

## MAD families with strong combinatorial properties

by

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**Abstract.** In his paper in *Fund. Math.* 178 (2003), Miller presented two conjectures regarding MAD families. The first is that CH implies the existence of a MAD family that is also a  $\sigma$ -set. The second is that under CH, there is a MAD family concentrated on a countable subset. These are proved in the present paper.

**1. Introduction.** Let  $[\omega]^\omega$  denote the infinite subsets of the natural numbers  $\omega$ . Two sets  $a, b \in [\omega]^\omega$  are *almost disjoint* if  $a \cap b$  is finite. A family  $\mathcal{A} \subseteq [\omega]^\omega$  is *almost disjoint* if all its members are pairwise almost disjoint, and *maximal almost disjoint* (a *MAD family*, for short) if for all  $x \in [\omega]^\omega$ ,  $a \cap x$  is infinite for some  $a \in \mathcal{A}$ . In our work we construct MAD families with additional strong topological properties.

We think of MAD families as sets of reals and, accordingly, we identify elements of  $[\omega]^\omega$  with their characteristic functions, i.e., with elements of  $2^\omega$  which are not eventually 0. Conversely, we usually equate  $a \in 2^\omega$  with the corresponding set  $\{i \in \omega : a(i) = 1\}$ .

An uncountable set of reals  $X \subseteq 2^\omega$  is a *Q-set* if every subset of  $X$  is a relative  $G_\delta$  set, and a  *$\sigma$ -set* if every relative Borel subset is a relative  $G_\delta$  set, i.e., for all Borel  $B \subseteq 2^\omega$  there is a  $G_\delta$  set  $G \subseteq 2^\omega$  such that  $B \cap X = G \cap X$ . Every *Q-set* is a  *$\sigma$ -set*. Miller [8, Theorem 1] proved it is consistent with ZFC that there is a MAD *Q-set*. Such a set necessarily has size less than  $\mathfrak{c} = |2^\omega|$ . A modification of his argument showed it is consistent

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that there is a MAD  $\sigma$ -set of size  $\mathfrak{c}$  where  $\mathfrak{c}$  can be arbitrary [8, Theorem 3]. The existence of a MAD  $\sigma$ -set is a  $\Sigma_1^2$  sentence. Thus, by Woodin's  $\Sigma_1^2$  absoluteness (see [3, Theorem 3.2.1]) which asserts that if  $\kappa$  is a measurable Woodin cardinal, CH holds and  $\mathbb{P}$  is a forcing notion of size less than  $\kappa$  then any  $\Sigma_1^2$  sentence true in  $V^{\mathbb{P}}$  is also true in  $V$ , Miller deduced that there is a MAD  $\sigma$ -set under CH + there is a measurable Woodin cardinal [8, Remark on p. 279]. Accordingly he conjectured such a set could be constructed under CH alone [8, Conjecture 4]. We prove this is indeed the case.

**THEOREM 1.** *CH implies there is a MAD  $\sigma$ -set.*

Note that some assumption is necessary because there may be no  $\sigma$ -set [5, Theorem 22].

A set of reals  $X \subseteq 2^\omega$  is *concentrated* on  $Y \subseteq 2^\omega$  if for any open  $U \supseteq Y$ ,  $X \setminus U$  is at most countable. Miller [8, Theorem 5] also proved the generic MAD family adjoined by Hechler's standard forcing notion [1] is concentrated on a countable subset of itself. Using the same large cardinal considerations, he conjectured such a MAD family existed under CH [8, Conjecture 7]. We confirm this.

**THEOREM 2.** *CH implies that there exists an infinite MAD family which is concentrated on a countable subset of itself.*

Again, this is not true in ZFC alone because all concentrated sets may be countable. (The latter holds, e.g., in Laver's model for the Borel conjecture [4] because every set concentrated on a countable set has strong measure zero [6, Theorem 3.1].)

We prove Theorem 2 in Section 2 by singling out one property of the generic MAD family (of [1]) used to prove Theorem 5 of [8] and then setting up a recursive construction which preserves this property along with creating a MAD family and turning it into a concentrated set. The proof of Theorem 1 is much harder. We use a topological argument, successively creating the members of the MAD family  $\mathcal{A}$  as Cohen reals in an appropriate Polish space, roughly, the space of reals almost disjoint from previous members of  $\mathcal{A}$ , equipped with a natural topology finer than the standard topology. This approach has two advantages. First, we get maximality for free because for each real  $x$ , the set  $G_x$  of reals which have infinite intersection with  $x$  is a dense  $G_\delta$  set, even in the finer topology. Second, we guarantee that the set of reals  $V_\alpha$  on which a given Borel set  $B_\alpha$  and a generically adjoined  $G_\delta$  set  $U_\alpha$  agree is also a dense  $G_\delta$  set. Thus any later member of  $\mathcal{A}$  will belong either to both  $B_\alpha$  and  $U_\alpha$  or to neither, and  $B_\alpha \cap \mathcal{A} = U_\alpha \cap \mathcal{A}$  will follow. See Section 3 for details. In Section 4, we briefly discuss generalizations of our results under Martin's Axiom MA.

**2. A MAD family concentrated on a countable subset.** In this section, we prove Theorem 2. Assuming CH, we define a suitable MAD family inductively.

First choose an almost disjoint family  $\langle a_n : n < \omega \rangle$  with the following property:

$$(\star) \quad \forall F \subseteq \omega \text{ finite } \forall s \in 2^{<\omega} \exists n < \omega \\ (s \subseteq a_n \text{ and } \bigcup \{a_m : m \in F\} \cap a_n \subseteq |s|).$$

This technical property is a strengthening of denseness and is needed in the inductive definition of the MAD family. It is easy to construct such a family. In fact, the standard forcing for adding a countable almost disjoint family [1] generically adds  $a_n$  satisfying  $(\star)$ .

We now proceed by induction to produce  $a_\alpha$  for  $\omega \leq \alpha < \omega_1$ . Let  $\langle U_\alpha : \alpha < \omega_1 \rangle$  list all the open subsets of  $2^\omega$  that contain all of the  $a_n$ . That is,  $\{a_n : n < \omega\} \subseteq U_\alpha$  for all  $\alpha < \omega_1$ . Let  $\langle r_\alpha : \omega \leq \alpha < \omega_1 \rangle$  list all the infinite elements of  $2^\omega$ .

We construct  $a_\alpha$ ,  $\alpha \geq \omega$ , satisfying the following conditions.

- (1)  $\forall \beta < \alpha (|a_\beta \cap a_\alpha| < \aleph_0)$ .
- (2)  $\forall \beta < \alpha (a_\alpha \in U_\beta)$ .
- (3)  $\exists \beta \leq \alpha (|r_\alpha \cap a_\beta| = \aleph_0)$ .
- (4)  $\forall F \subseteq \alpha + 1$  finite  $\forall s \in 2^{<\omega} \exists n < \omega (s \subseteq a_n \text{ and } \bigcup \{a_\beta : \beta \in F\} \cap a_n \subseteq |s|)$ .

The last condition is the analogue of  $(\star)$  above. Notice that (1) and (4) hold for  $\alpha < \omega$  by construction. (Properties (2) and (3) are irrelevant for  $\alpha < \omega$ .)

We construct  $a_\alpha$  by recursively producing countably many of its initial segments  $s_j$ ,  $j < \omega$ , with  $|s_j| \geq j$  as well as finite sets  $X_j$ ,  $j < \omega$ , which will identify the  $a_\beta$  that must be avoided when we extend  $s_j$ . Let  $\langle \beta_j : j < \omega \rangle$  enumerate  $\alpha$ , and let  $\langle (t_j, F_j) : j < \omega \rangle$  list all the pairs in  $2^{<\omega} \times [\alpha]^{<\omega}$  in such a way that  $|t_j| \leq j$ . As usual,  $[s] = \{y \in 2^\omega : s \subseteq y\}$  denotes the clopen set defined by  $s \in 2^{<\omega}$ .

STAGE 0. Let  $s_0 \in 2^{<\omega}$  be such that  $[s_0] \subseteq U_{\beta_0}$ . Let  $X_0 = \{a_{\beta_0}\}$ .

STAGE  $k + 1$ . Assume that we have already defined  $s_j$  and  $X_j$  for all  $j \leq k$  such that  $[s_j] \subseteq U_{\beta_j}$  and  $a_{\beta_j} \in X_j$ . We define  $s_{k+1} \supseteq s'_{k+1} \supseteq s_k$  and  $X_{k+1} \supseteq X_k$ .

First we take care of property (2). Since  $X_k$  is finite, by the inductive hypothesis (using (4)) we can find  $n_k < \omega$  such that  $s_k \subseteq a_{n_k}$  and  $\bigcup X_k \cap a_{n_k} \subseteq |s_k|$ . Now let  $j_k \geq \max\{k + 1, |s_k|\}$  be such that  $[a_{n_k} \upharpoonright j_k] \subseteq U_{\beta_{k+1}}$ . There must be such an  $j_k$  since  $a_{n_k} \in U_{\beta_{k+1}}$ . Let  $s'_{k+1} = a_{n_k} \upharpoonright j_k$ .

Note that this will imply that once we have defined  $s_j$  for all  $j < \omega$ ,  $a_\alpha = \bigcup \{s_j : j < \omega\} \in U_{\beta_{k+1}}$ .

Next we ensure that (4) holds for finite sets containing  $\alpha$ . By the inductive hypothesis, we know that (4) holds for finite  $F \subseteq \alpha$ . Let  $F'_k = F_k \cup \{n_k\}$ . Given  $t_k$  and  $F'_k$ , there is  $i_k$  such that  $t_k \subseteq a_{i_k}$  and  $\bigcup \{a_\beta : \beta \in F'_k\} \cap a_{i_k} \subseteq |t_k|$ . To obtain  $\bigcup \{a_\beta : \beta \in F_k \cup \{\alpha\}\} \cap a_{i_k} \subseteq |t_k|$  it suffices to ensure that  $a_\alpha \cap a_{i_k} = a_{n_k} \cap a_{i_k}$ . We achieve this simply by adding  $a_{i_k}$  to  $X_k$ . Thus, let  $X_{k+1} = X_k \cup \{a_{\beta_{k+1}}\} \cup \{a_{i_k}\}$ .

If  $r_\alpha \cap a_\beta$  is infinite for some  $\beta < \alpha$ , let  $s_{k+1} = s'_{k+1}$ . If not, we need to guarantee condition (3) as well: let  $i \geq |s'_{k+1}|$  be minimal such that  $r_\alpha(i) = 1$  but  $a(i) = 0$  for all  $a \in X_{k+1}$ . There must be such an  $i$  since  $r_\alpha$  is infinite and almost disjoint from all of the elements of  $X_{k+1}$ . Let  $s_{k+1}$  be a sequence of length  $i + 1$  extending  $s'_{k+1}$  and with  $s_{k+1}(i) = 1$  and  $s_{k+1}(j) = 0$  for  $|s'_{k+1}| \leq j < i$ . Note that this will imply that  $a_\alpha = \bigcup \{s_j : j < \omega\}$  and  $r_\alpha$  will have infinite intersection.

Once we have defined  $s_j$  for all  $j < \omega$ , we let  $a_\alpha = \bigcup \{s_j : j < \omega\}$ . We must now check that each of the conditions (1)–(4) hold.

(1) By construction, for all  $k < \omega$ ,  $a_{\beta_k} \cap a_\alpha \subseteq |s_k|$  because  $a_{\beta_k} \in X_k$  and  $X_k$  is the set of reals which are avoided when extending  $s_k$ .

(2) and (3) are immediate as we observed during the induction.

(4) This follows from the fact that we put  $a_{i_k}$  into  $X_{k+1}$ . Indeed, the latter implies  $a_\alpha \cap a_{i_k} \subseteq |s'_{k+1}|$ . Also  $a_\alpha \upharpoonright j_k = s'_{k+1} = a_{n_k} \upharpoonright j_k$  where  $j_k \geq k + 1$ , and  $a_{n_k} \cap a_{i_k} \subseteq |t_k| \leq k$ . Thus  $a_\alpha \cap a_{i_k} = a_{n_k} \cap a_{i_k}$  and we remarked earlier this was exactly what was needed to ensure (4).

This completes the recursive construction of the family  $\langle a_\alpha : \alpha < \omega_1 \rangle$ . By (1), it is an almost disjoint family, by (3) it is maximal, and by (2) it is concentrated on  $\{a_n : n < \omega\}$  because for any  $\alpha < \omega_1$ ,  $\{\beta < \omega_1 : a_\beta \notin U_\alpha\} \subseteq \alpha + 1 \setminus \omega$ , which is countable. This completes the proof of Theorem 2.

### 3. CH implies there exists a MAD $\sigma$ -set

**3.1.** *The framework of the proof.* Before going into the actual combinatorial details, we describe the framework of the proof of Theorem 1.

Assume we have models  $\langle M_\alpha : \alpha < \omega_1 \rangle$  and  $\langle N_\alpha : \alpha < \omega_1 \rangle$  of ZFC such that:

- $M_\alpha \subseteq N_\alpha \subseteq M_{\alpha+1}$ ,
- $M_\alpha$  is countable in  $N_\alpha$ ,
- $\alpha$  is countable in  $M_\alpha$  and all  $N_\beta$ ,  $\beta < \alpha$ , are countable in  $M_\alpha$  (so  $\langle N_\beta : \beta < \alpha \rangle \in M_\alpha$  is countable in  $M_\alpha$ ),
- $2^\omega \subseteq \bigcup_{\alpha < \omega_1} M_\alpha = \bigcup_{\alpha < \omega_1} N_\alpha$ .

We shall build perfect Polish spaces  $(X_\alpha, \mathcal{S}_\alpha), (Y_\alpha, \mathcal{T}_\alpha)$  (where  $\mathcal{S}_\alpha, \mathcal{T}_\alpha$  denote the respective topologies) such that:

- $X_{\alpha+1} \subseteq Y_\alpha \subseteq X_\alpha,$
- $X_\alpha = \bigcap_{\beta < \alpha} X_\beta = \bigcap_{\beta < \alpha} Y_\beta = Y_\alpha$  for limit  $\alpha,$
- the topology  $\mathcal{T}_\alpha$  refines the topology  $\mathcal{S}_\alpha$  (restricted to  $Y_\alpha$ ),
- the topology  $\mathcal{S}_{\alpha+1}$  refines the topology  $\mathcal{T}_\alpha$  (restricted to  $X_\alpha$ ),
- $\mathcal{T}_\alpha = \bigcup_{\beta < \alpha} \mathcal{T}_\beta = \bigcup_{\beta < \alpha} \mathcal{S}_\beta = \mathcal{S}_\alpha$  for limit  $\alpha,$
- $Y_\alpha \in M_\alpha, X_{\alpha+1} \in N_\alpha,$
- $X_0 = Y_0 = 2^\omega$  and  $\mathcal{S}_0 = \mathcal{T}_0$  = the standard topology.

Notice that for limit  $\alpha,$  we indeed have  $Y_\alpha = \bigcap_{\beta < \alpha} X_\beta \in M_\alpha$  because  $\langle N_\beta : \beta < \alpha \rangle \in M_\alpha.$  While we are mainly interested in  $(X_\alpha, \mathcal{S}_\alpha)$  and  $(Y_\alpha, \mathcal{T}_\alpha),$  we shall often think of  $\mathcal{S}_\alpha$  and  $\mathcal{T}_\alpha$  as refining the standard topology on  $2^\omega.$

More explicitly, there will be sets  $F_\alpha^s, F_\alpha, H_\alpha^n, H_\alpha \subseteq 2^\omega$  ( $s \in 2^{<\omega}, n \in \omega$ ) such that:

- all  $F_\alpha^s, H_\alpha^n$  are closed in the standard topology,
- $F_\alpha = \bigcup_{s \in 2^{<\omega}} F_\alpha^s$  and  $H_\alpha = \bigcup_{n \in \omega} H_\alpha^n$  are  $F_\sigma$  sets,
- $X_{\alpha+1} = Y_\alpha \cap F_\alpha,$
- the topology  $\mathcal{S}_{\alpha+1}$  (on  $X_{\alpha+1}$ ) is generated by (the restriction of)  $\mathcal{T}_\alpha \cup \{F_\alpha^s : s \in 2^{<\omega}\}$  (so all the sets  $F_\alpha^s$  are made clopen).

The description of the space  $Y_{\alpha+1}$  and its topology  $\mathcal{T}_{\alpha+1}$  is somewhat more difficult. There are closed  $P_\alpha \subseteq X_{\alpha+1}$  and open  $O_\alpha \subseteq X_{\alpha+1}$  (in the topology  $\mathcal{S}_{\alpha+1}$ ) such that:

- $P_\alpha \cup O_\alpha = X_{\alpha+1},$
- $P_\alpha \cap O_\alpha = \emptyset,$
- $Y_{\alpha+1} = P_\alpha \cup (X_{\alpha+1} \cap H_\alpha) = P_\alpha \cup (O_\alpha \cap H_\alpha),$
- the topology  $\mathcal{T}_{\alpha+1}$  (on  $Y_{\alpha+1}$ ) is generated by (the restriction of)  $\mathcal{S}_{\alpha+1}$  as well as sets of the form  $F \cap H_\alpha^n$  where  $F \cap X_{\alpha+1} \subseteq O_\alpha$  and  $F$  is open in  $\mathcal{S}_{\alpha+1}$  and  $n \in \omega.$

The latter stipulation means that the family  $\mathcal{F}_{\alpha+1}$  of sets  $F \in \mathcal{S}_{\alpha+1}$  with  $F \cap X_{\alpha+1} \subseteq P_\alpha$  and of sets  $F \cap \bigcap_{j < m} H_\alpha^{n_j}$  with  $F \cap X_{\alpha+1} \subseteq O_\alpha$  and  $F \in \mathcal{S}_{\alpha+1}$  is dense in the topology  $\mathcal{T}_{\alpha+1}.$  That is,  $\mathcal{F}_{\alpha+1} \subseteq \mathcal{T}_{\alpha+1}$  and every  $F \in \mathcal{T}_{\alpha+1}$  contains a member of  $\mathcal{F}_{\alpha+1}.$

Also notice that, more generally, the topology  $\mathcal{S}_\alpha$  is generated by the standard clopen sets together with  $F_\beta^s$  ( $s \in 2^{<\omega}, \beta < \alpha$ ) and certain (*not all!*) intersections of the latter sets with sets of the form  $H_\beta^n$  ( $n \in \omega, \beta < \alpha - 1$ ), where for limit  $\alpha$  we set  $\alpha - 1 = \alpha.$  Similarly  $\mathcal{T}_\alpha$  is generated by the standard clopen sets together with  $F_\beta^s$  ( $s \in 2^{<\omega}, \beta < \alpha$ ) and some (*not all!*) intersections of the latter with  $H_\beta^n$  ( $n \in \omega, \beta < \alpha$ ).

OBSERVATION 3.1. *The spaces  $(X_\alpha, \mathcal{S}_\alpha)$  and  $(Y_\alpha, \mathcal{T}_\alpha)$  are indeed Polish.*

*Proof.* By the characterization of  $\mathcal{S}_\alpha$  directly preceding 3.1, all basic open sets of  $\mathcal{S}_\alpha$  are closed in the standard topology  $\mathcal{S}_0$ . This means that by [2, Lemmata 13.2 and 13.3],  $(X_0, \mathcal{S}_\alpha)$  is Polish. Similarly for the  $\mathcal{T}_\alpha$ . We prove by induction on  $\beta \leq \alpha$  that all  $(Z_\beta, \mathcal{U}_\alpha)$  are Polish as well where  $Z \in \{X, Y\}$  and  $\mathcal{U} \in \{\mathcal{S}, \mathcal{T}\}$  (and  $(Y_\beta, \mathcal{S}_\alpha)$  is only considered for  $\beta \leq \alpha - 1$ ).

If  $\beta = \gamma + 1$  is successor,  $(X_\beta, \mathcal{U}_\alpha)$  is Polish because  $X_\beta = Y_\gamma \cap F_\gamma$  is open in  $(Y_\gamma, \mathcal{U}_\alpha)$ . Similarly,  $P_\gamma$  is closed and  $O_\gamma \cap H_\gamma$  is open in  $(X_\beta, \mathcal{U}_\alpha)$ . So  $Y_\beta$  is  $G_\delta$  in  $(X_\beta, \mathcal{U}_\alpha)$  and thus Polish [2, Theorem 3.11] (here  $\mathcal{U} = \mathcal{T}$  in case  $\beta = \alpha$ ).

Let  $\beta$  be a limit ordinal. Since all  $(X_\gamma, \mathcal{U}_\alpha)$ ,  $\gamma < \beta$ , are Polish, the  $X_\gamma$  form a decreasing sequence of  $G_\delta$  subsets of  $(X_0, \mathcal{U}_\alpha)$ , their intersection  $X_\beta = \bigcap_{\gamma < \beta} X_\gamma$  is still such a  $G_\delta$ , and thus  $(X_\beta, \mathcal{U}_\alpha)$  is Polish [2, Theorem 3.11]. ■

We shall see below (Lemma 3.5) that all  $(X_\alpha, \mathcal{S}_\alpha)$  and  $(Y_\alpha, \mathcal{T}_\alpha)$  are also perfect.

Let  $i_n = 2^n$  and put  $I = \{i_n : n \in \omega\}$ . (In fact, the exact nature of the  $i_n$  is irrelevant; what we need is that the sequence of  $i_n$  is increasing very fast.) Clearly  $I \in M_0$ . We will have sets  $U_\alpha^n \subseteq 2^\omega$  ( $n \in \omega$ ) and  $U_\alpha$  such that:

- $U_\alpha^n = 2^\omega \setminus H_\alpha^n$  is open,
- $U_\alpha = 2^\omega \setminus H_\alpha$ , i.e.  $U_\alpha = \bigcap_{n \in \omega} U_\alpha^n$  is  $G_\delta$ ,
- each  $U_\alpha^n$  is a union of basic clopen sets  $[s_\alpha^{n,j}]$ ,  $j \in \omega$ , such that:
  - $|s_\alpha^{n,j}| \in I$ ,  $|s_\alpha^{n,j}| \geq i_{n+j}$ ,
  - for each  $k \in \omega$ , there is at most one  $s_\alpha^{n,j}$  such that  $|s_\alpha^{n,j}| = i_k$  (so  $k \geq n + j$ ),
  - if  $|s_\alpha^{n,j}| = i_k$  then there is  $l \in (i_{k-1}, i_k)$  such that  $s_\alpha^{n,j}(l) = 1$ .

**3.2.** *The MAD family  $\mathcal{A} = \{a_\alpha : \alpha < \omega_1\}$  (construction of the space  $X_{\alpha+1}$ ).* We come now to the details of the construction. We begin with the construction of the space  $X_{\alpha+1}$  and associated objects.

For each  $\alpha$  let  $a_\alpha$  be a Cohen-generic real belonging to the space  $Y_\alpha$  over the model  $M_\alpha$  in the model  $N_\alpha$  (i.e.  $M_\alpha[a_\alpha] \subseteq N_\alpha$ ). Such an  $a_\alpha$  clearly exists because  $M_\alpha$  is countable in  $N_\alpha$ .

We let  $F_\alpha^s = \{y : s \subseteq y \text{ and } (\forall l \geq |s|) (a_\alpha(l) = 1 \Rightarrow y(l) = 0)\}$ , the set of reals  $y$  which contain  $s$  as an initial segment and which are disjoint from  $A_\alpha$  beyond  $|s|$ . This is clearly closed, as required. Note also that  $F_\alpha^s \subseteq [s]$ .

Let  $F_\alpha = \bigcup_{s \in 2^{<\omega}} F_\alpha^s$ , the set of reals almost disjoint from  $a_\alpha$ . Define  $X_{\alpha+1}$  and  $\mathcal{S}_{\alpha+1}$  as stipulated earlier.

LEMMA 3.2.  *$\{a_\alpha : \alpha < \omega_1\}$  is an almost disjoint family.*

*Proof.* For  $\beta < \alpha$ ,  $F_\beta$  is the set of reals almost disjoint from  $a_\beta$ . Since  $Y_\alpha \subseteq X_\alpha \subseteq F_\beta$  by construction,  $Y_\alpha$  only contains reals almost disjoint from  $a_\beta$ . Thus  $a_\alpha$  is almost disjoint from  $a_\beta$ . ■

**OBSERVATION 3.3.** *A typical basic open set of  $(X_\alpha, \mathcal{S}_\alpha)$  is of the form  $\bigcap_{j < m_0} F_{\beta_j}^s \cap \bigcap_{j < m_1} H_{\gamma_j}^{n_j} \neq \emptyset$  ( $s \in 2^{<\omega}$ ,  $n_j \in \omega$ ,  $\beta_j < \alpha$ ,  $\gamma_j < \alpha - 1$ ). Similarly for  $(Y_\alpha, \mathcal{T}_\alpha)$ .*

*Proof.* Since  $F_\beta^s \subseteq [s]$ , there is no need to consider basic clopen sets of the standard topology, and a typical basic clopen set is of the form  $F = \bigcap_{j < m_0} F_{\beta_j}^{s_j} \cap \bigcap_{j < m_1} H_{\gamma_j}^{n_j} \neq \emptyset$ . For  $j, j' < m_0$  we must have  $s_j \subseteq s_{j'}$  or  $s_{j'} \subseteq s_j$ . Put  $s = \bigcup_{j < m_0} s_j$ . Clearly  $F \subseteq [s]$ . Since  $F_{\beta_j}^{s_j} \cap [s] \neq \emptyset$ , we must have  $F_{\beta_j}^s \subseteq F_{\beta_j}^{s_j}$ . In fact,  $F_{\beta_j}^s \cap F = F_{\beta_j}^{s_j} \cap F$ . Thus  $F = \bigcap_{j < m_0} F_{\beta_j}^s \cap \bigcap_{j < m_1} H_{\gamma_j}^{n_j}$ . ■

The following is crucial for several subsequent results (see Lemmata 3.5 and 3.6).

**LEMMA 3.4.** *Given any  $m$ ,  $\beta_j < \omega_1$  ( $j < m$ ),  $n$  and  $k$ , there is  $l \geq k$  such that  $|(i_l, i_{l+1}) \setminus \bigcup_{j < m} a_{\beta_j}| \geq n$ .*

*Proof.* This is a standard Cohen-genericity argument, using the fact that  $I \in M_0$ . Fix  $n$ . We proceed by induction on  $m$ . Let  $\beta_j$ ,  $j < m$ , be given such that  $\beta_0 < \beta_1 < \dots < \beta_{m-1}$ . Assume the statement is true for  $m - 1$  for all  $k$ . Put  $\alpha = \beta_{m-1}$ . Then  $a_{\beta_j} \in M_\alpha$  for  $j < m - 1$  and  $a_\alpha = a_{\beta_{m-1}}$  is Cohen-generic over  $M_\alpha$  in  $Y_\alpha$ . By 3.3, a typical basic open set of the topology  $\mathcal{T}_\alpha$  (equivalently, condition in the Cohen forcing) is of the form  $p = \bigcap_{j < m_0} F_{\gamma_j}^s \cap \bigcap_{j < m_1} H_{\delta_j}^{n_j} \neq \emptyset$ . Without loss of generality, we assume  $|s| \in I$ . Apply the induction hypothesis with  $k$  replaced by  $\max\{|s|, k\}$  and find  $l \geq \max\{|s|, k\}$  with  $|(i_l, i_{l+1}) \setminus \bigcup_{j < m-1} a_{\beta_j}| \geq n$ . Notice that  $|s| \leq l < i_l$ . Thus, we may strengthen the condition, replacing  $s$  by  $t \supseteq s$  such that  $|t| = i_{l+1}$  and  $t(i) = 0$  for  $i \in [l, i_{l+1})$ , to get  $q = \bigcap_{j < m_0} F_{\gamma_j}^t \cap \bigcap_{j < m_1} H_{\delta_j}^{n_j}$ . To see that this works, notice that by the definition of the  $F_{\gamma_j}^s$  and  $H_{\delta_j}^{n_j}$ , we must indeed have  $[t] \cap \bigcap_{j < m_0} F_{\gamma_j}^s \cap \bigcap_{j < m_1} H_{\delta_j}^{n_j} \neq \emptyset$ . The stronger condition  $q$  clearly forces  $|(i_l, i_{l+1}) \setminus (\bigcup_{j < m-1} a_{\beta_j} \cup \dot{a}_\alpha)| \geq n$  so we are done. ■

**LEMMA 3.5.** *All spaces  $(X_\alpha, \mathcal{S}_\alpha)$  and  $(Y_\alpha, \mathcal{T}_\alpha)$  are perfect Polish spaces.*

*Proof.* We already observed that  $\mathcal{S}_\alpha$  and  $\mathcal{T}_\alpha$  were Polish. So it suffices to show  $X_\alpha$  and  $Y_\alpha$  are perfect. Consider  $X_\alpha$ , and let  $\{\beta_j : j \in \omega\} = \alpha$ ,  $\{\gamma_j : j \in \omega\} = \alpha - 1$ . Recursively construct  $\ell_j, n_j \in \omega$  and  $s_j(\tau) \in 2^{<\omega}$  ( $\tau \in 2^j$ ) such that

- $\ell_j < \ell_{j'}$  for  $j < j'$ ,
- $|s_j(\tau)| = i_{\ell_j}$ ,

- if  $j \leq j'$  and  $\tau \subseteq \tau'$ ,  $\tau \in 2^j$ ,  $\tau' \in 2^{j'}$ , then  $s_j(\tau) \subseteq s_{j'}(\tau')$ ,  $s_{j'}(\tau') \in F_{\beta_j}^{s_j(\tau)}$  and  $s_{j'}(\tau') \in H_{\gamma_j}^{n_j}$ .

Here,  $s \in F_{\beta_j}^{s_j(\tau)}$  ( $s \in H_{\gamma_j}^{n_j}$ , respectively) means that  $s$  belongs to the tree defining the closed set  $F_{\beta_j}^{s_j(\tau)}$  ( $H_{\gamma_j}^{n_j}$ , resp.).

For  $j = 0$ , let  $\ell_0 = 0$ , choose  $s_0(\langle \rangle)$  of length  $i_0 = 2^0 = 1$  arbitrary and let  $n_0$  be such that  $s_0(\langle \rangle) \in H_{\gamma_0}^{n_0}$ .

Suppose  $\ell_j$ ,  $n_j$ , and  $s_j(\tau)$  have been defined. By Lemma 3.4, we can choose  $\ell_{j+1} > \ell_j$  such that  $|(\{i_{\ell_{j+1}-1}, i_{\ell_{j+1}}\} \setminus \bigcup_{j' \leq j} a_{\beta_{j'}})| \geq j + 2$ . Set  $A = (\{i_{\ell_{j+1}-1}, i_{\ell_{j+1}}\} \setminus \bigcup_{j' \leq j} a_{\beta_{j'}})$ . Fix  $\tau \in 2^j$ . Let  $T_\tau = \{s : s_j(\tau) \subseteq s, |s| = i_{\ell_{j+1}} \text{ and } \forall i \in |s| \setminus (|s_j(\tau)| \cup A) (s(i) = 0)\}$ . Clearly  $|T_\tau| \geq 2^{j+2}$  and  $s \in F_{\beta_{j'}}^{s_{j'}(\tau')}$  for all  $j' \leq j$ ,  $\tau' \subseteq \tau$  and all  $s \in T_\tau$ . For each  $j' \leq j$ , at most one  $s \in T_\tau$  does not belong to  $H_{\gamma_{j'}}^{n_{j'}}$ . Since  $2^{j+2} \geq j + 3$ , we can find  $s_{j+1}(\tau \cap 0), s_{j+1}(\tau \cap 1) \in T_\tau \cap \bigcap_{j' \leq j} H_{\gamma_{j'}}^{n_{j'}}$ , as required. Finally, let  $n_{j+1}$  be such that  $s_{j+1}(\tau) \in H_{\gamma_{j+1}}^{n_{j+1}}$  for all  $\tau \in 2^{j+1}$ . This completes the construction.

For  $x \in 2^\omega$ , define  $y = y_x$  by  $y \upharpoonright i_{\ell_j} = s_j(x \upharpoonright j)$  for all  $j$ . Then  $y \in \bigcap_j F_{\beta_j}^{s_j(x \upharpoonright j)} \cap \bigcap_j H_{\gamma_j}^{n_j} \subseteq \bigcap_j F_{\beta_j} \cap \bigcap_j H_{\gamma_j}$ . Thus  $\{y_x : x \in 2^\omega\} \subseteq \bigcap_j F_{\beta_j} \cap \bigcap_j H_{\gamma_j} \subseteq X_\alpha$  is a perfect set. Since  $X_{\alpha+1} \subseteq Y_\alpha$ ,  $Y_\alpha$  is perfect as well. ■

In fact, a straightforward generalization shows that if  $F \subseteq X_\alpha$  is a non-empty basic clopen set, then  $F$  contains a perfect subset. Similarly for  $Y_\alpha$ .

For  $x \in 2^\omega$  infinite (i.e.  $x \in [\omega]^\omega$ ), let

$$G_x = \{y : \text{there are infinitely many } l \text{ such that } y(l) = x(l) = 1\}.$$

This is the set of all  $y$  which have infinite intersection with  $x$ . Clearly,  $G_x$  is a  $G_\delta$  set. More explicitly,  $G_x = \bigcap_{n \in \omega} G_x^n$ , where

$$G_x^n = \{y : \exists l_0, \dots, l_{n-1} \text{ distinct such that } y(l_j) = x(l_j) = 1 \text{ for } j < n\}.$$

This is the set of all  $y$  whose intersection with  $x$  is of size at least  $n$ . Clearly, each  $G_x^n$  is dense open in the standard topology of  $2^\omega$ . So  $G_x$  is dense  $G_\delta$ .

**LEMMA 3.6.** *Assume  $x$  does not belong to the ideal generated by  $a_\beta$ ,  $\beta < \alpha$ . (That is,  $x$  is not almost contained in a finite union of  $a_\beta$ ,  $\beta < \alpha$ .) Then  $G_x^n$  is dense open in the space  $(Y_\alpha, \mathcal{T}_\alpha)$ .*

*Proof.* This is similar to the proof of Lemma 3.5. By 3.3, basic open sets of the topology  $\mathcal{T}_\alpha$  are finite intersections of the form  $\bigcap_{j < m_0} F_{\beta_j}^s \cap \bigcap_{j < m_1} H_{\gamma_j}^{n_j} \neq \emptyset$ , where  $s \in 2^{<\omega}$  and  $\beta_j, \gamma_j < \alpha$ . By extending  $s$  if necessary, we may assume  $|s| \in I$  and, by Lemma 3.4, if we let  $i_k = |s|$  then  $|(\{i_k, i_{k+1}\} \setminus \bigcup_{j < m_0} a_{\beta_j})| \geq (n+1)m_1$ . Next choose  $l_0, \dots, l_{n-1} \in x \setminus \bigcup_{j < m_0} a_{\beta_j}$  and  $l > k + 1$  with  $i_{k+1} \leq l_0 < l_1 < \dots < l_{n-1} < i_l$ . Consider the set  $T$  of all



$t \supseteq s$  with  $|t| = i_l$ ,  $t(l_j) = 1$  for all  $j < n$  and  $t(i) = 0$  for all  $i$  such that  $i \neq l_j$  ( $j < n$ ) and  $i \notin (i_k, i_{k+1}) \setminus \bigcup_{j < m_0} a_{\beta_j}$ .

Clearly,  $|T| \geq 2^{(n+1)m_1}$ . Also,  $[t] \cap \bigcap_{j < m_0} F_{\beta_j}^s \neq \emptyset$  for all  $t \in T$ . By the definition of  $H_{\gamma_j}^{n_j}$ , it is easily seen that at most  $n+1$  many  $t \in T$  do not belong to the tree defining  $H_{\gamma_j}^{n_j}$ . Hence for at most  $(n+1)m_1$  such  $t \in T$ , we may have  $[t] \cap \bigcap_{j < m_0} F_{\beta_j}^s \cap \bigcap_{j < m_1} H_{\gamma_j}^{n_j} = \emptyset$ . Since  $2^{(n+1)m_1} > (n+1)m_1$ , we can find  $t \in T$  such that  $[t] \cap \bigcap_{j < m_0} F_{\beta_j}^s \cap \bigcap_{j < m_1} H_{\gamma_j}^{n_j} \neq \emptyset$ . Clearly,  $[t] \subseteq G_x^n$ . Thus,  $\bigcap_{j < m_0} F_{\beta_j}^t \cap \bigcap_{j < m_1} H_{\gamma_j}^{n_j} \subseteq G_x^n$  and we are done. ■

**COROLLARY 3.7.**  $\mathcal{A} = \{a_\alpha : \alpha < \omega_1\}$  is a MAD family.

*Proof.* Let  $x \in 2^\omega$ . We need to show that there is an  $\alpha < \omega_1$  such that  $|x \cap a_\alpha| = \aleph_0$ . Without loss of generality, we may assume that  $x$  does not belong to the ideal generated by the  $a_\alpha$ . (Otherwise, the proof is trivial.) Find  $\alpha$  such that  $x \in M_\alpha$ . By the previous lemma,  $G_x^n$  is dense open in  $(Y_\alpha, \mathcal{T}_\alpha)$  for all  $n \in \omega$ . Since  $a_\alpha \in Y_\alpha$  is Cohen-generic over  $M_\alpha$ , it follows immediately that  $a_\alpha \in G_x^n$  for all  $n \in \omega$ . Thus,  $a_\alpha \in \bigcap_{n \in \omega} G_x^n = G_x$ . Hence,  $|a_\alpha \cap x| = \aleph_0$ . ■

**3.3.** The  $G_\delta$  sets  $U_\alpha$  witnessing that  $\mathcal{A}$  is a  $\sigma$ -set (construction of  $Y_{\alpha+1}$ ).

We now consider the second part of the construction: the construction of the space  $Y_{\alpha+1}$  and its associated objects.

Assume we have a list  $\langle B_\alpha : \alpha < \omega_1 \rangle$  of all Borel sets such that  $B_\alpha \in N_\alpha$ . In  $N_\alpha$ ,  $B_\alpha \cap X_{\alpha+1}$  has the property of Baire (because it is Borel) in the space  $(X_{\alpha+1}, \mathcal{S}_{\alpha+1})$ . Therefore there are disjoint sets  $P_\alpha$  and  $O_\alpha$  with  $P_\alpha$  closed and  $O_\alpha$  open, such that  $P_\alpha \cup O_\alpha = X_{\alpha+1}$  and  $B_\alpha \cap P_\alpha$  is comeager, while  $B_\alpha \cap O_\alpha$  is meager. Let  $P_\alpha^n, O_\alpha^n$  be decreasing sequences of open sets in  $(X_{\alpha+1}, \mathcal{S}_{\alpha+1})$  such that  $P_\alpha^0 = \text{int}(P_\alpha)$ ,  $O_\alpha^0 = O_\alpha$ ,  $P_\alpha^n \subseteq P_\alpha^0$  is dense,  $O_\alpha^n \subseteq O_\alpha$  is dense,  $\bigcap_{n \in \omega} P_\alpha^n \subseteq B_\alpha$ , and  $\bigcap_{n \in \omega} O_\alpha^n \cap B_\alpha = \emptyset$ .

The forcing  $\mathbb{P}$  consists of finite consistent sets  $p$  of conditions of the form:

- $(n, a_\beta)$  where  $\beta \leq \alpha$  and  $a_\beta \notin B_\alpha$ ,
- $(n, s)$  where  $s \in 2^{<\omega}$  and  $|s| \in I$ ,
- $(n, F)$  where  $F$  is a typical basic clopen subset of  $\mathcal{S}_{\alpha+1}$  (see Observation 3.3),

such that:

- if  $(n, s) \in p$  and  $|s| = i_l \in I$  then there is  $i \in (i_{l-1}, i_l)$  such that  $s(i) = 1$ ,
- for each  $i \in I$  and  $n \in \omega$  there is at most one  $s$  with  $(n, s) \in p$  and  $|s| = i$ ,
- if  $(n, s) \in p$  and  $|s| = i_l \in I$  then  $l \geq n$ ,
- if  $(n, a_\beta) \in p$  then  $(n, a_\beta \upharpoonright m) \notin p$  for all  $m$ ,

- if  $(n, F) \in p$  then  $F \cap X_{\alpha+1} \subseteq P_\alpha^n$ ,
- if  $(n, F) \in p$  then there is  $s$  such that  $F \subseteq [s]$  and  $(n, s) \in p$ .

The ordering  $\leq$  is by extension. That is,  $q \leq p \Leftrightarrow q \supseteq p$ . This is a modification of Silver's standard forcing notion for turning a given set into a relative  $G_\delta$  (see [7, Section 5], see also [8]).

$\mathbb{P}$  is a countable forcing notion in  $N_\alpha$ . (Recall that  $M_\alpha$  is countable in  $N_\alpha$  and so is  $M_\alpha[a_\alpha]$ , which contains  $X_{\alpha+1}$  etc.)

Let us first check that we can always extend conditions appropriately.

LEMMA 3.8. *Assume  $a_\beta \notin B_\alpha$  and  $p \in \mathbb{P}$ . Then there are  $n \in \omega$  and  $q \leq p$  such that  $(n, a_\beta) \in q$ .*

*Proof.* Choose  $n$  sufficiently large that no  $(n, s)$  appears in  $p$  and let  $q = p \cup \{(n, a_\beta)\}$ . ■

LEMMA 3.9. *Assume  $a_\beta \in B_\alpha$ ,  $p \in \mathbb{P}$  and  $n \in \omega$ . Then there are  $m \in \omega$  and  $q \leq p$  such that  $(n, a_\beta \upharpoonright m) \in q$ .*

*Proof.* First choose  $m_0$  sufficiently large that:

- $a_\beta \upharpoonright m_0 \neq a_\gamma \upharpoonright m_0$  for all  $\gamma$  such that  $(n, a_\gamma) \in p$ ,
- $m_0 \geq |s|$  for all  $s$  with  $(n, s) \in p$ ,
- $m_0 \geq i_n$ .

Then find  $i_{l-1} < i < i_l$  with  $m_0 \leq i_{l-1}$  such that  $a_\beta(i) = 1$ . (This is possible because  $I \in M_0$  and such  $i \notin I$  must exist by Cohen-genericity.) Let  $m = i_l$  and  $q = p \cup \{(n, a_\beta \upharpoonright m)\}$ . Clearly, all the requirements are satisfied. ■

LEMMA 3.10. *Assume  $F \cap X_{\alpha+1} \subseteq P_\alpha^n$  is non-empty open (in the sense of  $(X_{\alpha+1}, \mathcal{S}_{\alpha+1})$ ) and  $p \in \mathbb{P}$ . Then there are  $\emptyset \neq H \subseteq F$  and  $q \leq p$  such that  $(n, H) \in q$ .*

*Proof.* Shrinking  $F$  if necessary, we may assume without loss that  $a_\beta \notin F$  for all  $\beta$  with  $(n, a_\beta) \in p$ . Again choose  $m_0$  such that

- $[a_\beta \upharpoonright m_0] \cap F = \emptyset$  for all  $\beta$  such that  $(n, a_\beta) \in p$ ,
- $m_0 \geq |s|$  for all  $s$  with  $(n, s) \in p$ ,
- $m_0 \geq i_n$ .

Then find  $i_{l-1} < i < i_l$  with  $m_0 \leq i_{l-1}$  and  $t \in 2^{<\omega}$  with  $t(i) = 1$ ,  $|t| = i_l$  and  $F \cap [t] \neq \emptyset$  (in  $X_{\alpha+1}$ ). The argument showing there is such a  $t$  is similar to, but easier than, the proof of Lemma 3.6. Let  $H = F \cap [t]$  and let  $q = p \cup \{(n, t), (n, H)\}$ . It is easy to see that  $q$  is indeed a condition and that  $q \leq p$ . ■

Let  $G$  be  $\mathbb{P}$ -generic over  $N_\alpha$  with  $G \in M_{\alpha+1}$  (so  $N_\alpha[G] \subseteq M_{\alpha+1}$ ). Such a  $G$  clearly exists because  $N_\alpha$  is countable in  $M_{\alpha+1}$ .

Set

$$U_\alpha^n = \bigcup \{[s] : \exists p \in G ((n, s) \in p)\}, \quad H_\alpha^n = 2^\omega \setminus U_\alpha^n.$$

Clearly,  $U_\alpha^n$  is open in  $2^\omega$  and  $H_\alpha^n$  is closed in  $2^\omega$ . Also,  $U_\alpha = \bigcap_{n \in \omega} U_\alpha^n$  is a  $G_\delta$  set and  $H_\alpha = \bigcup_{n \in \omega} H_\alpha^n$  is an  $F_\sigma$  set (in the standard topology). It is immediate from the definition of the partial order  $\mathbb{P}$  that the  $U_\alpha^n$  and  $H_\alpha^n$  satisfy all the stipulations required earlier.

Also set

$$V_\alpha^n = \left( \bigcup \{F : \exists p \in G ((n, F) \in p)\} \cap X_{\alpha+1} \right) \cup (O_\alpha^n \cap H_\alpha)$$

and let  $V_\alpha = \bigcap_n V_\alpha^n$ .

Finally, as stipulated earlier,

$$Y_{\alpha+1} = P_\alpha \cup (X_{\alpha+1} \cap H_\alpha) = P_\alpha \cup (O_\alpha \cap H_\alpha)$$

and  $\mathcal{T}_{\alpha+1}$  is the topology generated by  $\mathcal{S}_{\alpha+1}$  and by sets of the form  $F \cap H_\alpha^n$  where  $F \cap X_{\alpha+1} \subseteq O_\alpha$ ,  $F \in \mathcal{S}_{\alpha+1}$ .

COROLLARY 3.11.  $\forall \beta \leq \alpha (a_\beta \in U_\alpha \Leftrightarrow a_\beta \in B_\alpha)$ .

*Proof.*  $(\Rightarrow)$  This follows by Lemma 3.8.

$(\Leftarrow)$  This follows by Lemma 3.9. ■

LEMMA 3.12. *All  $V_\alpha^n$  are dense open in  $(Y_{\alpha+1}, \mathcal{T}_{\alpha+1})$ . Consequently,  $V_\alpha$  is dense  $G_\delta$  in  $(Y_{\alpha+1}, \mathcal{T}_{\alpha+1})$ .*

*Proof.* For  $(n, F) \in p$  with  $p \in G$ ,  $F \cap X_{\alpha+1}$  is open in  $\mathcal{S}_{\alpha+1}$  and thus in  $\mathcal{T}_{\alpha+1}$ . Also all  $O_\alpha^n \cap H_\alpha^m$ ,  $m \in \omega$ , are open in  $\mathcal{T}_{\alpha+1}$ . Hence  $V_\alpha^n$  is indeed open in  $Y_{\alpha+1}$ .

Therefore it suffices to show that the  $V_\alpha^n$  are dense. Let  $F \in \mathcal{T}_{\alpha+1}$  be non-empty. We need to show  $V_\alpha^n \cap F \neq \emptyset$ . Without loss of generality, we may assume  $F \cap X_{\alpha+1} \subseteq P_\alpha$  or  $F \cap X_{\alpha+1} \subseteq O_\alpha$ . In the first case, we must have  $F \in \mathcal{S}_{\alpha+1}$ , by definition of  $\mathcal{T}_{\alpha+1}$ . By further shrinking  $F$  if necessary, we may assume  $F \cap X_{\alpha+1} \subseteq P_\alpha^n$ . By Lemma 3.10 and genericity, there is a non-empty  $H \subseteq F$ ,  $H \in \mathcal{S}_{\alpha+1}$ , such that  $H \cap X_{\alpha+1} \subseteq V_\alpha^n$ . Thus  $V_\alpha^n \cap F \neq \emptyset$ .

Therefore we may assume  $F \cap X_{\alpha+1} \subseteq O_\alpha$ . Then  $F = F' \cap \bigcap_{j < m} H_\alpha^{n_j}$  where  $F' \in \mathcal{S}_{\alpha+1}$  with  $F' \cap X_{\alpha+1} \subseteq O_\alpha$ .

Work in the model  $N_\alpha$ , and assume  $p \in \mathbb{P}$  forces  $F' \cap \bigcap_{j < m} \dot{H}_\alpha^{n_j} \neq \emptyset$ .

By 3.3,  $F' = \bigcap_{j < m_0} F_{\beta_j}^s \cap \bigcap_{j < m_1} H_{\gamma_j}^{k_j}$  with  $\beta_j < \alpha + 1$  and  $\gamma_j < \alpha$ . Without loss of generality  $|s| \in I$ . Since  $F' \subseteq [s]$ , we must have  $(n_j, s') \notin q$  for any  $j < m$ ,  $s' \subseteq s$  and  $q \leq p$ . (This means that for each such  $(n_j, s')$  with  $|s'| = i_\ell \in I$ , either  $\ell < n_j$  or  $(n_j, t) \in p$  for some  $t \neq s'$  with  $|t| = i_\ell$  or  $s' \upharpoonright (i_{\ell-1}, i_\ell) = 0$  or  $(n_j, a_\beta) \in p$  for some  $\beta$  with  $s' \subseteq a_\beta$ . Otherwise  $q = p \cup \{(n_j, s')\} \leq p$ , a contradiction.)

Let  $s_0 \supseteq s$ ,  $|s_0| \in I$ , be such that  $s_0(i) = 0$  for all  $i$  with  $|s| \leq i < |s_0|$  and  $|s_0| \geq |s'|$  for all  $s'$  with  $(n_j, s') \in p$  for some  $j$ . By the definition of  $\mathbb{P}$ ,  $p$  still forces  $[s_0] \cap F' \cap \bigcap_{j < m} \dot{H}_\alpha^{n_j} \neq \emptyset$ . (The point here is that no  $(n_j, s')$  with  $s' \subseteq s_0$  and  $|s| < |s'| \leq |s_0|$  can belong to any  $q \leq p$ .)

Since  $O_\alpha^n \subseteq O_\alpha$  is open dense (in the topology  $\mathcal{S}_{\alpha+1}$ ), we may find  $\emptyset \neq H' = \bigcap_{j < m_2} F_{\beta_j}^{s_1} \cap \bigcap_{j < m_3} H_{\gamma_j}^{k_j} \subseteq [s_0] \cap F'$  with  $H' \cap X_{\alpha+1} \subseteq O_\alpha^n$  where  $m_2 \geq m_0$ ,  $m_3 \geq m_1$ , and  $s_0 \subseteq s_1$ . Without loss of generality  $|s_1| \in I$ . Now strengthen  $p$  to  $q$  by adding appropriate conditions of the form  $(n_j, s')$  with  $s' \not\subseteq s_1$ ,  $|s'| \in I$ ,  $|s_0| < |s'| \leq |s_1|$  so as to guarantee that  $(n_j, s') \notin r$  for any  $j < m$ ,  $s' \subseteq s_1$  and  $r \leq q$ . This means that  $q$  forces  $H' \cap \bigcap_{j < m} \dot{H}_\alpha^{n_j} \neq \emptyset$ .

So, in the generic extension, we have  $\emptyset \neq H' \cap \bigcap_{j < m} H_\alpha^{n_j} \cap Y_{\alpha+1} \subseteq F \cap O_\alpha^n \cap H_\alpha = F \cap V_\alpha^n$ . This completes the proof of Lemma 3.12. ■

**COROLLARY 3.13.**  $V_\alpha \cap P_\alpha \subseteq U_\alpha \cap B_\alpha$ .

*Proof.* Clearly  $V_\alpha^n \cap P_\alpha \subseteq P_\alpha^n$  by definition of the forcing. Since  $\bigcap_{n \in \omega} P_\alpha^n \subseteq B_\alpha$ , it follows that  $V_\alpha \cap P_\alpha = \bigcap_{n \in \omega} (V_\alpha^n \cap P_\alpha) \subseteq B_\alpha$ . The definition of the forcing also gives  $V_\alpha^n \cap P_\alpha \subseteq U_\alpha^n$ . Thus,  $V_\alpha \cap P_\alpha \subseteq U_\alpha$ . ■

**COROLLARY 3.14.**  $(V_\alpha \cap O_\alpha) \cap (B_\alpha \cup U_\alpha) = \emptyset$ .

*Proof.* It is immediate from the definition that  $V_\alpha \cap O_\alpha = (\bigcap_{n \in \omega} O_\alpha^n) \cap H_\alpha \subseteq H_\alpha$ . Since  $U_\alpha = 2^\omega \setminus H_\alpha$ , it follows that  $(V_\alpha \cap O_\alpha) \cap U_\alpha = \emptyset$ . Also,  $\bigcap_{n \in \omega} O_\alpha^n \cap B_\alpha = \emptyset$  so  $(V_\alpha \cap O_\alpha) \cap B_\alpha = \emptyset$ . ■

**COROLLARY 3.15.**  $V_\alpha$  is dense  $G_\delta$  in  $(Y_{\alpha+1}, \mathcal{T}_{\alpha+1})$  such that for all  $x \in V_\alpha$ ,  $x \in U_\alpha \Leftrightarrow x \in B_\alpha$ .

*Proof.* This is immediate from Lemma 3.12 and Corollaries 3.13 and 3.14. ■

The point for having this result is that if we add  $x$  to  $Y_{\alpha+1}$  by Cohen forcing (e.g. if we add  $a_{\alpha+1}$ ) then  $x$  belongs to  $U_\alpha$  if and only if it belongs to  $B_\alpha$ . So we can hope that Corollary 3.11 also holds for  $\beta > \alpha$ . However, for this we need that the denseness of  $V_\alpha$  is preserved along the construction.

**LEMMA 3.16.** For all  $n \in \omega$ ,  $\beta < \alpha$ ,  $V_\beta^n \cap Y_\alpha$  is dense open in  $(Y_\alpha, \mathcal{T}_\alpha)$  and  $V_\beta^n \cap X_{\alpha+1}$  is dense open in  $(X_{\alpha+1}, \mathcal{S}_{\alpha+1})$ .

*Proof.* Fix  $\beta$  and  $n$ . The proof is by induction on  $\alpha$ .

*Basic step:*  $\alpha = \beta + 1$ . Then  $V_\beta^n \cap Y_\alpha = V_\beta^n$  and the claim for  $Y_\alpha$  follows from Lemma 3.12.

For  $X_{\alpha+1}$ , argue as follows. Let  $s \in 2^{<\omega}$  and let  $F = \bigcap_{j < m_0} F_{\gamma_j}^s \cap \bigcap_{j < m_1} H_{\delta_j}^{n_j} \neq \emptyset$  be a basic clopen set in  $(Y_\alpha, \mathcal{T}_\alpha)$  where  $\gamma_j, \delta_j < \alpha$  (see 3.3). Assume  $|s| \in I$ . We need to show that  $F \cap F_\alpha^s \cap V_\beta^n \cap X_{\alpha+1} \neq \emptyset$ .

Work in the model  $M_\alpha$ . Let  $p = \bigcap_{j < k_0} F_{\epsilon_j}^t \cap \bigcap_{j < k_1} H_{\zeta_j}^{l_j} \neq \emptyset$  be a condition in the Cohen forcing in the space  $(Y_\alpha, \mathcal{T}_\alpha)$ . Assume  $|t| \in I$ . We need to find a stronger condition  $q \leq p$  forcing that  $F \cap \dot{F}_\alpha^s \cap V_\beta^n \cap \dot{X}_{\alpha+1} \neq \emptyset$ .

If  $|s| \geq |t|$  then let  $s_0 = s$ . Otherwise, define  $s_0$  as follows. Extend  $s$  to  $s_0$  with  $|s_0| = |t|$  and  $s_0(i) = 0$  for all  $i$  with  $|s| \leq i < |s_0|$ . Notice that by

definition of the  $F_{\gamma_j}^s$  and  $H_{\delta_j}^{n_j}$ , we must have  $[s_0] \cap \bigcap_{j < m_0} F_{\gamma_j}^s \cap \bigcap_{j < m_1} H_{\delta_j}^{n_j} \neq \emptyset$ . That is,  $\bigcap_{j < m_0} F_{\gamma_j}^{s_0} \cap \bigcap_{j < m_1} H_{\delta_j}^{n_j}$  is still basic open in  $(Y_\alpha, \mathcal{T}_\alpha)$ .

Since  $V_\beta^n \cap Y_\alpha$  is dense open in  $Y_\alpha$  (Lemma 3.12), we may find  $\emptyset \neq H = \bigcap_{j < m_2} F_{\gamma_j}^{s_1} \cap \bigcap_{j < m_3} H_{\delta_j}^{n_j} \subseteq [s_0] \cap F$  with  $H \cap Y_\alpha \subseteq V_\beta^n \cap Y_\alpha$  where  $m_2 \geq m_0$ ,  $m_3 \geq m_1$  and  $s_0 \subseteq s_1$ . Assume  $|s_1| \in I$ .

Extend  $t$  to  $t_1$  with  $|t_1| = |s_1|$  such that  $t_1(i) = 0$  for all  $i$  with  $|t| \leq i < |t_1|$ . Again by the definition of the  $F_{\epsilon_j}^t$  and  $H_{\zeta_j}^{l_j}$ ,  $q = [t_1] \cap p = \bigcap_{j < k_0} F_{\epsilon_j}^{t_1} \cap \bigcap_{j < k_1} H_{\zeta_j}^{l_j} \neq \emptyset$  is a condition strengthening  $p$ . Clearly,  $q$  forces  $H \cap \dot{F}_\alpha^{s_1} \neq \emptyset$ . Since  $t_1(i) = 0$  for  $|t| \leq i < |t_1|$ ,  $q$  also forces  $\dot{F}_\alpha^{s_1} \subseteq \dot{F}_\alpha^{s_0}$ . Furthermore, since  $s_0(i) = 0$  for  $|s| \leq i < |s_0|$ ,  $q$  forces  $\dot{F}_\alpha^{s_0} \subseteq \dot{F}_\alpha^s$ .

So, in the generic extension, we have  $\emptyset \neq H \cap F_\alpha^{s_1} \cap X_{\alpha+1} \subseteq F \cap F_\alpha^s \cap V_\beta^n \cap X_{\alpha+1}$ . This completes the basic step.

*Induction step (successor):*  $\alpha = \alpha_0 + 1$ . First deal with  $Y_\alpha = Y_{\alpha_0+1}$ . We assume  $V_\beta^n \cap X_\alpha$  is dense open in  $(X_\alpha, \mathcal{S}_\alpha)$ . Let  $F = F' \cap \bigcap_{j < m} H_{\alpha_0}^{n_j}$  where  $F' \in \mathcal{S}_\alpha$ . Work in the model  $N_{\alpha_0}$  and repeat the second part of the argument of the proof of Lemma 3.12 with  $O_\alpha^n$  replaced by  $V_\beta^n$ .

We leave the details to the reader.

The induction step for  $X_{\alpha+1} = X_{\alpha_0+2}$  is like the basic step.

*Induction step (limit):*  $\alpha$  is a limit ordinal. Then  $X_\alpha = Y_\alpha = \bigcap_{\gamma < \alpha} X_\gamma = \bigcap_{\gamma < \alpha} Y_\gamma$ . If  $F$  is a basic clopen in  $(X_\alpha, \mathcal{S}_\alpha)$  then by construction there is  $\gamma < \alpha$  such that  $F$  is basic clopen in  $(X_\gamma, \mathcal{S}_\gamma)$ . Thus, there is a basic clopen  $H \subseteq F$  with  $\emptyset \neq H \cap X_\gamma \subseteq F \cap V_\beta^n \cap X_\gamma$  by the induction hypothesis. Now simply notice that  $H \cap X_\alpha \neq \emptyset$  (see Lemma 3.5 and the comment after its proof), so we are done.

This completes the proof of Lemma 3.16. ■

**COROLLARY 3.17.**  $\forall \alpha, \beta < \omega_1 (a_\beta \in U_\alpha \Leftrightarrow a_\beta \in B_\alpha)$ .

*Proof.* For  $\beta \leq \alpha$  this is simply Corollary 3.11. So assume  $\beta > \alpha$ . Fix  $n$ . By Lemma 3.16,  $V_\alpha^n \cap Y_\beta$  is dense open in  $Y_\beta$ . Since  $a_\beta$  is Cohen-generic in  $Y_\beta$  over  $M_\beta$ ,  $a_\beta \in V_\alpha^n$  follows. Thus,  $a_\beta \in \bigcap_{n \in \omega} V_\alpha^n = \dot{V}_\alpha$ . By Corollary 3.15,  $a_\beta \in U_\alpha \Leftrightarrow a_\beta \in B_\alpha$ . ■

**COROLLARY 3.18.**  $\mathcal{A} = \{a_\alpha : \alpha < \omega_1\}$  is a  $\sigma$ -set.

*Proof.* By Corollary 3.17,  $\mathcal{A} \cap B_\alpha = \mathcal{A} \cap U_\alpha$  for all  $\alpha < \omega_1$ . Since for every  $\alpha < \omega_1$ ,  $U_\alpha$  is a  $G_\delta$  set, we conclude that every Borel set is a relative  $G_\delta$  and we are done. ■

**4. Generalizations.** Theorem 2 can be generalized under the assumption that a large enough fragment of Martin's axiom MA holds. Say a set of

reals  $X \subseteq 2^\omega$  is  $\mathfrak{c}$ -concentrated on  $Y \subseteq 2^\omega$  if for any open  $U \supseteq Y$ , we have  $|X \setminus U| < \mathfrak{c}$  (see [6]).

**THEOREM 4.1.** *Assume MA( $\sigma$ -centered). Then there is an infinite MAD family which is  $\mathfrak{c}$ -concentrated on a countable subset of itself.*

*Sketch of proof.* This is like the proof of Theorem 2 in Section 2, but we need to replace the recursive construction of the  $a_\alpha$  by a forcing argument.

As before, we assume  $(\star)$  for  $\langle a_n : n \in \omega \rangle$  and construct  $a_\alpha$ ,  $\alpha \geq \omega$ , satisfying conditions (1) through (4). At stage  $\alpha$ , we consider the p.o.  $\mathbb{R}$  which consists of pairs  $\langle s, X \rangle$  where  $s \in 2^{<\omega}$  and  $X \subseteq \{a_\beta : \beta < \alpha\}$  is finite, ordered by  $\langle t, Y \rangle \leq \langle s, X \rangle$  if  $t \supseteq s$ ,  $Y \supseteq X$ , and  $t(i) = 0$  for all  $|s| \leq i < |t|$  with  $i \in \bigcup X$ . This is the standard  $\sigma$ -centered forcing notion for adding a set almost disjoint from all  $a_\beta$ ,  $\beta < \alpha$ . The arguments in the proof of Theorem 2 now translate to density arguments which show that, if the generic  $a_\alpha$  meets all relevant dense sets, then it will satisfy conditions (1) through (4). Thus, using MA( $\sigma$ -centered), the construction can be carried out. ■

We do not know whether Theorem 1 can be generalized as well.

**CONJECTURE 4.2.** *Assume MA( $\sigma$ -centered). Then there is a MAD  $\sigma$ -set.*

The approach taken in Section 3 does not seem to generalize easily: if  $\alpha \geq \omega_1$ , the spaces  $(X_\alpha, \mathcal{S}_\alpha)$  and  $(Y_\alpha, \mathcal{T}_\alpha)$  would not be second-countable (and thus not Polish) anymore, and while this does not affect much Subsections 3.1 and 3.2 (Cohen forcing would have to be replaced by the  $\sigma$ -centered partial order  $\mathbb{Q}$  consisting of conditions of the form  $p = \bigcap_{j < m_0} F_{\beta_j}^s \cap \bigcap_{j < m_1} H_{\gamma_j}^{n_j} \neq \emptyset$ , of course), it does affect the argument at the beginning of Subsection 3.3: there we used the fact that  $B_\alpha \cap X_{\alpha+1}$  has the property of Baire in the Polish space  $(X_{\alpha+1}, \mathcal{S}_{\alpha+1})$ .

Also we do not know to what extent the assumption MA( $\sigma$ -centered) can be weakened in Theorem 4.1.

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