_\Lambda E$, where “ \odot ” denotes the tensor product modulo R -torsion. Hence ΓE can be identified with the set of finite sums $\sum a_i x_i$ in $K\Gamma \otimes_A KE$ with $a_i \in \Gamma$, $x_i \in E$. The same is true for right Λ -lattices. In particular, if Λ_1 and Λ_2 are generalized over-orders of Λ , then $\Lambda_1 \Lambda_2$ and $\Lambda_2 \Lambda_1$ are full R -lattices in $K\Lambda_1 \otimes_A K\Lambda_2 = K\Lambda_2 \otimes_A K\Lambda_1$, the largest common factor algebra of $K\Lambda_1$ and $K\Lambda_2$. Moreover, the intersection of the overrings belonging to Λ_1 and Λ_2 corresponds to a generalized over-order $\Lambda_1 \cap \Lambda_2$ of Λ which we also call the *intersection* of Λ_1 and Λ_2 (cf. [3], §1).

Let us define a *splitting* of Λ as a pair of generalized over-orders Λ_1, Λ_2 such that $\Lambda_1 \Lambda_2 = \Lambda_2 \Lambda_1$ is an order, and each indecomposable Λ -lattice is a Λ_i -lattice for some $i \in \{1, 2\}$. (In general, of course, $\Lambda_1 \Lambda_2$ and $\Lambda_2 \Lambda_1$ need not be equal!) In particular, the indecomposable projectives can be arranged

in two classes, which gives rise to a decomposition

$$(38) \quad \Lambda = P_1 \oplus P_2$$

with $P_i \in \Lambda_i\text{-lat}$. Therefore, $\Lambda_1 = P_1 \oplus \Lambda_1 P_2$ and $\Lambda_2 = \Lambda_2 P_1 \oplus P_2$, whence

$$(39) \quad \Lambda_1 = P_1 \oplus \Gamma P_2, \quad \Lambda_2 = \Gamma P_1 \oplus P_2$$

with $\Gamma := \Lambda_1 \Lambda_2$, and

$$(40) \quad \Lambda = \Lambda_1 \cap \Lambda_2.$$

The splitting will be called *proper* if Λ does not coincide with Λ_1 or Λ_2 . If Γ is hereditary, we shall speak of a *hereditary* splitting.

NOTE. For a hereditary R -order Γ , the algebra $K\Gamma$ is necessarily semi-simple ([4], Theorem 1.7.1). In fact, for each indecomposable projective $K\Gamma$ -module S , the full Γ -lattices in S form a chain. Hence S must be simple.

For example, if

$$\Lambda_{mn} := \begin{pmatrix} \Delta & \Pi^n \\ \Pi^m & \Delta \end{pmatrix} \subseteq M_2(D)$$

with Δ the maximal order in a skew field D (finite-dimensional over K), and $\Pi := \text{Rad } \Delta$, then the pairs $\Lambda_{30}, \Lambda_{03}$ and $\Lambda_{31}, \Lambda_{03}$ are hereditary splittings of Λ_{33} .

PROPOSITION 17. *Let Λ_1, Λ_2 be generalized over-orders of Λ , and Γ a generalized over-order of Λ_1 and Λ_2 . The bifunctor $(E_1, E_2) \mapsto E_1 \oplus E_2$ induces a faithful functor between additive categories*

$$(41) \quad \Lambda_1\text{-lat}/[\Gamma] \times \Lambda_2\text{-lat}/[\Gamma] \rightarrow \Lambda\text{-lat}/[\Gamma].$$

The following are equivalent:

- (a) Λ_1, Λ_2 form a splitting of Λ , with $\Gamma = \Lambda_1 \Lambda_2$.
- (b) The functor (41) is an equivalence.

Proof. It is easily seen that (41) is always faithful. The property that (41) is full signifies that for Λ_i -lattices E_i , $i \in \{1, 2\}$, each Λ -linear map between E_1 and E_2 (in either direction) lies in $[\Gamma]$. This means that each $E_1 \rightarrow E_2$ factors through ΓE_1 , and each $E_2 \rightarrow E_1$ factors through ΓE_2 . Hence $\Gamma = \Lambda_1 \Lambda_2 = \Lambda_2 \Lambda_1$ implies that (41) is full. Conversely, if (41) is full, we deduce that the natural maps $\Lambda_1 \rightarrow \Lambda_2 \Lambda_1$ and $\Lambda_2 \rightarrow \Lambda_1 \Lambda_2$ factor through Γ . Hence, $\Gamma = \Lambda_1 \Lambda_2 = \Lambda_2 \Lambda_1$. Finally, the density of (41) states that each indecomposable Λ -lattice is a Λ_i -lattice for some $i \in \{1, 2\}$. ■

By the preceding proposition, the usefulness of splitting pairs of generalized over-orders becomes apparent, especially in the case of a hereditary splitting. As an application of Theorem 1, we shall see below that a special class of pre-hereditary monomorphisms gives rise to a hereditary splitting

of Λ . Here the projectivity condition (P) is not assumed, but another restriction on Λ has to be imposed which forces Λ to be subhereditary if the algebra $A = K\Lambda$ is simple. In that case, we obtain an equivalent version of D. Simson's splitting theorem ([24], Theorem 17.53) for vector space categories.

Let us first consider an important special class of splitting. For a decomposition (38) of Λ , and a hereditary generalized over-order Γ of Λ , define

$$(42) \quad \Omega_i := (\text{End}_\Lambda P_i)^{\text{op}}, \quad \Gamma_i := (\text{End}_\Gamma \Gamma P_i)^{\text{op}}$$

for $i \in \{1, 2\}$. Then there are functors

$$(43) \quad \Omega_1\text{-lat} \times \Omega_2\text{-lat} \begin{array}{c} \xrightarrow{\mathcal{F}} \\ \xrightarrow{\mathcal{G}} \end{array} \Lambda\text{-lat}$$

with

$$\begin{aligned} \mathcal{F}(F_1, F_2) &:= (P_1 \odot_{\Omega_1} F_1) \oplus (P_2 \odot_{\Omega_2} F_2), \\ \mathcal{G}E &:= (\text{Hom}_\Lambda(P_1, E), \text{Hom}_\Lambda(P_2, E)), \end{aligned}$$

and in accordance with (38), Λ and Γ can be written in the form

$$(44) \quad \Lambda = \begin{pmatrix} \Omega_1 & \Omega_{12} \\ \Omega_{21} & \Omega_2 \end{pmatrix}, \quad \Gamma = \begin{pmatrix} \Gamma_1 & \Gamma_{12} \\ \Gamma_{21} & \Gamma_2 \end{pmatrix},$$

where $\Omega_{ij} := \text{Hom}_\Lambda(P_i, P_j)$ and $\Gamma_{ij} := \text{Hom}_\Gamma(\Gamma P_i, \Gamma P_j)$. We shall call (38) a *complete splitting* of Λ into Ω_1 and Ω_2 if ΓP_1 and ΓP_2 have no indecomposable direct summand in common, and $\Omega_{12} = \Gamma_{12}$, $\Omega_{21} = \Gamma_{21}$, i.e. the natural maps $\Omega_{ij} \rightarrow \Gamma_{ij}$ are isomorphisms for $i \neq j$.

Define the *multiplier* of a Λ -lattice E as the generalized over-order $\text{O}(E)$ of Λ corresponding to the overring $\{a \in A \mid aE \subseteq E\}$. Then for a complete splitting, the generalized over-orders $A_i := \Gamma \cap \text{O}(P_i)$ are

$$(45) \quad A_1 = \begin{pmatrix} \Omega_1 & \Gamma_{12} \\ \Gamma_{21} & \Gamma_2 \end{pmatrix}, \quad A_2 = \begin{pmatrix} \Gamma_1 & \Gamma_{12} \\ \Gamma_{21} & \Omega_2 \end{pmatrix},$$

and thus $A_1 A_2 = A_2 A_1 = \Gamma$. Moreover, they form a splitting by the following

THEOREM 3. *Let Γ be a hereditary generalized over-order of Λ , and $\Lambda = P_1 \oplus P_2$ a decomposition of Λ -lattices such that ΓP_1 and ΓP_2 have no indecomposable direct summand in common. Then this gives a complete splitting if and only if the functors (43) induce a pair of mutually inverse equivalences*

$$\Omega_1\text{-lat}/[\Gamma_1] \times \Omega_2\text{-lat}/[\Gamma_2] \begin{array}{c} \xrightarrow{\mathcal{F}'} \\ \xrightarrow{\mathcal{G}'} \end{array} \Lambda\text{-lat}/[\Gamma].$$

In this case, (45) is a hereditary splitting of Λ .

Proof. Since Γ_1 and Γ_2 are hereditary, the functor \mathcal{G}' is always well defined, whereas \mathcal{F}' is defined if and only if $P_i \Gamma_i = P_i \odot_{\Omega_i} \Gamma_i$ are Γ -lattices for

$i \in \{1, 2\}$, i.e. if the natural homomorphism $P_i \Gamma_i \rightarrow \Gamma \odot_{\Lambda} P_i \Gamma_i$ is bijective. Now $\Gamma \odot_{\Lambda} P_i \Gamma_i = (\Gamma P_i) \Gamma_i = \Gamma P_i$. Hence

$$(46) \quad \mathcal{F}' \text{ well defined} \Leftrightarrow (\Omega_{21} \Gamma_1 = \Gamma_{21}, \Omega_{12} \Gamma_2 = \Gamma_{12}).$$

For an Ω_1 -lattice F_1 , there is an exact sequence

$$(47) \quad \mathsf{T}(P_1 \otimes_{\Omega_1} F_1) \hookrightarrow P_1 \otimes_{\Omega_1} F_1 \twoheadrightarrow P_1 \odot_{\Omega_1} F_1$$

where “ T ” denotes the R -torsion part. Applying $\text{Hom}_{\Lambda}(P_2, -)$ gives a short exact sequence

$$\text{Hom}_{\Lambda}(P_2, \mathsf{T}(P_1 \otimes_{\Omega_1} F_1)) \hookrightarrow \text{Hom}_{\Lambda}(P_2, P_1 \otimes_{\Omega_1} F_1) \twoheadrightarrow \text{Hom}_{\Lambda}(P_2, P_1 \odot_{\Omega_1} F_1)$$

where the left-hand term is an R -torsion module, and the right-hand term is torsion-free. Thus $\text{Hom}_{\Lambda}(P_2, P_1 \odot_{\Omega_1} F_1) = \Omega_{21} \odot_{\Omega_1} F_1$. Similarly, if we apply $\text{Hom}_{\Lambda}(P_1, -)$ to (47), we get $\text{Hom}_{\Lambda}(P_1, P_1 \odot_{\Omega_1} F_1) = \Omega_1 \odot_{\Omega_1} F_1 = F_1$, whence by symmetry,

$$\mathcal{G}\mathcal{F}(F_1, F_2) = (F_1, F_2) \oplus (\Omega_{12} \odot_{\Omega_2} F_2, \Omega_{21} \odot_{\Omega_1} F_1).$$

Consequently,

$$(48) \quad \mathcal{G}'\mathcal{F}' \cong 1 \Leftrightarrow (\Gamma_1 \Omega_{12} = \Omega_{12}, \Gamma_2 \Omega_{21} = \Omega_{21}).$$

For the rest of the proof, let us assume that \mathcal{F}' is well defined, and $\mathcal{G}'\mathcal{F}' \cong 1$. Then by (46) and (48) it remains to show that

$$(49) \quad \mathcal{F}'\mathcal{G}' \cong 1 \Leftrightarrow (\Omega_{12} = \Gamma_{12}, \Omega_{21} = \Gamma_{21}).$$

Suppose first that $\mathcal{F}'\mathcal{G}' \cong 1$. Let Ω_1 be mapped onto the order Ω'_1 by the natural map $K\Omega_1 \twoheadrightarrow K\Omega_1/\text{Rad } K\Omega_1$. Then Ω_{21} is a right Ω'_1 -lattice since $\Omega_{21} \in \Gamma_2\text{-lat}$ and $K\Gamma_2$ is semisimple. Hence, Λ has a generalized over-order

$$\Lambda' := \begin{pmatrix} \Omega'_1 & \Gamma_{12} \\ \Omega_{21} & \Gamma_2 \end{pmatrix}$$

such that each Λ' -lattice $E = \begin{pmatrix} E_1 \\ E_2 \end{pmatrix}$ is a direct summand of $\mathcal{F}\mathcal{G}E \oplus \Gamma^s$ for some $s \in \mathbb{N}$. Thus if E_1 has no direct summand in common with Γ_1 , then E is a direct summand of $\begin{pmatrix} E_1 \\ \Omega_{21} \odot_{\Omega'_1} E_1 \end{pmatrix}$. The kernel of $\Lambda' \rightarrow \Gamma$ is of the form $\begin{pmatrix} N_1 & 0 \\ N_{21} & 0 \end{pmatrix}$, and by (46), we have $KN_{21} = K\Omega_{21}N_1$. Since $K\Omega'_1$ is semisimple, the ideal KN_1 is idempotent, and N_1 has no Γ_1 -lattice $\neq 0$ as a direct summand. Hence, $\Gamma_{12}N_{21} \subseteq K\Gamma_{12}\Omega_{21}N_1 \subseteq KN_1$ and $N_1\Gamma_{12} = 0$ implies $\Gamma_{12}N_{21} = 0$. Therefore, $\begin{pmatrix} 0 \\ N_{21} \end{pmatrix}$ is a Λ' -sublattice of $\begin{pmatrix} N_1 \\ N_{21} \end{pmatrix}$, and by the above, $\begin{pmatrix} N_1 \\ N_{21} \end{pmatrix} / \begin{pmatrix} 0 \\ N_{21} \end{pmatrix}$ must be a direct summand of $\begin{pmatrix} N_1 \\ \Omega_{21}N_1 \end{pmatrix}$. Consequently, $\Omega_{21}N_1 = 0$ and thus $N_{21} = 0$, i.e. $\Omega_{21} \subseteq \Gamma_{21}$. In order to prove $\Omega_{21} = \Gamma_{21}$, it now suffices to show $\Omega_{21}F_1 = \Gamma_{21}F_1$ for every Ω'_1/N_1 -lattice F_1 . Since ΓP_1 and ΓP_2 have no common direct summand, we have $\Gamma_{12}\Gamma_{21} \subseteq \text{Rad } \Gamma_1$, and there exists an integer $i \in \mathbb{N}$ with $(\Gamma_{12}\Gamma_{21})^i F_1 \subseteq F_1$. We choose i minimal. By (46), we may assume that F_1 has no Γ_1 -lattice $\neq 0$ as a direct summand,

and thus $i > 0$. Since $F'_1 := F_1 + (\Gamma_{12}\Gamma_{21})^{i-1}F_1$ satisfies $(\Gamma_{12}\Gamma_{21})^{i-1}F'_1 \subseteq F'_1$, assume $\Omega_{21}F'_1 = \Gamma_{21}F'_1$ by induction. Then $\Gamma_{12}\Gamma_{21}F_1 = \Gamma_{12}\Gamma_{21}F'_1 = \Gamma_{12}\Omega_{21}F'_1 \subseteq F_1$, and thus $E := \binom{F_1}{\Gamma_{21}F_1}$ is a Λ' -lattice. Hence, E is a direct summand of $\binom{F_1}{\Omega_{21}F_1}$, and our claim $\Omega_{21}F_1 = \Gamma_{21}F_1$ is proved. By symmetry, the implication “ \Rightarrow ” in (49) follows.

Conversely, suppose $\Omega_{12} = \Gamma_{12}$, $\Omega_{21} = \Gamma_{21}$, and let $E = \binom{E_1}{E_2}$ be a Λ -lattice. Then ΓE has a decomposition $\Gamma E = H_1 \oplus H_2$ with epimorphic images H_i of ΓP_i . Moreover, $\mathcal{F}\mathcal{G}E = (P_1 \odot_{\Omega_1} E_1) \oplus (P_2 \odot_{\Omega_2} E_2)$, and we have an exact sequence

$$(50) \quad JE \hookrightarrow \mathcal{F}\mathcal{G}E \xrightarrow{c} E,$$

where c is defined by the natural homomorphisms $P_i \otimes_{\Omega_i} \text{Hom}_{\Lambda}(P_i, E) \rightarrow E$, and J denotes the following ideal of Λ :

$$J := \begin{pmatrix} \Gamma_{12}\Gamma_{21} & \Gamma_{12} \\ \Gamma_{21} & \Gamma_{21}\Gamma_{12} \end{pmatrix} \triangleleft \begin{pmatrix} \Omega_1 & \Gamma_{12} \\ \Gamma_{21} & \Omega_2 \end{pmatrix} = \Lambda.$$

Clearly, the map $r : P_1 \odot_{\Omega_1} E_1 \rightarrow E \rightarrow \Gamma E \rightarrow H_2$ has its image in JH_2 . Hence, r yields a retraction of the embedding $JH_2 \hookrightarrow P_1 \odot_{\Omega_1} E_1$. Similarly, $JH_1 \hookrightarrow P_2 \odot_{\Omega_2} E_2$ has a retraction. Therefore, the exact sequence (50) splits. Thus $\mathcal{F}'\mathcal{G}' \cong 1$, and our proof of (49) is complete. Finally, we infer that (45) is a hereditary splitting of Λ . ■

There is a particular case of a complete splitting of R -orders which has some analogy with one-point extensions of algebras ([13], §2.5). Let Λ be an R -order in $A = A_0 \times A_1$ with A_0 simple, and I a tame irreducible (see §1) Λ -lattice with $S := KI \in A_0\text{-mod}$, $\Delta := (\text{End}_{\Lambda}I)^{\text{op}}$, and $\Pi := \text{Rad } \Delta$. Suppose $III^* \subseteq \Lambda$, where $I^* = \text{Hom}_R(I \otimes_{\Delta} \Delta, R) = \text{Hom}_{\Delta}(I, \Delta^*)$ is identified with $\text{Hom}_{\Delta}(I, \Delta)$. Then we call

$$(51) \quad \Lambda' := \begin{pmatrix} \Delta & I^* \\ III & \Lambda \end{pmatrix}$$

the *trivial extension* of Λ with respect to I . If $A_0 = M_n(D)$ with $D := (\text{End}_{\Lambda}S)^{\text{op}}$, then (51) is an order in $M_{n+1}(D) \times A_1$. Clearly, the columns in (51) yield a complete splitting with respect to any hereditary generalized over-order of the form

$$\Gamma' := \begin{pmatrix} \Delta & I^* \\ III & \Gamma \end{pmatrix},$$

where Γ is a hereditary generalized over-order of Λ such that I is a Γ -lattice. Therefore, Theorem 3 yields an equivalence

$$(52) \quad \Lambda\text{-lat}/[\Gamma] \xrightarrow{\sim} \Lambda'\text{-lat}/[\Gamma'].$$

Other instances of complete splittings are given in §7, Example 5.

For the remainder of this section, let P and I be Λ -lattices in a simple A -module S . Assume that $\Delta := (\text{End}_{\Lambda}I)^{\text{op}} = (\text{End}_{\Lambda}P)^{\text{op}}$ is the maximal order

in $D := (\text{End}_A S)^{\text{op}}$ with $\Pi := \text{Rad } \Delta$. We call a pre-hereditary monomorphism $u : P \hookrightarrow I$ *splitting* if the inclusion $\text{Hom}_\Delta(I, P\Pi) \hookrightarrow \text{End}_\Delta(I)$ lifts along the natural ring homomorphism $\Lambda \rightarrow \text{End}_\Delta(I)$ to a (Λ, Λ) -bimodule homomorphism $\text{Hom}_\Delta(I, P\Pi) \rightarrow \Lambda$. Clearly, this implies that $A = A_0 \times A_1$ with $A_0 := \text{End}_D(S)$. If, as above, I^* is identified with $\text{Hom}_\Delta(I, \Delta)$, the map $\text{Hom}_\Delta(I, P\Pi) \rightarrow \Lambda$ gives an inclusion

$$(53) \quad P\Pi I^* \subseteq \Lambda.$$

Our splitting theorem will be a consequence of

PROPOSITION 18. *Let $u : P \hookrightarrow I$ be splitting pre-hereditary. Then the maximal order Γ_0 in $M_2(A_0)$ with $\begin{pmatrix} I \\ P \end{pmatrix}$ as indecomposable representation is a generalized over-order of $\partial_u \Lambda$ with $\text{Rad } \Gamma_0 \subseteq \partial_u \Lambda$.*

NOTE. For $A = A_0$, the proposition implies that $\partial_u \Lambda$ is subhereditary:

$$(54) \quad \text{Rad } \Gamma_0 \subseteq \partial_u \Lambda \subseteq \Gamma_0.$$

However, this is no longer true for $\delta_u \Lambda$ (see §7, Example 6).

Proof of Proposition 18. Explicitly, we have

$$\Gamma_0 = \begin{pmatrix} \Pi I^* & \Pi P^* \\ P \Pi I^* & P \Pi P^* \end{pmatrix} \supseteq \text{Rad } \Gamma_0 = \begin{pmatrix} \Pi \Pi I^* & \Pi \Pi P^* \\ P \Pi \Pi I^* & P \Pi \Pi P^* \end{pmatrix}.$$

By virtue of (53), the elements of ΠI^* can be regarded as homomorphisms $P \rightarrow \Lambda$. Therefore, $P_- = P$ is mapped into Λ_- , whence $P \Pi I^* \subseteq \Lambda_-$. Moreover, $\Pi \Pi I^* \subseteq \Lambda^+$, and dually, $P \Pi P^* \subseteq \Lambda^-$. Hence, $\Pi \Pi P^* \subseteq \Lambda^{-+}$ and thus $\text{Rad } \Gamma_0 \subseteq \partial_u \Lambda$. Finally, since $\begin{pmatrix} I \\ P \end{pmatrix}$ is a $\partial_u \Lambda$ -lattice, the natural epimorphism $M_2(A) \rightarrow M_2(A_0)$ maps $\partial_u \Lambda$ into the maximal order Γ_0 . ■

Before we proceed further, let us analyse the splitting condition (53) in the case of a tiled order Λ . Define

$$(55) \quad \mathfrak{S}_\Lambda := \{E \in \Lambda\text{-lat} \mid KE = S\}.$$

PROPOSITION 19. *Let $\Lambda = (\Pi^{e_{ij}})$ be a tiled order in $A = M_n(D)$, and $u : P \hookrightarrow I$ a pre-hereditary monomorphism between Λ -lattices $P, I \in \mathfrak{S}_\Lambda$. Then u is splitting if and only if $E \subseteq I$ or $E \supseteq P$ holds for each $E \in \mathfrak{S}_\Lambda$.*

Proof. The splitting condition (53) is tantamount to $P \Pi I^* E \subseteq E$ for each $E \in \mathfrak{S}_\Lambda$. Furthermore, there is no restriction if E is subject to the condition $I^* E = \Delta$, i.e. $E \subseteq I$ and $E \not\subseteq \Pi$. For these E , (53) reduces to $P \Pi \subseteq E$, which yields the desired result. ■

REMARK. For a tiled order Λ and a splitting pre-hereditary monomorphism $u : P \hookrightarrow I$, it can be shown that apart from indecomposables $\begin{pmatrix} H \\ L \end{pmatrix}$ with $P \subseteq L \subseteq H \subseteq I$, each indecomposable $\partial_u \Lambda$ -lattice E' can be obtained by ∂_u , i.e. there exists an indecomposable Λ -lattice E with $\partial_u E = E' \oplus \begin{pmatrix} I \\ P \end{pmatrix}^s$

for some $s \in \mathbb{N}$. This fact is no longer true if Λ is not tiled, as Example 7 in §7 will show.

Now we shall derive our general splitting theorem:

THEOREM 4. *For an R -order Λ in $A = A_0 \times A_1 \times A_2$ with A_0 simple, let $u : P \hookrightarrow I$ be splitting pre-hereditary and H a tame irreducible Λ -lattice with $\Delta := (\text{End}_\Lambda H)^{\text{op}}$, $\Pi := \text{Rad } \Delta$, and $H\Pi \subseteq P \subseteq I \subseteq H$. Assume that $S := KH$ is the simple A_0 -module, and $\text{Rad}(\text{End}_\Delta H) \subseteq \Lambda$. Moreover, suppose ${}_\Lambda \Lambda$ has a decomposition $\Lambda = P_0 \oplus P_1 \oplus P_2$ with $P_i \subseteq A_0 + A_i$, and for $U_0 := I/P$, $U_1 := H/I$, and $U_2 := P/H\Pi$, suppose $\text{Hom}_\Lambda(P_i, U_j) = 0$ whenever $i \neq j$. Under these assumptions, if $p_i : A \twoheadrightarrow A_0 \times A_i$ denotes the natural projection for $i \in \{1, 2\}$, then $\Lambda_1 := p_1(\Lambda) + \text{Hom}_\Delta(H, P)$ and $\Lambda_2 := p_2(\Lambda) + \text{Hom}_\Delta(I, H\Pi)$ constitute a hereditary splitting of Λ .*

REMARK. If $A = A_0$, then $\text{Rad}(\text{End}_\Delta H) \subseteq \Lambda$ implies that Λ is sub-hereditary. In this case, the theorem can be interpreted as a statement on vector space categories, and then it coincides with D. Simson's splitting theorem ([24], §17.53). In fact, Simson [24] defines a splitting decomposition $\mathbb{K}_F = \mathbb{K}_F'' + \mathbb{L}_F + \mathbb{K}_F'$ of a vector space category \mathbb{K}_F by three conditions (i)–(iii) related to the assumptions of Theorem 4 as follows: His first condition (i) that \mathbb{L}_F is of chain type corresponds to the property that $u : P \hookrightarrow I$ is pre-hereditary. The second one (ii) says that there are no morphisms from \mathbb{K}_F' to \mathbb{L}_F or \mathbb{K}_F'' , and none from \mathbb{L}_F to \mathbb{K}_F'' . This is equivalent to our disjointness assumption $\text{Hom}_\Lambda(P_i, U_j) = 0$. Thirdly, Simson's dimension property (iii) is tantamount to our splitting condition (53).

Proof of Theorem 4. Let Ω be the hereditary order in A_0 with H, I, P as indecomposables, and Ω_0 the hereditary suborder which has, in addition, all the Λ -lattices between I and P as indecomposables. The splitting condition (53) and the assumption $\text{Rad}(\text{End}_\Delta H) \subseteq \Lambda$ imply $\text{Hom}_\Delta(H, P) \cdot \text{Hom}_\Delta(I, H\Pi) \subseteq \text{Hom}_\Delta(I, P\Pi) \subseteq \Lambda$ and $\text{Hom}_\Delta(I, H\Pi) \cdot \text{Hom}_\Delta(H, P) \subseteq \text{Hom}_\Delta(H, H\Pi) \subseteq \Lambda$. Hence, if $p_0 : A \twoheadrightarrow A_0$ denotes the natural projection, then

$$\Lambda_1 \Lambda_2 = \Lambda_2 \Lambda_1 = p_0(\Lambda) + \text{Hom}_\Delta(H, P) + \text{Hom}_\Delta(I, H\Pi) \subseteq \Omega_0.$$

Now $\Omega P_1 = H^k$, $\Omega P_2 = P^l$, and $\Omega P_0 = I^m$ for some $k, l, m \in \mathbb{N}$. Then $\Lambda_2 P_1 = H^k$, $\Lambda_1 P_2 = P^l$, and $\Lambda_1 P_0 = P_0 + P^m \in \Omega_0\text{-lat}$. Hence

$$(56) \quad \Lambda_1 \Lambda_2 = \Lambda_2 \Lambda_1 = \Omega_0.$$

If $P = H\Pi$, then $\Lambda_1 = p_1(\Lambda) = \Lambda$. Similarly, $I = H$ implies $\Lambda_2 = \Lambda$. Therefore, we may exclude these trivial cases. Then $H^+ = H = H_-$, and the maximal order $\Theta := \text{End}_\Delta(H)$ is a generalized over-order of Λ^+ and Λ^- . By Lemma 3, we infer $(\Lambda^{+-} + \Lambda^{-+})H \subseteq H$, and thus $M_2(\Theta)$ is a generalized over-order of $\partial_u \Lambda$. Moreover, $\text{Rad } \Theta \subseteq \Lambda$ and $(\text{Rad } \Theta)I \subseteq H\Pi \subseteq$

P implies $\text{Rad } \Theta \subseteq \Lambda_-$ and thus $\text{Rad } M_2(\Theta) \subseteq \partial_u \Lambda$. By Proposition 18, the maximal order Γ_0 in $M_2(A_0)$ with the indecomposable representation $\begin{pmatrix} I \\ P \end{pmatrix}$ is a generalized over-order of $\partial_u \Lambda$ with $\text{Rad } \Gamma_0 \subseteq \partial_u \Lambda$. Consequently, the inclusions $\begin{pmatrix} H\Pi \\ H\Pi \end{pmatrix} \subseteq \begin{pmatrix} I \\ P \end{pmatrix} \subseteq \begin{pmatrix} H \\ H \end{pmatrix}$ imply that

$$\Gamma := M_2(\Theta) \cap \Gamma_0$$

is a hereditary order in $M_2(A_0)$, and a generalized over-order of $\partial_u \Lambda$ with

$$(57) \quad \text{Rad } \Gamma = \text{Rad } M_2(\Theta) + \text{Rad } \Gamma_0 \subseteq \partial_u \Lambda.$$

Now we have a decomposition of $\partial_u \Lambda$ -lattices

$$\begin{aligned} \partial_u \Lambda &= \begin{pmatrix} P_0^+ \\ (P_0)_- \end{pmatrix} \oplus \begin{pmatrix} P_1^+ \\ P_1 \end{pmatrix} \oplus \begin{pmatrix} P_2^+ \\ P_2 \end{pmatrix} \oplus \begin{pmatrix} (\Lambda^{+-} + \Lambda^{-+})P_0 \\ \Lambda^- P_0 \end{pmatrix} \oplus \begin{pmatrix} P_1^+ \\ P_1 \end{pmatrix} \oplus \begin{pmatrix} P_2^+ \\ P_2 \end{pmatrix} \\ &= Q_1 \oplus Q_2 \end{aligned}$$

with

$$Q_1 := \begin{pmatrix} (\Lambda^{+-} + \Lambda^{-+})P_0 \\ \Lambda^- P_0 \end{pmatrix} \oplus \begin{pmatrix} P_1^+ \\ P_1 \end{pmatrix}^2, \quad Q_2 := \begin{pmatrix} P_0^+ \\ (P_0)_- \end{pmatrix} \oplus \begin{pmatrix} P_2^+ \\ P_2 \end{pmatrix}^2$$

such that

$$\Gamma Q_1 = \begin{pmatrix} H \\ H \end{pmatrix}^{n_1}, \quad \Gamma Q_2 = \begin{pmatrix} I \\ P \end{pmatrix}^{n_2}$$

for suitable integers n_1, n_2 . In order to show by Theorem 3 that $\partial_u \Lambda = Q_1 \oplus Q_2$ is a complete splitting with respect to the hereditary order Γ , we have to verify for $\{i, j\} = \{1, 2\}$ that the natural homomorphism

$$(58) \quad \text{Hom}_{\partial_u \Lambda}(Q_i, Q_j) \rightarrow \text{Hom}_{\Gamma}(\Gamma Q_i, \Gamma Q_j)$$

is an isomorphism. Note that $\text{Hom}_{\Gamma}(\Gamma Q_i, \Gamma Q_j) = \text{Hom}_{\partial_u \Lambda}(Q_i, \Gamma Q_j)$. Then the injectivity of (58) follows since $Q_i \subseteq M_2(A_0) \oplus M_2(A_i)$; the surjectivity follows by (57) since each homomorphism $Q_i \rightarrow \Gamma Q_j$ has its image in $(\text{Rad } \Gamma)Q_j \subseteq Q_j$. Hence Theorem 3 applies, and by (45), there is a pair of splitting generalized over-orders Λ'_1, Λ'_2 of $\partial_u \Lambda$. If $p'_i : M_2(A) \rightarrow M_2(A_0 \times A_i)$ denotes the natural projection for $i \in \{1, 2\}$, then

$$(59) \quad \Lambda'_i = p'_i(\partial_u \Lambda) + J_i$$

with

$$J_1 = \left\{ a \in \Gamma \mid a \begin{pmatrix} H \\ H \end{pmatrix} \subseteq \begin{pmatrix} I \\ P \end{pmatrix} \right\}, \quad J_2 = \left\{ a \in \Gamma \mid a \begin{pmatrix} I \\ P \end{pmatrix} \subseteq \begin{pmatrix} H\Pi \\ H\Pi \end{pmatrix} \right\}.$$

Now for each indecomposable Λ -lattice E , we have $\partial_u E = E' \oplus E''$ with E' indecomposable and $E'' \in \Gamma\text{-lat}$. Therefore, our proof will be completed by the equivalence

$$\Lambda_i E = E \Leftrightarrow \Lambda'_i(\partial_u E) = \partial_u E$$

for $i \in \{1, 2\}$ and $E \in \Lambda\text{-lat}$. Since $E \in p_i(\Lambda)\text{-lat} \Leftrightarrow \partial_u E \in p'_i(\partial_u \Lambda)\text{-lat}$, it remains to show that for each Λ -lattice E , the equivalences

$$(60) \quad \begin{aligned} PH^* \cdot E \subseteq E &\Leftrightarrow J_1(\partial_u E) \subseteq \partial_u E, \\ HIII^* \cdot E \subseteq E &\Leftrightarrow J_2(\partial_u E) \subseteq \partial_u E \end{aligned}$$

are satisfied. Since $\Theta E = \Theta(E^+)$ and $H^* \in \Theta^{\text{op}}\text{-lat}$, the inclusion $PH^*E \subseteq E$ implies $PH^*E^+ \subseteq E$ and thus $PH^*E^+ = P_-H^*E^+ \subseteq E_-$. By duality, we also have $HIII^*E \subseteq E \Leftrightarrow HIII^*E^+ \subseteq E_-$. Therefore, (60) follows by the implication $PH^*E^+ \subseteq E_- \Rightarrow PH^*E^+ \subseteq E \Rightarrow IH^*E^+ \subseteq E^+$ and its dual $HIII^*E^+ \subseteq E_- \Rightarrow HII^*E_- \subseteq E_-$. ■

6. An extended derivative. In [19], Proposition 14, we characterized hereditary monomorphisms $u : P \hookrightarrow I$ between tame irreducible Λ -lattices P, I . If the projectivity condition (P) is dropped, this gives a characterization of pre-hereditary u . In particular, we have $P \not\cong I$ for $u : P \hookrightarrow I$ pre-hereditary. In the present section, we shall prove that the categorical equivalence in Theorem 1 extends to a case (Proposition 20 below) where the assumption $P \not\cong I$ does not hold. The weak minimality condition (M°) is satisfied, and we get an equivalence $\tilde{\partial}_u : \Lambda\text{-lat}/[\mathcal{H}_u] \xrightarrow{\sim} \partial_u \Lambda\text{-lat}/[\left(\begin{smallmatrix} I \\ P \end{smallmatrix}\right)]$, where the quotient category $\partial_u \Lambda\text{-lat}/[\left(\begin{smallmatrix} I \\ P \end{smallmatrix}\right)]$ coincides with a category $\Lambda'\text{-lat}$ for some order Λ' in a factor algebra of $M_2(A)$ (see Examples 1 and 2 of §7). Moreover, \mathcal{H}_u consists of the Λ -lattices belonging to some rational component of A . There is a close relationship between the functors $\tilde{\partial}_u$ in Theorem 1 and Proposition 20 on the one hand, and the two cases occurring in the proof of the rejection lemma ([19], Proposition 7) on the other hand.

PROPOSITION 20. *Let Λ be an R -order in $A = A_0 \times A_1$ with A_0 simple such that the natural projection $A \twoheadrightarrow A_0$ maps Λ onto the hereditary order Λ_0 . Let S denote the simple A_0 -module, and Δ the unique maximal order in $D := (\text{End}_A S)^{\text{op}}$ with $\Pi := \text{Rad } \Delta$. For an indecomposable Λ_0 -lattice I which is neither projective nor injective as a Λ -lattice, with $P := III$, suppose $\text{Hom}_\Delta(I, P) \subseteq \Lambda$. Then the u -differentiation (8) induces an equivalence*

$$(61) \quad \tilde{\partial}_u : \Lambda\text{-lat}/[\Lambda_0] \xrightarrow{\sim} \left(\begin{array}{cc} \Lambda_1 & \Lambda_1 \\ N_1 & \Lambda_1 \end{array} \right)\text{-lat},$$

where $\Lambda_1 := (\Lambda + A_0) \cap A_1$ and $N_1 := \Lambda \cap A_1$.

NOTE. Equivalently, the assumption of the theorem says that Λ is a subdirect product $\Lambda \subseteq \Lambda_0 \times \Lambda_1$ with Λ_0 hereditary and $K\Lambda_0$ simple, and that Λ_0 has a maximal over-order Θ such that $\text{Rad } \Theta = \{a \in \Lambda \mid \Theta a \subseteq \Lambda\} = \{a \in \Lambda \mid a\Theta \subseteq \Lambda\}$.

Proof of Proposition 20. There is a natural epimorphism of R -orders

$$\Gamma := \begin{pmatrix} \Delta & I^* \\ P & \Lambda \end{pmatrix} \twoheadrightarrow \Gamma_0 := \begin{pmatrix} \Delta & I^* \\ P & \Lambda_0 \end{pmatrix},$$

where Γ is a trivial extension of Λ . Hence (52) gives an equivalence

$$\mathcal{F}' : \Lambda\text{-lat}/[\Lambda_0] \xrightarrow{\sim} \Gamma\text{-lat}/[\Gamma_0]$$

induced by the functor $\mathcal{F} : \Lambda\text{-lat} \rightarrow \Gamma\text{-lat}$ with $\mathcal{F}(E) = \begin{pmatrix} I^* \circlearrowleft \Lambda E \\ E \end{pmatrix}$. By [19], Proposition 14, we have a pre-hereditary monomorphism $v : \begin{pmatrix} \Delta \\ P \end{pmatrix} \hookrightarrow \begin{pmatrix} \Delta \\ I \end{pmatrix}$ in $\Gamma\text{-lat}$ with $\begin{pmatrix} \Delta \\ P \end{pmatrix}$ projective and $\begin{pmatrix} \Delta \\ I \end{pmatrix}$ injective. Since $\begin{pmatrix} I^* \\ \Lambda_0 \end{pmatrix} = \mathcal{F}(\Lambda_0)$, a Γ -lattice $\begin{pmatrix} H \\ E \end{pmatrix}$ is of the form $\mathcal{F}(E)$ if and only if it does not have $\begin{pmatrix} \Delta \\ P \end{pmatrix}$ as a direct summand. For these Γ -lattices, $\text{Hom}_\Gamma(\begin{pmatrix} H \\ E \end{pmatrix}, \begin{pmatrix} \Delta \\ I \end{pmatrix}) = \text{Hom}_\Lambda(E, I)$, and therefore

$$\begin{pmatrix} H \\ E \end{pmatrix}_- = \begin{pmatrix} H \\ E_- \end{pmatrix}.$$

Dually, the same argument holds for $\begin{pmatrix} H \\ E \end{pmatrix}^* = (H^* \ E^*)$, and thus

$$\begin{pmatrix} H \\ E \end{pmatrix}^+ = \begin{pmatrix} H \\ E^+ \end{pmatrix}$$

if $\begin{pmatrix} H \\ E \end{pmatrix}$ does not have $\begin{pmatrix} \Delta \\ I \end{pmatrix}$ as a direct summand. Since ${}_\Lambda I$ is neither projective nor injective, we obtain

$$\begin{aligned} \Gamma^+ &= \begin{pmatrix} \Delta & I^* \\ I & \Lambda^+ \end{pmatrix} = \begin{pmatrix} \Delta & I^* \\ I & II^* \end{pmatrix} \times \Lambda_1, \\ \Gamma^- &= \begin{pmatrix} \Delta & P^* \\ P & \Lambda^- \end{pmatrix} = \begin{pmatrix} \Delta & P^* \\ P & PP^* \end{pmatrix} \times \Lambda_1, \\ \Gamma_- &= \begin{pmatrix} \Delta & I^* \\ P & \Lambda_- \end{pmatrix} = \begin{pmatrix} \Delta & I^* \\ P & PI^* \end{pmatrix} \times N_1, \\ \Gamma^{+-} &= \Gamma^{-+} = \begin{pmatrix} \Delta & P^* \\ I & IP^* \end{pmatrix} \times \Lambda_1. \end{aligned}$$

Consequently, we have

$$\partial_v \Gamma = \Gamma'_0 \times \begin{pmatrix} \Lambda_1 & \Lambda_1 \\ N_1 & \Lambda_1 \end{pmatrix},$$

where Γ'_0 is the maximal order in $M_2(K\Gamma_0)$ with the indecomposable representation

$$\begin{pmatrix} \Delta \\ I \\ \Delta \\ P \end{pmatrix}.$$

Hence, Theorem 1 gives an equivalence

$$\tilde{\partial}_v : \Gamma\text{-lat}/[\Gamma_0] \xrightarrow{\sim} \begin{pmatrix} \Lambda_1 & \Lambda_1 \\ N_1 & \Lambda_1 \end{pmatrix}\text{-lat},$$

and the composition $\tilde{\partial}_v \circ \mathcal{F}'$ coincides with $\tilde{\partial}_u$. In fact, the preceding calculation in particular yields

$$(62) \quad \partial_u \Lambda = \begin{pmatrix} II^* & IP^* \\ PI^* & PP^* \end{pmatrix} \times \begin{pmatrix} \Lambda_1 & \Lambda_1 \\ N_1 & \Lambda_1 \end{pmatrix},$$

where the left-hand factor is the maximal order with $\begin{pmatrix} I \\ P \end{pmatrix}$ as indecomposable representation. ■

REMARKS. 1. If $\partial_u \Lambda$ is replaced by $\delta_u \Lambda$, then the first factor in (62) becomes a hereditary order with an additional indecomposable representation $\begin{pmatrix} P \\ P \end{pmatrix}$. This gives another point for our preference for $\partial_u \Lambda$.

2. If ${}_A I$ is projective or injective, then $\partial_u \Lambda$ is no longer defined. In this case, however, Λ is a trivial extension. Therefore, the equivalence (61) of the proposition remains valid, although it is only partially induced by some ∂_u .

3. Recently, O. Iyama [5] obtained a similar result where Λ_0 is not assumed to be hereditary. The right-hand order $\begin{pmatrix} \Lambda_1 & \Lambda_1 \\ N_1 & \Lambda_1 \end{pmatrix}$ in (61) is then replaced by an order which is defined in terms of the Auslander–Reiten quiver of Λ .

7. Examples. In the following examples, let \mathfrak{p} denote the radical of R , and $\mathfrak{k} := R/\mathfrak{p}$. For any pair of R -orders Λ_0, Λ_1 with $\Lambda_0/\text{Rad } \Lambda_0 \cong \Lambda_1/\text{Rad } \Lambda_1 \cong \mathfrak{k} \times \dots \times \mathfrak{k}$, we define by the pullback

$$\begin{array}{ccc} \Lambda_0 & \longrightarrow & \mathfrak{k} \times \dots \times \mathfrak{k} \\ \uparrow & & \uparrow \\ \Lambda_0 \diamond \Lambda_1 & \longrightarrow & \Lambda_1 \end{array}$$

an R -order $\Lambda_0 \diamond \Lambda_1$ in $K\Lambda_0 \times K\Lambda_1$ which will be called the *dyad* (cf. [10]) of Λ_0 and Λ_1 . Clearly, $\Lambda_0 \diamond \Lambda_1$ has the same residue algebra $\mathfrak{k} \times \dots \times \mathfrak{k}$ as Λ_0 and Λ_1 , and the operation \diamond is associative and commutative. For Λ_i -lattices E_i with $E_0/\text{Rad } E_0 \cong E_1/\text{Rad } E_1$, a similar pullback yields a $\Lambda_0 \diamond \Lambda_1$ -lattice which we denote by $E_0 \diamond E_1$ whenever it is unique up to isomorphism. Sometimes it will be convenient to write $\Lambda_0 \text{--} \Lambda_1$ instead of $\Lambda_0 \diamond \Lambda_1$.

EXAMPLE 1. In [19], Example 1, we considered the R -order $\Lambda := \Lambda_0 \diamond \Lambda_1$ in $M_2(K)$ with

$$\Lambda_0 := \begin{pmatrix} R & \mathfrak{p} \\ R & R \end{pmatrix}, \quad \Lambda_1 := \begin{pmatrix} R & \mathfrak{p} \\ \mathfrak{p} & R \end{pmatrix}.$$

Λ has five irreducible representations, namely the Λ_0 -lattices $H_1 := \begin{pmatrix} R \\ R \end{pmatrix}$, $H_2 := \begin{pmatrix} \mathfrak{p} \\ R \end{pmatrix}$, and the Λ_1 -lattices $L_1 := \begin{pmatrix} R \\ \mathfrak{p} \end{pmatrix}$, $L_2 := \begin{pmatrix} \mathfrak{p} \\ R \end{pmatrix}$, $L_3 := \begin{pmatrix} R \\ R \end{pmatrix}$. The

remaining indecomposable Λ -lattices are the two projectives $P_1 := H_1 \diamond L_1$ and $P_2 := H_2 \diamond L_2$, the corresponding injectives $I_1 := H_1 \diamond L_3$ and $I_2 := H_2 \diamond L_3$, and an additional Λ -lattice $L := \Lambda_0 \diamond L_3$.

In [19] we already considered the hereditary monomorphism $P_1 \hookrightarrow I_1$. In order to illustrate Proposition 20, we choose $u : \mathfrak{p}H_1 \hookrightarrow H_1$. Then for each indecomposable Λ -lattice E , there exists an integer r with $\partial_u E \cong \left(\begin{smallmatrix} H_1 \\ \mathfrak{p}H_1 \end{smallmatrix}\right)^r \oplus E'$, where E' is either zero or an indecomposable representation of

$$A' := \begin{pmatrix} \Lambda_1 & \Lambda_1 \\ \text{Rad } \Lambda_1 & \Lambda_1 \end{pmatrix},$$

a tiled order of weight two [3]. The 8 indecomposable A' -lattices are therefore all irreducible. The map $E \mapsto E'$ is given by the table

| E | H_1 | H_2 | L_1 | L_2 | L_3 | P_1 | P_2 | I_1 | I_2 | L |
|------|-------|-------|----------------|----------------|-------|----------------|----------------|----------------|----------------|----------------|
| | | | R | \mathfrak{p} | R | R | \mathfrak{p} | R | R | R |
| E' | 0 | 0 | \mathfrak{p} | R | R | \mathfrak{p} | R | R | R | R |
| | | | R | \mathfrak{p} | R | \mathfrak{p} | \mathfrak{p} | \mathfrak{p} | R | \mathfrak{p} |
| | | | \mathfrak{p} | R | R | \mathfrak{p} | \mathfrak{p} | R | \mathfrak{p} | \mathfrak{p} |

EXAMPLE 2. Next let us consider the local R -order $\Lambda := R \diamond \Sigma_m$ in $A = K \times K \times K$, where $m \geq 1$, and Σ_m is given by the pullback

$$\begin{array}{ccc} R & \twoheadrightarrow & R/\mathfrak{p}^m \\ \uparrow & & \uparrow \\ \Sigma_m & \twoheadrightarrow & R \end{array}$$

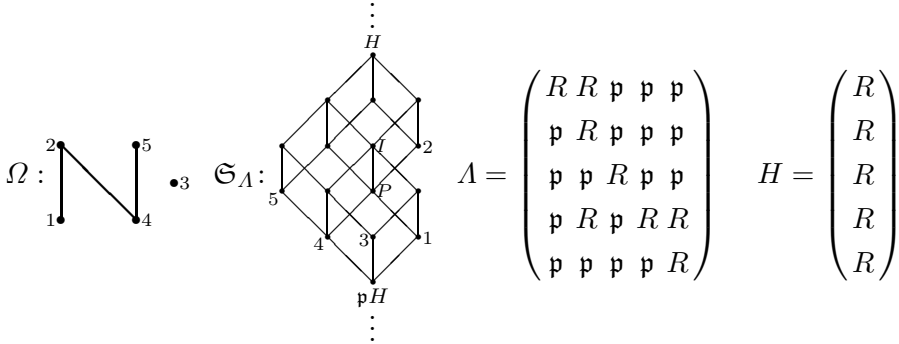
The maximal order $\Lambda_0 = R$ in the first simple component $A_0 = K$ of A is a generalized over-order of Λ with $\text{Rad } \Lambda_0 \subseteq \Lambda$. Hence Proposition 20 yields an equivalence $\Lambda\text{-lat}/[\Lambda_0] \xrightarrow{\sim} A'\text{-lat}$, where

$$A' := \begin{pmatrix} \Sigma_m & \Sigma_m \\ \text{Rad } \Sigma_m & \Sigma_m \end{pmatrix}$$

is an order of weight two [3]. Hence by [3], Theorem 4.9, the $4m + 3$ indecomposable A' -lattices can be obtained by successive application of the rejection lemma ([3], 2.9). Therefore, Λ itself has $4(m + 1)$ indecomposables.

EXAMPLE 3. By [19], Proposition 16, representations of a finite poset Ω can be regarded as Λ -lattices for a subhereditary tiled order Λ . For such orders, Theorem 1 becomes equivalent to Zavadskii's algorithm for posets Ω if and only if (P) is satisfied. Otherwise, we obtain various almost embeddings $\mathbf{Rep}_\mathfrak{e}(\Omega) \rightarrow \mathbf{Rep}_\mathfrak{e}(\Omega')$ according to the possible pre-hereditary

monomorphisms. For example:

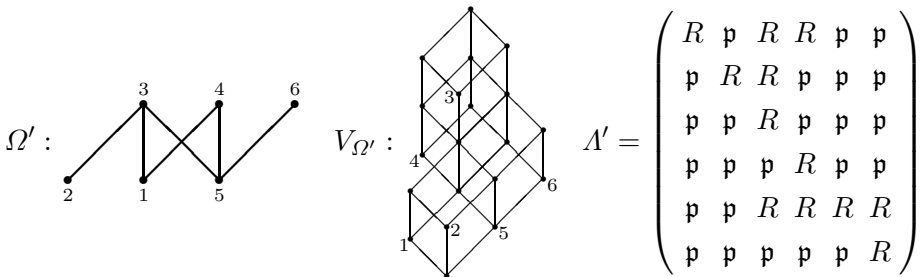


Here the poset Ω is realized by the projective Λ -lattices in \mathfrak{S}_Λ (see (55)) between H and $\mathfrak{p}H$, and the \leq relations in Ω are also expressed by the exponents 0, 1 of \mathfrak{p} in Λ . The irreducible Λ -lattices, up to isomorphism, are represented by the half-open interval $(\mathfrak{p}H, H]$ in \mathfrak{S}_Λ , whereas the closed interval $[\mathfrak{p}H, H]$ coincides with the (distributive) lattice V_Ω of one-dimensional Ω^{op} -representations.

Now let us consider the pre-hereditary monomorphism

$$u : P = \begin{pmatrix} R \\ \mathfrak{p} \\ \mathfrak{p} \\ R \\ \mathfrak{p} \end{pmatrix} \hookrightarrow I = \begin{pmatrix} R \\ \mathfrak{p} \\ R \\ R \\ \mathfrak{p} \end{pmatrix}.$$

Then the reduced u -derivative $\Lambda' = \partial'_u \Lambda$ together with the interval $V_{\Omega'}$ in $\mathfrak{S}_{\Lambda'} = \bigcup_{i \in \mathbb{Z}} \mathfrak{p}^i V_{\Omega'}$ and the corresponding poset Ω' are as follows:



Hence, the poset Ω' should be called the u -derivative of Ω , and Theorem 1 yields a map

$$(63) \quad \text{ind } \Omega \rightarrow \text{ind } \Omega'$$

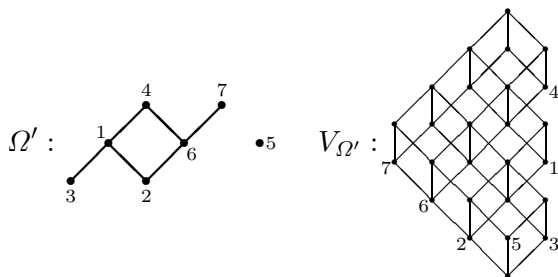
which is almost injective in the sense that only the Ω -representations corresponding to P and I are collapsed. By [17], Satz 4, the indecomposables

of Ω can be read off from V_Ω , namely, there are 16 one-dimensional representations, and 5 two-dimensional indecomposables corresponding to the 3 cubes and 2 double cubes in V_Ω . For Ω' there are 20 one-dimensional and 7 two-dimensional indecomposables, according to the 4 cubes and 3 double cubes. Hence, apart from the two one-dimensional Ω' -representations associated with the Λ' -lattices $\binom{P}{P}$ and $\binom{I}{I}$, there are 5 indecomposable Ω' -representations not in the image of (63). Two of them are one-dimensional, and three two-dimensional.

EXAMPLE 4. In the preceding example, consider instead of u the following pre-hereditary monomorphism:

$$v : P = \begin{pmatrix} R & \mathfrak{p} \\ \mathfrak{p} & \mathfrak{p} \\ R & \text{---} R \\ \mathfrak{p} & R \\ \mathfrak{p} & R \end{pmatrix} \hookrightarrow I = \begin{pmatrix} R & \mathfrak{p} \\ R & \mathfrak{p} \\ R & \text{---} R \\ R & R \\ \mathfrak{p} & R \end{pmatrix}$$

between the binomial indecomposables P, I corresponding to the two double cubes in $V_\Omega = [\mathfrak{p}H, H]$. (Here $R \text{---} R$ means the dyad $R \diamond R$.) In fact, it is easily verified that v satisfies (C'') . In this example, $\Lambda^+ = \Lambda^- = \Lambda$, and we obtain the v -derivative



which has 26 one-dimensional, 15 two-dimensional, and 2 three-dimensional indecomposables. (If D_n denotes a chain of n elements, the 15 two-dimensional indecomposables arise from the six simple cubes D_2^3 , six double cubes $D_2^2 \times D_3$, two treble cubes $D_2^2 \times D_4$, and one cube isomorphic to $D_2 \times D_3^2$. Moreover, $D_2 \times D_3^2$ itself yields a pair of three-dimensional indecomposables.) Since I/P is of length two, the image of (63) consists of $|\text{ind } \Omega| - 2 = 19$ indecomposables. Six of the 24 remaining indecomposable Ω' -representations correspond to $\partial_v \Lambda$ -lattices in the category \mathcal{H}'_v of Proposition 15.

EXAMPLE 5. Generalized Brauer tree orders of “defect p ” type [15, 18] give rise to complete splittings. More generally, we define [18] a *cycle hypergraph* H by a surjective map $\varepsilon : C \rightarrow E$ between finite sets, together with a permutation π on C . The cycles of π are then the vertices of H , the elements

of E the edges, and ε gives the rule of attachment between vertices and edges. If every edge has exactly two vertices (with multiplicities counted), then H is equivalent to a Brauer graph [15]. Now let Γ be a hereditary R -order corresponding to π , i.e. there is a bijection $P : C \xrightarrow{\sim} \text{ind } \Gamma$ onto a complete system of indecomposable Γ -lattices such that $\text{Rad } P_c = P_{\pi c}$ for all $c \in C$. For simplicity, suppose Γ is *totally split*, i.e. $\Gamma/\text{Rad } \Gamma \cong \mathfrak{k} \times \dots \times \mathfrak{k} = \text{Map}(C, \mathfrak{k})$. Then ε induces an embedding of rings

$$(64) \quad \varepsilon^* : \text{Map}(E, \mathfrak{k}) \hookrightarrow \text{Map}(C, \mathfrak{k}),$$

and the R -order Λ_H associated with H is given by the pullback

$$\begin{array}{ccc} \Gamma & \twoheadrightarrow & \text{Map}(C, \mathfrak{k}) \\ \uparrow & & \uparrow \varepsilon^* \\ \Lambda_H & \twoheadrightarrow & \text{Map}(E, \mathfrak{k}) \end{array}$$

Hence Λ_H is a Bäckström order, i.e. $\text{Rad } \Lambda_H = \text{Rad } \Gamma$, and the embedding (64) shows that there is a one-to-one correspondence between the indecomposable projective Λ_H -lattices and the edges of H . In particular, Λ_H is local if and only if H has only one edge. Hence, every Λ_H allows a complete splitting into R -orders $\Lambda_{H'}$ and $\Lambda_{H''}$ with cycle hypergraphs H' and H'' such that $\Lambda_{H'}$ is local.

EXAMPLE 6. Consider the following R -order Λ with a splitting pre-hereditary monomorphism u :

$$\Lambda = \begin{pmatrix} R & \mathfrak{p}^2 & \mathfrak{p}^2 \\ \mathfrak{p} & \diagdown R & \mathfrak{p} \\ \mathfrak{p} & \mathfrak{p} & R \end{pmatrix}, \quad u : P = \begin{pmatrix} \mathfrak{p} \\ R \\ R \end{pmatrix} \hookrightarrow I = \begin{pmatrix} R \\ R \\ R \end{pmatrix},$$

where the dyad $R \diamond R$ is again indicated by a connecting line. Then

$$\Lambda^+ = \begin{pmatrix} R & \mathfrak{p} & \mathfrak{p} \\ \mathfrak{p} & \diagdown R & \mathfrak{p} \\ \mathfrak{p} & \mathfrak{p} & R \end{pmatrix}, \quad \Lambda^- = \begin{pmatrix} R & \mathfrak{p}^2 & \mathfrak{p}^2 \\ R & \diagdown R & \mathfrak{p} \\ R & \mathfrak{p} & R \end{pmatrix}$$

and

$$\mathfrak{p}IP^* = \begin{pmatrix} R & \mathfrak{p} & \mathfrak{p} \\ R & \mathfrak{p} & \mathfrak{p} \\ R & \mathfrak{p} & \mathfrak{p} \end{pmatrix} \not\subseteq \begin{pmatrix} R & \mathfrak{p} & \mathfrak{p} \\ R & \diagdown R & \mathfrak{p} \\ R & \mathfrak{p} & R \end{pmatrix} = \Lambda^+ \Lambda^-$$

shows that Proposition 18 is not valid for $\delta_u \Lambda$ instead of $\partial_u \Lambda$.

EXAMPLE 7. The order

$$\Lambda = \begin{pmatrix} R \text{---} R & R \text{---} R \\ \mathfrak{p} \times \mathfrak{p} & R \text{---} R \end{pmatrix} \subseteq M_2(K) \times M_2(K)$$

has 4 irreducibles, namely $P := \begin{pmatrix} R \\ \mathfrak{p} \end{pmatrix}$ and $I := \begin{pmatrix} R \\ R \end{pmatrix}$ in the first rational component, and the corresponding irreducibles P' and I' in the second component. Moreover, there are 3 binomial indecomposables

$$P_1 := \begin{pmatrix} R-R \\ \mathfrak{p} \times \mathfrak{p} \end{pmatrix}, \quad I_2 := \begin{pmatrix} R \times R \\ R-R \end{pmatrix}, \quad B := \begin{pmatrix} R-R \\ R-R \end{pmatrix},$$

where the latter is bijective. The splitting pre-hereditary monomorphism $u : P \hookrightarrow I$ yields $\Lambda^+ = \Lambda$ and

$$\Lambda^- = \begin{pmatrix} R-R & R \times R \\ \mathfrak{p} \times \mathfrak{p} & R \times R \end{pmatrix} = \Lambda^{-+} = \Lambda^{+-}, \quad \Lambda_- = \begin{pmatrix} R-R & R-R \\ \mathfrak{p} \times \mathfrak{p} & \mathfrak{p} \times \mathfrak{p} \end{pmatrix}.$$

Hence, the reduced u -derivative is

$$\partial'_u \Lambda = \begin{pmatrix} R-R & \mathfrak{p} \times \mathfrak{p} & R \times R \\ R-R & R-R & R \times R \\ \mathfrak{p} \times \mathfrak{p} & \mathfrak{p} \times \mathfrak{p} & R \times R \end{pmatrix},$$

a twofold trivial extension of the order $\begin{pmatrix} R-R & \mathfrak{p} \times \mathfrak{p} \\ R-R & R-R \end{pmatrix} \cong \Lambda$. Therefore, counting indecomposables shows that apart from $\begin{pmatrix} I \\ I \end{pmatrix}$ and $\begin{pmatrix} P \\ P \end{pmatrix}$, there must be one more indecomposable $\partial'_u \Lambda$ -lattice which is not obtained by the differentiation functor. In fact, this $\partial'_u \Lambda$ -representation is given by the $\partial'_u \Lambda$ -lattice

$$\begin{pmatrix} R-R \\ R \times R \\ \mathfrak{p} \times \mathfrak{p} \end{pmatrix}.$$

(By the remark following Proposition 19, such $\partial'_u \Lambda$ -lattices are not possible if Λ is tiled.)

EXAMPLE 8. Finally, let us illustrate Theorem 4 by a simple example. To this end, let D be an unramified quadratic extension of K with maximal order Δ and $\Pi := \text{Rad } \Delta$. With the R -order $\Omega := R + \Pi$ we form the dyad $\Omega \diamond R$ and consider the R -order

$$\Lambda := \begin{pmatrix} R & \Omega & \Pi & \Pi \\ \Pi & \Delta & \Pi & \\ \Delta & \Pi & \Omega & R \end{pmatrix}$$

in $K \times M_3(D) \times K$. By [19], Proposition 14,

$$u : P = \begin{pmatrix} \Pi \\ \Pi \\ \Delta \end{pmatrix} \hookrightarrow I = \begin{pmatrix} \Pi \\ \Delta \\ \Delta \end{pmatrix}$$

is pre-hereditary, and u satisfies the splitting condition (53). For the maximal order $\Theta := M_3(\Delta)$, the Θ -lattice $H := \Theta I$ satisfies $H \Pi \subseteq P \subseteq I \subseteq H$ and $\text{Rad } \Theta \subseteq \Lambda$. Moreover, there is a decomposition $\Lambda = P_1 \oplus P_0 \oplus P_2$ with

$$P_1 := \begin{array}{c} R \text{---} \Omega \\ \Pi \\ \Delta \end{array}, \quad P_0 := \begin{array}{c} \Pi \\ \Delta \\ \Pi \end{array}, \quad P_2 := \begin{array}{c} \Pi \\ \Pi \\ \Omega \text{---} R \end{array}$$

satisfying the assumption of Theorem 4. Hence, Λ has a pair of splitting over-orders

$$\Lambda_1 = \begin{array}{c} R \text{---} \Omega \ \Pi \ \Pi \\ \Pi \ \Delta \ \Pi \\ \Delta \ \Delta \ \Delta \end{array}, \quad \Lambda_2 = \begin{array}{c} \Delta \ \Pi \ \Pi \\ \Delta \ \Delta \ \Pi \\ \Delta \ \Pi \ \Omega \text{---} R \end{array}$$

with

$$\Lambda_1 \Lambda_2 = \Lambda_2 \Lambda_1 = \begin{array}{c} \Delta \ \Pi \ \Pi \\ \Delta \ \Delta \ \Pi \\ \Delta \ \Delta \ \Delta \end{array}.$$

Furthermore, Λ_1 and Λ_2 are trivial extensions of the order

$$\Xi := \begin{array}{c} \Delta \ \Pi \\ \Pi \ \Omega \text{---} R \end{array}$$

in $M_2(D) \times K$. By Proposition 20, the indecomposable Ξ -lattices except R can be obtained from the indecomposables of an order in $M_4(D)$ Morita equivalent to the order

$$\Xi_0 := \begin{array}{c} \Omega \ \Pi \ \Omega \\ \Pi \ \Delta \ \Pi \\ \Pi \ \Pi \ \Omega \end{array}$$

which corresponds to a Schurian vector space category of type \mathbf{F}_4'' listed in [7]. The 19 indecomposable Ξ_0 -lattices are given (as representations of the corresponding \mathfrak{k} -structure) in [2], §3. Therefore, Λ_1 and Λ_2 have 21 indecomposables each, and consequently, there are $2 \cdot 21 - 3 = 39$ indecomposable Λ -lattices. Alternatively, a twofold application of Proposition 20 to Λ yields an order Morita equivalent to a subhereditary order Λ' in $M_5(D)$, and Simson's splitting theorem applies to Λ' .

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