# A fixed point conjecture for Borsuk continuous set-valued mappings

by

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**Abstract.** The main result of this paper is that for n = 3, 4, 5 and k = n - 2, every Borsuk continuous set-valued map of the closed ball in the *n*-dimensional Euclidean space with values which are one-point sets or sets homeomorphic to the *k*-sphere has a fixed point. Our approach fails for (k, n) = (1, 4). A relevant counterexample (for the homological method, not for the fixed point conjecture) is indicated.

1. Introduction. The Lefschetz fixed point theorem holds for uppersemicontinuous mappings with acyclic values and for their compositions [8], [11]. On the other hand, even the Hausdorff continuity does not guarantee an extension of the Brouwer theorem when the values are spheres [23]. Nevertheless, one can expect some fixed point results for mappings with nonacyclic values provided a stronger kind of continuity is assumed. In 1954 Borsuk defined a distance  $\rho_c$  in the hyperspace K(M) of all nonempty compact subsets of a metric space  $(M, \rho)$  and called it the metric of continuity [1]. Let us recall that  $\rho_c(X, Y) = \max\{d_c(X, Y), d_c(Y, X)\}$ , where  $d_c(X, Y) = \inf\{\max\{\rho(x, g(x)) : x \in X\}\}$  and the infimum is taken over all continuous functions g from X to Y. We call a map into K(M) Borsuk continuous if it is continuous with respect to  $\rho_c$ . Let  $B^n$  denote the closed unit ball in  $\mathbb{R}^n$ . Górniewicz posed the following conjecture (<sup>1</sup>):

(G.C.) Every Borsuk continuous map  $f: B^n \to K(B^n)$  with connected values has a fixed point  $x \in f(x)$ .

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 $<sup>(^{1})</sup>$  Górniewicz published this conjecture in [13, 5.6] as an open problem, long after communicating it to students and proving its two-dimensional case. It was called the Górniewicz Conjecture in [21], in honour of Górniewicz's 60th birthday. The proof for n = 2 is based on [12] and can be found in [4].

In this paper we study a special case of G.C.:

CONJECTURE 1. Every Borsuk continuous map  $f : B^n \to K(B^n)$  with values which are one-point sets or sets homeomorphic to the sphere  $S^k$ (k fixed) has a fixed point.

We will denote by \* the one-point space. The G.C. is confirmed for maps f with the rational Čech cohomology group  $\check{H}^*(f(x); \mathbb{Q})$  isomorphic to  $\check{H}^*(*; \mathbb{Q})$  or to  $\check{H}^*(S^{n-1}; \mathbb{Q})$  for  $x \in B^n$  (see [13]). The latter case clearly implies Conjecture 1 for k = n-1. The proof is based on the fact that the set  $\tilde{f}(x) = f(x) \cup b(\mathbb{R}^n \setminus f(x))$  is acyclic, where  $b(\mathbb{R}^n \setminus f(x))$  denotes the bounded component of  $\mathbb{R}^n \setminus f(x)$ . Since Čech homology spheres of codimension greater than 1 do not separate  $\mathbb{R}^n$ , it is clear that this approach cannot work for  $1 \leq k \leq n-2$  (<sup>2</sup>). Our purpose is to prove Conjecture 1 for k = n-2and n = 3, 4, 5. A different proof of the n = 3 case was given in [21]. In Preliminaries we give a brief exposition of some results from [20], which are basic for this paper.

**2. Preliminaries.** Let  $f : B^n \to K(B^n)$  be an upper-semicontinuous map. From now on,  $B = B^n$  and  $S = \partial B$ . For any  $C \subset B$  we will denote by  $\Gamma_C$  the set  $\{(x, y) \in C \times B : y \in f(x)\}$ , called the graph of  $f|_C$ . Let F be a field.

DEFINITION 1 ([20]). The map f is called an F-Brouwer map if the homomorphism

$$\check{H}_n(\Gamma_B, \Gamma_S; F) \to \check{H}_n(B \times B, S \times B; F)$$

induced by inclusion is a nonzero homomorphism.

THEOREM 1 [20, Lemma 1]. Every F-Brouwer map has a fixed point.

Let f satisfy the hypotheses of Conjecture 1 and define  $U = \{x \in B : f(x) \cong S^k\}$ . By the Chapman–Ferry–Jakobsche results on approximating homotopy equivalences by homeomorphisms [9, Theorem 3], [2,  $\alpha$ -approximation theorem], [16], [17] for  $k \neq 4$  (<sup>3</sup>),  $f|_U$  is continuous with respect to the distance  $\rho_h$  which is defined similarly to  $d_c$ , but the infimum is taken over all homeomorphisms g from X onto Y. This continuity implies that the projection  $p: \Gamma_U \to U$  is a completely regular mapping [6]. Consequently, p is a locally trivial bundle with fibre  $S^k$  (see [6]; cf. also [3, Theorem, p. 131] and [7, Corollary 1.1, p. 63]).

 $<sup>(^2)</sup>$  Though  $S^0$  is not connected, Conjecture 1 holds for k = 0. In this case f may be identified with a continuous mapping into the second symmetric product of its domain. The fixed point theory of such mappings is developed in [25], [26], [22]. In spite of these results the G.C. cannot be extended to maps with disconnected values [18].

 $<sup>(^{3})</sup>$  The author does not know if the  $\alpha$ -approximation theorem holds in dimension 4.

DEFINITION 2. We say that an (n-1)-dimensional topological manifold  $N \in approximates \partial U$  in U if there exists a compact n-dimensional topological manifold K with boundary such that K is a subcomplex of a simplicial decomposition of B,  $\partial K = N$  and  $U \supset K \supset U \setminus O_{\varepsilon}(\partial U)$ , where  $O_{\varepsilon}(\partial U) = \{x \in U : \operatorname{dist}(x, \partial U) < \varepsilon\}.$ 

We begin with a triangulation of the interior of B with mesh  $\leq \varepsilon/2$ . Then let K' be the union of all simplices intersecting  $U \setminus O_{\varepsilon}(\partial U)$  and let K be a small regular neighbourhood of K'. It is clear that  $\partial K \varepsilon$ -approximates  $\partial U$  in U. We can now rephrase [20, Theorem 1] as follows:

THEOREM 2. Suppose that:

1.  $f: B \to K(B)$  is a Borsuk continuous map,

2. f is singlevalued on  $B \setminus U$  and takes values homeomorphic to  $S^k$  on  $U, k \neq 4$ ,

3.  $U \subset \operatorname{Int} B$  (<sup>4</sup>),

4. For every  $\varepsilon > 0$  there exists a manifold N which  $\varepsilon$ -approximates  $\partial U$  in U and satisfies the inequality

$$\dim H_k(\Gamma_M; \mathbb{Z}_2) > \dim H_k(M; \mathbb{Z}_2)$$

for all components M of N.

Then f is a  $\mathbb{Z}_2$ -Brouwer map.

This theorem follows directly from [20, proof of Theorem 1] and generalizations of [15, 3.4.3, 3.4.6] to  $\text{TOP}(S^k)$ -bundles, where  $\text{TOP}(S^k)$  denotes the group of all homeomorphisms  $S^k \to S^k$ .

**3.** A conjecture on homology of sphere bundles. It is of interest to know when assumption 4 of Theorem 2 is satisfied. Since the projection from  $\Gamma_U$  onto U is a locally trivial bundle with fibre  $S^k$ , so is  $p:\Gamma_M \to M$ . In fact, p may be considered as a bundle with structural group  $\text{TOP}(S^k)$ . Moreover, the diagram

$$\begin{array}{c} E & \longrightarrow M \times \mathbb{R}^n \\ p & & \downarrow \\ M & \longrightarrow M \end{array}$$

commutes for  $E = \Gamma_M$ . In Section 6 we will prove the following

<sup>(&</sup>lt;sup>4</sup>) If f is not singlevalued on S, we extend it to 2B taking  $\tilde{f}(x) = (2 - ||x||)f(||x||^{-1}x)$  for  $1 \le ||x|| \le 2$ . Of course,  $\tilde{f}$  is singlevalued on 2S. Moreover, by [20, proof of Statement 6], if  $\tilde{f}$  is a  $\mathbb{Z}_2$ -Brouwer map, so is f. It would be nice to have Theorem 2 without the third assumption. Unfortunately, the author does not know if the fourth hypothesis for f implies the same for  $\tilde{f}$ .

THEOREM 3. Let  $M \subset \mathbb{R}^n$  be a compact connected (n-1)-dimensional topological manifold without boundary,  $n \geq 3$ . Let  $p : E \to M$  be a bundle with fibre  $S^{n-2}$  and structural group O(n-1) such that the diagram  $(\sharp)$  commutes. Then

$$\dim H_{n-2}(E;\mathbb{Z}_2) > \dim H_{n-2}(M;\mathbb{Z}_2).$$

REMARK 1. It suffices to assume in Theorem 3 that the structural group reduces to O(n-1).

Recall that the structural group G reduces to the subgroup H if every bundle  $p: E \to M$  with structural group G is G-equivalent to a bundle  $\tilde{p}: \widetilde{E} \to M$  with structural group H (in particular, there is a homeomorphism  $h: \widetilde{E} \to E$  with  $p \circ h = \tilde{p}$ ). Moreover, if the inclusion  $O(n-1) \subset G$  is a homotopy equivalence, then G reduces to O(n-1) [30, proof of 11.45].

CONJECTURE 2. Theorem 3 remains true with O(n-1) replaced by  $TOP(S^{n-2})$ .

FACT 1. Conjecture 2 holds for  $n \in \{3, 4, 5\}$ .

Fact 1 follows from the homotopy equivalences  $O(2) \simeq \text{TOP}(S^1)$  (see for instance [20, Fact 2]),  $O(3) \simeq \text{TOP}(S^2)$  ([19], see also [28]),  $O(4) \simeq \text{TOP}(S^3)$  [14, p. 606].

4. A fixed point theorem. This section contains the main result of this paper. According to Fact 1 and Theorem 1–3, we have the following

THEOREM 4. Conjecture 1 is true for (k, n) = (1, 3), (2, 4), (3, 5).

The case (k, n) = (1, 3) (first proved in [21] using K-theory) has a nice geometric interpretation: the Brouwer fixed point theorem holds for Borsuk continuous maps whose values are points or knots. One thing that distinguishes the case (k, n) = (1, 3) from other cases is the classification of all 1-sphere bundles over 2-manifolds up to weak bundle equivalence [27] (see also [24], [10]).

## 5. Preparation for proving Theorem 3

LEMMA 1. Let  $M \subset \mathbb{R}^{n+1}$  be an n-dimensional compact connected topological manifold without boundary,  $n \geq 2$ . Then  $x^n = 0$  for every  $x \in H^1(M; \mathbb{Z}_2)$ . Here  $x^n$  denotes the cup product  $x^{n-1} \cup x$ .

The situation described in the hypotheses of this lemma is very well known in the literature. Let us gather some facts before the proof. First,  $M \subset \mathbb{R}^{n+1} \cup \{\infty\} \cong S^{n+1}$  and  $S^{n+1} \setminus M = U \cup V$  (U, V connected). The closures  $A = \overline{U}, B = \overline{V}$  are ANR's [5, VIII.4.8]. By the Alexander duality,  $H^n(A; \mathbb{Z}_2) = H^n(B; \mathbb{Z}_2) = 0$ . Let  $i: M \to A$  and  $j: M \to B$  be inclusions. The Mayer–Vietoris exact sequence shows that  $\varphi : H^s(A; \mathbb{Z}_2) \oplus H^s(B; \mathbb{Z}_2) \to H^s(M; \mathbb{Z}_2), \varphi(\alpha, \beta) = i^* \alpha + j^* \beta$ , is an isomorphism for  $1 \leq s < n$ . Moreover:

(1)  $\operatorname{Sq}^{n-r} y = 0$  for every  $y \in H^r(M; \mathbb{Z}_2), 1 \le r < n$  [29, III.2.3];

(2)  $i^* \operatorname{Sq}^1 a \cup j^* b = i^* a \cup j^* \operatorname{Sq}^1 b$  for all  $a \in H^r(A; \mathbb{Z}_2), b \in H^{n-1-r}(B; \mathbb{Z}_2)$ ([29, III.2.4], see also [29, II.4, III.1.4]);

(3) Sq<sup>i</sup> 
$$u^k = \binom{k}{i} u^{k+i}$$
 if dim $(u) = 1$  [29, I.2.4].

Proof of Lemma 1. Case 1. Let  $n \neq 2^m - 1$  for every natural m. Since  $0 = \operatorname{Sq}^{n-r} x^r = \binom{r}{n-r} x^n$  by (1), (3), it suffices to find r such that  $\binom{r}{n-r}$  is odd and  $1 \leq n-r \leq r < n$ . If n = 2t then r = t satisfies the above conditions. If n = 2t - 1 then  $t \neq 2^{m-1}$  for every m. Thus  $t = 2^{i-1} + j$  for some  $i \geq 2$  and  $1 \leq j \leq 2^{i-1} - 1$ . It is easy to check that  $\binom{2^i-1}{k}$  is odd (5) for every  $k = 0, 1, \ldots, 2^i - 1$  and  $r = 2^i - 1$  satisfies 1 < n - r < r < n.

Case 2. Let  $n = 2^m - 1$ . Then

$$\begin{split} x^{n} &= (i^{*}\alpha + j^{*}\beta)^{n} = \sum_{k=0}^{n} \binom{n}{k} i^{*}\alpha^{k} \cup j^{*}\beta^{n-k} = \sum_{k=1}^{n-1} i^{*}\alpha^{k} \cup j^{*}\beta^{n-k} \\ &= \sum_{p=1}^{(n-1)/2} (i^{*}\alpha^{2p-1} \cup j^{*}\beta^{n-2p+1} + i^{*}\alpha^{2p} \cup j^{*}\beta^{n-2p}) \\ &= \sum_{p=1}^{(n-1)/2} \left( i^{*}\alpha^{2p-1} \cup j^{*} \binom{n-2p}{1} \beta^{n-2p+1} + i^{*} \binom{2p-1}{1} \alpha^{2p} \cup j^{*}\beta^{n-2p} \right) \\ &= \sum_{p=1}^{(n-1)/2} (i^{*}\alpha^{2p-1} \cup j^{*}\operatorname{Sq}^{1}\beta^{n-2p} + i^{*}\operatorname{Sq}^{1}\alpha^{2p-1} \cup j^{*}\beta^{n-2p}) = 0, \end{split}$$

by (2), which proves the lemma.

We now recall some properties of Stiefel–Whitney classes. The first fact generalizes the well known Borsuk–Ulam theorem:

FACT 2. Let E be a compact space,  $T : E \to E$  a fixed point free involution (or equivalently, the generator of a free  $\mathbb{Z}_2$ -action on E),  $c \in$  $H^1(E/T;\mathbb{Z}_2)$  the first Stiefel–Whitney class of the 0-sphere bundle  $\pi : E \to$ E/T and  $g : E \to \mathbb{R}^n$  a continuous function. Suppose that  $c^n \neq 0$ . Then there is  $x \in E$  such that g(x) = g(Tx).

We give no reference here, because Fact 2 is an immediate consequence of the naturality of Stiefel–Whitney classes. Now, let  $p: E \to M$  be a k-sphere bundle with structural group O(k+1). The antipodal map of  $S^k$  induces a

<sup>(&</sup>lt;sup>5</sup>) By induction on i,  $(x + y)^q \mod 2 = x^q + y^q$  for  $q = 2^i$ , so  $\binom{2^i}{k}$  is even for  $k = 1, \ldots, 2^i - 1$ .

fibre preserving fixed point free involution  $T: E \to E, p \circ T = p$ . We have a projection  $q: E/T \to M$  with  $q \circ \pi = p$  ( $\pi$ , c are defined in Fact 2).

FACT 3 [15, 16.2.5]. The group  $H^{\star}(E/T;\mathbb{Z}_2)$  is an  $H^{\star}(M;\mathbb{Z}_2)$ -module freely generated by  $\{1, c, \ldots, c^k\}$  with the multiplication

$$H^{\star}(M;\mathbb{Z}_2) \times H^{\star}(E/T;\mathbb{Z}_2) \ni (\alpha,\beta) \mapsto \alpha\beta = q^{\star}(\alpha) \cup \beta.$$

Moreover,  $c^{k+1} = \sum_{j=1}^{k+1} w_j c^{k+1-j}$ , where  $w_j$  are the Stiefel-Whitney classes of the bundle p.

FACT 4 [21, Lemma 1]. Let M be a compact ANR. Then dim  $H_k(E; \mathbb{Z}_2)$ > dim  $H_k(M; \mathbb{Z}_2)$  if and only if  $w_{k+1} = 0$ .

**6. Proof of Theorem 3.** We begin by extending the diagram  $(\sharp)$ :

Suppose that  $c^n \neq 0$ . From Fact 2 with  $g = \pi_2 \circ i$ , we obtain points x and y = T(x) such that  $\pi_2 \circ i(x) = \pi_2 \circ i(y)$ . Since  $\pi_1 \circ i(y) = p(y) = p(x) = \pi_1 \circ i(x)$ , it follows that i(x) = i(y) and x = y, which contradicts the fact that T is fixed point free. Thus  $c^n = 0$ . Fact 3 for k = n - 2 leads to  $c^{n-1} = \sum_{j=1}^{n-1} w_j c^{n-1-j}$ . Hence

$$0 = c^{n-1} \cup c = \sum_{j=1}^{n-1} w_j c^{n-j} = w_1 c^{n-1} + \sum_{j=2}^{n-1} w_j c^{n-j}$$
$$= w_1 \sum_{j=1}^{n-1} w_j c^{n-1-j} + \sum_{j=1}^{n-2} w_{j+1} c^{n-j-1}$$
$$= (w_1 \cup w_{n-1}) 1 + \sum_{j=1}^{n-2} (w_1 \cup w_j + w_{j+1}) c^{n-j-1}.$$

By Fact 3,  $w_1 \cup w_j + w_{j+1} = 0$  for j = 1, ..., n-2. This gives  $w_{n-1} = [w_1]^{n-1} = 0$ , by Lemma 1. Fact 4 completes the proof.

The same proof with Fact 2 applied to  $g = \pi_2 \circ i \circ h$   $(h : \widetilde{E} \to E$  a bundle equivalence) yields Remark 1.

5. A counterexample. In this section it is shown that the notion of F-Brouwer mapping is not suitable for proving Conjecture 1 for (k, n) = (1, 4). It is worth pointing out that our example does have an obvious fixed point.

THEOREM 5. There is a Borsuk continuous mapping  $f : B^4 \to K(B^4)$ with values homeomorphic to \* or  $S^1$ , which is an F-Brouwer map for no field F.

*Proof. Part 1.* Write  $x = \sum_{i=1}^{4} x_i e_i \in \mathbb{R}^4$ ,  $(e_i)_{i=1}^4$  the standard basis in  $\mathbb{R}^4$ ,  $\mathbb{R}^i = \operatorname{span}\{e_1, \ldots, e_i\}$  for  $i \leq 4$ ,  $S^3$  the unit sphere in  $\mathbb{R}^4$ ,  $S^{i-1} = S^3 \cap \mathbb{R}^i$ ,  $E_x = \operatorname{span}\{x, e_3, e_4\}$  for  $x \in S^1$ ,  $S_x = S^3 \cap E_x$ . Define  $\varphi_x : S^2 \to S_x$  by  $\varphi_x(y) = y_1 x + y_2 e_3 + y_3 e_4$  for  $x \in S^1$  and  $\varphi : S^1 \times S^2 \to \mathbb{R}^4$  by  $\varphi(x, y) = \frac{1}{2}x + \frac{1}{4}\varphi_x(y)$ . The map  $\varphi$  is an embedding of  $S^1 \times S^2$  in  $\mathbb{R}^4$ . Set

$$K = \left\{ \frac{1}{2}x + r \cdot \frac{1}{4}\varphi_x(y) : (x, y) \in S^1 \times S^2, \ 0 \le r \le 1 \right\}.$$

Clearly,  $K \cong S^1 \times B^3$ . Let  $q: S^3 \to S^2$  be the Hopf fibration. We define  $f: B^4 \to K(B^4)$  by the formula

$$\begin{cases} f\left(\frac{1}{2}x + r \cdot \frac{1}{4}\varphi_x(y)\right) = r(1-r) \cdot q^{-1}(y) & \text{on } K, \\ f(z) = 0 & \text{on } B^4 \setminus K. \end{cases}$$

*Part 2.* Suppose, contrary to our claim, that there is a field F making f an F-Brouwer map. Set  $B = B^4$ ,  $S = \partial B$  and  $H_{\star}(\cdot) = H_{\star}(\cdot; F)$ . Note that  $f|_S = 0$ . The commutative diagram

$$\begin{array}{c|c} H_4(\Gamma_B, \Gamma_S) \xrightarrow{\neq 0} H_4(B \times B, S \times B) \\ & & p_{\star} \\ & & \downarrow \\ H_4(B, S) \xrightarrow{\qquad} H_4(B, S) \end{array}$$

with j(x) = (x, 0) yields  $p_{\star} \neq 0$ . The diagram

$$\begin{array}{c|c} H_4(\Gamma_B,\Gamma_S) \longrightarrow H_3\Gamma_S \xrightarrow{i_{\star}} H_3\Gamma_B \\ p_{\star} & p_{\star} & p_{\star} \\ H_4(B,S) \xrightarrow{\cong} H_3S = F \end{array}$$

with the first row exact shows that  $i_{\star} = 0$ . Let  $C = B \setminus \text{Int}(K)$ . Consider the segment of the Mayer–Vietoris exact sequence:

$$H_4B \to H_3(\partial K) \to H_3C \oplus H_3K \to H_3B$$

Since  $H_3K = H_3(S^1 \times B^3) = 0$  and  $H_3(\partial K) = H_3(S^1 \times S^2) = F$ , we have  $H_3C = F$ . Take  $v \in \text{Int}(K)$ . Since S is a strong deformation retract of  $B \setminus \{v\}$ , the composition

$$F = H_3 S \xrightarrow{\eta} H_3 C \to H_3 (B \setminus \{v\})$$

of homomorphisms induced by inclusions is an isomorphism. Therefore  $\eta$  is a monomorphism. Now, the equality  $H_3C = F$  shows that  $\eta$  is an isomorphism. Since  $\Gamma_S = S \times 0$  and  $\Gamma_C = C \times 0$ , also  $\overline{\eta} : H_3\Gamma_S \to H_3\Gamma_C$  is an isomorphism. It follows that  $j_1 : H_3\Gamma_C \to H_3\Gamma_B$  is zero, because  $0 = i_\star = j_1 \circ \overline{\eta}$ . Summarizing, we have:  $j_1 = 0$ ,  $H_3\Gamma_C = H_3C = F$ ,  $H_3\Gamma_{\partial K} = H_3(\partial K) = F$ .

Part 3. Our next goal is to determine the group  $H_3\Gamma_K$ . Note that  $K = L \cup N$ , where

$$L = \left\{ \frac{1}{2}x + r \cdot \frac{1}{4}\varphi_x(y) : (x,y) \in S^1 \times S^2, \ 0 \le r \le 1/2 \right\}$$
$$N = \left\{ \frac{1}{2}x + r \cdot \frac{1}{4}\varphi_x(y) : (x,y) \in S^1 \times S^2, \ 1/2 \le r \le 1 \right\}$$

and  $L \cap N = \partial(L)$ . For abbreviation, we let

$$\Omega = \left(\frac{1}{2}x + r \cdot \frac{1}{4}\varphi_x(y), r(1-r)z\right)$$

for  $z \in q^{-1}(y)$ . The homotopy

$$G_t(\Omega) = \left(\frac{1}{2}x + [t + (1-t)r] \cdot \frac{1}{4}\varphi_x(y), [t + (1-t)r][1 - t - (1-t)r]z\right)$$

shows that  $\Gamma_{\partial K}$  is a strong deformation retract of  $\Gamma_N$ . Another homotopy

$$H_t(\Omega) = \left(\frac{1}{2}x + tr \cdot \frac{1}{4}\varphi_x(y), \operatorname{tr}(1-tr)z\right)$$

with  $H_0(\Omega) = (\frac{1}{2}x, 0)$  gives  $\Gamma_L \simeq S^1$ . We also have a homeomorphism  $h: \Gamma_{\partial L} \to S^1 \times S^3$  which sends  $(\frac{1}{2}x + \frac{1}{8}\varphi_x(y), \frac{1}{4}z)$  to (x, z) for  $z \in q^{-1}(y)$ . Consider the segment of the Mayer–Vietoris exact sequence:

$$H_3\Gamma_{\partial L} \xrightarrow{\lambda} H_3\Gamma_L \oplus H_3\Gamma_N \xrightarrow{\psi} H_3\Gamma_K \to H_2\Gamma_{\partial L}.$$

Since  $H_2\Gamma_{\partial L} = H_2(S^1 \times S^3) = 0$ ,  $\psi$  is an epimorphism. Clearly,  $H_3\Gamma_L = H_3S^1 = 0$ . If  $\lambda = 0$  then  $\psi$  is an isomorphism and  $H_3\Gamma_K \cong H_3\Gamma_N \cong H_3\Gamma_{\partial K} \cong H_3(\partial K) = F$ . What is left is to show that  $\lambda = 0$  or equivalently, that the inclusion  $\omega : \Gamma_{\partial L} \to \Gamma_N$  induces the zero homomorphism on  $H_3$ -groups. This is equivalent to  $0 = \xi_\star : H_3(S^1 \times S^3) \to H_3(S^1 \times S^2)$  for  $\xi = \varphi^{-1} \circ G_1 \circ \omega \circ h^{-1}$  where  $G_1(\Gamma_N) = \Gamma_{\partial K} = \partial(K) \times 0$  is identified with  $\partial(K) = \varphi(S^1 \times S^2)$ . It is easy to check that  $\xi(x, z) = (x, q(z))$ . Thus  $\xi = \mathrm{id} \times q$ . By the Künneth theorem, the diagram

$$\begin{array}{c|c} H_3(S^1 \times S^3) & \xleftarrow{\cong} \bigoplus_{i=0}^3 H_i S^1 \otimes H_{3-i} S^3 \\ & & \downarrow \\ & & \downarrow \\ H_3(S^1 \times S^2) & \xleftarrow{\cong} \bigoplus_{i=0}^3 H_i S^1 \otimes H_{3-i} S^2 \end{array}$$

commutes. The *i*th component of the direct sum is nonzero only for i = 0 in the first row and only for i = 1 in the second row of the above diagram. Hence  $\xi_{\star} = 0$ . Part 4. Consider the segment of the Mayer–Vietoris exact sequence:

$$\begin{array}{c} H_3\Gamma_{\partial K} \xrightarrow{\alpha} H_3\Gamma_C \oplus H_3\Gamma_K \xrightarrow{\beta} H_3\Gamma_B \\ \\ \parallel \\ F \\ F \\ F^2 \end{array}$$

where  $\alpha(x) = (i_1x, i_2x)$  and  $\beta(x, y) = j_2y - j_1x = j_2y$  (see Part 2). Since  $i_2$  is a composition

$$H_3\Gamma_{\partial K} \xrightarrow{\cong} H_3\Gamma_N \xrightarrow{\psi} H_3\Gamma_K,$$

 $i_2$  is an isomorphism (see Part 3). Now, dim im  $\alpha = 1 = \dim \ker \beta$ . Thus dim im  $j_2 = \dim \operatorname{im} \beta = 2 - \dim \ker \beta = 1$ . But  $0 = \beta \circ \alpha = j_2 \circ i_2$ . Therefore  $j_2 = 0$ , a contradiction.

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#### D. Miklaszewski

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