

## Simultaneous stabilization in $A_{\mathbb{R}}(\mathbb{D})$

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**Abstract.** We study the problem of simultaneous stabilization for the algebra  $A_{\mathbb{R}}(\mathbb{D})$ . Invertible pairs  $(f_j, g_j)$ ,  $j = 1, \dots, n$ , in a commutative unital algebra are called *simultaneously stabilizable* if there exists a pair  $(\alpha, \beta)$  of elements such that  $\alpha f_j + \beta g_j$  is invertible in this algebra for  $j = 1, \dots, n$ .

For  $n = 2$ , the simultaneous stabilization problem admits a positive solution for any data if and only if the Bass stable rank of the algebra is one. Since  $A_{\mathbb{R}}(\mathbb{D})$  has stable rank two, we are faced here with a different situation. When  $n = 2$ , necessary and sufficient conditions are given so that we have simultaneous stability in  $A_{\mathbb{R}}(\mathbb{D})$ .

For  $n \geq 3$  we show that under these conditions simultaneous stabilization is not possible and further connect this result to the question of which pairs  $(f, g)$  in  $A_{\mathbb{R}}(\mathbb{D})^2$  are totally reducible, that is, for which pairs there exist two units  $u$  and  $v$  in  $A_{\mathbb{R}}(\mathbb{D})$  such that  $uf + vg = 1$ .

**Introduction.** Given a commutative ring (or an algebra)  $R$  with unit 1, we say that a pair  $(f, g) \in R^2$  is *invertible* if there exists  $(\alpha, \beta) \in R^2$  such that

$$\alpha f + \beta g = 1,$$

and write  $(f, g) \in U_2(R)$ .

We say that  $n$  invertible pairs  $(f_j, g_j) \in U_2(R)$  are *simultaneously stabilizable* if there exists  $(\alpha, \beta) \in R^2$  such that for  $j = 1, \dots, n$ ,

$$\alpha f_j + \beta g_j \in R^{-1},$$

where  $R^{-1}$  denotes the set of invertible elements in the ring  $R$ .

When  $n = 2$  the notion of simultaneous stabilizability is very close to the notion of the ring  $R$  having Bass stable rank one. Since this notion will play a role in the proofs, we recall it now. We say that the ring  $R$  has *Bass stable rank one* if for any invertible pair  $(f, g) \in R^2$  there exists an  $h \in R$  such that

$$f + hg \in R^{-1}.$$

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Note that this can be rephrased as asking for the existence of  $\alpha \in R^{-1}$  and  $\beta \in R$  such that

$$\alpha f + \beta g = 1.$$

A further property, even stronger than having Bass stable rank one, called the *unit-1 stable rank*, is to require for every invertible pair  $(f, g) \in U_2(R)$  the existence of  $\alpha \in R^{-1}$  and  $\beta \in R^{-1}$  such that

$$\alpha f + \beta g = 1.$$

In the literature, a pair  $(f, g)$  having this property is sometimes called *totally reducible*. This concept was introduced by P. Menal and J. Moncasi [10]. For rings of holomorphic functions on planar domains, this is no longer of interest, since it is known that no such rings have unit-1 stable rank whenever they properly contain the constants ( $\mathbb{R}$  or  $\mathbb{C}$ ). See [11]. A related concept is called the Godefroid–Goodearl–Menal property (see [7, 8]): for each  $x, y \in R$  there exists a unit  $u \in R^{-1}$  such that  $x - u$  and  $y - u^{-1}$  are invertible in  $R$ . It is known that this property implies that  $R$  has unit-1 stable rank.

When these concepts are applied to various spaces of analytic functions, many interesting questions arise. For the disc algebra,  $A(\mathbb{D})$ , these properties are well studied. The notion of invertible  $n$ -tuples coincides here with the notion of  $n$ -tuples satisfying the Corona condition. See [12, p. 365]. The stable rank of  $A(\mathbb{D})$  was computed by Jones, Marshall and Wolff [9], and the concept of total reducibility and unit-1 stable rank was studied by Mortini and Rupp [11], and Blondel, Mortini and Rupp [4].

But, as motivated by control theory, the disc algebra is not physically meaningful since the functions take complex values. So one introduces a more useful algebra, and asks similar questions.

**0.1. Motivations and main results.** We will be interested in the case where  $R$  is a certain ring of analytic functions, namely the real disc algebra  $A_{\mathbb{R}}(\mathbb{D})$ . The space  $A_{\mathbb{R}}(\mathbb{D})$  is the set of functions in the disc algebra with the additional property that

$$f(z) = \overline{f(\bar{z})} \quad \forall z \in \overline{\mathbb{D}}.$$

This definition is equivalent to the property that a function  $f \in A_{\mathbb{R}}(\mathbb{D})$  has a Fourier series expansion with real coefficients.

In this context the notion of invertibility is intimately connected with the Corona Theorem for these algebras. A pair  $(f, g)$  is invertible in  $A_{\mathbb{R}}(\mathbb{D})$  if and only if

$$|f(z)| + |g(z)| \geq \delta > 0 \quad \forall z \in \overline{\mathbb{D}}.$$

The necessity of this condition is immediate, while the sufficiency follows from a symmetrization of the usual Corona Theorem for  $A(\mathbb{D})$ . Indeed, for

functions which satisfy this condition, we can always find  $\alpha, \beta \in A(\mathbb{D})$  such that

$$\alpha f + \beta g = 1.$$

See [12]. One then defines

$$\tilde{\alpha}(z) := \frac{\alpha(z) + \overline{\alpha(\bar{z})}}{2} \quad \text{and} \quad \tilde{\beta}(z) := \frac{\beta(z) + \overline{\beta(\bar{z})}}{2}.$$

It is immediate that this is the solution to the Bézout equation in  $A_{\mathbb{R}}(\mathbb{D})$  that we seek.

The question of when the Bézout equation  $\alpha f + \beta g = 1$  associated with an invertible pair  $(f, g)$  in  $A_{\mathbb{R}}(\mathbb{D})^2$  has a solution  $(\alpha, \beta) \in A_{\mathbb{R}}(\mathbb{D})^2$  with  $\alpha^{-1}$  also in  $A_{\mathbb{R}}(\mathbb{D})$  was addressed in [15]. It was shown that the pair  $(f, g)$  must satisfy an additional condition which is both necessary and sufficient for the existence of  $(\alpha, \beta)$  with the desired properties. This condition will play a role in later arguments, so we recall the definition.

Given an invertible pair  $(f, g)$  in  $A_{\mathbb{R}}(\mathbb{D})^2$ , we will say that  $f$  is of *constant sign on the real zeros of  $g$*  if  $f$  has the same sign at all real zeros of  $g$ . This condition arises naturally by examining what happens when you have a solution to the Corona problem with an invertible element.

In fact, as was shown by the second author in [15], if  $(f, g)$  is an invertible pair in  $A_{\mathbb{R}}(\mathbb{D})$ , then there exists  $h \in A_{\mathbb{R}}(\mathbb{D})$  such that  $f + hg \in A_{\mathbb{R}}(\mathbb{D})^{-1}$  if and only if  $f$  is of constant sign on the real zeros of  $g$ . One calls a pair of functions which have this property *reducible*.

We are now going to see that if we have a solution to a simultaneous stabilization problem in the real disc algebra, then we must have a similar additional necessary condition that our Corona data must satisfy. Suppose that  $(f_1, g_1)$  and  $(f_2, g_2)$  are simultaneously stabilizable. Then we can find functions  $\alpha, \beta \in A_{\mathbb{R}}(\mathbb{D})$  such that

$$\alpha f_1 + \beta g_1 = 1$$

and

$$\alpha f_2 + \beta g_2 = u \in A_{\mathbb{R}}(\mathbb{D})^{-1}.$$

Using the matricial representation we get

$$\begin{pmatrix} f_1 & g_1 \\ f_2 & g_2 \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} 1 \\ u \end{pmatrix}.$$

Then we see that at points  $x \in [-1, 1]$  where the determinant of the above matrix is zero, we have  $(f_2(x), g_2(x)) = \lambda(x)(f_1(x), g_1(x))$  for some  $\lambda(x) \in \mathbb{R}$ . Hence  $\lambda(x) = u(x)$ . Since  $u$  is invertible, it has constant sign on  $[-1, 1]$ . Hence  $\lambda(x)$  has the same sign at all the real zeros of the function  $f_1 g_2 - f_2 g_1$ .

DEFINITION 0.1. We say that the pairs  $(f_1, g_1)$  and  $(f_2, g_2)$  are *sign-linked* if whenever  $(f_2(x), g_2(x)) = \lambda(x)(f_1(x), g_1(x))$  for some  $x \in [-1, 1]$  and  $\lambda(x) \in \mathbb{R}$ , the function  $\lambda(x)$  has constant sign on the set of real singular points of the matrix  $\begin{pmatrix} f_1 & g_1 \\ f_2 & g_2 \end{pmatrix}$ .

Note that for invertible pairs  $(f_j, g_j)$  this notion is symmetric, since  $\lambda(x) \neq 0$ . We also observe that this is a reasonable (and correct) generalization of the concept of being positive on the real zeros of a function. If  $(f_1, g_1) = (1, 0)$  and  $(f_2, g_2) = (f, g)$  then these pairs are sign-linked if and only if  $f$  has constant sign on the real zeros of  $g$ .

One can also ask for more in terms of the solution to the Corona problem. For example, we are interested in pairs  $(f, g)$  of functions in  $A_{\mathbb{R}}(\mathbb{D})$  that are totally reducible. This is motivated by the fact that the ring  $A_{\mathbb{R}}(\mathbb{D})$  fails to have the unit-1 stable property, since the invertible pair  $(z, 1 - z^2)$  is not even reducible.

In the context of  $A_{\mathbb{R}}(\mathbb{D})$ , it is important to note that if a pair is totally reducible, then the Corona data must have an additional property. To see this, suppose that for  $(f, g) \in A_{\mathbb{R}}(\mathbb{D})^2$  it is possible to find  $u, v \in A_{\mathbb{R}}(\mathbb{D})^{-1}$  such that

$$uf + vg = 1.$$

Then  $f$  has constant sign on the real zeros of  $g$  and similarly  $g$  must have constant sign on the real zeros of  $f$ . This condition on the zeros of  $f$  and  $g$  is typically called the even interlacing property in the control theory literature. The counterexample that will be constructed will have this necessary property as well.

**0.1.1. Main results**

THEOREM 0.2. *Invertible pairs  $(f_1, g_1)$  and  $(f_2, g_2)$  of functions in the algebra  $A_{\mathbb{R}}(\mathbb{D})$  are simultaneously stabilizable if and only if they are sign-linked.*

We next show that when we consider more than two pairs of Corona data, any two of them being sign-linked, then they are generally not simultaneously stabilizable. Of course we must add here the sign-linked condition, since otherwise we would already have a counterexample for the case of two pairs.

It is enough to show this in the case of three pairs of functions. The construction is similar to what was done in [4].

THEOREM 0.3. *There exist three pairs of functions  $(f_j, g_j) \in U_2(A_{\mathbb{R}}(\mathbb{D}))$ , with  $\{(f_1, g_1), (f_2, g_2)\}$ ,  $\{(f_1, g_1), (f_3, g_3)\}$  and  $\{(f_2, g_2), (f_3, g_3)\}$  being sign-linked, that are not simultaneously stabilizable. That is, for  $j = 1, 2, 3$ , the problem*

$$\alpha f_j + \beta g_j \in A_{\mathbb{R}}(\mathbb{D})^{-1}$$

*has no solution with  $(\alpha, \beta) \in A_{\mathbb{R}}(\mathbb{D})^2$ .*

As a corollary to this theorem, we have the following result which says that the ring  $A_{\mathbb{R}}(\mathbb{D})$  does not have the unit-1 stable property,

**COROLLARY 0.4.** *There exists a pair  $(f, g) \in U_2(A_{\mathbb{R}}(\mathbb{D}))$  with  $f$  being positive on the real zeros of  $g$  and  $g$  positive on the real zeros of  $f$ , such that if*

$$\alpha f + \beta g = 1,$$

*then either  $\alpha$  or  $\beta$  is not invertible in  $A_{\mathbb{R}}(\mathbb{D})$ .*

We observe at this point that if one wants only one of  $\alpha$  or  $\beta$  invertible, then this is possible and can be found in [15].

**REMARK.** Many of these results have interpretations and motivations in control theory. The interested reader can see these connections in the book by V. Blondel [2], which is an excellent reference for the motivations of the problems of simultaneous stabilization in control theory. Additionally, the book by Vidyasagar [14] is a good introduction to control theory and connections to the Bézout equation.

**1. Some general facts on invertible  $n$ -tuples.** Let  $R$  be a commutative unital ring, with the unit being denoted by 1. We begin with some easy facts on invertible  $n$ -tuples and the representations of the unit element of the ring generated by these  $n$ -tuples. Denote by  $U_n(R) := \{(x_1, \dots, x_n) \in R^n : \exists y_j \in R : \sum_{j=1}^n x_j y_j = 1\}$  the set of invertible  $n$ -tuples in  $R^n$ . Finally, for  $x, f \in R^n$ , let  $\langle x, f \rangle := \sum_{j=1}^n x_j f_j$ .

**LEMMA 1.1.** *Let  $f, g \in R^n$  and let  $M$  be an  $n \times n$ -matrix over  $R$ . Suppose that  $g = Mf$  and  $g \in U_n(R)$ . Then  $f \in U_n(R)$ . In particular, if  $M$  is invertible and  $f \in U_n(R)$ , then also  $g \in U_n(R)$ .*

*Proof.* By hypothesis,  $1 = \langle g, a \rangle$  for some  $a \in R^n$ . Hence

$$1 = \langle Mf, a \rangle = \langle f, M^{\perp} a \rangle. \blacksquare$$

**PROPOSITION 1.2.** *Suppose that  $(f_1, \dots, f_n)$  is an invertible  $n$ -tuple in  $R^n$  and let  $1 = \sum_{j=1}^n x_j f_j = \langle x, f \rangle$ . Then every other representation  $1 = \sum_{j=1}^n y_j f_j = \langle y, f \rangle$  of 1 can be deduced from the former by letting  $y = x + Hf$ , where  $H$  is an antisymmetric  $n \times n$ -matrix over  $R$ ; that is,  $H = -H^{\perp}$ , where  $H^{\perp}$  is the transpose of  $H$ .*

*Proof.* Suppose that  $1 = \langle x, f \rangle$  and  $1 = \langle y, f \rangle$ . Multiply these equations by  $y_k$ , respectively  $x_k$ . Then  $x_k - y_k = \sum_{j \neq k} f_j (y_j x_k - y_k x_j)$ . Thus  $y = x + Hf$  for some antisymmetric matrix  $H$ .

The converse is easy too. In fact, suppose that  $1 = \langle x, f \rangle$ . Then

$$\langle y, f \rangle = \langle x + Hf, f \rangle = \langle x, f \rangle + \langle Hf, f \rangle = 1 + 0 = 1$$

because

$$\langle Hf, f \rangle = \langle f, H^\perp f \rangle = -\langle f, Hf \rangle = -\langle Hf, f \rangle. \blacksquare$$

The following result is mentioned in the unpublished manuscript [4]. The proof works along the same lines as that of our Theorem 0.2.

**THEOREM 1.3.** *Let  $R$  be a commutative unital ring. Then every simultaneous stabilization problem  $\alpha f_j + \beta g_j \in R^{-1}$ ,  $j = 1, 2$ , with  $(f_j, g_j) \in U_2(R)$  is solvable if and only if  $R$  has Bass stable rank one.*

*Proof.* Assume that  $R$  has Bass stable rank one and  $\alpha f_1 + \beta g_1 = 1$ . By Lemma 1.2, every other representation of the unit element (with generators  $(f_1, g_1)$ ) has the form

$$1 = (\alpha + hg_1)f_1 + (\beta - hf_1)g_1$$

for some  $h \in R$ . Consider now the element

$$u := (\alpha + hg_1)f_2 + (\beta - hf_1)g_2,$$

which after some algebra reduces to

$$u = (\alpha f_2 + \beta g_2) + h(g_1 f_2 - f_1 g_2).$$

Let  $F = \alpha f_2 + \beta g_2$  and  $G = g_1 f_2 - f_1 g_2$ . One observes that the pair  $(F, G)$  can be written in matrix notation as

$$\begin{pmatrix} F \\ G \end{pmatrix} = \begin{pmatrix} \alpha & \beta \\ g_1 & -f_1 \end{pmatrix} \begin{pmatrix} f_2 \\ g_2 \end{pmatrix}.$$

The corresponding  $2 \times 2$ -matrix has determinant  $-1$  and since the pair  $(f_2, g_2)$  is invertible, so is  $(F, G)$ , by Lemma 1.1. But, by our assumption,  $R$  has Bass stable rank one, and hence there exists an element  $h \in R$  such that

$$u = F + hG \in R^{-1}.$$

To show the converse, we just have to note that the simultaneous stabilization of the system  $(1, 0)$  and  $(f, g)$  is nothing other than the existence of an invertible element  $\alpha$  and some  $\beta$  so that  $\alpha f + \beta g \in R^{-1}$ .  $\blacksquare$

**2. Proofs of main results.** Whereas by Theorem 1.3 each  $2 \times 2$  problem

$$\alpha f_j + \beta g_j \in A(\mathbb{D})^{-1}, \quad j = 1, 2,$$

with Corona data in the disc algebra  $A(\mathbb{D})$  is solvable (since  $A(\mathbb{D})$  has stable rank one, see [9]), the situation differs in  $A_{\mathbb{R}}(\mathbb{D})$ . We cannot apply the results of Theorem 1.3, since by a result of Rupp and Sasane [13], the Bass stable rank of  $A_{\mathbb{R}}(\mathbb{D})$  is two. Thus, we will have to impose additional conditions on the Corona data for solutions to exist.

*Proof of Theorem 0.2.* Suppose that

$$|f_1(z)| + |g_1(z)| \geq \delta \quad \forall z \in \overline{\mathbb{D}}.$$

By the Corona Theorem for  $A_{\mathbb{R}}(\mathbb{D})$  there exists  $(\alpha, \beta) \in A_{\mathbb{R}}(\mathbb{D})^2$  such that

$$\alpha f_1 + \beta g_1 = 1.$$

By Lemma 1.2, every other representation of the unit element (with generators  $(f_1, g_1)$ ) has the form

$$1 = (\alpha + hg_1)f_1 + (\beta - hf_1)g_1$$

for some  $h \in A_{\mathbb{R}}(\mathbb{D})$ . Consider now the function

$$u := (\alpha + hg_1)f_2 + (\beta - hf_1)g_2,$$

that is,

$$u = (\alpha f_2 + \beta g_2) + h(g_1 f_2 - f_1 g_2).$$

By [15], there exists  $h \in A_{\mathbb{R}}(\mathbb{D})$  such that  $u$  is invertible if (and only if)  $F := \alpha f_2 + \beta g_2$  has constant sign on the real zeros of  $G := g_1 f_2 - f_1 g_2$ . We will show that our hypothesis, that  $(f_1, g_1)$  and  $(f_2, g_2)$  are sign-linked, guarantees this property. In fact, let  $G(x) = 0$ , where  $-1 \leq x \leq 1$ . Then  $x$  is a critical point of the matrix  $\begin{pmatrix} f_1 & g_1 \\ f_2 & g_2 \end{pmatrix}$ . Hence  $(f_2(x), g_2(x)) = \lambda(x)(f_1(x), g_1(x))$  for some  $\lambda(x) \neq 0$ . So

$$F(x) = \alpha(x)f_2(x) + \beta(x)g_2(x) = \lambda(x)(\alpha(x)f_1(x) + \beta(x)g_1(x)) = \lambda(x).$$

Our assumption implies that the sign of these values for  $\lambda(x)$  does not vary with  $x$ . Hence,  $F$  has constant sign on the zeros of  $G$ . Thus, there is a joint solution  $(\tilde{\alpha}, \tilde{\beta}) = (\alpha + hg_1, \beta - hf_1)$  to our problem

$$\tilde{\alpha}f_j + \tilde{\beta}g_j \in A_{\mathbb{R}}(\mathbb{D})^{-1}, \quad j = 1, 2. \quad \blacksquare$$

REMARK 2.1. We have the following examples of pairs of functions for which the simultaneous stabilization problem is solvable:

- (1) Let  $(f_1, g_1) = (1, 0)$  and  $(f_2, g_2) = (f, g)$ , where  $(f, g)$  is any invertible pair in  $A_{\mathbb{R}}(\mathbb{D})$  such that  $f > 0$  on the real zeros of  $g$ .
- (2) Let  $(f_j, g_j) = (f, g)$  ( $j = 1, 2$ ), where  $(f, g) \in U_2(A_{\mathbb{R}}(\mathbb{D}))$  is arbitrary.
- (3) Let  $(f, g) \in U_2(A_{\mathbb{R}}(\mathbb{D}))$ ,  $(f_1, g_1) = (f, g)$  and  $(f_2, g_2) = (g, f)$ , and suppose that  $f$  avoids  $g$  on  $[-1, 1]$ , that is,  $f(x) \neq g(x)$  for any  $x \in [-1, 1]$ . Then the system

$$\begin{cases} \alpha f + \beta g \in A_{\mathbb{R}}(\mathbb{D})^{-1}, \\ \alpha g + \beta f \in A_{\mathbb{R}}(\mathbb{D})^{-1}, \end{cases}$$

is solvable in  $A_{\mathbb{R}}(\mathbb{D})$ .

We want to point out the following classes of simultaneous stabilization problems:

PROPOSITION 2.2. *Let  $(f_1, g_1)$  and  $(f_2, g_2)$  be Corona data in  $A_{\mathbb{R}}(\mathbb{D})^2$ . Then the system*

$$\alpha f_j^2 + \beta g_j \in A_{\mathbb{R}}(\mathbb{D})^{-1}, \quad j = 1, 2,$$

*is solvable.*

*Proof.* Assume that  $x$  is a critical point of the matrix

$$A = \begin{pmatrix} f_1^2 & g_1 \\ f_2^2 & g_2 \end{pmatrix}.$$

The vector  $(f_2^2(x), g_2(x))$  is a nonzero multiple of the vector  $(f_1^2(x), g_1(x))$ , say  $(f_2^2(x), g_2(x)) = \lambda(x)(f_1^2(x), g_1(x))$ . This obviously implies that  $\lambda(x) > 0$ . Hence  $(f_2^2(x), g_2(x))$  and  $(f_1^2(x), g_1(x))$  are sign-linked. Now use Theorem 0.2 to get the solution. ■

REMARK 2.3. We note that whenever  $F_1$  and  $F_2$  are outer functions in  $A_{\mathbb{R}}(\mathbb{D})$ , then every system

$$\begin{cases} \alpha F_1 + \beta g_1 \in A_{\mathbb{R}}(\mathbb{D})^{-1}, \\ \alpha F_2 + \beta g_2 \in A_{\mathbb{R}}(\mathbb{D})^{-1}, \end{cases}$$

of Corona data is solvable. This follows from Proposition 2.2 above and the fact that outer functions  $F \in A_{\mathbb{R}}(\mathbb{D})$  with  $F(0) > 0$  have a square root in  $A_{\mathbb{R}}(\mathbb{D})$ .

We shall now prove Theorem 0.3 which deals with the simultaneous stabilization problem of three pairs of data.

*Proof of Theorem 0.3.* The construction of this counterexample is very similar to the one in [4]. Since we are after a little more (namely Corollary 0.4), we modify that construction, but remark that it is possible to use their examples immediately to prove Theorem 0.3 without the desire to have a sign-linked counterexample.

Choose the following invertible pairs:

$$(f_1, g_1) = (1, 0), \quad (f_2, g_2) = (1, z^2), \quad (f_3, g_3) = (n^2 z^2, 1).$$

It is immediate that these three pairs are invertible. But we must show that  $\{(f_1, g_1), (f_2, g_2)\}$ ,  $\{(f_1, g_1), (f_3, g_3)\}$  and  $\{(f_2, g_2), (f_3, g_3)\}$  are sign-linked. Since  $f_2$  is positive on the real zeros of  $g_2$ , the pair  $\{(f_1, g_1), (f_2, g_2)\}$  is sign-linked. An identical statement holds for the pair  $\{(f_1, g_1), (f_3, g_3)\}$ . It only remains to address why the pair  $\{(f_2, g_2), (f_3, g_3)\}$  is sign-linked. First, a simple computation shows that the matrix corresponding to this pair has real singular values of  $\pm 1/\sqrt{n}$ . If we let  $\lambda(x) = n$  when  $x = \pm 1/\sqrt{n}$ , then  $(f_3(x), g_3(x)) = \lambda(x)(f_2(x), g_2(x))$ . So the pair  $\{(f_2, g_2), (f_3, g_3)\}$  is sign-linked.

Suppose that for every  $n$  the triple of pairs above were simultaneously stabilizable. Then for every integer  $n \in \mathbb{N}$  there exist  $\alpha_n, \beta_n \in A_{\mathbb{R}}(\mathbb{D})$  such that

$$\begin{aligned} \alpha_n &\in A_{\mathbb{R}}(\mathbb{D})^{-1}, \\ \alpha_n + \beta_n z^2 &\in A_{\mathbb{R}}(\mathbb{D})^{-1}, \\ n^2 \alpha_n z^2 + \beta_n &\in A_{\mathbb{R}}(\mathbb{D})^{-1}. \end{aligned}$$

One then rewrites this as a system of two conditions, since the first condition is just the assumption that  $\alpha_n$  is invertible. Doing so we have

$$\begin{aligned} 1 + h_n z^2 &\in A_{\mathbb{R}}(\mathbb{D})^{-1}, \\ n^2 z^2 + h_n &\in A_{\mathbb{R}}(\mathbb{D})^{-1}. \end{aligned}$$

With this in hand, define the following auxiliary function:

$$\varphi_n(z) := \frac{n^2 z^4 + h_n(z) z^2}{1 + h_n(z) z^2} = z^2 \frac{n^2 z^2 + h_n(z)}{1 + h_n(z) z^2}.$$

These functions are analytic and have no zeros in  $\mathbb{D} \setminus \{0\}$ . Additionally,  $\varphi_n$  attains the value  $w = 1$  only four times in  $\mathbb{D}$ , at the points  $\pm 1/\sqrt{n}, \pm i/\sqrt{n}$ . By the generalized Montel normal family criterion, the family of functions  $\varphi_n$  is normal in  $\mathbb{D} \setminus \{0\}$ . Without loss of generality, we may assume that the sequence  $(\varphi_n)$  converges uniformly on compact subsets of  $\mathbb{D} \setminus \{0\}$ . Then there are only two cases.

CASE 1:  $(\varphi_n)$  tends locally uniformly to infinity, i.e.,  $(\varphi_n^{-1})$  tends locally uniformly to 0. For  $\varepsilon > 0$  we have

$$\left| \frac{1 + h_n(z) z^2}{n^2 z^2 + h_n(z)} \right| \leq \varepsilon |z|^2, \quad n \geq N(\varepsilon), \quad |z| = 1/2.$$

Let  $\psi_n(z) := \frac{1+h_n(z)z^2}{n^2z^2+h_n(z)}$ . Then for  $n$  sufficiently large we have

$$|\psi_n(z)| \leq 1/8, \quad |z| = 1/2.$$

A straightforward calculation shows that

$$\frac{h_n(z)}{n^2} [\psi_n(z) - z^2] = \frac{1}{n^2} - z^2 \psi_n(z).$$

Using this, we see that for all  $n$  sufficiently large,

$$\left| \frac{h_n(z)}{n^2} \right| \leq \frac{\frac{1}{n^2} + \frac{\varepsilon}{2}}{\frac{1}{4} - \frac{1}{8}}, \quad |z| = 1/2.$$

The maximum modulus principle implies the same inequality for all  $z$  such that  $|z| \leq 1/2$ . From the above we know that all the functions  $u_n(z) := z^2 + h_n(z)/n^2$  are invertible in  $\mathbb{D}$ . But these functions tend uniformly to the function  $z^2$  in  $|z| \leq 1/2$ , which is neither invertible nor identically zero. This contradicts Hurwitz's Theorem, and so this case is impossible.

CASE 2:  $(\varphi_n)$  tends locally uniformly to an analytic function  $\varphi$  in  $\mathbb{D} \setminus \{0\}$ . In this case the functions  $\varphi_n$  are uniformly bounded on compact subsets of  $\mathbb{D} \setminus \{0\}$ , say,

$$|\varphi_n(z)| \leq M, \quad n \in \mathbb{N}, |z| = 1/2.$$

We additionally have

$$\varphi_n(z) = 1 + \frac{n^2 z^4 - 1}{1 + h_n(z)z^2},$$

which implies that

$$\left| \frac{n^2 z^4 - 1}{1 + h_n(z)z^2} \right| \leq M + 1, \quad n \in \mathbb{N}, |z| = 1/2.$$

But for  $n$  large the following inequality must hold:

$$\left| \frac{n^2}{1 + h_n(z)z^2} \right| \leq \frac{M + 1}{\frac{1}{16} - \frac{1}{n^2}}.$$

The maximum modulus principle implies the same inequality for all  $z$  such that  $|z| \leq 1/2$ . Setting  $z = 0$  we obtain a condition which is obviously false for large  $n$ :

$$n^2 \leq \frac{M + 1}{\frac{1}{16} - \frac{1}{n^2}}.$$

To sum up, for all  $n$  large we have shown that it is impossible for the systems given above to be simultaneously stabilizable. So we are done. ■

Using Theorem 0.3 we show that it is in general impossible for there to exist solutions to the Bézout equation in  $A_{\mathbb{R}}(\mathbb{D})$  that are both invertible. This addresses Corollary 0.4.

*Proof of Corollary 0.4.* The proof is by contradiction. Suppose that for every invertible pair  $(f, g)$  in  $A_{\mathbb{R}}(\mathbb{D})^2$  with  $f$  positive on the real zeros of  $g$  and  $g$  positive on the real zeros of  $f$  one could find invertible elements  $\alpha$  and  $\beta$  in  $A_{\mathbb{R}}(\mathbb{D})$  such that

$$1 = \alpha f + \beta g.$$

Consider the functions  $f(z) = z^2$  and  $g(z) = 1 - n^2 z^4$ . The pair  $(f, g)$  is clearly invertible,  $f$  is positive on the real zeros of  $g$ , and  $g$  is positive on the real zeros of  $f$ . Thus, there exist invertible functions  $u_n$  and  $v_n$  in  $A_{\mathbb{R}}(\mathbb{D})$  such that

$$v_n = u_n f + g.$$

Hence

$$v_n(z) = (u_n(z) - n^2 z^2 + n^2 z^2)z^2 + 1 - n^2 z^4 = (u_n(z) - n^2 z^2)z^2 + 1.$$

Now let  $h_n(z) := u_n(z) - n^2z^2$ . Then we obtain

$$\begin{aligned} 1 + h_n z^2 &\in A_{\mathbb{R}}(\mathbb{D})^{-1}, \\ n^2 z^2 + h_n &\in A_{\mathbb{R}}(\mathbb{D})^{-1}. \end{aligned}$$

But we know from the proof of Theorem 0.3 that this is impossible for all integers  $n$ . The desired counterexample then follows by taking  $n$  sufficiently large. ■

**3. Totally reducible pairs in  $A_{\mathbb{R}}(\mathbb{D})$ .** Recall that a pair  $(f, g)$  in  $A_{\mathbb{R}}(\mathbb{D})^2$  is said to be *totally reducible* if there exist  $u, v$  invertible in  $A_{\mathbb{R}}(\mathbb{D})$  so that  $uf + vg = 1$ . Corollary 0.4 above, for example, showed that the pair  $(z^2, 1 - n^2z^4)$  is not totally reducible. On the other hand, it is easy to see that the pair  $(f, g)$  is totally reducible if and only if the system  $(1, 0), (0, 1), (f, g)$  of three invertible pairs in  $A_{\mathbb{R}}(\mathbb{D})^2$  is simultaneously stabilizable. We shall now show that large classes of pairs are totally reducible. The following is an analogue of Lemma 4 in [11].

**LEMMA 3.1.** *Let  $f \in A_{\mathbb{R}}(\mathbb{D})$  be such that there exist  $x_n \in \mathbb{R} \setminus f(\overline{\mathbb{D}})$  with  $x_n \rightarrow 0$ . Then for every  $g \in A_{\mathbb{R}}(\mathbb{D})$  such that  $(f, g)$  is an invertible pair and  $g$  has constant sign on the real zeros of  $f$  there exist invertible functions  $u$  and  $v$  in  $A_{\mathbb{R}}(\mathbb{D})$  such that  $uf + vg = 1$ .*

*Proof.* Let  $g \in A_{\mathbb{R}}(\mathbb{D})$  be such that  $(f, g)$  is an invertible pair. Since  $g$  is assumed to have constant sign on the real zeros of  $f$ , there exist, by [15], a function  $h \in A_{\mathbb{R}}(\mathbb{D})$  and a unit  $v \in A_{\mathbb{R}}(\mathbb{D})^{-1}$  such that

$$(3.1) \quad hf + vg = 1.$$

Choose  $M > 0$  large enough so that  $f - M$  is invertible in  $A_{\mathbb{R}}(\mathbb{D})$ ; e.g. let  $M = \|f\|_{\infty} + 1$ . Multiplying (3.1) by a real number  $\varepsilon$  to be specified later and adding on both sides  $f/(f - M)$  yields the following equation:

$$(3.2) \quad \varepsilon vg + \left( \frac{1}{f - M} + \varepsilon h \right) f = \varepsilon + \frac{f}{f - M} = (\varepsilon + 1) \frac{1}{f - M} \left( f - \frac{\varepsilon M}{\varepsilon + 1} \right).$$

Since  $x_n \rightarrow 0$  and  $x_n \in \mathbb{R}$ , we may choose  $\varepsilon_n \in \mathbb{R}$  so that  $x_n = \varepsilon_n M / (1 + \varepsilon_n)$  and  $|\varepsilon_n| \leq 1 / (\|f - M\|_{\infty} \|h\|_{\infty})$ . Then the functions

$$\frac{1}{f - M} + \varepsilon_n h \quad \text{and} \quad (\varepsilon_n + 1) \frac{1}{f - M} \left( f - \frac{\varepsilon_n M}{\varepsilon_n + 1} \right)$$

are invertible in  $A_{\mathbb{R}}(\mathbb{D})$ . Using (3.2) we conclude that  $(f, g)$  is totally reducible. ■

**REMARK.** Note that the condition on  $f$  implies that  $f$  has constant sign on  $] -1, 1[$ , hence on the real zeros of  $g$ . In fact, since 0 is a boundary point of the image of  $f$ ,  $f(\mathbb{D})$  open implies that  $f$  cannot have any zero inside  $\mathbb{D}$ . Now use the intermediate value theorem on  $[-1, 1]$ .

**THEOREM 3.2.** *Let  $f$  be an outer function in  $A_{\mathbb{R}}(\mathbb{D})$ . Then for every  $g \in A_{\mathbb{R}}(\mathbb{D})$  such that  $(f, g)$  is an invertible pair and  $g$  has constant sign on the real zeros of  $f$  there exist invertible functions  $u$  and  $v$  in  $A_{\mathbb{R}}(\mathbb{D})$  such that  $uf + vg = 1$ .*

**REMARK.** Note that the assumption that  $g$  has constant sign on the real zeros of  $f$  is equivalent here to the hypothesis that  $g(-1)g(1) > 0$  whenever  $f(-1) = f(1) = 0$ .

*Proof.* This works exactly in the same manner as in the disc algebra case of [11]. We have just to note that if  $E$  is the zero set of an outer function  $f$  in  $A_{\mathbb{R}}(\mathbb{D})$ , then  $E$  is symmetric with respect to the real axis; hence if  $p_E$  is any peak function in  $A(\mathbb{D})$  associated with  $E$ , then the function  $q_E(z) = p_E(z)\overline{p_E(\bar{z})}$  is a peak function for  $E$  that is in  $A_{\mathbb{R}}(\mathbb{D})$ . ■

**4. Concluding remarks.** Given what has been shown about the problem of simultaneous stabilization in  $A_{\mathbb{R}}(\mathbb{D})$ , we propose two problems.

**PROBLEM 4.1.** *Give a complete description of those pairs  $(f_j, g_j)$ ,  $j = 1, \dots, n$ , of Corona data for which the  $n \geq 3$  simultaneous stabilization problems are solvable in  $A(\mathbb{D})$  or  $A_{\mathbb{R}}(\mathbb{D})$ .*

We remark here that this is a well known and extremely challenging problem in the control theory literature. For example, it is known that conditions on the real axis alone (parity interlacing, sign-linked, etc.) do not suffice to solve this problem. See [3]. We also note that restricted to rational data, this problem is known to be rationally undecidable. See [2] and [5] and the references there for more information concerning what is known.

**PROBLEM 4.2.** *Give a characterization of those pairs of functions  $(f, g)$  in  $A(\mathbb{D})^2$  or  $A_{\mathbb{R}}(\mathbb{D})^2$  for which  $(f, g)$  is totally reducible.*

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