

## Uncountable $\omega$ -limit sets with isolated points

by

**Chris Good** (Birmingham), **Brian E. Raines** (Waco, TX) and  
**Rolf Suabedissen** (Oxford)

**Abstract.** We give two examples of tent maps with uncountable (as it happens, post-critical)  $\omega$ -limit sets, which have isolated points, with interesting structures. Such  $\omega$ -limit sets must be of the form  $C \cup R$ , where  $C$  is a Cantor set and  $R$  is a scattered set. Firstly, it is known that there is a restriction on the topological structure of countable  $\omega$ -limit sets for finite-to-one maps satisfying at least some weak form of expansivity. We show that this restriction does not hold if the  $\omega$ -limit set is uncountable. Secondly, we give an example of an  $\omega$ -limit set of the form  $C \cup R$  for which the Cantor set  $C$  is minimal.

**1. Introduction.** Let  $X$  be a space and  $F : X \rightarrow X$  be continuous. For  $x \in X$ , the  $\omega$ -limit set of  $x$  is the set

$$\omega(x) = \bigcap_{n \in \mathbb{N}} \overline{\{F^j(x) : j \geq n\}}.$$

The topological structure of the  $\omega$ -limit set of  $x$  is an indication of the complexity of the orbit of  $x$ , and as such the topological structure and dynamical features of  $\omega$ -limit sets is the subject of much study, [1], [2], [4], [7], [8], [10], [11], [14]. Of particular interest is the case where  $X = [0, 1]$  and  $f$  is a unimodal map with critical point  $c$ . In this setting we consider the  $\omega$ -limit set of the critical point,  $\omega(c)$ . Typically (in the sense of Lebesgue measure) the orbit of  $c$  is dense, and so  $\omega(c) = [0, 1]$ , [6], but  $\omega(c)$  can be much more complicated.

If the  $\omega$ -limit set of a point (in particular, the critical point) of a unimodal map with large enough gradient is not dense, then it is totally disconnected. By definition, these sets are compact and strongly invariant (i.e.  $f(\omega(c)) = \omega(c)$ ). So it is common to think of such  $\omega$ -limit sets as periodic orbits or invariant Cantor sets. However, there are many more varieties. For instance a sort of in-between case is when the  $\omega$ -limit set is infinite yet contains

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isolated points. Suppose that  $A$  is an infinite, totally disconnected, compact subset of  $[0, 1]$ . We can get an idea of the topological structure of  $A$  by considering its iterated derived set.

Let  $X$  be any non-empty topological space and let  $A$  be a subset of  $X$ . The *Cantor–Bendixson derivative*  $A'$  of  $A$  is the set of all limit points of  $A$ . Inductively, we can define the *iterated Cantor–Bendixson derivatives* of  $X$  by

$$\begin{aligned} X^{(0)} &= X, \\ X^{(\alpha+1)} &= (X^{(\alpha)})', \\ X^{(\lambda)} &= \bigcap_{\alpha < \lambda} X^{(\alpha)} \quad \text{if } \lambda \text{ is a limit ordinal.} \end{aligned}$$

Clearly for some ordinal  $\gamma$ ,  $X^{(\gamma)} = X^{(\gamma+1)}$ . If this set is non-empty, then it is called the *perfect kernel*, and if it is empty, then  $X$  is said to be *scattered*. In the scattered case, a point of  $X$  has a well-defined Cantor–Bendixson rank, often called the *scattered height* or *limit type* of  $x$ , defined by  $\text{lt}(x) = \alpha$  if and only if  $x \in X^{(\alpha)} \setminus X^{(\alpha+1)}$ . The  $\alpha$ th level  $L_\alpha$  of  $X$  (or, more formally,  $L_\alpha^X$ ) is then the set of all points of limit type  $\alpha$ . Clearly  $L_\alpha$  is the set of isolated points of  $X^{(\alpha)}$ .

Since the collection of  $X^{(\alpha)}$ s forms a decreasing sequence of closed subsets of  $X$ , if  $X$  is a compact scattered space, then it has a non-empty finite top level  $X^{(\gamma)} = L_\gamma$ .

We endow an ordinal (regarded as the set of its own predecessors) with the interval topology generated by its natural order. With this topology every ordinal is a scattered space.

The standard set-theoretic notation for the first infinite ordinal, i.e. the set of all natural numbers, is  $\omega$ . The ordinal  $\omega + 1$ , then, is the set of all ordinals less than *or equal to*  $\omega$ , so  $\omega + 1$  is the set consisting of  $\omega$  together with all natural numbers. Then  $\omega + 1$  with its order topology is homeomorphic to the convergent sequence  $S_0 = \{0\} \cup \{1/n : 0 < n \in \mathbb{N}\}$  with the usual topology inherited from the real line. In fact, every countable ordinal is homeomorphic to a subset of  $\mathbb{Q}$ . The next limit ordinal is  $\omega + \omega = \omega \cdot 2$ . The space  $\omega \cdot 2 + 1$  consists of all ordinals less than or equal to  $\omega \cdot 2$ , i.e. all natural numbers,  $\omega$ , the ordinals  $\omega + n$  for each  $n \in \mathbb{N}$ , and the limit ordinal  $\omega \cdot 2$ . The set  $\omega \cdot 2 + 1$  with its order topology is homeomorphic to two disjoint copies of  $S_0$ . For each  $n \in \mathbb{N}$ , the ordinals  $n$  and  $\omega + n$  ( $0 < n$ ) have scattered height 0. On the other hand,  $\omega$  and  $\omega \cdot 2$  have scattered height 1, corresponding to the fact that 0 is a limit of isolated points in  $S_0$  but is not a limit of limit points in  $S_0$ . The ordinal space  $\omega^2 + 1$  consists of all ordinals less than or equal to  $\omega^2$  (namely: 0; the successor ordinals  $n$  and  $\omega \cdot n + j$  for each  $j, n \in \mathbb{N}$ ; the limit ordinals  $\omega \cdot n$  for each  $n \in \mathbb{N}$ ; and the limit

ordinal  $\omega^2$ ). With its natural order topology,  $\omega^2 + 1$  is homeomorphic to the subset of the real line  $S = \{0\} \cup \bigcup_{n \in \mathbb{N}} S_n$  defined in the Introduction. In this case, the ordinals  $\omega \cdot n$ ,  $n \in \mathbb{N}$ , which have scattered height 1, correspond to the points  $1/n$ , which are limits of isolated points  $1/n + 1/k$  but not of limit points. The ordinal  $\omega^2$  has scattered height 2 and corresponds to the point 0, which is a limit of the limit points  $1/n$ .

In general, the ordinal space  $\omega^\alpha \cdot n + 1$  consists of  $n$  copies of the space  $\omega^\alpha + 1$ , which itself consist of a single point with limit type  $\alpha$  as well as countably many points of every limit type  $\beta$  with  $\beta < \alpha$ . It is a standard topological fact that every countable, compact Hausdorff space  $X$  is not only scattered, but homeomorphic to a countable successor ordinal of the form  $\omega^\alpha \cdot n + 1$  for some countable ordinal  $\alpha$ . Of course every countable compact metric space is also homeomorphic to a subset of the rationals and, in this context, we can interpret the statement that  $X \simeq \omega^\alpha \cdot n + 1$  as notation to indicate that  $X$  is homeomorphic to a compact subset of the rationals with  $n$  points of highest limit type  $\alpha$ . For more on scattered spaces, see section G of [12].

If  $f$  is a unimodal map of the interval, then the  $\omega$ -limit set of the critical point is a subset of  $[0, 1]$ . In this case,  $\omega(c)$  is a subset of  $[0, 1]$ , the perfect kernel exists and  $\gamma$  is countable. Moreover, this “final level” of  $A$  contains no isolated points and is either empty or a Cantor set.

We show in [10] that if  $A$  is a scattered  $\omega$ -limit set of a finite-to-one map on a compact metric space, with a weak form of expansivity, then the height of  $A$  is a countable ordinal not equal to a limit ordinal or the successor of a limit ordinal, i.e. the empty perfect kernel cannot occur at a limit ordinal. This result applies, for example to locally eventually onto unimodal maps of the interval, such as tent maps with gradient greater than  $\sqrt{2}$ . Conversely, given a compact scattered subset  $A$  of the interval with height not equal to a limit ordinal or the successor of a limit ordinal, there is a tent map for which the  $\omega$ -limit set of the critical point is homeomorphic to  $A$ .

In this paper we address the case of non-scattered, i.e. uncountable,  $\omega$ -limit sets that nevertheless have isolated points. Specifically, we build an  $\omega$ -limit set of a tent map such that the perfect kernel for  $A$  occurs at a limit height (in fact, height  $\omega$ ). This demonstrates that the restriction on the height of scattered  $\omega$ -limit sets [10] is not valid for uncountable  $\omega$ -limit sets with isolated points. In this example the Cantor set perfect kernel contains a fixed point and is hence not minimal. Therefore, in response to a question of the referee, we construct a tent map with a critical point whose  $\omega$ -limit set is the union of a minimal Cantor set and a scattered part (consisting of isolated points) that is dense in the  $\omega$ -limit set. In such cases the scattered part is always a dense subset of the  $\omega$ -limit set.

**2. The construction of a perfect kernel at level  $\omega$ .** In this section we construct a particular unimodal map,  $f$ , with critical point  $c$  such that  $\omega(c)$  is an infinite set with isolated points that violates the limit height restriction on scattered  $\omega$ -limit sets. We make extensive use of symbolic dynamics and itineraries. For background definitions and results see [5] or [9].

We begin by constructing a kneading sequence that “encodes” a Cantor set,  $C \subseteq \{0, 1\}^{\mathbb{N}}$ , in the sense that  $\omega(c)$  is made up of all the points with itineraries in  $C$ . (As usual,  $\{0, 1\}^{\mathbb{N}}$  has the product topology, so that two sequences are close if they agree on a long initial segment.) Inside this Cantor set we designate a countable collection of sets,  $\Delta_n$ , each of which is countable and has limit height  $n$  such that the sets  $\Delta_n$  accumulate on a finite collection of points in  $C$ . Then we use this countable collection of subsets of  $C$  to encode another kneading sequence that also encodes  $C$  but now with homeomorphic copies of  $\Delta_n$ ,  $\Delta_n^*$ , that are not in the Cantor set, but accumulate on the same finite subset of  $C$ . Since these sets are not in  $C$  we will see that the  $\omega$ -limit set of this new kneading sequence is of the form  $C \cup R$  where  $R = \bigcup_{n \in \mathbb{N}} \Delta_n^*$ ,  $C$  is the largest Cantor set in  $\omega(c)$ , and for each  $n$  there are points in  $R$  with limit type  $n$  but the points in  $\omega(c)$  with limit type  $\omega$  are in  $C$ .

Let  $\{0, 1\}^{<\mathbb{N}} = \bigcup_{n \in \mathbb{N}} \{0, 1\}^n$  be the collection of all finite words in the alphabet  $\{0, 1\}$ . Let

$$A = 10^5 1$$

and for every  $n > 5$  let

$$B_{n,0} = 10^3 1^{2n} 0^3 1 \quad \text{and} \quad B_{n,1} = 10^3 1^{2n+1} 0^3 1.$$

For every  $\gamma = (\gamma_0, \gamma_1, \dots, \gamma_k) \in \{0, 1\}^{<\mathbb{N}}$  and  $n > 5$  let

$$C_{n,\gamma} = B_{n,\gamma_0} B_{n,\gamma_1} \dots B_{n,\gamma_k}.$$

Extend this definition to all  $\gamma \in \{0, 1\}^{\mathbb{N}}$  in the obvious way. Notice that the set

$$\Gamma_n^* = \{C_{n,\gamma}\}_{\gamma \in \{0,1\}^{\mathbb{N}}}$$

is a Cantor set in  $\{0, 1\}^{\mathbb{N}}$ . Let

$$\Gamma_n = \overline{\bigcup_{m \in \mathbb{N}} \sigma^m(\Gamma_n^*)}$$

where  $\sigma$  is the shift map. Then

$$\overline{\bigcup_{n \in \mathbb{N}} \Gamma_n} = \bigcup_{n \in \mathbb{N}} \Gamma_n \cup \{\sigma^j(1^k 0^3 110^3 1^\infty) : 0 \leq k, j \leq 8\} \cup \{1^\infty\},$$

which is a Cantor set. The advantage of considering a countable collection of Cantor sets comes later in the paper.

Let  $\mathcal{L} = \{B_{m,0} : m > 5\} \cup \{10^4\}$ . Let  $\Sigma$  be the set of all finite length words made up of words from  $\mathcal{L}$ . Let  $\Sigma_\infty$  be the set of all infinite length

words of that form, and let

$$\overline{\Sigma} = \overline{\bigcup_{n \in \mathbb{N}} \sigma^n(\Sigma_\infty)}.$$

It is easy to see that  $\overline{\Sigma} \subseteq \{0, 1\}^\infty$  is a Cantor set. Since  $\Sigma$  is a collection of finite length words, it is countable. Let  $(R_i)_{i \in \mathbb{N}}$  be some enumeration of  $\Sigma$ . Enumerate  $\{0, 1\}^{<\mathbb{N}}$  by

$$\{\gamma_j = (\gamma_{j,0}, \gamma_{j,1}, \dots, \gamma_{j,k})\}_{j=1}^\infty = \{0, 1\}^{<\mathbb{N}}.$$

Let  $\mathcal{S} = \{1^n 0 C_{n,\gamma_j} : n, j \in \mathbb{N}, n > 5\}$ , and, since  $\mathcal{S}$  is countable, let  $\{S_m\}_{m \in \mathbb{N}}$  be an enumeration of  $\mathcal{S}$ . Define  $k(m)$  to be the unique  $n$  such that  $S_m = 1^n 0 C_{n,j}$  for some  $j \in \mathbb{N}$ . Let

$$T_m = (10^4)^m R_m (10^4)^m S_m B_{k(m),0}^m.$$

Finally, define a kneading sequence by

$$S = A A T_1 T_2 T_3 \dots$$

It is easy to check that  $S$  is the kneading sequence of a tent map,  $f$  (see [9, Lemma III.1.6]).

**THEOREM 2.1.** *Let  $f$  be the tent map with kneading sequence  $S$  and critical point  $c_f$ . Then  $x \in \omega(c_f)$  if and only if the itinerary of  $x$ ,  $I(x)$ , is a shift of one of the following:*

- (1)  $U \in \overline{\Sigma}$ ,
- (2)  $(10^4)^K 1^t 0 U_t$  where  $U_n \in \Gamma_n$  and  $K \in \mathbb{N}$ ,
- (3)  $(10^4)^K 1^\infty$  where  $K \in \mathbb{N}$ ,
- (4)  $1^K 0 10^3 1^\infty$  where  $K \in \mathbb{N}$ .

Moreover,  $\omega(c_f)$  is a Cantor set.

We will call the collection of all such itineraries  $\mathcal{I}_S$ .

*Proof.* Notice that  $x \in \omega(c_f)$  if, and only if every initial segment of the itinerary of  $x$  occurs infinitely often in  $S$ . So we see that if the itinerary of  $x$  is of one of the forms above then  $x \in \omega(c_f)$ . So suppose that  $x \in \omega(c_f)$ . We will show that the itinerary of  $x$ ,  $I(x)$ , is one of the sequences listed above.

Either every initial segment of  $I$  of  $I(x)$  occurs across the boundary between  $T_m$  and  $T_{m+1}$  for infinitely many  $m$ , or it occurs inside  $T_m$  for infinitely many  $m$ .

In the first case,  $I$  actually occurs in  $B_{k(m),0}^m (10^4)^{m+1}$  for large enough  $m$ , and hence  $I(x)$  is of type (1). In the second case, for infinitely many  $m$ ,  $I$  occurs in words of the form

- (a)  $(10^4)^m R_m (10^4)^m$ ,
- (b)  $(10^4)^m 1^{k(m)} 0 C_{k(m),\gamma_j}$ , or
- (c)  $C_{k(m),\gamma_j} B_{k(m),0}^m$ .

By the definition of  $\overline{\Sigma}$ , (a) implies that  $I(x)$  is of type (1). Notice that (c) is a special case of (b), so we consider (b). As  $m \rightarrow \infty$ ,  $j \rightarrow \infty$  but  $k(m)$  can either remain fixed or increase. If  $k(m)$  is fixed,  $I(x)$  is of type (2). If  $k(m)$  increases,  $I(x)$  is either of type (3) or (4).

Finally, to see that  $\omega(c_f)$  is a Cantor set, we show that it has no isolated points. Let  $x \in \omega(c_f)$ . Since  $\overline{\Sigma}$  is a Cantor set, if  $x$  has itinerary of type (1), then  $x$  is not isolated. The same is true for type (2) since  $\Gamma_t$  is a Cantor set for all  $t \in \mathbb{N}$ . If  $x$  has itinerary of type (3) or (4) then it is a limit of points with itinerary of type (2). ■

For each positive integer  $r > 5$ , there is a subset  $\Delta_r \subseteq \Gamma_r$  which is countable and has a single point,  $B_{r,0}^\infty$ , with limit type  $r$  such that for every  $x \in \Delta_r$  there is an integer  $k$  with  $\sigma^k(x) = B_{r,0}^\infty$ . In fact,  $\Delta_r$  is homeomorphic to the ordinal  $\omega^r + 1$ . So if  $h : \Delta_r \rightarrow \omega^r + 1$  is the homeomorphism we see that whenever  $h(x) = \alpha$  then  $x$  and  $\alpha$  must have the same limit type. So we use  $\omega^r + 1$  to index

$$\Delta_r = \{x_{r,\alpha}\}_{\alpha \in \omega^r + 1}.$$

For each  $r > 5$  and for each  $\alpha \in \omega^r + 1$  there is an infinite word  $\delta_{r,\alpha} \in \{0, 1\}^\mathbb{N}$  such that  $x_{r,\alpha} = C_{r,\delta_{r,\alpha}}$  in the above notation. Let  $(W_{r,n})_{n \in \mathbb{N}}$  enumerate all of the finite words in points of  $\Delta_r$ .

In order to alter the previous kneading sequence to obtain one with postcritical  $\omega$ -limit set with the topological structure that we are after, we will insert the finite words that make up each  $\Delta_r$  carefully into  $S$  in such a way that we can see a homeomorphic copy of each  $\Delta_r$  isolated from the Cantor set but limiting to one point in  $\Gamma_r$  with limit type  $r$ . For each  $r \in \mathbb{N}$ , let  $p_r$  be the  $r$ th prime number. We will insert a copy of each finite word of  $\Delta_r$  sandwiched between the words

$$B_{p_r^n,0} = 10^3 1^{2p_r^n} 0^3 1 \quad \text{and} \quad B_{n,0}^{p_r^n} = (10^3 1^{2n} 0^3 1)^{p_r^n}.$$

But we need to do this in such a way that we still have  $B_{p_r^n,0} B_{n,0}^{p_r^n}$  occurring in the kneading sequence infinitely often. To accomplish this, for each  $r \in \mathbb{N}$  let  $(R_{r,n})_{n \in \mathbb{N}} \subseteq (R_m)_{m \in \mathbb{N}} = \Sigma$  be chosen such that

- (1)  $R_{r,n}$  contains the word  $B_{p_r^n,0} B_{n,0}^{p_r^n}$ ,
- (2)  $(R_{r,n})_{n \in \mathbb{N}} \cap (R_{r',n})_{n \in \mathbb{N}} = \emptyset$  for all  $r \neq r'$ ,
- (3) each  $R_{r,n}$  occurs infinitely often as a subword of terms in

$$(R_i)_{i \in \mathbb{N}} \setminus \left[ \bigcup_{k \in \mathbb{N}} (R_{k,n})_{n \in \mathbb{N}} \right].$$

Let  $U_{r,n}$  be the word in  $R_{r,n}$  before the first occurrence of  $B_{p_r^n,0} B_{n,0}^{p_r^n}$ , and let  $U'_{r,n}$  be the word in  $R_{r,n}$  that occurs after the first occurrence of  $B_{p_r^n,0} B_{n,0}^{p_r^n}$ .

in  $R_{r,n}$ . So

$$R_{r,n} = U_{r,n} B_{p_r^n, 0} B_{n, 0}^{p_r^n} U'_{r,n}.$$

We alter each  $R_{r,n}$  by inserting

$$10^3 1^{p_r^n} 0 W_{r,n}$$

in between  $B_{p_r^n, 0} B_{n, 0}^{p_r^n}$  and define

$$R'_m = \begin{cases} U_{r,n} B_{p_r^n, 0} 10^3 1^{p_r^n} 0 W_{r,n} B_{n, 0}^{p_r^n} U'_{r,n} & \text{if } R_m = R_{r,n}, \\ R_m & \text{otherwise.} \end{cases}$$

Just as before, for each  $m \in \mathbb{N}$  let

$$T'_m = (10^4)^m R'_m (10^4)^m S_m B_{k(m), 0}^m$$

where the  $S_m$ s and  $k(m)$ s are defined as above. Let

$$S' = A A T'_1 T'_2 T'_3 \dots$$

Again, it is easy to check that  $S'$  is the kneading sequence of a tent map,  $g$ .

**THEOREM 2.2.** *Let  $g$  be the tent map with kneading sequence  $S'$  and critical point  $c_g$ . Then  $x \in \omega(c_g)$  if and only if the itinerary of  $x$ ,  $I(x)$ , is a shift of one of the following:*

- (1)  $U$  where  $U \in \mathcal{I}_S$ ,
- (2)  $1^k 0 x_{r,\alpha}$  for  $k \in \mathbb{N}$ ,  $r > 5$ ,  $\alpha \in \omega^r + 1$ .

Moreover,  $\omega(c_g) = C \cup P$  where  $C$  is the largest Cantor set in  $\omega(c_g)$  and  $P = \bigcup_{r>5} P_r$  where  $P_r$  contains points with limit type  $r$  but not any points with higher limit type.

*Proof.* Clearly, if  $x \in [0, 1]$  and the itinerary of  $x$  is in  $\mathcal{I}_S$  then  $x \in \omega(c_g)$ , because we ensured that every word that occurred infinitely often in  $S$  still occurs infinitely often in  $S'$ . The new points in  $\omega(c_g)$  must occur due to the changed  $R'_m$ s. Note that  $r$  and  $n$  depend on  $m$  and as  $m \rightarrow \infty$ , we have  $p_r^n \rightarrow \infty$ , which can occur in two ways: either the  $p_r$ s are the same prime but with increasing powers in  $n$ , or the  $p_r$ s are an increasing sequence of primes.

This implies that every initial segment of  $I(x)$  occurs in infinitely many  $R'_m$ s which are of the form

$$U_{r,n} B_{p_r^n, 0} 10^3 1^{p_r^n} 0 W_{r,n} B_{n, 0}^{p_r^n} U'_{r,n}.$$

Since  $p_r^n \rightarrow \infty$ , it follows that  $|B_{p_r^n, 0}| \rightarrow \infty$  and  $|W_{r,n}| \rightarrow \infty$ . So every initial segment of  $I(x)$  occurs infinitely often in one of:

- (1)  $U_{r,n} B_{p_r^n, 0}$ ,
- (2)  $B_{p_r^n, 0} 10^3 1^{p_r^n}$ ,
- (3)  $1^{p_r^n} 0 W_{r,n}$ ,

- (4)  $W_{r,n}B_{n,0}^{p_r^n}$ , or  
 (5)  $B_{n,0}^{p_r^n}U'_{r,n}$ .

Notice that (3) is the only possibly new form of an allowed initial segment. Recall that the words  $W_{r,n}$  are finite subwords that describe  $\Delta_r$ . Thus  $I(x) = 1^k 0 x_{r,\alpha}$  for some  $k \in \mathbb{N}$ ,  $r > 5$  and  $\alpha \in \omega^r + 1$ .

For each  $r > 5$ , let  $P_r = \{x \in \omega(c_g) : I(x) = 1^k 0 x_{r,\alpha}, k > r, \alpha \in \omega^r + 1\}$  and let  $C = \omega(c_g) \setminus \bigcup_{r>5} P_r$ . Since  $1^k 0 x_{r,\alpha} \in \mathcal{I}_S$  if and only if  $k \leq r$ , we see that the  $P_r$ s contain all of the points of  $\omega(c_g)$  with itineraries that are not in  $\mathcal{I}_S$ . So  $C$  is a Cantor set that contains every point with itinerary that was an itinerary of some point in  $\omega(c_f)$ . If  $x \in P_r$  then  $I(x) = 1^k 0 x_{r,\alpha}$  with  $k > r$  and  $\alpha \in \omega^r + 1$ . Let  $V_{r,k}$  be the set of all points in  $\omega(c_g)$  with itineraries that start with  $1^k 0 10^3 1^{2r} 0^3$  or  $1^k 0 10^3 1^{2r+1} 0^3$ . Each  $V_{r,k}$  is a subset of  $P_r$  that is homeomorphic to  $\omega^r + 1$  and is open in  $\omega(c_g)$  because it is a cylinder set. So we see that  $C$  is the largest Cantor set in  $\omega(c_g)$ , and that each  $P_r$  contains points with limit type  $r$  and none with higher limit type. ■

Thus we have constructed an  $\omega$ -limit set with isolated points that violates the restriction on  $\omega$ -limit sets given in [10]. Notice that the specific construction we employed used subsets of the Cantor set with limit height  $n$  for each  $n$  but without anything of limit type  $\omega$ . It is easy to see that the technique can be altered to allow the subsets  $\Delta_r$  have any limit type structure. Thus for every countable ordinal  $\gamma$ , there exists a tent map such that  $\omega(c)$  is uncountable and its perfect kernel occurs at level  $\gamma$ .

**3. An uncountable  $\omega$ -limit set with a minimal perfect kernel and a dense set of isolated points.** In this section we address a question of the referee by constructing the following example.

**EXAMPLE 3.1.** *There is a tent map  $h$  with critical point  $c_h$  such that  $\omega(c_h) = C \cup R$  where  $C$  is a minimal Cantor set and  $R$  is a scattered set. Moreover, the set  $R$  is dense in  $\omega(c_h)$ .*

To begin, we let  $K'$  be the kneading sequence of a tent map  $f : [0, 1] \rightarrow [0, 1]$  with critical point  $c_f$  such that  $\omega(c_f)$  is minimal. An example of such a kneading sequence can be found in [7]; other examples are provided by strange adding machines [8], [13]. Consider the inverse limit of  $f$ , and let  $\text{Fd}(f)$  be the set of folding points in  $\varprojlim \{[0, 1], f\}$ , [14]. It is known that  $\text{Fd}(f) = \varprojlim \omega_f(c_f)f|_{\omega_f(c_f)}$ . Let

$$\hat{x} = (x_1, x_2, \dots) \in \text{Fd}(f) \setminus \bigcup_{n \in \mathbb{N}} \pi_n^{-1}(c_f)$$

be such that

$$x_1 \notin \bigcup_{n \in \mathbb{N}} f^{-n}(c_f).$$



Then  $x_1$  has a unique itinerary made up of 0s and 1s which we denote by  $I_f(x_1)$ , and  $\hat{x}$  has a unique symbolic representation,  $\mathcal{I}_f(\hat{x}) \in \{0, 1\}^{\mathbb{Z}}$ , where

$$\mathcal{I}_f(\hat{x}) = (\dots, i_f(x_3), i_f(x_2), i_f(x_1), i_f(f(x_1)), i_f(f^2(x_1)), i_f(f^3(x_1)), \dots)$$

and  $i_f(z) = 0$  if  $z < c_f$  but  $i_f(z) = 1$  otherwise.

Let  $V = (V^-.V^+)$  denote  $\mathcal{I}_f(\hat{x})$  and, for each  $n \in \mathbb{N}$ , let  $V_n^-.V_n^+$  be the central segment of  $V$  of “diameter”  $2n$ . Notice that  $V_n^-.V_n^+$  is a central segment of the full itinerary of  $x_n$ . Since  $\hat{x} \in \varprojlim \omega_f(c_f)f|_{\omega_f(c_f)}$ , we see that  $x_n \in \omega_f(c_f)$ . Thus each  $V_n^-.V_n^+$  occurs infinitely often in  $K'$  and we can write

$$K' = W_1 V_{m_1}^- V_{m_1}^+ W_2 V_{m_2}^- V_{m_2}^+ W_3 V_{m_3}^- V_{m_3}^+ \dots$$

where each  $W_i$  is a word in 0 and 1, and both  $|W_i| \rightarrow \infty$  and  $m_i \rightarrow \infty$  as  $i \rightarrow \infty$ .

Now, by our assumptions on  $f$ , we know that  $\omega_f(c_f)$  is minimal. In particular, the orientation reversing fixed point,  $p$ , with itinerary  $1^\infty$  is not in  $\omega_f(c_f)$ . This implies that there is some least  $N \in \mathbb{N}$  such that  $1^N$  does not occur in  $K'$ . Let

$$B = 101^N 01$$

and let

$$K = W_1 V_{m_1}^- B V_{m_1}^+ W_2 V_{m_2}^- V_{m_2}^+ W_3 V_{m_3}^- B V_{m_3}^+ \dots$$

Specifically, in  $K'$ , replace every odd occurrence

$$V_{m_{2i-1}}^- V_{m_{2i-1}}^+$$

with

$$V_{m_{2i-1}}^- B V_{m_{2i-1}}^+.$$

It is easy to check that  $K$  is shift maximal and primary (see, for example, [10] for the terminology) and is therefore the kneading sequence of a tent map,  $h$ , with critical point  $c_h$ . Let  $x \in \omega(c_h)$ . By construction, there are three possibilities for the itinerary of  $x$ :

- (1)  $\mathcal{I}_h(x) = \mathcal{I}_f(y)$  for some  $y \in \omega(c_f)$ ,
- (2)  $\mathcal{I}_h(x)$  contains  $B$ , in which case  $\mathcal{I}_h(x) = \sigma^m(V_n^-) B V_n^+$  for some  $m < n$ ,
- (3)  $\mathcal{I}_h(x) = \sigma^k(BV^+)$ .

Points of type (1) give rise to a minimal Cantor set  $C$  on which  $h$  acts in conjugate fashion to the action of  $f$  on  $\omega(c_f)$ . Points of type (2) are isolated, since every itinerary containing a  $B$  terminates with  $BV^+$  (hence, any initial segment of the itinerary that contains  $B$  defines an open set that contains just this point). Points of type (3) are either isolated (in particular when  $k < 3$ , so that the itinerary contains  $1^N$ , which is always followed by  $01V^+$ ) or contained in  $C$ . Hence  $\omega(c_h) = C \cup R$ , where  $C$  is a minimal Cantor set and  $R$  is a collection of isolated points.

Since the only points of  $\omega(c_h)$  that are not isolated are in  $C$ , by compactness there is at least one point  $z \in C$  that is a limit point of a sequence  $(x_k)$  of isolated points, where (without loss) the itinerary of  $x_k$  is  $V_{n_k}^-BV^+$ . Since  $C$  is minimal, for any  $y \in C$  and any  $\varepsilon > 0$  there is some  $m > 0$  such that  $|h^m(z) - y| < \varepsilon$ . This is equivalent to saying that the itineraries of  $h^m(z)$  and  $y$  agree for  $m'$  terms for some  $m' \in \mathbb{N}$ . But then whenever  $k$  is chosen so that  $n_k > m + m'$ , the itineraries of  $h^m(x_k)$ ,  $z$  and  $y$  will agree for the first  $m'$  terms. Since its itinerary contains  $B$ , it follows that  $h^m(x_k)$  is isolated, and hence the isolated points of  $\omega(c_h)$  are dense. As the referee points out, the scattered part of such an  $\omega$ -limit set with a minimal perfect kernel will always form a dense set. In fact, this holds for any continuous function on a compact metric space and follows from Sharkovskii's property of  $\omega$ -limit sets (weak incompressibility): if  $F$  is a proper, non-empty closed subset of an  $\omega$ -limit set  $W$ , then the closure,  $\overline{f(W - F)}$ , of  $f(W - F)$  meets  $F$  (see [5]). Now if  $W = C \cup R$ , where  $C$  is a Cantor set and  $R$  is a scattered subset of  $W$ , then either  $\overline{R} = W$ , in which case we are done, or  $\overline{f(W - \overline{R})}$  meets  $\overline{R}$ . If  $C$  is a minimal Cantor set then  $\overline{f(W - \overline{R})}$  is a non-empty subset of  $C$ , so that  $C \cap \overline{R}$  is non-empty. But  $C \cap \overline{R}$  is a closed, forward invariant subset of the minimal Cantor set  $C$ , and is therefore equal to  $C$  and indeed  $R$  is dense. This shows the following.

**PROPOSITION 3.2.** *Let  $f : X \rightarrow X$  be a continuous function on the compact metric space  $X$ . If  $\omega(x) = C \cup R$ , where  $C$  is a minimal Cantor set and  $R$  is a scattered subset of  $\omega(x)$ , then  $R$  is dense in  $\omega(x)$ .*

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School of Mathematics and Statistics  
University of Birmingham  
Birmingham, B15 2TT, UK  
E-mail: c.good@bham.ac.uk

Department of Mathematics  
Baylor University  
Waco, TX 76798-7328, U.S.A.  
E-mail: brian\_raines@baylor.edu

Mathematical Institute  
University of Oxford  
Oxford, OX1 3LB, UK  
E-mail: suabedis@maths.ox.ac.uk

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