

POISSON'S EQUATION AND CHARACTERIZATIONS
OF REFLEXIVITY OF BANACH SPACES

BY

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Abstract. Let X be a Banach space with a basis. We prove that X is reflexive if and only if every power-bounded linear operator T satisfies Browder's equality

$$\left\{ x \in X : \sup_n \left\| \sum_{k=1}^n T^k x \right\| < \infty \right\} = (I - T)X.$$

We then deduce that X (with a basis) is reflexive if and only if every strongly continuous bounded semigroup $\{T_t : t \geq 0\}$ with generator A satisfies

$$AX = \left\{ x \in X : \sup_{s>0} \left\| \int_0^s T_t x dt \right\| < \infty \right\}.$$

The range $(I - T)X$ (respectively, AX for continuous time) is the space of $x \in X$ for which Poisson's equation $(I - T)y = x$ ($Ay = x$ in continuous time) has a solution $y \in X$; the above equalities for the ranges express sufficient (and obviously necessary) conditions for solvability of Poisson's equation.

1. Introduction. Let X be a (real or complex) Banach space. Poisson's equation (which was considered originally for the Laplacian in certain function spaces) has been abstracted to solving the equation $Ay = x$ for a given $x \in X$, where A is the infinitesimal generator of a strongly continuous one-parameter bounded semigroup of linear operators $\{T_t : t \geq 0\}$ (see [9]).

In "discrete time", solving Poisson's equation for a power-bounded linear operator T means solving $(I - T)y = x$ for a given $x \in X$. In ergodic theory, elements of $(I - T)X$ are called *coboundaries*, and it is of interest to find conditions for x to be a coboundary, i.e. for the solvability of Poisson's equation.

Obviously, since $\|n^{-1} \sum_{k=1}^n T^k x\| \rightarrow 0$ if and only if $x \in \overline{(I - T)X}$ (e.g. [8, p. 73]), for any power-bounded operator T on X we have

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$$(I - T)X \subset \left\{ x \in X : \sup_n \left\| \sum_{k=1}^n T^k x \right\| < \infty \right\} \subset \overline{(I - T)X}.$$

It was proved by F. Browder [2] (and rediscovered in [3]) that if X is reflexive, then for every power-bounded operator T on X we have

$$(1) \quad (I - T)X = \left\{ x \in X : \sup_n \left\| \sum_{k=1}^n T^k x \right\| < \infty \right\}.$$

Browder’s equality (1) means that a solution y to Poisson’s equation $(I - T)y = x$ exists if (and only if) $\sup_n \left\| \sum_{k=1}^n T^k x \right\| < \infty$.

In this paper we prove that if X is a Banach space with a basis such that (1) holds for every power-bounded operator T on X , then X is reflexive. The continuous time analogue of this result is then deduced in §4.

A bounded linear operator T on a (real or complex) Banach space X is called *mean ergodic* if

$$E(T)x := \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{k=1}^n T^k x \text{ exists for all } x \in X.$$

The general mean ergodic theorem, proved (independently) by Lorch, by Kakutani and by Yosida, says that if X is a reflexive Banach space, then every power-bounded linear operator T is mean ergodic (e.g. [8, p. 73]). In [5] we proved that *if X is a Banach space with a basis, then mean ergodicity of all power-bounded operators implies reflexivity of X .*

For a power-bounded operator T , mean ergodicity is equivalent to the *ergodic decomposition* $X = F(T) \oplus \overline{(I - T)X}$, where $F(T)$ is the space of fixed points of T . In [10] it was shown that if $(I - T)X$ is closed (without assuming mean ergodicity), then T is mean ergodic, and $\|n^{-1} \sum_{k=1}^n T^k - E(T)\| \rightarrow 0$ (i.e. T is *uniformly ergodic*).

We denote

$$G(T) := \left\{ x \in X : \sup_n \left\| \sum_{k=1}^n T^k x \right\| < \infty \right\}.$$

It was shown in [4] that $G(T)$ is closed if and only if $(I - T)X$ is closed, which is equivalent to uniform ergodicity of T . If X is infinite-dimensional and has a basis, then by [5, Corollary 3] it admits a power-bounded operator T which is not uniformly ergodic, so in general $G(T)$ is not closed.

Browder’s equality (1) was proved in [11] for every contraction on $L_1(\mu)$ (and in [1] for certain power-bounded operators of L_1), so this equality in general does not imply mean ergodicity. This result of [11] also shows that having (1) for every contraction is not sufficient to obtain reflexivity; see [6] for an example of a non-reflexive X with a basis and separable dual, such

that all contractions of X and all contractions of X^* are mean ergodic and satisfy (1).

2. Preliminary results

PROPOSITION 2.1. *A power-bounded operator T on a Banach space X is mean ergodic if (and only if) $(I - T)\overline{(I - T)X} = (I - T)X$.*

Proof. If T is mean ergodic, then $X = F(T) \oplus \overline{(I - T)X}$, and the condition follows.

Assume now that T is not mean ergodic. Then there exists $x \in X$ such that $n^{-1} \sum_{k=1}^n T^k x$ does not converge; put $y_0 := (I - T)x$. Define $Y = \overline{(I - T)X}$; then Y is T -invariant, and $\|n^{-1} \sum_{k=1}^n T^k y\| \rightarrow 0$ for any $y \in Y$, so $\overline{(I - T)Y} = Y$. Hence $(I - T)\overline{(I - T)X} = (I - T)Y$. If $(I - T)X = (I - T)Y$, then there is $y_1 \in Y$ with $(I - T)y_1 = (I - T)x = y_0$, which yields $(I - T)(x - y_1) = 0$. Hence

$$x - y_1 = \frac{1}{n} \sum_{k=1}^n T^k(x - y_1) = \frac{1}{n} \sum_{k=1}^n T^k x - \frac{1}{n} \sum_{k=1}^n T^k y_1.$$

Since $\|n^{-1} \sum_{k=1}^n T^k y_1\| \rightarrow 0$, the above yields $n^{-1} \sum_{k=1}^n T^k x \rightarrow x - y_1$, contradicting the choice of x . Hence $(I - T)\overline{(I - T)X} = (I - T)Y \neq (I - T)X$. ■

Combining Proposition 2.1 with [5, Corollary 2] we obtain our first result (which is also a consequence of Theorem 3.1 below):

THEOREM 2.2. *The following assertions are equivalent for a Banach space X :*

- (i) X is reflexive.
- (ii) Every power-bounded operator T defined on a closed subspace $Y \subset X$ with $TY \subset Y$ satisfies

$$(2) \quad (I - T)Y = \left\{ y \in Y : \sup_n \left\| \sum_{k=1}^n T^k y \right\| < \infty \right\}.$$

- (iii) Every mean ergodic power-bounded operator T defined on a closed subspace $Y \subset X$ with $TY \subset Y$ satisfies (2).

Proof. Assume first that X is reflexive. Then any closed subspace Y is reflexive, and for any power-bounded operator T on a reflexive Banach space Y the equality (2) follows from [2].

Clearly (ii) implies (iii).

Assume (iii). Let S be a power-bounded operator on a closed subspace Z , and put $Y = \overline{(I - S)Z}$. Then Y is S -invariant, and $T = S|_Y$ is mean ergodic,

with $\overline{(I - T)Y} = Y$. By (iii), (2) holds, so for $z \in Z$ we have

$$(I - S)z \in Y \cap G(S) = G(T) = (I - T)Y.$$

This yields $(I - S)Z = (I - T)Y$, so

$$(I - S)Z = (I - T)Y = (I - T)\overline{(I - S)Z} = (I - S)\overline{(I - S)Z}.$$

Applying Proposition 2.1 to S we conclude that S is mean ergodic on Z .

Thus every power-bounded operator S on a closed subspace $Z \subset X$ is mean ergodic, so by the ergodic characterization of [5, Corollary 2], X is reflexive. ■

For any power-bounded operator T on a Banach space X we have

$$(3) \quad (I - T)\overline{(I - T)X} \subset (I - T)X \subset \left\{ x \in X : \sup_n \left\| \sum_{k=1}^n T^k x \right\| < \infty \right\}.$$

Equality in the second inclusion does not imply mean ergodicity—equality holds for every contraction T on L_1 , even not mean ergodic [11]. It is easy to construct a mean ergodic power-bounded operator T without equality in the second inclusion above [11].

THEOREM 2.3. *Let X be a Banach space with a basis. Then X is reflexive if and only if every power-bounded operator T on X satisfies*

$$(4) \quad \left\{ x \in X : \sup_n \left\| \sum_{k=1}^n T^k x \right\| < \infty \right\} = (I - T)\overline{(I - T)X}.$$

Proof. If X is reflexive, then every power-bounded operator T is mean ergodic, so we have $(I - T)\overline{(I - T)X} = (I - T)X$, and (4) holds by applying (1) to T .

Assume now that a power-bounded operator T on X satisfies (4). Then by (3) we have $(I - T)\overline{(I - T)X} = (I - T)X$, and thus T is mean ergodic by Proposition 2.1. If every power-bounded operator T satisfies (4), then every power-bounded operator T is mean ergodic, so X is reflexive by the characterization in [5] for Banach spaces with a basis. ■

THEOREM 2.4. *Let T be a power-bounded operator on a Banach space X . If $\overline{(I - T)X}$ is reflexive, then T is mean ergodic, and Browder’s equality (1) holds.*

Proof. Since $Y := \overline{(I - T)X}$ is reflexive and T -invariant, by [2] we have

$$G(T|_Y) := \left\{ y \in Y : \sup_n \left\| \sum_{k=1}^n T^k y \right\| < \infty \right\} = (I - T)Y.$$

If T is not mean ergodic, Proposition 2.1 yields

$$(I - T)Y = (I - T)\overline{(I - T)X} \neq (I - T)X \subset Y \cap G(T) = G(T|_Y),$$

which is a contradiction. ■

REMARK. Reflexivity of $\overline{(I - T)X}$ is far from being necessary for mean ergodicity of T .

3. The main result. In view of (3), equality (4) implies (1), and our main result below improves Theorem 2.3. It also provides an improvement of Theorem 2.2 when X has a basis.

THEOREM 3.1. *The following assertions are equivalent for a (separable) Banach space X with a basis:*

- (i) X is reflexive.
- (ii) Every power-bounded operator T on X satisfies Browder's equality (1).
- (iii) Every mean ergodic power-bounded operator T on X satisfies (1).

When X is reflexive, all power-bounded operators T satisfy (1) by [2], so we only have to show that (iii) implies (i). The proof will use the following simple lemma, suggested by the referee as a substitute to our original use of [4, Theorem 2.3].

LEMMA 3.2. *Let U be the closed unit ball of a Banach space X , and T a power-bounded operator on X . Then $\overline{(I - T)U} \subset G(T)$.*

Proof. Obviously $(I - T)U \subset G(T)$. Let $y \in \overline{(I - T)U}$. Then there exists $\{x_j\} \subset U$ with $\|y - (I - T)x_j\| = \epsilon_j \rightarrow 0$. Denote $M = \sup_{n \geq 0} \|T^n\|$. Then for $n \geq 1$ we have

$$\begin{aligned} \left\| \sum_{k=1}^n T^k y \right\| &\leq \left\| \sum_{k=1}^n T^k [y - (I - T)x_j] \right\| + \left\| \sum_{k=1}^n T^k (I - T)x_j \right\| \\ &\leq nM\epsilon_j + 2M \xrightarrow{j \rightarrow \infty} 2M. \blacksquare \end{aligned}$$

To prove the theorem, we follow the strategy of [5]. If X is non-reflexive and has a basis, then by [14] it has a non-shrinking basis. Therefore Theorem 3.1 is a consequence of the following.

THEOREM 3.3. *Let X be a Banach space having a non-shrinking finite-dimensional Schauder decomposition. Then there exists a mean ergodic power-bounded operator T such that Browder's equality (1) fails.*

The first step is the following lemma of [5].

LEMMA 3.4. *Let X be a Banach space with a non-shrinking Schauder decomposition. Then X has a Schauder decomposition $X = \sum_{k=1}^{\infty} X_k$ with the following property: there exist a functional $h \in X^*$ and a sequence $\{e_k\}$ such that for every $k \geq 1$ we have $e_k \in X_k$, $\|e_k\| \leq 1$ and $h(e_k) = 1$.*

Furthermore, if the components of the original non-shrinking decomposition are finite-dimensional, so are all the X_k .

The last part of the lemma follows from the construction in [5]—each X_k is a finite sum of components of the original decomposition.

As noted at the beginning of the proof of [5, Theorem 1], we can change the norm to an equivalent one so that in the decomposition obtained in the above lemma the coordinate projections $Q_k : X \rightarrow X_k$ and the partial sums projections $P_k : X \rightarrow \sum_{j=1}^k X_j$ (defined respectively by $Q_k(\sum_{j=1}^{\infty} x_j) = x_k$ and $P_k = \sum_{j=1}^k Q_j$) all have norm 1.

The lemma yields a decomposition $X_k = (X_k \cap \ker h) \oplus \text{span}\{e_k\}$.

LEMMA 3.5. *Let $X = \sum_{k=1}^{\infty} X_k$ be the Schauder decomposition with coordinate projections Q_k , obtained in Lemma 3.4, let $e_0 = 0$, and put $u_n = e_n - e_{n-1}$ for $n \geq 1$. For $k \geq 1$ define $E_{2k} = \text{span}\{u_k\}$ and $E_{2k-1} = X_k \cap \ker h$. Then $X = \sum_{m=1}^{\infty} E_m$ is a Schauder decomposition of X with coordinate projections \bar{Q}_m given by*

- $\bar{Q}_{2k-1} = R_k Q_k$, where $R_k : X_k \rightarrow E_{2k-1}$ is defined by $R_k x_k = x_k - h(x_k)e_k$.
- $\bar{Q}_{2k} x = (h - \sum_{j=0}^{k-1} Q_j^* h)(x)u_k$, where $Q_0 = 0$.

Proof. For $x \in X_k$ we have $x - h(x)e_k \in E_{2k-1}$, and $\sum_{j=1}^k u_j = e_k$. Hence $\sum_{m=1}^{2n} E_m = \sum_{k=1}^n X_k$, so $\text{span}\{\bigcup_{m \geq 1} E_m\}$ is dense in X .

We first show that each \bar{Q}_m as defined is a projection onto E_m which vanishes on E_l for $l \neq m$.

It is easily checked that R_k is a projection of X_k onto E_{2k-1} , for any $k \geq 1$, so $R_k Q_k R_k Q_k = R_k R_k Q_k = R_k Q_k$, and thus \bar{Q}_{2k-1} is a projection onto E_{2k-1} . Since $Q_k X_j = \{0\}$ for $j \neq k$, we have $\bar{Q}_{2k-1} E_{2j-1} = \{0\}$ for $j \neq k$.

Since $u_l \in X_{l-1} \oplus X_l$, we have $Q_k E_{2l} = \{0\}$ when $k < l-1$ or $k > l$. For $l = k$ we have $Q_k u_l = e_k$ and $R_k Q_k u_l = R_k e_k = 0$ since $h(e_k) = 1$. For $l = k+1$ we have $Q_k u_l = -e_k$ and $R_k Q_k u_l = 0$. Thus $\bar{Q}_{2k-1} E_m = \{0\}$ for $m \neq 2k-1$.

We now look at \bar{Q}_{2k} . By definition it takes X into E_{2k} , so to show it is a projection it is enough to check that $\bar{Q}_{2k} u_k = u_k$. We compute

$$\begin{aligned} \bar{Q}_{2k} u_k &= \left(h(u_k) - \sum_{j=0}^{k-1} h(Q_j u_k) \right) u_k = (h(e_k) - h(e_{k-1}) - h(Q_{k-1} u_k)) u_k \\ &= (h(e_k) - h(e_{k-1}) + h(e_{k-1})) u_k = h(e_k) u_k = u_k. \end{aligned}$$

For $x \in E_{2l-1}$ we have $h(x) = 0$, and $Q_j x = 0$ for $j \neq l$, $h(Q_l x) = h(x) = 0$. Hence $\bar{Q}_{2k} E_{2l-1} = \{0\}$.

For $k = 1$ we have $\bar{Q}_2x = h(x)u_1 = h(x)e_1$ so for $l > 1$ we obtain $\bar{Q}_2u_l = h(u_l)u_1 = 0$. For $k > 1$ and $l \neq k$ we have

$$\begin{aligned} \bar{Q}_{2k}u_l &= \left(h(u_l) - \sum_{j=1}^{k-1} h(Q_j u_l) \right) u_k \\ &= \left(h(e_l) - h(e_{l-1}) - \sum_{j=1}^{k-1} [h(Q_j e_l) - h(Q_j e_{l-1})] \right) u_k. \end{aligned}$$

This is 0 for $l > k$ since all terms in the sum are 0. For $l \leq k - 1$ we have in the sum only $h(e_l) - h(e_{l-1}) = 0$, so $\bar{Q}_{2k}u_l = 0$ for $l \neq k$.

We thus see that each \bar{Q}_m is a projection onto E_m with $\bar{Q}_m E_j = \{0\}$ for $j \neq m$. This also yields $E_m \cap E_j = \{0\}$ for $j \neq m$.

CLAIM. Put $\bar{P}_n = \sum_{j=1}^n \bar{Q}_j$. Then $\sup_n \|\bar{P}_n\| < \infty$.

We denote $P_n = \sum_{j=1}^n Q_j$. Since $\{X_n\}$ is a Schauder decomposition of X , we have $\sup_n \|P_n\| < \infty$.

Fix n and let $m > n$. Using $Q_j x = R_j Q_j x + h(Q_j x)e_j$, for $x \in \sum_{k=1}^m X_k$ we obtain

$$\begin{aligned} \bar{P}_{2n}x &= \sum_{j=1}^{2n} \bar{Q}_j x = \sum_{k=1}^n R_k Q_k x + \sum_{k=1}^n \left(h(x) - \sum_{j=0}^{k-1} h(Q_j x) \right) (e_k - e_{k-1}) \\ &= \sum_{k=1}^n R_k Q_k x + \sum_{j=0}^{n-1} h(Q_j x)e_j + \left(h(x) - \sum_{j=0}^{n-1} h(Q_j x) \right) e_n \\ &= \sum_{k=1}^n Q_k x + \left(h(x) - \sum_{j=0}^n h(Q_j x) \right) e_n \\ &= P_n x + \left(h - \sum_{j=0}^n Q_j^* h \right) (x) e_n = P_n x + (h - P_n^* h)(x) e_n. \end{aligned}$$

Since $\|e_n\| = 1$, we obtain $\|\bar{P}_{2n}x\| \leq \|P_n\| \cdot \|x\| + \|I - P_n^*\| \cdot \|h\| \cdot \|x\|$, so

$$\sup_n \|\bar{P}_{2n}\| \leq \sup_n \|P_n\| + \|h\| (1 + \sup_n \|P_n\|).$$

We now have $\bar{P}_{2n+1} = \bar{P}_{2n} + \bar{Q}_{2n+1}$, so the above yields

$$\bar{P}_{2n+1} = P_n x + (h - P_n^* h)(x) e_n + R_{n+1} Q_{n+1} x.$$

But $\|R_{n+1} Q_{n+1} x\| \leq \|Q_{n+1} x\| + \|h\| \cdot \|Q_{n+1} x\|$, and $\sup_n \|Q_n\| < \infty$, so we obtain $\sup_n \|\bar{P}_{2n+1}\| < \infty$, and the Claim is proved.

Since $\lim \bar{P}_m x = x$ on a dense subset, the Claim implies that $\bar{P}_m x \rightarrow x$ on all of X and $\sum_{m=1}^\infty E_m$ is a Schauder decomposition. ■

PROPOSITION 3.6. *Let $X = \sum_{k=1}^\infty X_k$ be a Schauder decomposition of X with coordinate projections Q_k . For a sequence $a := \{a_j\}_{j=1}^\infty$ with $a_j > 0$ for $j \geq 1$ and $\sum_{j=1}^\infty a_j = 1$ put $s_k = \sum_{j=1}^k a_j$. Then for every $x \in X$ the series $\sum_{k=1}^\infty s_k Q_k x$ converges in norm, and the operator $T_a x := \sum_{k=1}^\infty s_k Q_k x$ is power-bounded on X .*

Proof. The proposition follows from the computations on pages 150–151 of [5] (with $h = 0$). In those computations it is assumed that the coordinate projections Q_k and the partial sums $P_k = \sum_{j=1}^k Q_j$ all have norm 1 (and then $\sup_n \|T_a^n\| \leq 2$); the assumption is achieved by a change to an equivalent norm.

The referee noted that the proposition has been known for some time. For example, its proof can be found essentially in [13, Lemma 2.4]. ■

Proof of Theorem 3.3. Let $X = \sum_{k=1}^\infty E_k$ be the Schauder decomposition of X obtained in Lemma 3.5 from the non-shrinking Schauder decomposition $X = \sum_k X_k$ with finite-dimensional components. By the definitions, also all the E_k are finite-dimensional; let \bar{Q}_k be the coordinate projection onto E_k .

Choose $a = \{a_j\}_{j=1}^\infty$ with $a_j > 0$ and $\sum_{j=1}^\infty a_j = 1$ such that the tails $b_k = \sum_{j=k+1}^\infty a_j$ satisfy $\sum_{k=1}^\infty b_k < \infty$ (e.g. $a_j = 2^{-j}$), and put $Tx = T_a x = \sum_{k=1}^\infty s_k \bar{Q}_k x$. By the proposition above, T is power-bounded. By the definitions $(I - T)x = \sum_{m=1}^\infty b_m \bar{Q}_m x$, so $I - T$ is a compact operator since the E_m are finite-dimensional. Since each E_m is T -invariant finite-dimensional and T is power-bounded, T is mean ergodic on X .

We assert that (1) fails, i.e. $(I - T)X \neq G(T)$. Towards a contradiction, assume that $(I - T)X = G(T)$. From Lemma 3.2 we deduce that the unit ball U of X satisfies $\overline{(I - T)U} \subset G(T) = (I - T)X$.

By the construction in Lemma 3.5, $\|\sum_{i=1}^n u_i\| = \|e_n\| \leq 1$ for every n , so compactness of $I - T$ implies that there is a subsequence $\{n_p\}$ with $(I - T)e_{n_p} = (I - T)(\sum_{i=1}^{n_p} u_i) \rightarrow z \in \overline{(I - T)U}$. Hence $z \in (I - T)X$ by the assumptions, so there is $x \in X$ with $(I - T)x = z$.

Since $\bar{Q}_k \bar{Q}_j = \delta_{j,k} \bar{Q}_k$, for every k we have $T\bar{Q}_k = \bar{Q}_k T$ and $\bar{Q}_k(I - T) = (1 - s_k)\bar{Q}_k$. For $m = 2k - 1$ we have $\bar{Q}_m e_n = 0$ for all n by the definitions, so

$$(1 - s_m)\bar{Q}_m x = \bar{Q}_m(I - T)x = \bar{Q}_m z = \lim_{n_p \rightarrow \infty} (I - T)\bar{Q}_m e_{n_p} = 0.$$

Hence $\bar{Q}_m x = 0$ for m odd. For $m = 2k$ and $n \geq k$ the definition of \bar{Q}_m yields $\bar{Q}_m e_n = u_k$. Hence

$$\begin{aligned} (1 - s_m)\bar{Q}_m x &= \bar{Q}_m(I - T)x = \bar{Q}_m z \\ &= \lim_{n_p \rightarrow \infty} \bar{Q}_m(I - T)e_{n_p} = \lim_{n_p \rightarrow \infty} (1 - s_m)\bar{Q}_m e_{n_p} = (1 - s_m)u_k, \end{aligned}$$

which yields $\bar{Q}_{2k}x = u_k$. Thus

$$x = \sum_{m=1}^{\infty} \bar{Q}_m x = \sum_{k=1}^{\infty} \bar{Q}_{2k} x = \sum_{k=1}^{\infty} u_k = \lim_{n \rightarrow \infty} e_n.$$

This implies that all the coordinate projections of the original Schauder decomposition $X = \sum_{j=1}^{\infty} X_j$ satisfy $Q_j x = 0$, hence $e_n \rightarrow 0$, a contradiction to $h(e_n) = 1$ for every n . Thus $(I - T)X = G(T)$ cannot be true. This proves Theorem 3.3. ■

REMARK. The authors are grateful to the referee for simplifying their original proof that (1) fails; the above proof follows the referee's suggestions.

4. On Poisson's equation for one-parameter semigroups. Originally, Poisson's equation was considered for the Laplacian. This has been abstracted to solving the equation $Ay = x$ for a given $x \in X$, where A is the infinitesimal generator of a strongly continuous one-parameter bounded semigroup of linear operators $\{T_t : t \geq 0\}$ (see [9]). We use Theorem 3.1 to obtain a characterization of reflexivity by a condition for solvability of Poisson's equation, for all infinitesimal generators of bounded strongly continuous semigroups.

THEOREM 4.1. *The following assertions are equivalent for a Banach space X with a basis:*

- (i) X is reflexive.
- (ii) Every strongly continuous bounded semigroup $\{T_t : t \geq 0\}$ with generator A satisfies

$$(5) \quad AX = \left\{ x \in X : \sup_{s>0} \left\| \int_0^s T_t x dt \right\| < \infty \right\}.$$

- (iii) Every uniformly continuous bounded semigroup $\{T_t : t \geq 0\}$ with generator A satisfies (5).

Proof. (i) implies (ii) by Theorem 2.6 of [9] (since the dual semigroup is also strongly continuous, by reflexivity and [7, Theorem 10.6.5]).

Obviously (ii) implies (iii). We show that (iii) implies (i).

Assume that X (with a basis) is not reflexive. By Theorem 3.1 there exists a power-bounded operator T such that (1) fails, which means that for some $x \notin (I - T)X$ we have $\sup_n \|\sum_{k=1}^n T^k x\| < \infty$. We may assume, by changing the norm to an equivalent one, that $\|T\| = 1$. For $t \geq 0$ put $S_t = e^{t(T-I)}$. Then $\{S_t\}$ is a uniformly continuous semigroup, with infinitesimal generator $A = T - I$. The power series expansion yields

$$\|S_t\| = e^{-t} \|e^{tT}\| \leq e^{-t} e^{t\|T\|} = 1.$$

Since $\sup_n \|\sum_{k=1}^n T^k x\| < \infty$, Theorem 5 of [11] yields the existence of some $y^{**} \in X^{**}$ such that $(I - T^{**})y^{**} = x$; hence $x \in A^{**}X^{**}$ (we have identified X with its canonical image in X^{**}). The uniform continuity of $\{S_t\}$ implies that of $\{S_t^{**}\}$, with generator $A^{**} = T^{**} - I$, and for $s > 0$ we obtain

$$\left\| \int_0^s S_t x \, dt \right\| = \left\| \int_0^s S_t^{**} x \, dt \right\| = \|-S_s^{**} y^{**} + y^{**}\| \leq 2\|y^{**}\|.$$

Since $x \notin (I - T)X = AX$, the contraction semigroup $\{S_t\}$ does not satisfy (5). Hence X is reflexive when (iii) holds. ■

REMARK. The idea of using the semigroup $e^{t(T-I)}$ is due to Rainer Nagel, in the context of characterizing reflexivity by mean ergodicity of all bounded semigroups [12].

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