## Borsuk's quasi-equivalence is not transitive

by

## Andrzej Kadlof (Warszawa), Nikola Koceić Bilan (Split) and Nikica Uglešić (Split)

**Abstract.** Borsuk's quasi-equivalence relation on the class of all compacta is considered. The open problem concerning transitivity of this relation is solved in the negative. Namely, three continua X, Y and Z lying in  $\mathbb{R}^3$  are constructed such that X is quasi-equivalent to Y and Y is quasi-equivalent to Z, while X is not quasi-equivalent to Z.

**1. Introduction.** In [2] K. Borsuk defined a certain relation on the class  $c\mathcal{M}$  of all (metrizable) compacta, called quasi-equivalence and denoted by  $\stackrel{q}{\simeq}$ . Let us recall its definition (in the original terms of fundamental sequences; see [1]).

Consider any two compacta X and Y lying in AR-spaces M and N respectively, and a neighbourhood V of Y in N. Two fundamental sequences  $\underline{f} = \{f_k, X, Y\}_{M,N}, \ \underline{f}' = \{f'_k, X, Y\}_{M,N}$  are said to be V-homotopic (notation:  $\underline{f} \simeq \underline{f}'$ ) if there exists a neighbourhood  $U_0$  of X in M such that  $f_k|U_0 \simeq f'_k|U_0$  in V for almost all k. (If V is open, then the condition reduces to  $f_k|X \simeq f'_k|X$  in V for almost all k.)

Let, in addition, U be a neighbourhood of X in M. Then X and Y are said to be (U, V)-equivalent in M, N (notation:  $X \simeq U_{(U,V)} Y$ ) if there exist two fundamental sequences  $\underline{f} = \{f_k, X, Y\}_{M,N}, \underline{g} = \{g_k, Y, X\}_{N,M}$  such that  $\underline{gf} \simeq \underline{i}_{X,M}$  and  $\underline{fg} \simeq \underline{i}_{Y,N}$ , where  $\underline{i}_{X,M}$  (resp.  $\underline{i}_{Y,N}$ ) is the fundamental identity sequence for X in M (resp. Y in N).

Further, Borsuk defined X and Y to be *quasi-equivalent in* M, N (notation:  $X \stackrel{q}{\simeq} Y$  in M, N) if  $X \underset{(U,V)}{\simeq} Y$  for every neighbourhood U of X in M and every neighbourhood V of Y in N. After proving that the choice of

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the ambient AR-spaces M and N is immaterial, he defined X and Y to be *quasi-equivalent* (notation:  $X \stackrel{q}{\simeq} Y$ ) if  $X \stackrel{q}{\simeq} Y$  in some M, N.

Borsuk proved that quasi-equivalence is a shape invariant relation and that it is strictly coarser than shape type, i.e.

$$(X \stackrel{q}{\simeq} Y) \land (h(X) = h(X')) \land (\operatorname{Sh}(Y) = \operatorname{Sh}(Y')) \Rightarrow X' \stackrel{q}{\simeq} Y';$$
  

$$\operatorname{Sh}(X) = \operatorname{Sh}(Y) \Rightarrow X \stackrel{q}{\simeq} Y;$$
  

$$(\exists X, Y) \ (X \stackrel{q}{\simeq} Y) \land (\operatorname{Sh}(X) \neq \operatorname{Sh}(Y)).$$

For instance, all infinite 0-dimensional compacta are quasi-equivalent ([2, Theorem (6.3)]), while their shape types coincide with the topological types ([4, Theorem 20]). Further, in the case of compact ANR's, quasi-equivalence reduces to shape type, and hence to homotopy type. One should also mention that quasi-equivalence preserves some important shape invariants (Betti numbers, movability; [2, Theorems (10.3), (11.1)]). However, it has remained unknown whether quasi-equivalence is indeed an equivalence relation. Specifically, Borsuk stated the following question ([2, Problem (7.13)]): "Is the relation of quasi-equivalence transitive?".

A few months ago, the third named author found by chance an old unpublished manuscript of the first named author, containing a certain example intended to show that quasi-equivalence is not transitive. Unfortunately, an analysis by the second named author showed that the proof was incorrect. However, there was a strong feeling that the example might be appropriate. In this paper we provide a correct proof by using the same example (only the notation is slightly changed).

Thus, Borsuk's quasi-equivalence relation is not transitive because there exist continua X, Y and Z, lying in the Euclidian space  $\mathbb{R}^3$ , such that X is quasi-equivalent to Y and Y is quasi-equivalent to Z, while X is not quasi-equivalent to Z.

2. Preliminaries. The preliminary step in our considerations is to characterize  $\stackrel{q}{\simeq}$  in terms of the Mardešić–Segal shape category (see [8]).

Recall the inv-category  $\operatorname{HTop}^{\mathbb{N}}$  (see [6]). The objects are all inverse sequences  $\boldsymbol{X} = (X_i, [p_{ii'}]), \, \boldsymbol{Y} = (Y_j, [q_{jj'}]), \ldots$  of topological spaces with the homotopy classes of mappings as bonding arrows, while the morphisms  $\boldsymbol{f} :$  $\boldsymbol{X} \to \boldsymbol{Y}$  are of the form  $\boldsymbol{f} = (f, [f_j])$ , where  $f : \mathbb{N} \to \mathbb{N}$  and  $f_j : X_{f(j)} \to Y_j$ ,  $j \in \mathbb{N}$ , are such that for every pair  $j \leq j'$  there exists an  $i \geq f(j), f(j')$ satisfying

$$[f_j][p_{f(j)i}] = [q_{jj'}][f_{j'}][p_{f(j')i}].$$

The composition of  $f: X \to Y$  and  $g = (g, [g_k]): Y \to Z$  is the morphism

$$\boldsymbol{h} \equiv \boldsymbol{g}\boldsymbol{f} = (fg, [g_k f_{g(k)}]) : \boldsymbol{X} \to \boldsymbol{Z},$$

while the identity morphism on X is  $1_X = (1_N, [1_{X_i}])$ . With the natural equivalence relation  $f \simeq f'$ , i.e. for every j there exists an  $i \ge f(j), f'(j)$  such that

$$[f_j][p_{f(j)i}] = [f'_j][p_{f'(j)i}],$$

one obtains the corresponding quotient category  $\operatorname{HTop}^{\mathbb{N}}/\simeq$ , i.e. the procategory tow-HTop. The class of a morphism  $\boldsymbol{f}$  is denoted by  $[\boldsymbol{f}]$ . Recall that every class  $[\boldsymbol{f}]$  admits a *special* representative  $\boldsymbol{f}'$ , which means that for every pair  $j \leq j'$ ,

$$[f'_j][p_{f'(j)f'(j')}] = [q_{jj'}][f'_{j'}].$$

The quotient (sub)category HcANR<sup>N</sup>/ $\simeq$  is the full subcategory tow-HcANR of tow-HTop (the terms  $X_i, Y_j, \ldots$  of its inverse sequences are compact ANR's). It represents the Mardešić–Segal shape category Sh of compact metrizable spaces (see [6, Chap. I]). Namely,

$$Ob(Sh) = Ob(c\mathcal{M}), \quad Sh(X,Y) \approx tow-HcANR(X,Y),$$

where X, Y are any compact ANR-sequences associated with X, Y respectively, i.e.  $X = H\underline{X}$  and  $Y = H\underline{Y}$ , where  $\lim \underline{X} = X$  and  $\lim \underline{Y} = Y$ , and H denotes the passage from an inverse sequence to the inverse sequence consisting of the same terms and of the homotopy classes of the given bonding mappings. For such a pair X, Y, the set tow-HcANR(X, Y) represents Sh(X, Y).

It is a well-known fact ([5]; [1, Chap. IX]) that the Borsuk and Mardešić– Segal shape theories for compact are equivalent. The following definitions and facts can be found in [8].

DEFINITION 1. Let  $\boldsymbol{f} = (f, [f_j]), \boldsymbol{f}' = (f', [f'_j]) : \boldsymbol{X} \to \boldsymbol{Y}$  be morphisms of inverse sequences, and let  $s \in \mathbb{N}$ . Then  $\boldsymbol{f}$  is said to be *s*-homotopic to  $\boldsymbol{f}'$ , denoted by  $\boldsymbol{f} \simeq_s \boldsymbol{f}'$ , provided for every  $j \in [1, s]_{\mathbb{N}}$  there exists an  $i_j \geq f(j), f'(j)$  such that

$$[f_j][p_{f(j)i_j}] = [f'_j][p_{f'(j)i_j}].$$

Observe that  $\boldsymbol{f} \simeq \boldsymbol{f}'$  if and only if  $\boldsymbol{f} \simeq_s \boldsymbol{f}'$  for every  $s \in \mathbb{N}$ .

Lemma 1.

- (i) For every  $s \in \mathbb{N}$ , the relation  $\simeq_s$  is an equivalence relation on each set  $\operatorname{HTop}^{\mathbb{N}}(\boldsymbol{X}, \boldsymbol{Y})$ .
- (ii) For every pair s ≤ s', f ≃<sub>s'</sub> f' implies f ≃<sub>s</sub> f'. Moreover, for every s ∈ N, the relation ≃<sub>s</sub> is natural from the right in the category HTop<sup>N</sup>, i.e. for every h : W → X, f ≃<sub>s</sub> f' implies fh ≃<sub>s</sub> f'h. On the other hand, if g : Y → Z, then f ≃<sub>s</sub> f' implies gf ≃<sub>t</sub> gf' whenever g[[1,t]<sub>N</sub>] ⊆ [1,s]<sub>N</sub>.

DEFINITION 2. Let X and Y be compact ANR-sequences. Then X is said to be *quasi-equivalent* to Y, denoted by  $X \stackrel{q}{\simeq} Y$ , provided, for every  $n \in \mathbb{N}$ , there exist morphisms  $f : X \to Y$  and  $g : Y \to X$  such that  $gf \simeq_n 1_X$  and  $fg \simeq_n 1_Y$ .

LEMMA 2. The relation  $\stackrel{q}{\simeq}$  is isomorphism (i.e. shape) invariant in tow-HcANR.

*Proof.* Let  $X \stackrel{q}{\simeq} Y$  and let  $X \cong X'$  in tow-HcANR. By definition, there exist sequences of maps  $f^n : X \to Y$  and  $g^n : Y \to X$  satisfying  $g^n f^n \simeq_n 1_X$  and  $f^n g^n \simeq_n 1_Y$ ,  $n \in \mathbb{N}$ . Further, there exist morphisms  $u : X \to X'$  and  $v : X' \to X$  such that  $vu \simeq 1_X$  and  $uv \simeq 1_{X'}$ . Notice that

$$(\forall m \in \mathbb{N})(\exists s_m \ge m) \quad u[[1,m]_{\mathbb{N}}] \subseteq [1,s_m]_{\mathbb{N}}.$$

For each m, let

 $oldsymbol{v}^m\equivoldsymbol{f}^{s_m}oldsymbol{v}:oldsymbol{X}' ooldsymbol{Y}$  and  $oldsymbol{u}^m\equivoldsymbol{u}oldsymbol{g}^{s_m}:oldsymbol{Y} ooldsymbol{X}'.$ 

Now, according to Lemma 1,

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Thus,  $\mathbf{X}' \stackrel{q}{\simeq} \mathbf{Y}$ . In the same way one proves that  $\mathbf{X} \stackrel{q}{\simeq} \mathbf{Y}$  and  $\mathbf{Y} \cong \mathbf{Y}'$  imply  $\mathbf{X} \stackrel{q}{\simeq} \mathbf{Y}'$ . Therefore,  $\stackrel{q}{\simeq}$  is an isomorphism invariant relation in the category tow-HcANR.

In order to compare Borsuk's quasi-equivalence on compact to the new relation  $\stackrel{q}{\simeq}$  on Ob(tow-HcANR), we shall prove the following lemma:

LEMMA 3. Let X and Y be compact in the Hilbert cube Q, and let  $\underline{X} = (X_i, p_{ii'})$  and  $\underline{Y} = (Y_j, q_{jj'})$  be any associated inclusion compact ANR-sequences respectively. Let  $\underline{g} = \{g_k, X, Y\}$  and  $\underline{g}' = \{g'_k, X, Y\}$  be fundamental sequences (in Q) and let  $\mathbf{f} = (f, [f_j])$  and  $\mathbf{f}' = (f', [f'_j])$  be morphisms of HcANR<sup>N</sup>( $\mathbf{X}, \mathbf{Y}$ ), where  $\mathbf{X} = H\underline{X}$  and  $\mathbf{Y} = H\underline{Y}$ . If  $\underline{g} = \{g_k, X, Y\}$  and  $\mathbf{f} = (f, [f_j])$  as well as  $\underline{g}' = \{g'_k, X, Y\}$  and  $\mathbf{f}' = (f', [f'_j])$  are related, then

- (i) for every  $n \in \mathbb{N}$  there exists a neighbourhood V of Y in Q such that  $\underline{g} \simeq \underline{g}'$  implies  $\mathbf{f} \simeq_n \mathbf{f}'$ ;
- (ii) for every neighbourhood V of Y in Q there exists an  $n \in \mathbb{N}$  such that  $\mathbf{f} \simeq_n \mathbf{f}'$  implies  $\underline{g} \simeq \underline{g}'$ .

*Proof.* In this case "to be related" means (see [5] or [1, IX.4])

$$\begin{split} f_j &= g_{f(j)} | X_{f(j)} : X_{f(j)} \to Y_j, \quad j \in \mathbb{N}, \\ g_i | X_{f(j)} &\simeq g_{f(j)} | X_{f(j)} \quad \text{in } Y_j, \, i \geq f(j), \end{split}$$

and, similarly,

$$\begin{aligned} f'_{j} &= g'_{f'(j)} | X_{f'(j)} : X_{f'(j)} \to Y_{j}, \quad j \in \mathbb{N}, \\ g'_{i} | X_{f'(j)} &\simeq g'_{f'(j)} | X_{f'(j)} \quad \text{in } Y_{j}, \, i \geq f'(j). \end{aligned}$$

Moreover, we may assume that the index functions f and f' are increasing. Now, for (i), if an  $n \in \mathbb{N}$  is given, choose  $V = Y_n$ . Then choose a  $U_0 \supseteq X$ in Q coming from  $\underline{g} \simeq \underline{g}'$ , and an  $i_0 \in \mathbb{N}$  such that  $X_{i_0} \subseteq U_0$ . Let  $i_n = \max\{f(n), f'(n), i_0\}$ . By choosing  $i_j = i_n$  for every  $j \in [1, n]_{\mathbb{N}}$ , the relation  $\boldsymbol{f} \simeq_n \boldsymbol{f}'$  is established. Further, for (ii), if a  $V \supseteq Y$  in Q is given, choose the minimal  $n \in \mathbb{N}$  such that  $Y_n \subseteq V$ . Let  $i_0 \in \mathbb{N}$  be the maximum of all  $i_j$ coming from  $\boldsymbol{f} \simeq_n \boldsymbol{f}'$ . Then  $\underline{g} \simeq \underline{g}'$  is realized via  $U_0 = X_{i_0}$ .

THEOREM 1. Let X and Y be compact and let X and Y be compact ANR-sequences associated with X and Y respectively. Then

$$X \stackrel{q}{\simeq} Y \Leftrightarrow \boldsymbol{X} \stackrel{q}{\simeq} \boldsymbol{Y}.$$

Consequently, X and Y are quasi-equivalent,  $X \stackrel{q}{\simeq} Y$ , if and only if, for every  $n \in \mathbb{N}$ , there exist morphisms  $f^n : X \to Y$  and  $g^n : Y \to X$  such that  $g^n f^n \simeq_n 1_X$  and  $f^n g^n \simeq_n 1_Y$ .

*Proof.* Recall that every compact metrizable space is, up to homeomorphism, the intersection of a decreasing sequence of compact ANR-neighbourhoods in the Hilbert cube. Further, recall (see [5] or [1, IX.4]) that every fundamental sequence  $\underline{g} = \{g_k, X, Y\}$  admits a related morphism  $f: X \to Y$  and vice versa. According to Lemma 3, since Borsuk's quasiequivalence is shape invariant,  $X \stackrel{q}{\simeq} Y$  implies that there exist countable families  $(f^{(n,n')})$  and  $(g^{(n,n')}), (n,n') \in \mathbb{N} \times \mathbb{N}$ , of morphisms  $f^{(n,n')}: X \to Y$ and  $g^{(n,n')}: Y \to X$  such that

$$\boldsymbol{g}^{(n,n')} \boldsymbol{f}^{(n,n')} \simeq_{n'} 1_{\boldsymbol{X}} \quad ext{and} \quad \boldsymbol{f}^{(n,n')} \boldsymbol{g}^{(n,n')} \simeq_{n} 1_{\boldsymbol{Y}}.$$

Clearly, by Lemma 1, both homotopies hold up to  $\min\{n, n'\}$ . Thus, by Definition 2 and Lemma 2, the necessity part follows. Conversely, let  $\mathbf{X} \stackrel{q}{\simeq} \mathbf{Y}$ , i.e. let there exist morphisms  $\mathbf{f}^n : \mathbf{X} \to \mathbf{Y}$  and  $\mathbf{g}^n : \mathbf{Y} \to \mathbf{X}, n \in \mathbb{N}$ , such that

 $\boldsymbol{g}^n \boldsymbol{f}^n \simeq_n 1_{\boldsymbol{X}} \quad \text{and} \quad \boldsymbol{f}^n \boldsymbol{g}^n \simeq_n 1_{\boldsymbol{Y}}.$ 

Given an ordered pair  $(n, n') \in \mathbb{N} \times \mathbb{N}$ , put

$$f^{(n,n')} = f^m$$
 and  $g^{(n,n')} = g^m$ , where  $m = \max\{n, n'\}$ .

Then  $X \stackrel{*}{\simeq} Y$  according to Lemmata 3 and 1.

REMARK 1. We may assume, without loss of generality, that all the morphisms realizing the relations  $\mathbf{X} \stackrel{q}{\simeq} \mathbf{Y}$  are special with (strictly) increasing index functions. We may also assume that  $n' \geq n$  implies  $f^{n'} \geq f^n$ , and similarly for all other index functions. Further, the conditions  $\mathbf{g}^n \mathbf{f}^n \simeq_n \mathbf{1}_{\mathbf{X}}$  etc. may be relaxed to  $\mathbf{g}^n \mathbf{f}^n \simeq_{s_n} \mathbf{1}_{\mathbf{X}}$  etc., where  $(s_n)$  is an unbounded sequence in  $\mathbb{N} \cup \{0\}$ .

To end this section we give a useful sufficient condition for a pair of compacta to be quasi-equivalent; it was formulated and proved earlier in the above mentioned manuscript.

LEMMA 4. Let X, Y be a pair of compact satisfying the following condition: For every  $\varepsilon > 0$  there exist mappings  $f : X \to Y$  and  $g : Y \to X$ such that

$$(\forall x \in X) \ d_X(gf(x), x) < \varepsilon \quad and \quad (\forall y \in Y) \ d_Y(fg(y), y) < \varepsilon.$$

Then X and Y are quasi-equivalent.

*Proof.* Without loss of generality, we may assume that X and Y lie in the Hilbert cube Q. Let U, V be any pair of neighbourhoods of X, Y in Q respectively. There exist compact ANR's U', V' such that  $X \subseteq U' \subseteq \operatorname{Int} U$ and  $Y \subseteq V' \subseteq \operatorname{Int} V$ . Let  $i: X \hookrightarrow U'$  and  $j: Y \hookrightarrow V'$  be the inclusion mappings. It is well known that there exists an  $\varepsilon > 0$  such that each pair of  $\varepsilon$ -near mappings of a metrizable space into U' (or into V') is homotopic. By assumption, there exist mappings  $f: X \to Y$  and  $g: Y \to X$  such that gfand  $1_X$  as well as fg and  $1_Y$  are  $\varepsilon$ -near. Consequently,  $gfi, i: X \hookrightarrow U'$  as well as  $fgj, j: Y \hookrightarrow V'$  are  $\varepsilon$ -near. Therefore,  $gfi \simeq i$  and  $fgj \simeq j$ . This means  $gf \simeq 1_X$  in  $U' \subseteq \operatorname{Int} U$  and  $fg \simeq 1_Y$  in  $V' \subseteq \operatorname{Int} V$ . Let  $\underline{f} = \{f_k, X, Y\}$  and  $\underline{g} = \{g_k, Y, X\}$  be fundamental sequences generated by f and g respectively. Now, apply the following fact (mentioned in the introduction):

Let A and B be compacta lying in Q, let W be an open neighbourhood of B in Q and let  $\underline{h} = \{h_k, A, B\}, \underline{h}' = \{h'_k, A, B\}$  be fundamental sequences. Then  $\underline{h} \simeq \underline{h}'$  if and only if  $h_k | A \simeq h'_k | A$  in W for almost all  $k \in \mathbb{N}$ .

Consequently,  $\underline{gf} \simeq \underline{i}_X$  and  $\underline{fg} \simeq \underline{i}_Y$ , where  $\underline{i}_X$  and  $\underline{i}_Y$  are the identity fundamental sequences for X in Q and Y in Q respectively. Therefore,  $X \simeq Y$ .

**3. The example.** Let X be an infinite countable one-point union of pointed tori converging to the limit torus. Further, let Y be an infinite countable one-point union of pointed tori converging to the base point. Finally, let Z be the one-point union of X and a pointed circle. An explicit construction is given below.

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For every  $k \in \mathbb{N}$ , let  $A_k = S_k^1 \cup \Sigma_k^1 \subseteq \mathbb{R}^3$ , where  $S_k^1$  and  $\Sigma_k^1$  are the following circles:

$$S_{k}^{1} = \left\{ (\xi, \eta, 0) \mid \left( \xi - \frac{2k+3}{2k+2} \right)^{2} + \eta^{2} = \left( \frac{2k+3}{2k+2} \right)^{2} \right\},\$$
$$\Sigma_{k}^{1} = \left\{ (\xi, \eta, 0) \mid \left( \xi - \frac{2k+3}{2k+2} \right)^{2} + \eta^{2} = \left( \frac{6k+1}{8k+8} \right)^{2} \right\}.$$

Further, let

$$S_{\infty}^{1} = \{ (\xi, \eta, 0) \mid (\xi - 1)^{2} + \eta^{2} = 1 \} \subseteq \mathbb{R}^{3},$$
  
$$\Sigma_{\infty}^{1} = \{ (\xi, \eta, 0) \mid (\xi - 1)^{2} + \eta^{2} = 9/16 \} \subseteq \mathbb{R}^{3}.$$

Notice that  $A_k \cap A_{k'} = S_k^1 \cap S_{k'}^1 = \{(0,0,0)\}$  whenever  $k \neq k' \in \mathbb{N} \cup \{\infty\}$ ,  $\lim(S_k^1) = S_\infty^1$  and  $\lim(\Sigma_k^1) = \Sigma_\infty^1$ . For every  $k \in \mathbb{N} \cup \{\infty\}$ , let  $T_k \subseteq \mathbb{R}^3$  be a torus, symmetric with the respect to the  $(\xi, \eta)$ -plane  $\mathbb{R}^2$ , such that  $T_k \cap (\mathbb{R}^2 \times \{0\}) = A_k$ . One can easily achieve that  $T_k \cap T_{k'} = \{(0,0,0)\}$  whenever  $k \neq k' \in \mathbb{N} \cup \{\infty\}$ , and  $\lim(T_k) = T_\infty$ . Let

$$X = \bigcup_{k \in \mathbb{N} \cup \{\infty\}} T_k.$$

Similarly, for every  $k \in \mathbb{N}$ , let  $A'_k = S'^1_k \cup \Sigma'^1_k \subseteq \mathbb{R}^3$ , where

$$S_{k}^{\prime 1} = \left\{ (\xi, \eta, 0) \mid \left( \xi - \frac{1}{2^{3k-3}} \right)^{2} + \eta^{2} = \left( \frac{1}{2^{3k-3}} \right)^{2} \right\},\$$
  
$$\Sigma_{k}^{\prime 1} = \left\{ (\xi, \eta, 0) \mid \left( \xi - \frac{1}{2^{3k-3}} \right)^{2} + \eta^{2} = \left( \frac{1}{2^{3k-2}} \right)^{2} \right\}.$$

Notice that  $A'_k \cap A'_{k'} = S'^1_k \cap S'^1_{k'} = \{(0,0,0)\}$  whenever  $k \neq k' \in \mathbb{N}$ , and  $\lim(S'^1_k) = \lim(\Sigma'^1_k) = \{(0,0,0)\}$ . For every  $k \in \mathbb{N}$ , let  $T'_k \subseteq \mathbb{R}^3$  be a torus, symmetric with respect to the  $(\xi, \eta)$ -plane  $\mathbb{R}^2$ , such that  $T'_k \cap (\mathbb{R}^2 \times \{0\}) = A'_k$ ,  $T'_k \cap T'_{k'} = \{(0,0,0)\}$  whenever  $k \neq k'$ , and  $\lim(T'_k) = \{(0,0,0)\}$ . Let

$$Y = \bigcup_{k \in \mathbb{N}} T'_k.$$

Finally, let

$$Z = X \cup S^1$$
, where  $S^1 = \{(\xi, \eta, 0) \mid (\xi + 1)^2 + \eta^2 = 1\}.$ 

Clearly, the subspaces X, Y and Z of  $\mathbb{R}^3$  are compact and path connected.

THEOREM 2. Borsuk's quasi-equivalence relation is not transitive.

*Proof.* Consider the continua X, Y and Z defined above. It suffices to prove that  $X \stackrel{q}{\simeq} Y$  and  $Y \stackrel{q}{\simeq} Z$ , and that X is not quasi-equivalent to Z. Fix  $\varepsilon > 0$ . Then there exists an  $n'_{\varepsilon} \in \mathbb{N}$  such that  $9/(8(n'_{\varepsilon} + 1)) < \varepsilon$ . Given any

 $n' \ge \max\{8, n'_{\varepsilon}\}$ , set  $X_{n'} = \bigcup_{k \le n'} T_k \subseteq X$ , which is a closed subspace. Let  $r_{n'}: X \to X_{n'}$  be defined by

$$r_{n'}(x) = \begin{cases} x, & x \in X_{n'}, \\ \varrho_{n'}(x), & x \in X \setminus X_{n'}, \end{cases}$$

where  $\rho_{n'}: \bigcup_{k>n'} T_k \to T_{n'}$  is the radial mapping ("blowing up") from the circle passing through the middle of the bounded component of  $\mathbb{R}^3 \setminus T_\infty$ . Clearly, for every  $k \in \{n'+1, n'+2, \ldots\} \cup \{\infty\}, \rho_{n'}|T_k: T_k \to T_{n'}$  is a homeomorphism,  $\rho_{n'}[S_k] = S_{n'}, \rho_{n'}[\Sigma_k] = \Sigma_{n'}$  and  $\rho_{n'}(0,0,0) = (0,0,0)$ . Observe that  $r_{n'}$  is a retraction. Further, the distance between  $r_{n'}(x)$  and x reaches its maximum for some  $x = (\xi, \eta, \zeta) \in \{(1/4, 0, 0), (7/4, 0, 0), (2, 0, 0)\} \subseteq A_0$ . Since

$$\varrho_{n'}\left(\frac{1}{4},0,0\right) = \frac{9}{8(n'+1)}, \quad \varrho_{n'}\left(\frac{7}{4},0,0\right) = \frac{1}{8(n'+1)}, \quad \varrho_{n'}(2,0,0) = \frac{1}{n'},$$

and  $n' \ge 8$ , the maximal distance is 9/(8(n'+1)). Thus, for every  $x \in X$ ,

$$d(r_{n'}(x), x) \le \frac{9}{8(n'+1)} \le \frac{9}{8(n'_{\varepsilon}+1)} < \varepsilon$$

whenever  $n' \ge \max\{8, n'_{\varepsilon}\}.$ 

Similarly, there exists an  $n_{\varepsilon}'' \in \mathbb{N}$  such that  $T_k' \subseteq B((0,0,0),\varepsilon)$  for every  $k \geq n_{\varepsilon}''$ , where  $B((0,0,0),\varepsilon)$  is the  $\varepsilon$ -ball at the origin in  $\mathbb{R}^3$ . Given any  $n'' \geq n_{\varepsilon}''$ , set  $Y_{n''} = \bigcup_{k \leq n''} T_k' \subseteq Y$ , which is a closed subspace. Let  $s_{n''} : Y \to Y_{n''}$  be defined by

$$s_{n''}(y) = \begin{cases} y, & y \in Y_{n''}, \\ (0,0,0), & y \in Y \setminus Y_{n''}. \end{cases}$$

It is obvious that  $s_{n''}$  is a retraction and that

 $d(s_{n''}(y), y) < \varepsilon$  holds for every  $y \in Y$ .

Consider now an  $n \ge \max\{8, n'_{\varepsilon}, n''_{\varepsilon}\}$  and observe that the subspaces  $X_n$ and  $Y_n$  are homeomorphic. Let  $h: X_n \to Y_n$  be a homeomorphism, and let  $r: X \to X_n$  and  $s: Y \to Y_n$  be defined as above, i.e.  $r = r_n$  and  $s = s_n$ . Put

$$f = jhr : X \to Y$$
 and  $g = ih^{-1}s : Y \to X$ ,

where  $i: X_n \hookrightarrow X$  and  $j: Y_n \hookrightarrow Y$  are the inclusion mappings. Let  $x \in X$ . If  $x \in X_n$ , then r(x) = x and  $hr(x) = h(x) \in Y_n$ , and thus jhr(x) = h(x)and sjhr(x) = sh(x) = h(x). Therefore,

$$gf(x) = ih^{-1}sjhr(x) = ih^{-1}h(x) = x = r(x).$$

If  $x \in X \setminus X_n$ , then  $r(x) = \varrho(x)$  and  $hr(x) = h\varrho(x) \in Y_n$ , and thus  $jhr(x) = h\varrho(x)$  and  $sjhr(x) = sh\varrho(x) = h\varrho(x)$ . Therefore,

$$gf(x) = ih^{-1}sjhr(x) = ih^{-1}h\varrho(x) = i\varrho(x) = \varrho(x) = r(x).$$

Consequently,

$$d(gf(x), x) = d(r(x), x) < \varepsilon$$
 for every  $x \in X$ .

In a similar way one can verify that

$$d(fg(y), y) = d(s(y), y) < \varepsilon$$
 for every  $y \in Y$ .

According to Lemma 4, X is quasi-equivalent to Y.

Let us now prove that Y is quasi-equivalent to Z. Fix  $\varepsilon > 0$ . Choose an  $n \ge \max\{8, n'_{\varepsilon}, n''_{\varepsilon}\}$ , where  $n'_{\varepsilon}$  and  $n''_{\varepsilon}$  are as in the first part of the proof. Observe that  $Y_n \cup S'^{1}_{n+1} \subseteq Y$  is a closed subspace. Define  $s' : Y \to Y_n \cup S'^{1}_{n+1}$  by putting

$$s'(y) = \begin{cases} y, & y \in Y_n, \\ \varrho'(y), & y \in T'_{n+1}, \\ (0,0,0), & y \in Y \setminus Y'_{n+1}. \end{cases}$$

where  $\varrho': T'_{n+1} \to S'^{1}_{n+1}$  is a retraction of the torus onto the circle. It is clear that s' is a retraction satisfying

$$d(s'(y), y) < \varepsilon$$
 for every  $y \in Y$ .

Further,  $X_n \cup S^1 \subseteq Z = X \cup S^1$  is a closed subspace. Define  $r' : Z \to X_n \cup S^1$  by putting

$$r'(z) = \begin{cases} r(z), & z \in X, \\ z, & z \in S^1, \end{cases}$$

where  $r: X \to X_n$  is the retraction defined in the first part of the proof. Consequently, r' is a retraction satisfying

 $d(r'(z), z) < \varepsilon$  for every  $z \in Z$ .

Observe that  $Y_n$ ,  $S_{n+1}^1$  and  $Y_n \cup S_{n+1}^1$  are homeomorphic to  $X_n$ ,  $S^1$  and  $X_n \cup S^1$  respectively, and that  $Y_n \cap S_{n+1}^1 = \{(0,0,0)\} = X_n \cap S^1$ . Let

$$h': Y_n \cup S_{n+1}^1 \to X_n \cup S^1$$

be a homeomorphism (also on each summand, and keeping (0, 0, 0) fixed), and let

$$j': Y_n \cup S_{n+1}^1 \hookrightarrow Y \text{ and } i': X_n \cup S^1 \hookrightarrow Z$$

be the inclusion mappings. Put

$$f' = i'h's' : Y \to Z$$
 and  $g' = j'h'^{-1}r' : Z \to Y.$ 

Let  $y \in Y$ . If  $y \in Y_n$ , then s'(y) = y and  $h's'(y) = h'(y) \in X_n$ , and thus i'h's'(y) = h'(y) and r'i'h's'(y) = r'h'(y) = h'(y). Therefore,

$$f'(y) = j'h'^{-1}r'i'h's'(y) = j'h'^{-1}h'(y) = j'(y) = y = s'(y).$$

If  $y \in T'_{n+1}$ , then  $s'(y) = \varrho'(y) \in S^1_{n+1}$  and  $h's'(y) = h'\varrho'(y) \in S^1$ , and thus  $i'h's'(y) = h'\varrho'(y) \in S^1$  and  $r'i'h's'(y) = h'\varrho'(y)$ . Therefore,

$$g'f'(y) = j'h'^{-1}r'i'h's'(y) = j'h'^{-1}h'\varrho'(y) = j'\varrho'(y) = \varrho'(y) = s'(y).$$

If  $y \in Y \setminus Y_{n+1}$ , then s'(y) = (0,0,0) and h's'(y) = (0,0,0), and thus i'h's'(y) = (0,0,0) and r'i'h's'(y) = (0,0,0). Therefore,

 $g'f'(y) = j'h'^{-1}r'i'h's'(y) = j'h'^{-1}(0,0,0) = j(0,0,0) = (0,0,0) = s'(y).$ Concernently,

Consequently,

$$d(g'f'(y), y) = d(s'(y), y) < \varepsilon$$
 for every  $y \in Y$ .

In a similar way one can verify that

$$d(f'g'(z), z) = d(r'(z), z) < \varepsilon$$
 for every  $z \in Z$ .

By Lemma 4, Y is quasi-equivalent to Z.

It remains to prove that X is not quasi-equivalent to Z. For every  $i \in \mathbb{N}$ and every  $j \in \mathbb{N}$ , let  $(T_i^j, t_i)$  be a copy of a pointed torus  $(\mathbb{T}, *)$ . For every  $i \in \mathbb{N}$ , let

$$(X'_i, x'_i) = (T^1_i, t_i) \lor \cdots \lor (T^i_i, t_i).$$

We may assume that  $(X'_{i+1}, x'_{i+1}) = (X'_i, x'_i) \lor (T^{i+1}_{i+1}, t_{i+1}), i \in \mathbb{N}$ . Let

$$p_{i,i+1}: X'_{i+1} \to X'_i, \quad i \in \mathbb{N},$$

be defined by requiring that the restrictions

 $p_{i,i+1}|X'_i:X'_i \to X'_i \text{ and } p_{i,i+1}|T^{i+1}_{i+1}:T^{i+1}_{i+1} \to T^i_i \subseteq X'_i$ 

be the identities. Notice that  $p_{i,i+1}: (X'_{i+1}, x'_{i+1}) \to (X'_i, x'_i)$  is a base point preserving map.

Consider the pointed (compact ANR) inverse sequence  $(\underline{X}, \underline{*}) = ((X'_i, x'_i), p_{i,i+1})$  and its limit  $\underline{p}_* = (p_i) : (X', *) = \lim(\underline{X}, \underline{*}) \to (\underline{X}, \underline{*})$ . Further, for every  $j \in \mathbb{N}$ , let

$$(Z'_j, z'_j) = (X'_j, x'_j) \lor (Z_j, z_j),$$

where  $(Z_j, z_j)$  is a copy of a pointed circle  $(\mathbb{S}^1, *)$ . Let

$$q_{j,j+1}: (Z'_{j+1}, z'_{j+1}) \to (Z'_j, z'_j), \quad j \in \mathbb{N},$$

be  $p_{j,j+1}$  on  $X'_{j+1}$  and the identity on the copy of  $\mathbb{S}^1$ . Consider the pointed (compact ANR) inverse sequence  $(\underline{Z}, \underline{*}) = ((Z'_j, z'_j), q_{j,j+1})$  and its limit  $\underline{q}_* = (q_j) : (Z', *) = \lim(\underline{Z}, \underline{*}) \to (\underline{Z}, \underline{*}).$ 

CLAIM. (X', \*) is homeomorphic to (X, (0, 0, 0)), and (Z', \*) is homeomorphic to (Z, (0, 0, 0)).

By construction,

$$Z = X \cup S^1, \ (Z, (0, 0, 0)) \approx (X, (0, 0, 0)) \lor (\mathbb{S}^1, *), \ (Z', *) \approx (X', *) \lor (\mathbb{S}^1, *).$$

Further, all the mappings preserve base points. Thus, it suffices to prove that  $X' \approx X$ . Let  $\underline{p}' = (p'_i) : X \to \underline{X}$  be defined by

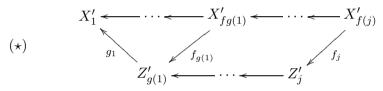
$$p'_i = h_i r_i : X \to X'_i, \quad i \in \mathbb{N},$$

where  $h_i$  is determined by the obvious homeomorphisms on the corresponding tori. Then  $\underline{p}'$  distinguishes points and every  $p'_i$  is surjective. By applying Theorem 6 of [6, I.5.2], we infer that  $\underline{p}': X \to \underline{X}$  is the limit. Therefore, Xand X' are homeomorphic.

 $\operatorname{Set}$ 

$$H\underline{X} = (X'_i, [p_{i,i+1}]) \equiv \mathbf{X} \text{ and } H\underline{Z} = (Z'_j, [q_{j,j+1}]) \equiv \mathbf{Z}$$

According to Theorem 1, it remains to prove that X is not quasi-equivalent to Z. Suppose, on the contrary, that  $X \stackrel{q}{\simeq} Z$ . Then, by Definition 2 and Remark 1, for every  $n \in \mathbb{N}$ , there exist special morphisms  $f^n : X \to Z$ and  $g^n : Z \to X$  such that  $g^n f^n \simeq_n 1_X$  and  $f^n g^n \simeq_n 1_Z$ . Let n = 1, and write  $f^1 \equiv f = (f, [f_j])$  and  $g^1 \equiv g = (g, [g_i])$ . Since all  $X'_i$  and  $Z'_j$  are ANR-continua, one may assume that all the mappings  $f_j$  and  $g_i$  preserve the base points. Since  $gf \simeq_1 1_X$ , the diagram



commutes up to homotopy. Let us apply the fundamental group functor  $\pi_1$  to the left triangle of ( $\star$ ) (the choice of base points is irrelevant):

$$\pi_{1}(T_{1}^{1}) \underbrace{\qquad} \\ \pi_{1}(T_{fg(1)}^{1}) * \cdots * \pi_{1}(T_{fg(1)}^{fg(1)}) \\ \underbrace{\qquad} \\ g_{1\#} \underbrace{\qquad} \\ \pi_{1}(T_{g(1)}^{1}) * \cdots * \pi_{1}(T_{g(1)}^{g(1)}) * \pi_{1}(S^{1}) \\ \end{array}$$

Recall that the fundamental group of a finite wedge is the corresponding free product (by van Kampen's theorem, [3, Theorem 3.1, p. 122]), and that the fundamental groups of a circle and of a torus are  $\mathbb{Z}$  and  $\mathbb{Z} \times \mathbb{Z} \equiv \mathbb{Z}^2$ respectively. Observe that  $f_{g(1)\#}|\pi_1(T^i_{fg(1)})$  is a monomorphism of  $\mathbb{Z}^2$  into  $\mathbb{Z}^2 * \cdots * \mathbb{Z}^2 * \mathbb{Z}$ , for every  $i = 1, \ldots, fg(1)$ . Thus,

$$\mathbb{Z}^2 \cong (f_{g(1)\#} | \pi_1(T^i_{fg(1)}))(\mathbb{Z}^2) \le \mathbb{Z}^2 * \cdots * \mathbb{Z}^2 * \mathbb{Z}.$$

From the Kurosh subgroup theorem ([7, Theorem 1.10, p. 178]) it follows that if  $H \leq G = G_1 * \cdots * G_n$ , then  $H \cong F * H_1^{\sigma_1} * \cdots * H_n^{\sigma_n}$ , where every  $H_i$  is a subgroup of some  $G_j$ , every  $\sigma_i \in G$  and F is a free group.

Recall also that  $\mathbb{Z}^2$  is not decomposable into a free product (see [7, Proposition 15.14, p. 107]). Since  $\mathbb{Z}^2$  is not a free group, the Kurosh subgroup theorem implies that  $\mathbb{Z}^2 \cong (f_{g(1)\#} | \pi_1(T^i_{fg(1)}))(\mathbb{Z}^2) \cong H^{\sigma_i}_i, H_i \leq \pi_1(T^j_{g(1)}) \cong \mathbb{Z}^2$ , for some  $j \in \{1, \ldots, g(1)\}$ , and  $\sigma_i \in \pi_1(T^1_{g(1)}) * \cdots * \pi_1(T^{g(1)}_{g(1)}) * \pi_1(S^1) \cong$ 

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$$\mathbb{Z}^2 * \cdots * \mathbb{Z}^2 * \mathbb{Z}. \text{ Let } a \in \pi_1(T^i_{fg(1)}), i \in \{1, \dots, fg(1)\}. \text{ Then}$$
$$f_{g(1)\#}(a) = \sigma b \sigma^{-1}, \quad \sigma \in \mathbb{Z}^2 * \cdots * \mathbb{Z}^2 * \mathbb{Z}, b \in \pi_1(T^j_{g(1)})$$

for some  $j \in \{1, \ldots, g(1)\}$ . Since  $f_{g(1)\#}|\pi_1(T^i_{fg(1)})$  is a monomorphism, its image in  $\mathbb{Z}^2 * \cdots * \mathbb{Z}^2 * \mathbb{Z}$  must be isomorphic to  $\pi_1(T^j_{g(1)}) \cong \mathbb{Z}^2$  for some  $j \in \{1, \ldots, g(1)\}$ . Consequently, if  $a_1 \cdots a_m \in \pi_1(T^1_{fg(1)}) * \cdots * \pi_1(T^{fg(1)}_{fg(1)})$ , where  $a_k \in \pi_1(T^{i_k}_{fg(1)})$ , then

$$f_{g(1)\#}(a_1\cdots a_m) = \sigma_1 b_1 \sigma_1^{-1} \cdots \sigma_m b_m \sigma_m^{-1},$$

for some  $\sigma_k \in \mathbb{Z}^2 * \cdots * \mathbb{Z}^2 * \mathbb{Z}$  and  $b_k \in \pi_1(T_{g(1)}^{j_k}), k = 1, \dots, m, j_k \in \{1, \dots, g(1)\}.$ 

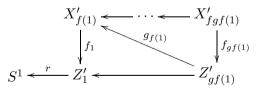
Further, the right rectangle of  $(\star)$  yields the commutative diagram

which means  $f_{g(1)\#}p_{fg(1)f(j)\#} = q_{g(1)j\#}f_{j\#}$ . Since  $p_{ii'}$  and  $q_{jj'}$  are defined in a special way (by the identity mappings on the corresponding copies), one readily sees that, for every  $j \ge g(1)$ , the restriction  $f_{j\#}|\pi_1(T^i_{f(j)})$  is also a monomorphism. Therefore, by following the same arguments, one can find that  $f_{j\#}$  acts via a formula analogous to that for  $f_{g(1)\#}$ .

Consider now the relation  $fg \simeq_1 1_{\mathbf{Z}}$  inducing the retraction

$$r: Z'_1 = T^1_1 \lor S^1 \to S^1, \quad r[T^1_1] = \{*\},$$

i.e. the following diagram:



(Caution: The right triangle might not homotopy commute, though the rectangle and the left triangle must homotopy commute!) Applying  $\pi_1$  to the left triangle and to the rectangle yields the commutative diagrams

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 $\pi$ 

Now, the composition

$$r_{\#}f_{1\#}g_{f(1)\#}:\mathbb{Z}^2*\cdots*\mathbb{Z}^2*\mathbb{Z}\to\mathbb{Z}$$

is the trivial homomorphism because  $r_{\#}f_{1\#}$  is trivial. Namely, the restrictions of the bonding homomorphisms are the identities on the corresponding copies,  $f_{1\#}p_{f(1)fgf(1)\#} = q_{1gf(1)\#}f_{gf(1)\#}, gf(1) \ge g(1)$  and we have already proved how  $f_{gf(1)\#}$  acts. Thus, for every  $a \in \pi_1(T_{f(1)}^i), i \in \{1, \ldots, f(1)\}$ , we have  $f_{1\#}(a) = \sigma b \sigma^{-1}$  for some  $b \in \pi_1(T_1^1), \sigma \in \pi_1(T_1^1) * \pi_1(S^1)$ . Therefore,  $r_{\#}f_{1\#}(a) = r_{\#}(\sigma b \sigma^{-1}) = r_{\#}(\sigma)r_{\#}(\sigma^{-1})$ , and hence  $r_{\#}f_{1\#}$  must be trivial. On the other hand, by the definitions of the relevant mappings, the composition

$$r_{\#}q_{1gf(1)\#}:\mathbb{Z}^2*\cdots*\mathbb{Z}^2*\mathbb{Z}\to\mathbb{Z}$$

preserves the free factor  $\pi_1(S^1) \cong \mathbb{Z}$ , so it is not trivial. Therefore, the two displayed compositions cannot be equal.

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Warsaw University, Poland E-mail: akadlof@mks.com.pl Department of Mathematics University of Split Teslina 12/III, 21000 Split, Croatia E-mail: koceic@pmfst.hr uglesic@pmfst.hr

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