

## Some examples of hyperarchimedean lattice-ordered groups

by

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**Abstract.** All  $\ell$ -groups shall be abelian. An  $a$ -extension of an  $\ell$ -group is an extension preserving the lattice of ideals; an  $\ell$ -group with no proper  $a$ -extension is called  $a$ -closed. A hyperarchimedean  $\ell$ -group is one for which each quotient is archimedean. This paper examines hyperarchimedean  $\ell$ -groups with unit and their  $a$ -extensions by means of the Yosida representation, focussing on several previously open problems. Paul Conrad asked in 1965: If  $G$  is  $a$ -closed and  $M$  is an ideal, is  $G/M$   $a$ -closed? And in 1972: If  $G$  is a hyperarchimedean sub- $\ell$ -group of a product of reals, is the  $f$ -ring which  $G$  generates also hyperarchimedean? Marlow Anderson and Conrad asked in 1978 (refining the first question above): If  $G$  is  $a$ -closed and  $M$  is a minimal prime, is  $G/M$   $a$ -closed? If  $G$  is  $a$ -closed and hyperarchimedean and  $M$  is a prime, is  $G/M$  isomorphic to the reals? Here, we introduce some techniques of  $a$ -extension and construct a several parameter family of examples. Adjusting the parameters provides answers “No” to the questions above.

**1. Preliminaries.** In the following, a *lattice-ordered group*, or an  $\ell$ -group, is an abelian group  $(G, +)$  with a lattice order  $\leq$  for which  $a \leq b$  implies  $a + c \leq b + c$  for all  $c$ . Moreover,  $G^+ = \{g \in G \mid g \geq 0\}$  is the positive cone of  $G$ . We shall use the references [AF], [BKW], [D], and [LZ] for various aspects of  $\ell$ -group theory. We sketch some particular ideas which we need here.

An *ideal* in an  $\ell$ -group is a convex sub- $\ell$ -group; these are the kernels of  $\ell$ -homomorphisms. The collection of all ideals in the  $\ell$ -group  $G$  is denoted  $\text{Idl}(G)$ . Let  $G$  be a sub- $\ell$ -group of  $H$ ; we write  $G \leq H$ . When the contraction map  $\text{Idl}(H) \rightarrow \text{Idl}(G)$  is one-to-one and onto,  $H$  is called an  $a$ -extension of  $G$ , and we write  $G \leq_a H$ .

**PROPOSITION 1.1** ([C1, 2.1]).  $G \leq_a H$  if and only if  $G \leq H$  and for each  $h \in H^+$  there is  $g \in G^+$  such that  $g \sim_a h$ , i.e.,  $h \leq mg$  and  $g \leq nh$  for some positive integers  $m, n$ .

If  $G \leq_a H$  implies  $G = H$ , then  $G$  is called *a-closed*. When  $G \leq_a H$  and  $H$  is *a-closed*,  $H$  is called an *a-closure* of  $G$ . The first systematic study of *a-extensions* is Conrad's [C1]. A large literature has developed subsequently on this complicated subject; see especially [AF] and [D]. Two main themes, which this paper continues, are: non-uniqueness of *a-closure*, even in quite restricted contexts; what does "*a-closed*" mean?

$\mathbb{N}$ ,  $\mathbb{Z}$ ,  $\mathbb{Q}$  and  $\mathbb{R}$  denote the natural numbers, the integers, the rational numbers, and the reals, respectively, as one sort of mathematical structure or another, which being clear from context.

Let  $G$  be an  $\ell$ -group, and  $u \in G^+$ . An element  $g \in G$  is *infinitesimal* with respect to  $h$ , written  $g \ll h$ , if  $0 \leq ng \leq h$  for all  $n \in \mathbb{N}$ .  $G$  is *archimedean* if  $g \ll h$  implies  $g = 0$ . A positive element  $u$  is a *weak unit* in  $G$  if  $|g| \wedge u = 0$  implies  $g = 0$ ; and  $u$  is a *strong unit* if  $\langle u \rangle = G$ , where  $\langle u \rangle = \{g \in G \mid \exists n \in \mathbb{N} (|g| \leq nu)\}$  denotes the ideal in  $G$  generated by  $u$ .

To illustrate:  $\mathbb{R}$  is archimedean, and the class of archimedean  $\ell$ -groups is closed under product and sub- $\ell$ -group formation. So, whenever  $X$  is a topological space, which we always assume to be completely regular and Hausdorff (see [GJ]), the  $\ell$ -group  $C(X)$  of continuous  $\mathbb{R}$ -valued functions is archimedean. A function  $u \in C(X)^+$  is a weak unit if and only if  $\text{coz}(u) = \{x \in X \mid u(x) \neq 0\}$  is dense in  $X$ ; so the constant function 1 is a weak unit. For compact  $X$ , 1 is a strong unit since each  $f \in C(X)$  is bounded.

The following is the classical first representation theorem of Yosida [Y], elaborated and augmented somewhat. Many useful variations and generalizations are discussed in [AF], [LZ], [HR] and [BH].

**THEOREM 1.2 (Representation of objects).** *Let  $G$  be an archimedean  $\ell$ -group with strong unit  $u$ .*

- (a) *The set of maximal ideals of  $G$ , with the hull-kernel topology, is a compact Hausdorff space, denoted  $Y(G, u)$  or just  $YG$ .*
- (b) *There is an  $\ell$ -isomorphism  $G \approx \widehat{G} \leq C(YG)$  for which  $\widehat{u} = 1$  and  $\widehat{G}$  separates the points of  $YG$ .*
- (c) *If  $G \approx \widetilde{G} \leq C(X)$  is an  $\ell$ -isomorphism with  $X$  compact Hausdorff and  $\widetilde{u} = 1$ , then there is a continuous surjection  $\tau : X \rightarrow YG$  for which  $\widetilde{g} = \widehat{g} \circ \tau$  for each  $g \in G$ . The group  $\widetilde{G}$  separates points of  $X$  if and only if  $\tau$  is a homeomorphism.*
- (d) *For each  $p \in YG$ ,  $M_p = \{g \mid \widehat{g}(p) = 0\}$  is a maximal ideal, and each maximal ideal is of this form for unique  $p$ . For each  $p$ , we have  $\widehat{G}/M_p \approx \{\widehat{g}(p) \mid g \in G\} \leq \mathbb{R}$ .*

**THEOREM 1.3 (Representation of morphisms).** *Let  $(G, u)$  and  $(H, v)$  be archimedean  $\ell$ -groups with strong units  $u, v$ , and let  $\varphi : G \rightarrow H$  be an  $\ell$ -homomorphism with  $\varphi(u) = v$ . Define a function  $Y\varphi : YG \leftarrow YH$  by:  $(Y\varphi)(p) = q$  means  $\varphi^{-1}(M_p) = M_q$ . Then  $Y\varphi$  is continuous and is the*

unique map for which  $\widehat{\varphi}(g) = \widehat{g} \circ (Y\varphi)$  for each  $g \in G$ . Further,  $\varphi$  is one-to-one if and only if  $Y\varphi$  is onto; if  $\varphi$  is onto, then  $Y\varphi$  is one-to-one.

**COROLLARY 1.4.** *Suppose  $G$  is archimedean with strong unit  $u$ , and  $G \leq_a H$ . Then  $H$  is archimedean,  $u$  is a strong unit in  $H$ , and  $YH = YG$ .*

For the rest of the paper, we shall identify each archimedean  $\ell$ -group with strong unit with its Yosida representation:  $1 \in G \leq C(YG)$ , and that notation means “ $G$  is an archimedean  $\ell$ -group with strong unit in its Yosida representation”.

For  $X$  a topological space,  $\text{clop}(X)$  denotes the Boolean algebra of clopen subsets of  $X$ . For  $U \subseteq X$ ,  $\chi(U)$  denotes the characteristic function of  $U$  and  $g\chi(U)$  stands for the function on  $YG$  which vanishes off  $U$  and agrees with  $g$  on  $U$ . The expression “ $g\chi(U) \in G$ ” means there is  $h \in G$  which in the Yosida representation is  $g\chi(U)$ . The following is simple but crucial.

**PROPOSITION 1.5.** *Suppose that  $1 \in G \leq C(YG)$ . Then  $g\chi(U) \in G$  for any  $U \in \text{clop}(YG)$  and  $g \in G$ .*

*Proof.* We first show that  $\chi(U) \in G$ : Let  $\{h < a\}$  stand for  $\{x \in YG \mid h(x) < a\}$ , and let  $U' = YG - U$ . For each  $p \in U$  and each  $q \in U'$ , choose  $g_{pq} \in G$  which is 2 at  $p$  and  $-1$  at  $q$ . (From Theorem 1.2, there is  $g \in G$  with  $g(p) = 1$  and  $g(q) = 0$ . Let  $g_{pq} = 3g - 1$ .) Fixing  $p$ , the set  $\{\{g_{pq} < 0\} \mid q \in U'\}$  covers  $U'$ , so for some finite  $E \subseteq U'$ , the set  $\{\{g_{pq} < 0\} \mid q \in E\}$  covers  $U'$ . Then  $g_p = (\bigwedge_{q \in E} g_{pq}) \vee 0$  is 2 at  $p$  and 0 on  $U'$ . Now  $\{\{g_p > 1\} \mid p \in U\}$  covers  $U$ , so for some finite  $F \subseteq U$ , the set  $\{\{g_p > 1\} \mid p \in F\}$  covers  $U$ . Then  $\chi(U) = (\bigvee_{p \in F} g_p) \wedge 1 \in G$ .

If  $g \geq 0$ , there is  $n$  with  $g \leq n$ , and then  $g\chi(U) = g \wedge n\chi(U) \in G$ . Finally,  $g\chi(U) = (g \vee 0)\chi(U) - ((-g) \vee 0)\chi(U) \in G$ . ■

**2. Hyperarchimedean  $\ell$ -groups with unit.** A hyperarchimedean  $\ell$ -group is one for which each quotient is archimedean. We abbreviate “hyperarchimedean” to “ $HA$ ”. In this section we indicate some basic features of  $HA$   $\ell$ -groups.

For  $G$  an abelian  $\ell$ -group,  $dG$  denotes the *divisible hull*. This is the unique divisible  $\ell$ -group containing  $G$  for which  $b \in dG$  implies there exists  $a \in G$  for which  $nb = a$  for some  $n \in \mathbb{N}$ . For  $G$  archimedean with strong unit, viewed *per* Theorem 1.2 as  $1 \in G \leq C(YG)$ , it is easily seen that  $\{rg \mid g \in G, r \in \mathbb{Q}\} \leq C(YG)$  is an explicit presentation of  $dG$ .

- PROPOSITION 2.1** ([C2]). (a) *If  $H$  is  $HA$  and  $G \leq H$ , then  $G$  is  $HA$ .*  
 (b) *If  $G$  is  $HA$  and  $G \leq_a H$ , then  $H$  is  $HA$ .*  
 (c) *If  $G$  is  $HA$ , then  $dG$  is  $HA$ .*  
 (d) *If  $G$  is  $HA$ , then any weak unit in  $G$  is strong.*

We turn to a discussion of the central role of “zero-sets”.

For  $f \in \mathbb{R}^X$ , the *zero-set* of  $f$  is  $Z(f) = f^{-1}(\{0\})$  and the *cozero-set* of  $f$  is  $\text{coz}(f) = X - Z(f)$ . If  $f \in C(X)$ , then  $Z(f)$  is closed and  $\text{coz}(f)$  is open. For  $1 \in G \leq C(YG)$ , let  $\mathcal{Z}G = \{Z(g) \mid g \in G\}$ ; note that Theorem 1.3 says  $\text{clop}(YG) \subseteq \mathcal{Z}(G)$ . For  $X$  a space,  $S(X) = \{f \in C(X) \mid \text{range } f \text{ is finite}\} \leq C(X)$ , and  $S(X, \mathbb{Z}) = \{f \in S(X) \mid \text{range } f \subseteq \mathbb{Z}\} \leq S(X)$ . Note that  $\mathcal{Z}S(X) = \mathcal{Z}S(X, \mathbb{Z}) = \text{clop}(X)$ .

Let  $G$  be an  $\ell$ -group and  $g \in G^+$ . A *component* of  $g$  is an  $h \in G$  for which  $h \wedge (g - h) = 0$ . The following is immediate.

**PROPOSITION 2.2.** *Let  $1 \in G \leq C(YG)$ , and let  $h \in G$ . Then  $h$  is a component of  $1$  if and only if there is  $U \in \text{clop}(YG)$  for which  $h = \chi(U)$ .*

In view of [BKW], Theorem 1.2 and Proposition 2.1 we obtain the following characterization of HA groups.

**THEOREM 2.3.** *Let  $G$  be an abelian  $\ell$ -group with weak unit  $u$ . The following are equivalent:*

- (a)  $G$  is HA.
- (b)  $G$  is archimedean,  $u$  is a strong unit, and in the Yosida representation  $\mathcal{Z}G = \text{clop}(YG)$ .
- (c)  $G$  is archimedean,  $u$  is a strong unit, and for some representation  $G \approx \tilde{G} \leq C(X)$  with  $X$  compact Hausdorff,  $\tilde{u} = 1$ , and  $\mathcal{Z}\tilde{G} \subseteq \text{clop}(X)$ .
- (d)  $u$  is a strong unit, and for each  $g \in G^+$  there is a pair  $(\chi, n)$ , where  $\chi$  is a component of  $u$  and  $n \in \mathbb{N}$ , with  $ng \geq \chi$  and  $g \wedge (u - \chi) = 0$ .
- (e)  $G$  is archimedean, and in the Yosida representation  $S(YG, \mathbb{Z}) \leq_a G$ .

Proposition 2.1, Theorem 2.3 and [C2] give the following.

**COROLLARY 2.4.** (a) *If  $G$  is HA with strong unit, then  $YG$  is zero-dimensional (i.e.,  $\text{clop}(YG)$  is a base for the open sets).*

- (b) *For  $X$  a space,  $S(X)$  is HA.*
- (c) *Let  $G$  be an  $\ell$ -group. Then  $G$  is HA with strong unit if and only if there is a space  $Y$  with  $S(Y) \leq_a G$ .*
- (d) *For  $X$  a space,  $C(X)$  is HA if and only if the sub- $\ell$ -group of bounded functions  $C^*(X)$  is HA if and only if  $X$  is finite.*
- (e) *If  $G$  is HA with strong unit, then:  $G$  is  $a$ -closed if and only if  $(G \leq_a H \leq C(YG))$  implies  $H = G$ .*

Some of Theorem 2.3 generalizes as follows. First, if  $G$  is archimedean with weak unit, in its Yosida representation (see [HR]), and  $g \in G^+$  then  $Z(g)$  is open if and only if  $g$  has a  $(\chi, n)$  per Theorem 2.3(c). Second, for  $G$  abelian with weak unit  $u$ , and  $G^* = \langle u \rangle$ , these are equivalent:  $G$  is archimedean and  $G^*$  is HA;  $G$  is archimedean and  $\mathcal{Z}G = \text{clop}(YG)$ ; each

$g \in G^+$  has a  $(\chi, n)$ . These  $\ell$ -groups are called *bounded away*, and were introduced in the preprint [KM], and are discussed in [HKM].

This section concludes with the following useful results which show that if an  $\ell$ -group  $G$  has  $\ell$ -group generators which seem hyperarchimedean, then  $G$  actually is hyperarchimedean.

Let  $H$  be an  $\ell$ -group, let  $B$  be a *subgroup* of  $H$ , and let  $\langle B \rangle$  denote the sub- $\ell$ -group of  $H$  generated by  $B$ . The elements of  $\langle B \rangle$  are the elements of  $H$  of the form  $\bigwedge_k \bigvee_j b_{jk}$ , for  $b_{jk} \in B$  and finite index sets. (See [BKW].) The usual problem with analyzing  $\langle B \rangle$  is the inscrutability of the expressions  $\bigwedge \bigvee b_{jk}$ .

**PROPOSITION 2.5.** *Suppose  $X$  is compact and zero-dimensional, and that  $B$  is a point-separating subgroup of  $C(X)$  with  $1 \in B$  and  $Z(b)$  open for all  $b \in B$ . Then  $\langle B \rangle$  is HA and  $\langle B \rangle = \{ \sum_{i \in I} b_i \chi(U_i) \mid b_i \in B, U_i \in \text{clop}(X); I \text{ finite} \}$ .*

**COROLLARY 2.6.** *Suppose  $G$  is archimedean with strong unit:  $1 \in G \leq C(YG)$ , and suppose  $b \in C(YG)$  has  $Z(g + zb)$  open for each  $g \in G, z \in \mathbb{Z}$ . Then*

$$\langle G + \mathbb{Z} \cdot b \rangle = G + \left\{ \sum_{i \in I} z_i b \chi(U_i) \mid z_i \in \mathbb{Z}, U_i \in \text{clop}(YG); I \text{ finite} \right\},$$

$\langle G + \mathbb{Z} \cdot b \rangle$  is HA and hence  $G \leq_a \langle G + \mathbb{Z} \cdot b \rangle$ . Moreover, if for each  $U \in \text{clop}(YG)$ , one of  $b \chi(U), b \chi(YG - U)$  is in  $G$ , then  $\langle G + \mathbb{Z} \cdot b \rangle = G + \mathbb{Z} \cdot b$ ; when  $G$  is divisible, the converse holds.

The following lemma is necessary.

**LEMMA 2.7.** *If  $I$  is finite and  $h = \sum \{ b_i \chi(U_i) \mid i \in I \}$ , then for a finite set  $\mathcal{E}$ , there is a rewriting  $h = \sum \{ b_E \chi(V_E) \mid E \in \mathcal{E} \}$  with the sets  $V_E$  disjoint and non-empty and  $X = \bigcup \{ V_E \mid E \in \mathcal{E} \}$ .*

*Proof.* For  $E \subseteq I$ , let  $V_E = \bigcap \{ U_i \mid i \in E \} \cap \bigcap \{ X - U_i \mid i \notin E \}$ , and let  $\mathcal{E} = \{ E \mid E \subseteq I, V_E \neq \emptyset \}$ . Note that  $V_\emptyset = X - \bigcup \{ U_i \mid i \in I \}$ . For  $\emptyset \neq E \in \mathcal{E}$ , let  $b_E = \sum \{ b_i \mid i \in E \}$ , and set  $b_\emptyset = 0$ . If  $E \neq F$ , say  $i \in E$  but  $i \notin F$ , then  $V_E \subseteq U_i$  while  $V_F \subseteq X - U_i$ , so  $V_E \cap V_F = \emptyset$ . For  $p \in X$ , let  $E(p) = \{ i \in I \mid p \in U_i \}$ . Then  $V_{E(p)} \neq \emptyset$ , and so  $E(p) \in \mathcal{E}$ , and

$$h(p) = \sum \{ b_i(p) \mid i \in E(p) \} = b_{E(p)} \chi(V_{E(p)})(p) = \sum \{ b_E \chi(V_E) \mid E \in \mathcal{E} \}(p)$$

since the  $V_E$ 's are disjoint. ■

*Proof of Proposition 2.5.* Of course,  $\langle B \rangle$  is archimedean with strong unit 1; by Theorem 1.2,  $Y\langle B \rangle = X$  and the presentation of  $\langle B \rangle$  is the Yosida representation. Let  $B_0$  be the set of expressions  $\sum b_i \chi(U_i)$ . By Proposition 1.5, each  $b \chi(u) \in \langle B \rangle$ , so that  $B_0 \subseteq \langle B \rangle$ , and  $B_0$  clearly is a group.

We shall show that  $Z(h)$  is open for  $h \in B_0$  and then that  $B_0$  is an  $\ell$ -group. So  $B_0 = \langle B \rangle$ , and by Theorem 2.3,  $\langle B \rangle$  is  $HA$ .

Now let  $B_0 \ni h = \sum b_{E\chi}(V_E)$  be written as in Lemma 2.7. Then  $Z(h) = \bigcup \{Z(h) \cap V_E\} = \bigcup \{Z(b_E) \cap V_E\}$ , by disjointness. Since each  $Z(b_E)$  is supposed open, and each  $V_E$  is open,  $Z(h)$  is open.

For  $B_0$  to be an  $\ell$ -group, it suffices that  $h \in B_0$  imply  $h \vee 0 \in B_0$  (see [BKW]). Again write  $h = \sum b_{E\chi}(V_E)$  as in Lemma 2.7. Disjointness implies  $h \vee 0 = \sum (b_{E\chi}(V_E) \vee 0)$  and  $b_{E\chi}(V_E) \vee 0 = (b_E \vee 0)\chi(E)$ . So  $h \vee 0 \in B_0$ . ■

*Proof of Corollary 2.6.* For the first part, just apply Proposition 2.5 to  $B = G + \mathbb{Z} \cdot b$ . For the second part: Let  $U' = YG - U$ . Suppose one of  $b\chi(U), b\chi(U')$  is in  $G$  for all  $U \in \text{clop}(YG)$ . For all  $U$ ,  $b\chi(U) = g + z \cdot b$  for some  $g \in G, z \in \mathbb{Z}$ . If  $b\chi(U) \in G$ , use  $z = 0$ . If  $b\chi(U') \in G$ , then  $B = b\chi(U) + b\chi(U')$ , and we can use  $z = -1$ . This shows that any expression  $g + \sum z_i b\chi(U_i)$  actually lies in  $G + \mathbb{Z} \cdot b$ . Conversely, suppose  $\langle G + \mathbb{Z} \cdot b \rangle = G + \mathbb{Z} \cdot b$ , and  $U \in \text{clop}(YG)$ . Suppose  $b\chi(U) \in G$ , so  $b\chi(U) = g + zb$  with  $z \neq 0$ . Then  $b\chi(U) = g + zb = g\chi(U) + g\chi(U') + zb\chi(U) + zb\chi(U')$ . For  $x \in U'$ , this equation becomes  $0 = g(x) + zb(x)$ . This shows  $g\chi(U') + zb\chi(U') = 0$ . Since  $G$  is divisible,  $z \neq 0$ , and  $g\chi(U') \in G$  by Proposition 1.5, we have  $b\chi(U') \in G$ . ■

It is not difficult to construct an  $HA$  group such that  $\langle G + \mathbb{Z} \cdot b \rangle \neq G + \mathbb{Z} \cdot b$ ; we omit this.

**3. The  $P$ -groups.**  $\alpha\mathbb{N} = \mathbb{N} \cup \{\alpha\}$  denotes the one-point compactification of the discrete space  $\mathbb{N}$ . In this section we describe all the groups  $G$  with  $S(\alpha\mathbb{N}, \mathbb{Z}) \leq_a G$ . This is a generalization of

EXAMPLE 3.1. Let  $C_{00} = \{f \in C(\alpha\mathbb{N}) \mid f \text{ vanishes on a neighborhood of } \alpha\}$ . This is the weak product of countably many copies of  $\mathbb{R}$ , and  $C_{00} \leq S(\alpha\mathbb{N})$ . Let  $b(n) = \pi + 1/n$ , so  $b \in C(\alpha\mathbb{N}) - S(\alpha\mathbb{N})$  and  $b(\alpha) = \pi$ . Write  $\mathbb{R} = A \oplus \mathbb{Q}\pi$ , as a direct sum of  $\mathbb{Q}$ -vector spaces.

- (a) (P. Conrad [C2, 7.1]) Let  $J = (C_{00} + \mathbb{Q}) + \mathbb{Q} \cdot b$ . Then  $S(\alpha\mathbb{N}, \mathbb{Z}) \leq_a J \not\subseteq S(\alpha\mathbb{N})$ . Then  $J$  is  $HA$ , cannot be represented as an  $\ell$ -group of step functions, and the vector lattice hull,  $vJ$ , is not  $HA$ .
- (b) (M. Anderson and P. Conrad [AC, 4.1]) Let  $K = (C_{00} + A) + \mathbb{Q} \cdot b$ . Then  $J \leq_a K$  and  $K/M_p = \mathbb{R}$  for all  $p \in \alpha\mathbb{N}$ . So  $K$  is  $a$ -closed, thus an  $a$ -closure of  $J$ . But  $K$  is not a vector lattice. Note that, actually, [AC, p. 239] says “ $K$  is the  $a$ -closure of  $J$ ”. It can be seen from Proposition 3.5 below that  $J$  has  $2^c$   $a$ -closures.

Some of these assertions about  $J$  and  $K$  are not particularly obvious. Some, not all, will be shown below. But we emphasize what is going on here:

The signature of the hyperarchimedean situation, which is the basic theme of this paper, is the interplay between the locally constant functions and the non-locally constant functions. This takes a rather simple form for the groups in Example 3.1 above, and indeed for general  $G$  with  $YG = \alpha\mathbb{N}$ , as described in the rest of this section. For more general  $G$ , the interplay is highly visible, but more complicated and more difficult to describe. We quantify some of this at a rudimentary level:

For archimedean  $G$  with strong unit and  $YG = \alpha\mathbb{N}$ , let

$$L(G, \alpha) = \{\delta \in \mathbb{R} \mid \exists g \in G (g = \delta \text{ on a nbhd of } \alpha)\},$$

$$nL(G, \alpha) = \{\delta \in \mathbb{R} \mid \exists g \in G (g(\alpha) = \delta, g \text{ is not constant on any nbhd of } \alpha)\}.$$

Regarding Example 3.1, we have

$$J/M_\alpha = \mathbb{Q} \oplus \mathbb{Q} \cdot \pi, \quad L(J, \alpha) = \mathbb{Q}, \quad nL(J, \alpha) = J/M_\alpha - \mathbb{Q};$$

$$K/M_\alpha = A \oplus \mathbb{Q} \cdot \pi = \mathbb{R}, \quad L(K, \alpha) = A, \quad nL(K, \alpha) = \mathbb{R} - A.$$

Here we have  $L(J, \alpha) \cap nL(J, \alpha) = \emptyset$ , likewise for  $K$ , and this is why the groups are hyperarchimedean; and  $K/M_\alpha = \mathbb{R}$ , and this is why  $K$  is  $a$ -closed. These ideas will be articulated fully for  $YG = \alpha\mathbb{N}$ .

It will be helpful to keep these guidelines in mind as we proceed.

Suppose  $S(\alpha\mathbb{N}, \mathbb{Z}) \leq S \leq S(\alpha\mathbb{N})$ , let  $F = S \cap C_{00}$  and  $A = S/M_\alpha$ . For each  $s \in S$  and  $n \in \mathbb{N}$ , we have  $s\chi(\{n\}) \in S$ . Thus,  $S/M_n = F/M_n$  for each  $n \in \mathbb{N}$ , for each  $\delta \in A$  there is  $n(\delta)$  such that  $\delta \in F/M_n$  for  $n \geq n(\delta)$ , and  $S = F + A$ ,

We prefer to start with  $F$  and  $A$  as initial data.

**PROPOSITION 3.2.** *Suppose  $F \leq C_{00}$ ,  $1 \in A \leq \mathbb{R}$ , and suppose that for each  $\delta \in A$  there is  $n(\delta)$  such that  $\delta \in F/M_n$  for  $n \geq n(\delta)$ . Let  $b \in C(\alpha\mathbb{N}) - S(\alpha\mathbb{N})$  with  $b(n) \in F/M_n$  for each  $n \in \mathbb{N}$ . The following are equivalent:*

- (a)  $b(\alpha) \notin dA = \mathbb{Q} \cdot A$ .
- (b) *The sub- $\ell$ -group of  $C(\alpha\mathbb{N})$  generated by  $A + \mathbb{Z} \cdot b$  is  $HA$ .*
- (c)  *$(F + A) + \mathbb{Z} \cdot b$  (or  $(\mathbb{Q} \cdot F + \mathbb{Q}A) + \mathbb{Q} \cdot b$ ) is a sub- $\ell$ -group of  $C(\alpha\mathbb{N})$  which is  $HA$ .*
- (d)  *$(C_{00} + A) + \mathbb{Z} \cdot b$  (or  $(C_{00} + \mathbb{Q} \cdot A) + \mathbb{Q} \cdot b$ ) is a sub- $\ell$ -group of  $C(\alpha\mathbb{N})$  which is  $HA$ .*

*Proof.* (a) $\Rightarrow$ (d). We use Corollary 2.6 with  $G = C_{00} + A$ . We first want to see that  $Z(g + zb)$  is open for  $g \in G$ . This is obvious if  $z = 0$ . So suppose  $z \neq 0$ . Note that  $g(\alpha) \in A$ . Thus  $g(\alpha) + zb(\alpha) \neq 0$  by (a), so  $Z(g + zb)$  is a finite subset of  $\mathbb{N}$ , thus open in  $\alpha\mathbb{N}$ . Now using the second part of Corollary 2.6, consider  $b\chi(U)$  for  $U \in \text{cllop}(\alpha\mathbb{N})$ . Then  $\alpha \notin U$  implies  $U$  is finite, so  $b\chi(U) \in C_{00} \subseteq G$ , and  $\alpha \in U$  implies  $\alpha\mathbb{N} - U$  is finite, so  $b\chi(\alpha\mathbb{N} - U) \in C_{00} \subseteq G$ . The parenthetical part of (d) follows from Proposition 2.1(c).

(d) $\Rightarrow$ (c) $\Rightarrow$ (b) follow by Proposition 2.1(a), using Proposition 2.1(c) for the parenthetical part of (c).

(b) $\Rightarrow$ (a). Let  $G = \langle A + \mathbb{Z} \cdot b \rangle \leq C(\alpha\mathbb{N})$ . By (b) and Theorem 2.3,  $Z(\delta + zb)$  is open for each  $z \in \mathbb{Z}$  and  $\delta \in A$ . As in (a) $\Rightarrow$ (d), this means that if  $z \neq 0$ , then  $\delta + zb(\alpha) \neq 0$ , which says (a). ■

Proposition 3.2 is a straightforward generalization of Example 3.1(a). We now use it to describe explicitly *all* the divisible  $HA$  groups,  $G$ , with strong unit for which  $YG = \alpha\mathbb{N}$ . The “divisible” restriction is simplifying, and from most points of view, without loss of generality.

So consider Proposition 3.2 supposing  $F$  and  $A$  are divisible, and let

$$P = (F + A) + \mathbb{Q} \cdot b$$

be the  $HA$   $\ell$ -group in Proposition 3.2(c). Then  $P \cap C_{00} = F$ ,  $P \cap S(\alpha\mathbb{N}) = F + A$ ,  $P/M_n = F/M_n$  for each  $n \in \mathbb{N}$ ,  $P \cap S(\alpha\mathbb{N})/M_\alpha = A$  and  $P/M_\alpha = A \oplus \mathbb{Q} \cdot b(\alpha)$  as a direct sum of  $\mathbb{Q}$ -vector spaces.

CONSTRUCTION 3.3. (a) Let  $F$  and  $A$  be as in Proposition 3.2 and divisible. Let  $D \leq \mathbb{R}$  be divisible and  $\mathbb{Q}$ -linearly independent of  $A$ , i.e.,  $D \cap A = \{0\}$ . Let  $\varphi : D \rightarrow C(\alpha\mathbb{N})$  be a  $\mathbb{Q}$ -module homomorphism for which

- (i)  $\varphi(D) \cap S(\alpha\mathbb{N}) = \{0\}$ ,
- (ii)  $\forall \delta \in D \forall n \in \mathbb{N} (\varphi(\delta)(n) \in F/M_n)$ ,
- (iii)  $\forall \delta \in D (\varphi(\delta)(\alpha) = \delta)$ , which implies  $\varphi$  is one-to-one.

Let  $P(F, A, (D, \varphi)) = (F + A) + \varphi(D)$ .

(b) Before proceeding, we take note that plenty of these exist: Given  $F, A, D$ , let  $D_1$  be a  $\mathbb{Q}$ -basis for  $D$ . Then let  $\varphi_1 : D_1 \rightarrow C(\alpha\mathbb{N}) - S(\alpha\mathbb{N})$  be “constructed” like this:  $A$  and each  $F/M_n$  are topologically dense in  $\mathbb{R}$ , so given  $\delta \in D_1$ , there is a sequence  $a_n \in A$  with  $|\delta - a_n| < 1/n$ . Let  $n_1$  be the first integer such that  $(n > n_1 \Rightarrow a_1 \in F/M_n)$ , let  $n_2$  be the first integer  $> n_1$  such that  $(n > n_2 \Rightarrow a_2 \in F/M_n)$ , and so on. Now let  $f_n = 1$  for  $1 \leq n \leq n_1$ , so these  $f_n \in F/M_n$ ; let  $f_n = a_n$  for  $n_1 < n \leq n_2$ , so these  $f_n \in F/M_n$ , and so on.

Thus,  $f_n \in F/M_n$  for all  $n$ , and  $f_n \rightarrow \delta$ . Define  $\varphi_1(\delta)(n) = f_n$  and  $\varphi_1(\delta)(\alpha) = \delta$ , and now extend  $\varphi_1$  to  $\varphi : D \rightarrow C(\alpha\mathbb{N})$  by  $\mathbb{Q}$ -linearity. Clearly  $\varphi$  satisfies (ii) and (iii) above. Easy examples show that the preceding precautions are necessary to ensure (i), which we now show: Since  $\varphi$  is 1-1, (i) just means that for all  $\delta \in D - \{0\}$ ,  $\varphi(\delta)$  is constant on no neighborhood of  $\alpha$ . But in fact there exists  $n$  with  $\varphi(\delta)(\alpha) = \delta$  if and only if  $\delta = 0$ , since  $\varphi(\delta)(n) \in A$  and  $A$  and  $D$  are  $\mathbb{Q}$ -linearly independent.

THEOREM 3.4. (I)  $P = P(F, A, (D, \varphi))$  is a divisible hyperarchimedean  $\ell$ -group with strong unit 1 and  $YP = \alpha\mathbb{N}$ , with the features:



$$\begin{aligned}
P \cap C_{00} &= F \text{ and } P \cap S(\alpha\mathbb{N}) = F + A; \\
P/M_n &= F/M_n \text{ for all } n \in \mathbb{N} \text{ and } P \cap S(\alpha\mathbb{N})/M_\alpha = L(P, \alpha) = A; \\
P/M_\alpha &= A \oplus D \text{ and } nL(P, \alpha) = P/M_\alpha - A = (D - \{0\}) + A.
\end{aligned}$$

(II) If  $G$  is any divisible hyperarchimedean  $\ell$ -group with strong unit, and  $YG = \alpha\mathbb{N}$ , then there are  $F, A, D, \varphi$  for which, in the Yosida representation of  $G$ , we have  $G = P(F, A, (D, \varphi))$ .

Note that in (II), given  $G$ , the sets  $F$  and  $A$  are determined by (I). But, by (I),  $D$  only needs to have  $A \oplus D = G/M_\alpha$ , and situations ( $D_1 \neq D_2$  with  $A \oplus D_1 = A \oplus D_2$ ) are common. Then of course, having fixed  $D$ , various  $\varphi$  are possible. See below.

*Proof.* Note that  $P(F, A, (D, \varphi)) = \bigcup\{(F + A) + b \mid b \in \varphi(D)\}$ .

(I) Clearly,  $1 \in P \subseteq C(\alpha\mathbb{N})$  and  $P$  separates the points of  $\alpha\mathbb{N}$ . If  $0 \neq b = \varphi(\delta)$  for some  $\delta \in D$ , then  $\delta \neq 0$  and  $\varphi(\delta)(\alpha) \notin A$ , so Proposition 3.2(a) holds. Thus Proposition 3.2(c) holds, and  $(F + A) + \mathbb{Q} \cdot b$  is an  $HA$   $\ell$ -group with Yosida space  $\alpha\mathbb{N}$ . Therefore, if  $g_i = (f_i + a_i) + b_i \in P$  ( $i = 1, 2, b_i \in \varphi(D)$ , etc.) then  $Z(g_1)$  is open by Theorem 2.3, and  $g_1 \vee 0 \in (F + A) + \mathbb{Q} \cdot b_1 \subseteq P$ . Also, if  $b_i = \varphi(\delta_i)$ , then since  $\varphi$  is  $\mathbb{Q}$ -linear,  $b_0 = b_1 - b_2 = \varphi(\delta_1 - \delta_2)$ , so that  $g_1 - g_2 \in (F + A) + b_0 \subseteq P$ . So  $P$  is an  $\ell$ -group with open zero-sets, thus  $HA$  by Theorem 2.3. By Theorem 1.2,  $YP = \alpha\mathbb{N}$ , and the other claims for  $P$  are clear.

(II) Let  $G$  be given, let  $F = G \cap C_{00}$  and  $A = G \cap S(\alpha\mathbb{N})/M_\alpha = L(G, \alpha)$ . Thus  $nL(G, \alpha) = G/M_\alpha - A$ .

Let  $D_1$  be a subset of  $G/M_\alpha$  maximal  $\mathbb{Q}$ -linearly independent of  $A$ , and let  $\varphi_1 : D_1 \rightarrow G - S(\alpha\mathbb{N})$  be any choice function with  $\varphi_1(\delta)(\alpha) = \delta$  for all  $\delta \in D_1$ . Let  $D$  be the  $\mathbb{Q}$ -linear span of  $D_1$  and let  $\varphi : D \rightarrow G$  be the extension by  $\mathbb{Q}$ -linearity. This satisfies Construction 3.3(a)(i); i.e., the technicalities in Construction 3.3(b) are not needed here: If  $\varphi(\delta) \neq 0$  then  $0 \neq \delta = \varphi(\delta)(\alpha) \in G/M_\alpha - A$ .

So let  $P = P(F, A, (D, \varphi))$ . Obviously,  $P \subseteq G$ . For the reverse, let  $g \in G$ . Our choice of  $D$  makes  $G/M_\alpha = A \oplus D$ , so that  $g(\alpha) = a + \delta$  for unique  $a \in A, \delta \in D$ . So there are  $s \in G \cap S(\alpha\mathbb{N})$  and  $b \in \varphi(D)$  with  $a = s(\alpha)$  and  $\delta = b(\alpha)$  and therefore  $g(\alpha) = s(\alpha) + b(\alpha)$ . Let  $h = s + b \in (F + A) + b \subseteq P$ . Since  $h(\alpha) = g(\alpha)$ , we have  $h = g$  on a neighborhood  $U$  of  $\alpha$ . Since  $\alpha\mathbb{N} - U$  is finite,  $g - h \in C_{00} \cap G = F$ . Thus  $g = (g - h) + h \in (F + A) + b \subseteq P$ . ■

It is easy to show the following.

**PROPOSITION 3.5.** *Let  $P_i = P(F_i, A_i, (D_i, \varphi_i))$  for  $i = 1, 2$  be as in Construction 3.3 and Theorem 3.4. These are equivalent:*

- (a)  $P_1 \leq P_2$ , so  $P_1 \leq_a P_2$ .
- (b)  $F_1 \leq F_2, A_1 \leq A_2$ , and  $P_1/M_\alpha \leq P_2/M_\alpha$ .

- (c)  $F_1 \leq F_2$ ,  $A_1 \leq A_2$ , and  $P_2 = P(F_2, A_2, (D'_2, \varphi'_2))$  for some  $D'_2 \supseteq D_1$  and  $\varphi'_2 \supseteq \varphi_1$ .

Proposition 3.5 makes it obvious how to construct all  $a$ -extensions of an  $\ell$ -group  $P = P(F, A, (D, \varphi))$ : enlarge  $F, A$  and  $D$  and then extend  $\varphi$ . When these are not possible,  $P$  is  $a$ -closed:

COROLLARY 3.6. *These are equivalent about  $P = P(F, A, (D, \varphi))$ :*

- (a)  $P$  is  $a$ -closed.
- (b)  $F = C_{00}$  and  $P/M_\alpha = \mathbb{R}$ .
- (c)  $F = C_{00}$  and for any  $\mathbb{Q}$ -bases  $B$  for  $A$  and  $C$  for  $D$ ,  $B \cup C$  is a  $\mathbb{Q}$ -basis for  $\mathbb{R}$ , i.e., a Hamel basis.

*Proof.* (b) $\Leftrightarrow$ (c) since  $P/M_\alpha = A \oplus D$ ; (a) $\Leftrightarrow$ (c) by inspection of Proposition 3.5. ■

In Corollary 3.6, (b) $\Rightarrow$ (a) is a special case of a result from [AC]; (a) $\Rightarrow$ (b) is also a consequence of topological properties of  $\alpha\mathbb{N}$ . Corollary 3.6 and Theorem 3.4(I) give the following.

COROLLARY 3.7. *Let  $A$  be a divisible subgroup of  $\mathbb{R}$  with  $1 \in A$ , and let  $D$  be any  $\mathbb{Q}$ -vector space complement of  $A$  in  $\mathbb{R}$ . Then any  $P = P(C_{00}, A, (D, \varphi))$  is  $a$ -closed and  $nL(P, \alpha) = \mathbb{R} - A$ .*

QUESTION 3.8 ([C2, p. 217, open question 4]). *Suppose that  $G$  is a sub- $\ell$ -group of a product  $H$  of reals which satisfies*

- (\*) *if  $0 < g \in G$ , then  $r < g < s$  for some  $0 < r, s \in \mathbb{R} \leq H$ .*

*Must the sub- $\ell$ -ring of  $H$  generated by  $G$  be  $HA$ ?*

In general, (\*) implies  $G$  is  $HA$ . If  $G$  has strong unit,  $HA$  implies (\*) for any such  $G \leq H$ , as is easily seen from Sections 1 and 2 here.

The answer to Question 3.8 is “No”: Let  $P = P(C_{00}, \mathbb{Q}, (D, \varphi))$  with  $D = \mathbb{Q} \cdot \sqrt{2}$ . Here  $P \leq C(\alpha\mathbb{N}) \leq \mathbb{R}^{\mathbb{N} \cup \{\alpha\}} = H$ , and the sub- $\ell$ -ring of  $H$  generated by  $P$  is the sub- $\ell$ -ring of  $C(\alpha\mathbb{N})$  generated by  $P$ . Call this  $\rho P$ . By Theorem 2.3,  $\rho P$  is  $HA$  if and only if it has open zero-sets. But there is  $b = \varphi(\sqrt{2}) \in P$  so  $2 - b^2 \in \rho P$ , but  $Z(2 - b^2)$  is not open since  $b^2$  cannot be 2 on a neighborhood of  $\alpha$ .

**4. The  $\Psi$ -groups.** As noted in the Abstract, the following progressively more pointed questions have been asked by Conrad, and Anderson and Conrad.

QUESTION 4.1. *Suppose that  $G$  is  $a$ -closed.*

- (1) *Is  $G/I$   $a$ -closed for every ideal  $I$ ?*
- (2) *Is  $G/P$   $a$ -closed for every minimal prime  $P$ ?*

- (3) If  $G$  is also  $HA$ , is  $G/M$   $a$ -closed for every maximal  $M$ , i.e., is  $G/M = \mathbb{R}$ ?

Question (1) is from [C1, p. 153]; its converse is obviously true. Questions (2) and (3) are from [AC, p. 227]. The converse to (2) is Corollary I of [AC, p. 226], so the converse to (3) also holds.

We give the answer “No” to Question 4.1(3), so all these answers are “No”, taking aim at the issue by analyzing, for (divisible)  $HA$   $\ell$ -groups with strong unit  $G$ , the mechanics of  $a$ -extendibility in terms of permissible enlargements of the  $G/M_p$ , one point  $p$  at a time. We make another construction to produce various  $a$ -closed  $G$  for which various  $G/M_p$  are various proper subgroups of  $\mathbb{R}$ .

CONSTRUCTION 4.2. Let  $X$  be an index set, and  $\{G_x \mid x \in X\}$  a set of archimedean  $\ell$ -groups with strong unit. Let  $Y_x = YG_x$  for  $x \in X$ , and let  $Y = \alpha \sum \{Y_x \mid x \in X\}$  be the one-point compactification of the disjoint union:  $Y = \{\alpha\} \cup \sum Y_x$ , in which  $U \subseteq \sum Y_x$  is open if and only if each  $U \cap Y_x$  is open in  $Y_x$ , and every neighborhood of  $\alpha$  contains all but finitely many  $Y_x$ . Let  $1 \in A \leq \mathbb{R}$ , construed as constant functions on  $Y$ , or on any  $Y_x$ , and suppose that  $A \leq G_x$  for each  $x$  for simplicity.  $\Psi = \Psi(\{G_x\}, A)$  denotes the  $\ell$ -subgroup of  $C(Y)$  generated by the weak product  $\prod^\omega G_x$  and the constant functions from  $A$ .

It is easy to see that, for  $f \in C(Y)$ , we have  $f \in \Psi$  if and only if there is a finite set  $F \subseteq X$  and  $a \in A$  such that  $f|_{Y_x} \in G_x$  for  $x \in F$  and  $f$  is constantly  $a$  on  $Y - \sum \{Y_x \mid x \in F\}$ . Note that, for  $g \in G_x$ , the group  $\Psi$  contains the function which is  $g$  on  $Y_x$  and 0 elsewhere; we denote this by  $g\chi(Y_x)$ . Clearly, for the divisible hull,  $d\Psi = \Psi(\{dG_x\}, dA)$ . Thus  $\Psi = \prod^\omega G_x + A \leq C(Y)$  is archimedean with strong unit 1,  $Y\Psi = Y$  by Theorem 1.2(c), and evidently: for  $p \in Y_x$ ,  $\Psi/M_p = G_x/M_p$ , and  $\Psi/M_\alpha = A$ .

$\Psi(\{G_x\}, \mathbb{Z})$  is the “unital version” of  $\prod^\omega G_x$ .

Note that the  $\Psi$ -groups are a partial generalization of the  $P$ -groups of §3:  $\Psi(\{\mathbb{R}_n\}, A) = P(\prod^\omega \mathbb{R}_n, A, (\emptyset, \emptyset))$ . We could complete the generalization by adding a  $(D, \varphi)$  in the data for  $\Psi$  and we shall do that if a purpose develops.

Recall that a function  $f \in C(X)$  is *locally constant at*  $p \in X$  if there is a neighborhood of  $p$  on which  $f$  is constant. In the following definition,  $\delta$  is always a real number. Recall also that given  $G$  and  $p \in YG$  we have  $G/M_p = \{\delta \mid \exists g \in G (g(p) = \delta)\}$ . We then define the analogous sets:

$$\begin{aligned} L(G, p) &= \{\delta \mid \exists g \in G \text{ locally constant at } p(g(p) = \delta)\}, \\ nL(G, p) &= \{\delta \mid \exists g \in G \text{ not locally constant at } p(g(p) = \delta)\} \\ nL(G) &= \bigcup \{nL(G, p) \mid p \in YG\}. \end{aligned}$$

Observe that  $nL(\Psi) = \bigcup \{nL(G_x) \mid x \in X\}$ .

We establish criteria for  $\Psi$  to be  $HA$ .

PROPOSITION 4.3.  $\Psi(\{G_x\}, A)$  is  $HA$  if and only if for each  $x \in X$ ,  $G_x$  is  $HA$  and  $nL(G_x) \cap A = \emptyset$ .

*Proof.* Suppose  $\Psi$  is  $HA$ . Restriction  $\Psi \ni f \mapsto f|_{Y_x} \in G_x$  is a surjective homomorphism, so  $G_x$  is  $HA$ . This implies  $nL(G_x) \cap A = \emptyset$  since  $A \subseteq L(G_x, p)$  for each  $p \in Y_x$ .

Conversely, if  $g \in \Psi$ , then  $g = \sum \{g_x \chi(Y_x) \mid x \in F\} + a$  for a finite set  $F$ . If  $a \neq 0$ , then  $Z(g) = \bigcup \{Z(g_x) \mid x \in F\}$  and this is open. If  $a = 0$ , then  $Z(g) = \bigcup \{Z(g_x) \mid x \in F\} \cup (\bigcup \{Y_x \mid x \notin F\})$ , which is open. By Corollary 2.6,  $\Psi$  is  $HA$ . ■

The following outlines properties of  $a$ -extensions of  $\Psi$ , when it is  $HA$ , based on the action of adjoining certain values. Let

$$\begin{aligned} Ad(G, p) &= \{\delta \mid \delta \notin G/M_p, \exists G \leq_a H \ (\delta \in H/M_p)\}, \\ AdL(G, p) &= \{\delta \mid \delta \notin G/M_p, \exists G \leq_a H \ (\delta \in L(H, p))\}, \\ AdnL(G, p) &= \{\delta \mid \delta \in G/M_p, \exists G \leq_a H \ (\delta \in nL(H, p))\}. \end{aligned}$$

We now examine  $Ad(G, p) = AdL(G, p) \cup AdnL(G, p)$ , by examining the pieces separately.

THEOREM 4.4. Let  $p \in YG$ , and let  $\delta$  be a real number such that  $\delta \notin G/M_p$ . These are equivalent:

- (a)  $\delta \in AdL(G, p)$ .
- (b) There is  $U \in \text{clop}(YG)$  with  $p \in U$ , and there is  $G \leq_a H$  with  $\delta\chi(U) \in H$ .
- (c) There is  $U \in \text{clop}(YG)$  with  $p \in U$  for which  $\delta \notin nL(G, x)$  for each  $x \in U$ , i.e.,  $U \cap \{x \mid \delta \in nL(G, x)\} = \emptyset$ .

*Proof.* (a) $\Leftrightarrow$ (b) follows by noticing that, via Corollary 1.4, we get:  $\delta \in L(G, p)$  if and only if there is  $U \in \text{clop}(YG)$  with  $p \in U$ , and  $\delta\chi(U) \in G$ .

(a) $\Rightarrow$ (c). If  $G \leq_a H$  and  $\delta \in L(H, p)$ , then  $\delta \notin nL(H, p) \supseteq nL(G, p)$  by the note above.

(c) $\Rightarrow$ (b). Assuming (b), let  $H = \langle G + \mathbb{Z} \cdot \delta\chi(U) \rangle$ . We use Corollary 2.6 to see that  $G \leq_a H$ . Consider  $Z = Z(g + z\delta\chi(U))$ . We have  $Z = (Z \cap U) \cup (Z \cap (YG - U))$ , and we show that each piece is open. The second,  $Z \cap (YG - U) = Z(g) \cap (YG - U)$ , is open since  $Z(g)$  is open since  $G$  is  $HA$ , and  $U$  is closed. Now  $Z \cap U = \{x \in U \mid g(x) = -z\delta\}$ . So, if  $x \in Z \cap U$ , then  $g$  is locally constant at  $x$  since  $\delta \notin nL(G, x)$  and  $G$  is divisible, and there a is clopen  $V \ni x$  with  $g = -z\delta$  on  $V$ , so  $V \subseteq Z \cap U$ . Thus  $Z \cap U$  is open. ■

LEMMA 4.5. Suppose  $\Psi = \Psi(\{G_x\}, A)$  is  $HA$ . If  $\Psi \leq_a H$ , then for each  $x$ ,  $G_x \leq_a H|_{Y_x}$ . Conversely, if  $G_x \leq_a H_x$  for each  $x$ , then  $\Psi(\{G_x\}, A) \leq_a \Psi(\{H_x\}, A)$ .

*Proof.* The notation makes sense since  $YH_x = YG_x$  for each  $x$ . The first assertion is because  $G \leq_a H$  implies  $G/I \cap G \leq_a H/I$  for each ideal  $I$ . Conversely, if  $h = \sum \{h_x \chi(Y_x) \mid x \in F\} + a$ , and for each  $x \in F$  we have  $g_x \sim_a h_x$ , then  $\sum \{g_x \chi(Y_x) \mid x \in F\} \sim_a h$ . ■

PROPOSITION 4.6. (a) *Let  $G$  be HA. Then  $G$  is  $a$ -closed if and only if  $Ad(G, p) = \emptyset$  for each  $p \in YG$ .*

(b) *Suppose  $\Psi = \Psi(\{G_x\}, A)$  is HA. Then  $\Psi$  is  $a$ -closed if and only if each  $G_x$  is  $a$ -closed and  $Ad(\Psi, \alpha) = \emptyset$ .*

*Proof.* (a) “ $\Rightarrow$ ” is clear. Conversely, let  $G \leq_a H$  and  $h \in H$ . Since all  $Ad(G, p)$  are empty, for each  $p$  there is  $g_p \in G$  with  $g_p(p) = h(p)$ . Since  $H$  is HA,  $h - g_p$  is constant on a clopen  $U_p$  containing  $p$ , which means  $h\chi(U_p) = g\chi(U_p) \in G$ , by Theorem 1.3. By compactness, there is a finite  $F$  with  $\bigcup \{U_p \mid p \in F\} = YG$ . Then  $h = \bigvee_{p \in F}$  and  $g\chi(U_p) \in G$ .

(b) By (a),  $\Psi$  is  $a$ -closed if and only if  $Ad(\Psi, p) = \emptyset$  for each  $p \in Y$ . For  $p \in Y_x$ , we have  $Ad(\Psi, p) = Ad(G_x, p)$  using Lemma 4.5. The result follows. ■

We need the following well known lemma:

LEMMA 4.7.  *$X$  be compact zero-dimensional. For  $Z \subseteq X$ , the following are equivalent:  $Z \in \mathcal{ZC}(X)$ ;  $Z$  is a closed  $G_\delta$ -set;  $Z$  is a countable intersection of clopen sets.*

THEOREM 4.8. *Given  $G, p \in YG$ , and real  $\delta \notin G/M_p$ :*

- (I) *If  $\delta \in AdnL(G, p)$ , then there is a zero-set  $Z$  with  $p \in \partial Z$  with  $\text{int } Z \cap \{x \mid \delta \in nL(G, x)\} = \emptyset$  and  $\partial Z \cap \{x \mid \delta \in L(G, x)\} = \emptyset$ .*
- (II) *If there is a zero-set  $Z$  with  $p \in \partial Z$  with  $\text{int } Z \cap \{x \mid \delta \in nL(G, x)\} = \emptyset$  and  $\partial Z \cap \{x \mid \delta \in G/M_x\} = \emptyset$ , then  $\delta \in AdnL(G, p)$ .*

*Proof.* (I) Suppose  $\delta \in AdnL(G, p)$ , so  $\delta \notin G/M_p$  and there is  $G \leq_a H$  with  $h \in H$  for which  $h(p) = \delta$  and  $h$  is not locally constant at  $p$ . Let  $Z = \{x \mid h(x) = \delta\}$ . This is a zero-set and clearly for  $x \in Z$ :

- (a)  $x \in \text{int } Z$  if and only if  $h$  is locally constant at  $x$  and then  $\delta \in L(H, x)$ ;
- (b)  $x \in \partial Z$  if and only if  $h$  is not locally constant at  $x$  and then  $\delta \in nL(H, x)$ .

By (b),  $p \in \partial Z$ . Since  $nL(G, x) \subseteq nL(H, x)$  and  $nL(H, x) \cap L(H, x) = \emptyset$ , we see that  $\text{int } Z \cap \{x \mid \delta \in nL(G, x)\} = \emptyset$  from (a). Since  $L(G, x) \subseteq L(H, x)$  and  $nL(H, x) \cap L(H, x) = \emptyset$ , we get  $\partial Z \cap \{x \mid \delta \in L(G, x)\} = \emptyset$  from (b).

(II) Let  $Z$  be as described. By Lemma 4.7,  $YG - Z = \bigcup_n U_n$  for  $U_n \in \text{clop}(YG)$ . Let  $K_n = U_n - \bigcup_{i < n} U_i$ . These are disjoint and clopen,  $\bigcup K_n = YG - Z$  and  $YG = \bigcup K_n \cup Z$ . Let  $(r_n)$  be a sequence of rational numbers with  $r_n \rightarrow \delta$ . Define  $b \in C(YG)$  as  $b(x) = r_n$  for  $x \in K_n$  and  $b(x) = \delta$  for  $x \in Z$ . Then  $Z = \{x \mid b(x) = \delta\}$ , and again for  $x \in Z$ :

- (a)  $x \in \text{int } Z$  if and only if  $b$  is locally constant at  $x$ ;
- (b)  $x \in \partial Z$  if and only if  $b$  is not locally constant at  $x$ .

Let  $H = \langle G + \mathbb{Z} \cdot b \rangle$ . We shall use Corollary 2.6 to show that  $H$  is  $HA$  so that  $G \leq_a H$ . It follows that  $\delta \in nL(H, p)$ , so  $\delta \in \text{Adn}L(G, p)$ .

Let  $g \in G$  and  $z \in \mathbb{Z}$ . We show that  $E = Z(g + zb)$  is open. Now  $E = E_1 \cup E_2 \cup E_3$ , where  $E_1 = \bigcup (K_n \cup E)$ ,  $E_2 = \text{int } Z \cap E$ , and  $E_3 = \partial Z \cap E$ . The set  $E_1$  is open since  $K_n \cap E = K_n \cap \{x \mid g(x) = -zr_n\}$  is open.  $E_2$  is open: since  $\text{int } Z \cap \{x \mid \delta \in nL(G, x)\} = \emptyset$ , it is also the case that  $\text{int } Z \cap \{x \mid -z\delta \in nL(G, x)\} = \emptyset$  since  $G$  is divisible. Thus, if  $x \in E_2$  then there is a neighborhood  $V$  of  $x$  on which  $g = -z\delta$  and  $x \in V \cap \text{int } Z \subseteq E_2$ . Finally,  $E_3 = \emptyset$  since  $\partial Z \cap \{x \mid \delta \in G/M_x\} = \emptyset$  and hence  $\partial Z \cap \{x \mid -z\delta \in G/M_x\} = \emptyset$  since  $G$  is divisible. ■

It is not difficult to construct examples illustrating the gap between the conditions in Theorem 4.8(I) and (II). We omit this.

So we can focus on  $\text{Ad}(\Psi, \alpha)$ . Keeping Theorem 4.4 in mind, note that if  $\alpha \in U \subseteq Y$ , then  $U$  contains a clopen set containing  $\alpha$  if and only if there is a finite  $F$  such that  $U \supseteq \bigcup \{Y_x \mid x \notin F\}$ ;  $U$  contains a zero-set containing  $\alpha$  if and only if there is countable  $F$  with  $U \supseteq \bigcup \{Y_x \mid x \notin F\}$  by Lemma 4.7.

PROPOSITION 4.9. *Suppose  $\Psi = \Psi(\{G_x\}, A)$  is  $HA$  with  $X$  uncountable.*

- (a)  $\delta \in \text{Ad}L(\Psi, \alpha)$  if and only if there is finite a  $F \subseteq X$  for which  $x \notin F$  implies  $\delta \notin nL(G_x)$ .
- (b)  $\delta \in \text{Adn}L(\Psi, \alpha)$  if and only if there is a countable  $F \subseteq X$  for which  $x \notin F$  implies  $\delta \notin nL(G_x)$ .

*Proof.* (a)  $nL(\Psi, \alpha) = \emptyset$  and for  $p \in Y_x$ ,  $nL(\Psi, p) = nL(G_x, p)$ . So the condition says that  $U = \bigcup \{Y_x \mid x \notin F\}$  satisfies Theorem 4.4(c).

(b) We make the following obvious, but useful, observation: If  $G$  is  $HA$  and  $T \subseteq YG$  is closed, then  $\text{int } T \cap \{x \mid \delta \in nL(G, x)\} = \emptyset$  if and only if  $T \cap \{x \mid \delta \in nL(G, x)\} \subseteq \partial T$  and  $\partial T \cap \{x \mid \delta \in L(G, x)\} = \emptyset$  if and only if  $T \cap \{x \mid \delta \in L(G, x)\} \subseteq \text{int } T$ .

Consider this observation for  $Z$  a zero-set of  $Y$  containing  $\alpha$ . Here  $\partial Z = \{\alpha\}$ ,  $L(\Psi, \alpha) = A$  and  $nL(\Psi, \alpha) = \emptyset$ . So for  $\delta \notin A$ , we see that  $\partial Z \cap \{x \mid \delta \in \Psi/M_x\} = \emptyset$ , thus  $\partial Z \cap \{x \mid \delta \in nL(\Psi, x)\} = \emptyset$  and the conditions in the two parts of the observation each reduce to:  $\text{int } Z \cap \{x \mid \delta \in nL(\Psi, x)\} = \emptyset$ . The condition in (b) says that for  $Z_0 = \bigcup \{Y_x \mid x \notin F\}$  we have  $Z_0 \cap \{x \mid \delta \in nL(\Psi, x)\} = \emptyset$ . Now apply Theorem 4.8. ■

COROLLARY 4.10. *Let  $\Psi = \Psi(\{G_x\}, A)$  be  $HA$  with  $X$  uncountable. Then  $\text{Ad}(\Psi, \alpha) = \emptyset$  if and only if for each  $\delta \notin A$  [for each countable  $F \subseteq X$ , there is  $x \notin F$  with  $\delta \in nL(G_x)$ ].*

*Proof.* The condition bracketed is the negation of the condition in Proposition 4.9(b), which implies the negation of the condition in Proposition 4.9(a). ■

**COROLLARY 4.11.** *Let  $G_0$  be  $HA$ , let  $X$  be uncountable and for each  $x \in X$  let  $G_x = G_0$ . Let  $\Psi = \Psi(\{G_x\}, A)$ . Then  $\Psi$  is  $HA$  and  $Ad(\Psi, \alpha) = \emptyset$  if and only if  $\mathbb{R} - A = nL(G_0)$ .*

Such  $G_0$  are given in Corollary 3.7.

**EXAMPLE 4.12.** Let  $A$  be any divisible subgroup of  $\mathbb{R}$  containing 1. There is an  $a$ -closed  $\Psi_A$  that is  $HA$  with strong unit, with a maximal ideal  $M$  for which  $\Psi_A/M = A$ .

Such a  $\Psi_A$  is a  $\Psi$  in Corollary 4.11, with  $M = M_\alpha$  using  $G_0 = P(\{C_{00}\}, A, (D, \varphi)) \leq C(\alpha\mathbb{N})$  from §3, with a  $\varphi$  for which  $A \oplus D = \mathbb{R}$ . Now  $G_0$  is  $a$ -closed by Corollary 3.6, so  $\Psi_A$  is  $a$ -closed by Proposition 4.6(b) and Corollary 4.11. As noted in Corollary 3.7,  $nL(G_0, \alpha_0) = \mathbb{R} - A$  (writing  $\alpha\mathbb{N} = \mathbb{N} \cup \{\alpha_0\}$  to avoid confusion).

For  $\Psi_A$  in Example 4.12,  $\Psi_A/M_p = \mathbb{R}$  for every  $p \neq \alpha$ , and  $\Psi_A/M_\alpha = A$ . Of course, more complicated situations can be constructed. We content ourselves with just one more level of complexity.

**EXAMPLE 4.13.** Let  $A$  be any divisible subgroup of  $\mathbb{R}$  with  $1 \in A$  and let  $m$  be any uncountable cardinal number. Let  $\alpha D(m)$  be the one-point compactification of the discrete space of cardinal  $m$ . There is an  $a$ -closed  $\Psi = \Psi_{A,m}$ , that is  $HA$  with strong unit, for which  $Y\Psi$  contains a copy of  $\alpha D(m)$  as a nowhere dense subset with  $\Psi/M_p = A$  for each  $p \in \alpha D(m)$ :

Let  $\Psi = \Psi(\{H_i \mid i < m\}, A)$  with each  $H_i$  given by the  $\Psi_A$  of Example 4.12. Here,  $nL(H_i) = \mathbb{R} - A$  and since  $nL(\Psi_A) = \mathbb{R} - A$ , we see that  $\Psi$  is  $a$ -closed by Proposition 4.6(b) and Corollary 4.10. We have  $Y\Psi = \{\alpha\} \cup \sum YH_i$  and  $\Psi/M_\alpha = A$ . Also  $YH_i = \{\alpha_i\} \cup \sum(\cdot)$  and  $H_i/M_{\alpha_i} = A$ . The desired copy of  $\alpha D(m)$  is  $\{\alpha\} \cup \{\alpha_i \mid i < m\}$ .

With reference to “nowhere dense”, it is easy to show that: there is an  $a$ -closed  $G$  for which  $\{p \in YG \mid G/M_p \neq \mathbb{R}\}$  has interior if and only if there is  $a$ -closed  $H$  for which  $\{p \in YH \mid H/M_p = \mathbb{R}\} = \emptyset$  where  $G$  and  $H$  are  $HA$  with strong unit. We do not know if such  $G, H$  exist.

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*Received 7 March 2002;  
in revised form 24 February 2004*