

Bounded elements and spectrum in Banach quasi $*$ -algebras

by

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Abstract. A normal Banach quasi $*$ -algebra $(\mathfrak{X}, \mathfrak{A}_0)$ has a distinguished Banach $*$ -algebra \mathfrak{X}_b consisting of bounded elements of \mathfrak{X} . The latter $*$ -algebra is shown to coincide with the set of elements of \mathfrak{X} having finite spectral radius. If the family $\mathcal{P}(\mathfrak{X})$ of bounded invariant positive sesquilinear forms on \mathfrak{X} contains sufficiently many elements then the Banach $*$ -algebra of bounded elements can be characterized via a C^* -seminorm defined by the elements of $\mathcal{P}(\mathfrak{X})$.

1. Introduction. A *quasi $*$ -algebra* [15] is a couple $(\mathfrak{X}, \mathfrak{A}_0)$, where \mathfrak{X} is a vector space with involution $*$, \mathfrak{A}_0 is a $*$ -algebra and a vector subspace of \mathfrak{X} , and \mathfrak{X} is an \mathfrak{A}_0 -bimodule whose module operations and involution extend those of \mathfrak{A}_0 .

Quasi $*$ -algebras were introduced by Lassner [11, 12] with the purpose of providing a reasonable mathematical environment for properly dealing with the thermodynamical limit of local observables of certain quantum statistical models that did not fit into the set-up developed by Haag and Kastler [10]. For this purpose, of course, a topological structure with sufficiently many reasonable properties is needed; in other terms, *locally convex* quasi $*$ -algebras have to be considered [1, 18]. The simplest way to construct such an object consists in taking the completion of a locally convex $*$ -algebra (\mathfrak{A}_0, τ) where the multiplication is separately but not jointly continuous. Of particular interest is, of course, the case where τ is a norm topology. This situation has however received so far a rather limited attention, in spite of the fact that it covers very familiar examples such as L^p -spaces (both commutative and non-commutative). Some results in this direction have been obtained for the so called *CQ $*$ -algebras* in a series of papers [3]–[7], [19]–[21].

In this paper we consider the more general case where $(\mathfrak{X}, \mathfrak{A}_0)$ is a *Banach quasi $*$ -algebra*. This means, roughly speaking, that \mathfrak{X} is a Banach space whose norm $\|\cdot\|$ has certain coupling properties related to the *partial* multiplication of $(\mathfrak{X}, \mathfrak{A}_0)$. In Section 2 we study the set \mathfrak{X}_b of *bounded*

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elements of \mathfrak{X} , i.e. elements whose associated multiplication operators are bounded linear maps in \mathfrak{X} . Then we focus our attention on the class of normal Banach quasi $*$ -algebras: they are characterized by the fact that \mathfrak{X}_b is a Banach $*$ -algebra. If $(\mathfrak{X}, \mathfrak{A}_0)$ is normal, the Banach $*$ -algebra \mathfrak{X}_b turns out to be useful for defining a notion of *spectrum* of an element $x \in \mathfrak{X}$, which enjoys properties analogous to the spectrum of an element of a Banach $*$ -algebra.

In Section 3 we discuss some properties of the family of bounded positive sesquilinear forms on \mathfrak{X} with certain *invariance* properties and, starting from them, we construct two seminorms \mathfrak{p} , \mathfrak{q} that emulate the Gel'fand–Nai'mark seminorm on a Banach $*$ -algebra (but \mathfrak{q} is only defined on a domain $D(\mathfrak{q}) \subseteq \mathfrak{X}$; it is actually an *unbounded* C^* -seminorm in the sense of [2]). These seminorms are then used to derive some properties of the spectrum of an element $x \in \mathfrak{X}$, under the assumption that the class $\mathcal{P}(\mathfrak{X})$ of bounded invariant positive sesquilinear forms is rich enough. The outcome is that, in this case, $D(\mathfrak{q})$ exactly equals the $*$ -algebra of bounded elements of \mathfrak{X} (or, equivalently, the set of elements of \mathfrak{X} that have finite spectral radius). Furthermore, it is shown that $(\mathfrak{X}, \mathfrak{A}_0)$ admits a faithful $*$ -representation π and that $D(\mathfrak{q})$ also coincides with the set of elements whose image under π is a bounded operator.

2. Banach quasi $*$ -algebras

2.1. Basic definitions

DEFINITION 2.1. Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a quasi $*$ -algebra. $(\mathfrak{X}, \mathfrak{A}_0)$ is called a *Banach quasi $*$ -algebra* if a norm $\|\cdot\|$ is defined on \mathfrak{X} with the properties:

- (i) $(\mathfrak{X}, \|\cdot\|)$ is a Banach space;
- (ii) $\|x^*\| = \|x\|$, $\forall x \in \mathfrak{X}$;
- (iii) \mathfrak{A}_0 is dense in \mathfrak{X} ;
- (iv) for each $a \in \mathfrak{A}_0$, the map $R_a : x \in \mathfrak{X} \mapsto xa \in \mathfrak{X}$ is continuous in \mathfrak{X} .

The continuity of the involution implies that

- (iv') for each $a \in \mathfrak{A}_0$, the map $L_a : x \in \mathfrak{X} \mapsto ax \in \mathfrak{X}$ is continuous in \mathfrak{X} .

The *unit* of $(\mathfrak{X}, \mathfrak{A}_0)$ is an element $e \in \mathfrak{A}_0$ such that $xe = ex = x$ for every $x \in \mathfrak{X}$. If $(\mathfrak{X}, \mathfrak{A}_0)$ is a Banach quasi $*$ -algebra with unit e , we will assume (without loss of generality) that $\|e\| = 1$. If $(\mathfrak{X}, \mathfrak{A}_0)$ has no unit, it can always be embedded in a Banach quasi $*$ -algebra with unit e in a standard fashion.

In what follows, we will always assume that if $xa = 0$ for every $a \in \mathfrak{A}_0$, then $x = 0$ (of course, this is automatically true if $(\mathfrak{X}, \mathfrak{A}_0)$ has a unit).

If $(\mathfrak{X}, \mathfrak{A}_0)$ is a Banach quasi*-algebra a norm topology can be defined on \mathfrak{A}_0 in the following way. Define

$$\|a\|_L = \sup_{\|x\| \leq 1} \|R_a x\| = \sup_{\|x\| \leq 1} \|xa\|$$

and

$$\|a\|_R = \sup_{\|x\| \leq 1} \|L_a x\| = \sup_{\|x\| \leq 1} \|ax\|$$

and finally

$$\|a\|_0 = \max\{\|a\|, \|a\|_L, \|a\|_R\}.$$

Then

PROPOSITION 2.2. $(\mathfrak{A}_0, \|\cdot\|_0)$ is a normed *-algebra. Moreover

$$\|ab\| \leq \|a\| \|b\|_0, \quad \|ba\| \leq \|a\| \|b\|_0, \quad \forall a, b \in \mathfrak{A}_0.$$

The above statements follow immediately from the corresponding properties of algebras of bounded operators on a normed space. The two inequalities come directly from the definitions.

Clearly, $\|b\| \leq \|b\|_0$ for each $b \in \mathfrak{A}_0$.

DEFINITION 2.3. A Banach quasi *-algebra $(\mathfrak{X}, \mathfrak{A}_0)$ is called a *BQ*-algebra* if $(\mathfrak{A}_0, \|\cdot\|_0)$ is a Banach *-algebra, and a *proper CQ*-algebra* if $(\mathfrak{A}_0, \|\cdot\|_0)$ is a *C*-algebra*.

2.1.1. Examples

EXAMPLE 2.4 (*Banach function spaces*). Many Banach function spaces provide examples of Banach quasi *-algebras since they often contain a dense *-algebra of functions. For instance, if $I = [0, 1]$ then $(L^p(I), C(I))$, where $C(I)$ denotes the *C*-algebra* of all continuous functions on I and $p \geq 1$, is a Banach quasi *-algebra (more precisely a proper *CQ*-algebra*). Similarly $(L^p(\mathbb{R}), C_0^0(\mathbb{R}))$ is a Banach quasi *-algebra without unit (here $C_0^0(\mathbb{R})$ is the *-algebra of continuous functions in \mathbb{R} with compact support). Other examples are easily found among Sobolev spaces, Besov spaces etc.

EXAMPLE 2.5 (*Non-commutative L^p-spaces*). Let \mathfrak{M} be a von Neumann algebra and τ a normal semifinite faithful trace [17] on \mathfrak{M} . Then the completion of the *-ideal

$$\mathcal{J}_p = \{X \in \mathfrak{M} : \tau(|X|^p) < \infty\}$$

with respect to the norm

$$\|X\|_p = \tau(|X|^p)^{1/p}, \quad X \in \mathfrak{M},$$

is usually called $L^p(\tau)$ [13, 16] and is a Banach space consisting of operators affiliated with \mathfrak{M} . Then $(L^p(\tau), \mathcal{J}_p)$ is a Banach quasi *-algebra (without unit). If τ is a finite trace then $(L^p(\tau), \mathfrak{M})$ is a *BQ*-algebra*.

EXAMPLE 2.6 (*Hilbert algebras*). A *Hilbert algebra* [14, Section 11.7] is a $*$ -algebra \mathfrak{A}_0 which is also a pre-Hilbert space with inner product $\langle \cdot | \cdot \rangle$ such that

- (i) the map $b \mapsto ab$ is continuous with respect to the norm defined by the inner product;
- (ii) $\langle ab | c \rangle = \langle b | a^*c \rangle$ for all $a, b, c \in \mathfrak{A}_0$;
- (iii) $\langle a | b \rangle = \langle b^* | a^* \rangle$ for all $a, b \in \mathfrak{A}_0$;
- (iv) \mathfrak{A}_0^2 is total in \mathfrak{A}_0 .

Let \mathcal{H} denote the Hilbert space which is the completion of \mathfrak{A}_0 with respect to the norm defined by the inner product. The involution of \mathfrak{A}_0 extends to the whole of \mathcal{H} , since (iii) implies that $*$ is isometric. Then $(\mathcal{H}, \mathfrak{A}_0)$ is a Banach quasi $*$ -algebra.

2.2. Bounded elements

DEFINITION 2.7. Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a Banach quasi $*$ -algebra and $x \in \mathfrak{X}$. We say that x is *left bounded* if there exists $\gamma_x > 0$ such that

$$\|xa\| \leq \gamma_x \|a\|, \quad \forall a \in \mathfrak{A}_0.$$

The set of all left bounded elements of \mathfrak{X} is denoted by $\mathfrak{X}_\blacktriangleright$. Analogously, we say that x is *right bounded* if there exists $\gamma'_x > 0$ such that

$$\|ax\| \leq \gamma'_x \|a\|, \quad \forall a \in \mathfrak{A}_0.$$

The set of all right bounded elements of \mathfrak{X} is denoted by $\mathfrak{X}_\blacktriangleleft$.

The terminology is motivated by the fact that, if x is left bounded, the map

$$a \in \mathfrak{A}_0 \mapsto L_x a = xa$$

is bounded on \mathfrak{A}_0 and so it has a bounded extension \bar{L}_x to \mathfrak{X} . We put

$$\|x\|_\blacktriangleright = \max\{\|x\|, \|\bar{L}_x\|\}.$$

Analogously, we define a norm on $\mathfrak{X}_\blacktriangleleft$ by

$$\|x\|_\blacktriangleleft = \max\{\|x\|, \|\bar{R}_x\|\}.$$

We put $\mathfrak{X}_b = \mathfrak{X}_\blacktriangleright \cap \mathfrak{X}_\blacktriangleleft$. Clearly, $\mathfrak{A}_0 \subseteq \mathfrak{X}_b$. On \mathfrak{X}_b we define the norm

$$\|x\|_b = \max\{\|x\|, \|\bar{L}_x\|, \|\bar{R}_x\|\}.$$

REMARK 2.8. If $(\mathfrak{X}, \mathfrak{A}_0)$ has a unit e , then since $\|e\| = 1$, we have $\|\bar{L}_x\| \geq \|x\|$ for every $x \in \mathfrak{X}_\blacktriangleright$, and therefore $\|x\|_\blacktriangleright = \|\bar{L}_x\|$. Analogous statements hold for $\|\cdot\|_\blacktriangleleft$ and $\|\cdot\|_b$.

As usual, we denote by $\mathcal{B}(\mathfrak{X})$ the Banach algebra of bounded operators in the Banach space \mathfrak{X} . From the definition it follows that $\mathfrak{X}_\blacktriangleright$, as well as $\mathfrak{X}_\blacktriangleleft$, can be identified with a subspace of $\mathcal{B}(\mathfrak{X})$.

Let $x \in \mathfrak{X}_\blacktriangleright$ and $y \in \mathfrak{X}$. Then we put

$$(2.1) \quad x \blacktriangleright y = \bar{L}_x y.$$

Similarly, if $y \in \mathfrak{X}_\blacktriangleleft$ and $x \in \mathfrak{X}$, we put

$$(2.2) \quad x \blacktriangleleft y = \bar{R}_y x.$$

REMARK 2.9. We notice that an element $x \in \mathfrak{X}_\blacktriangleright$ is not necessarily right bounded.

If $x, y \in \mathfrak{X}_b$ then both $x \blacktriangleright y$ and $x \blacktriangleleft y$ are well defined, but, in general, $x \blacktriangleright y \neq x \blacktriangleleft y$. Conditions for the equality to hold will be given later.

It is easy to show that if $x, y \in \mathfrak{X}_\blacktriangleright$ and $\mu \in \mathbb{C}$ then both $x + y$ and μx belong to $\mathfrak{X}_\blacktriangleright$.

PROPOSITION 2.10. *If $(\mathfrak{X}, \mathfrak{A}_0)$ is a Banach quasi *-algebra, then the set $\mathfrak{X}_\blacktriangleright$ of all left bounded elements is a Banach algebra with respect to the multiplication \blacktriangleright and the norm $\|\cdot\|_\blacktriangleright$.*

Proof. (i) We prove that if $x, y \in \mathfrak{X}_\blacktriangleright$ then $x \blacktriangleright y \in \mathfrak{X}_\blacktriangleright$ and

$$\|x \blacktriangleright y\|_\blacktriangleright \leq \|x\|_\blacktriangleright \|y\|_\blacktriangleright.$$

Indeed, for each $a \in \mathfrak{A}_0$ one has, using the associativity properties of the multiplication in \mathfrak{X} ,

$$(\bar{L}_x y)a = \lim_{m \rightarrow \infty} (x b_m)a = \lim_{m \rightarrow \infty} x(b_m a) = \bar{L}_x(ya) = \bar{L}_x(L_y a),$$

where $\{b_m\}$ is a sequence in \mathfrak{A}_0 , $\|\cdot\|$ -converging to y . Therefore,

$$\|(\bar{L}_x y)a\| \leq \|\bar{L}_x\| \|\bar{L}_y\| \|a\|, \quad \forall a \in \mathfrak{A}_0.$$

Hence $x \blacktriangleright y \in \mathfrak{X}_\blacktriangleright$, $\bar{L}_{x \blacktriangleright y} = \bar{L}_x \bar{L}_y$ and

$$\|\bar{L}_{x \blacktriangleright y}\| \leq \|\bar{L}_x\| \|\bar{L}_y\| \leq \|x\|_\blacktriangleright \|y\|_\blacktriangleright.$$

Since $\|x \blacktriangleright y\| \leq \|x\|_\blacktriangleright \|y\|_\blacktriangleright$, we finally get

$$\|x \blacktriangleright y\|_\blacktriangleright \leq \|x\|_\blacktriangleright \|y\|_\blacktriangleright.$$

Thus, $\mathfrak{X}_\blacktriangleright$ endowed with $\|\cdot\|_\blacktriangleright$ is a normed algebra. We will now show that $(\mathfrak{X}_\blacktriangleright, \|\cdot\|_\blacktriangleright)$ is complete. Let $\{x_n\}$ be a Cauchy sequence in $(\mathfrak{X}_\blacktriangleright, \|\cdot\|_\blacktriangleright)$. Then $\{\bar{L}_{x_n}\}$ is a Cauchy sequence in $\mathcal{B}(\mathfrak{X})$. Thus there exists $L \in \mathcal{B}(\mathfrak{X})$ such that $\bar{L}_{x_n} \rightarrow L$ with respect to the natural norm of $\mathcal{B}(\mathfrak{X})$. Since $\|x_n - x_m\| \rightarrow 0$, there exists $x \in \mathfrak{X}$ such that $\|x_n - x\| \rightarrow 0$. Since the right multiplication by a is continuous in \mathfrak{X} , it follows that $x_n a \rightarrow x a = \bar{L}_x a$ in the norm of \mathfrak{X} . This implies that $\bar{L}_x = L$. From these facts it follows easily that x is left bounded and $x_n \rightarrow x$ with respect to $\|\cdot\|_\blacktriangleright$. ■

A similar result can be proved for $\mathfrak{X}_\blacktriangleleft$ taking into account the following facts concerning the involution $*$ of \mathfrak{X} :

$$(1^*) \quad x \in \mathfrak{X}_\blacktriangleright \Leftrightarrow x^* \in \mathfrak{X}_\blacktriangleleft;$$

- (2*) $\|x^*\|_{\blacktriangleleft} = \|x\|_{\blacktriangleright}$ for every $x \in \mathfrak{X}_{\blacktriangleright}$;
- (3*) $(x \blacktriangleright y)^* = y^* \blacktriangleleft x^*$ for every $x, y \in \mathfrak{X}_{\blacktriangleright}$.

Definition 2.7 easily yields

LEMMA 2.11.

- (i) If $x \in \mathfrak{X}_{\blacktriangleright}$ and $y \in \mathfrak{X}$, then $\|x \blacktriangleright y\| \leq \|x\|_{\blacktriangleright} \|y\|$.
- (ii) If $y \in \mathfrak{X}_{\blacktriangleleft}$ and $x \in \mathfrak{X}$, then $\|x \blacktriangleleft y\| \leq \|x\| \|y\|_{\blacktriangleleft}$.

If $x, y \in \mathfrak{X}_b$ then, as noticed before, both $x \blacktriangleright y$ and $x \blacktriangleleft y$ are well defined, but, in general, $x \blacktriangleright y \neq x \blacktriangleleft y$. We want to analyze this situation more carefully. First of all, if $x, y \in \mathfrak{X}_b$, then $\bar{L}_x, \bar{L}_y \in \mathcal{B}(\mathfrak{X})$. As shown in the proof of Proposition 2.10, $\bar{L}_x \bar{L}_y = \bar{L}_{x \blacktriangleright y}$. Similarly, if $x, y \in \mathfrak{X}_b$, then $\bar{R}_y \bar{R}_x = \bar{R}_{x \blacktriangleleft y}$.

In what follows, we denote by \mathfrak{X}^\sharp the Banach dual space of $(\mathfrak{X}, \|\cdot\|)$. The norm in \mathfrak{X}^\sharp is defined, as usual, by $\|f\|^\sharp = \sup_{\|x\| \leq 1} |f(x)|$ for $f \in \mathfrak{X}^\sharp$.

PROPOSITION 2.12. *The following statements are equivalent.*

- (i) $x \blacktriangleright y = x \blacktriangleleft y$ for every $x, y \in \mathfrak{X}_b$.
- (ii) $x \blacktriangleright y$ is right bounded and $\|x \blacktriangleright y\| \leq \|x\| \|y\|_{\blacktriangleleft}$ for every $x, y \in \mathfrak{X}_b$.
- (iii) $x \blacktriangleleft y$ is left bounded and $\|x \blacktriangleleft y\| \leq \|x\|_{\blacktriangleright} \|y\|$ for every $x, y \in \mathfrak{X}_b$.
- (iv) For any pair $\{a_n\}, \{b_n\}$ of sequences of elements of \mathfrak{A}_0 , $\|\cdot\|$ -converging to elements of \mathfrak{X}_b , one has

$$\lim_{n \rightarrow \infty} \lim_{m \rightarrow \infty} a_n b_m = \lim_{m \rightarrow \infty} \lim_{n \rightarrow \infty} a_n b_m.$$

- (v) There exists a weak *-dense subspace \mathcal{M} of \mathfrak{X}^\sharp such that for any pair $\{a_n\}, \{b_n\}$ of sequences of elements of \mathfrak{A}_0 , $\|\cdot\|$ -converging to elements of \mathfrak{X}_b , one has

$$\lim_{n \rightarrow \infty} \lim_{m \rightarrow \infty} f(a_n b_m) = \lim_{m \rightarrow \infty} \lim_{n \rightarrow \infty} f(a_n b_m), \quad \forall f \in \mathcal{M}.$$

Proof. (i) \Rightarrow (ii): Clearly, the equality $x \blacktriangleright y = x \blacktriangleleft y$ implies that $x \blacktriangleright y$ is right bounded and for $x \blacktriangleright y$ the inequality in Lemma 2.11(ii) holds.

(ii) \Leftrightarrow (iii) follows easily by taking *.

(iii) \Rightarrow (i): Assume that, for every $x, y \in \mathfrak{X}_b$, $x \blacktriangleleft y$ is left bounded and $\|x \blacktriangleleft y\| \leq \|x\|_{\blacktriangleright} \|y\|$. Let $\{b_n\} \subset \mathfrak{A}_0$ be such that $\|y - b_n\| \rightarrow 0$ as $n \rightarrow \infty$. Then, since $\mathfrak{A}_0 \subseteq \mathfrak{X}_b$ and \mathfrak{X}_b is a vector space, we get

$$\|x \blacktriangleleft y - x b_n\| = \|x \blacktriangleleft y - x \blacktriangleleft b_n\| = \|x \blacktriangleleft (y - b_n)\| \leq \|x\|_{\blacktriangleright} \|y - b_n\| \rightarrow 0.$$

Hence

$$x \blacktriangleleft y = \lim_{n \rightarrow \infty} x b_n = \bar{L}_x y = x \blacktriangleright y.$$

(i) \Rightarrow (iv): Let $\{a_n\}, \{b_n\} \subset \mathfrak{A}_0$ with $\|x - a_n\| \rightarrow 0, \|y - b_n\| \rightarrow 0$ and $x, y \in \mathfrak{X}_b$. Then

$$x \blacktriangleright y = \bar{L}_x y = \lim_{m \rightarrow \infty} x b_m = \lim_{m \rightarrow \infty} \lim_{n \rightarrow \infty} a_n b_m.$$

On the other hand,

$$x \blacktriangleleft y = \overline{R}_y x = \lim_{n \rightarrow \infty} a_n y = \lim_{n \rightarrow \infty} \lim_{m \rightarrow \infty} a_n b_m.$$

The equality $x \blacktriangleright y = x \blacktriangleleft y$ then implies that the two iterated limits coincide.

(iv) \Rightarrow (v): This is clear.

(v) \Rightarrow (i): Assume that (i) fails. Then there exists $f \in \mathfrak{X}^\#$ such that $f(x \blacktriangleright y) \neq f(x \blacktriangleleft y)$. Since \mathcal{M} is weak*-dense in $\mathfrak{X}^\#$, we may suppose that $f \in \mathcal{M}$. Then, if $\{a_n\}, \{b_n\} \subset \mathfrak{A}_0$ $\|\cdot\|$ -converge, respectively, to x and y , we have

$$\lim_{m \rightarrow \infty} \lim_{n \rightarrow \infty} f(a_n b_m) = f(x \blacktriangleright y) \neq f(x \blacktriangleleft y) = \lim_{n \rightarrow \infty} \lim_{m \rightarrow \infty} f(a_n b_m).$$

This completes the proof. ■

If any of the equivalent conditions of Proposition 2.12 holds, we put

$$x \bullet y := x \blacktriangleright y = x \blacktriangleleft y, \quad x, y \in \mathfrak{X}_b.$$

DEFINITION 2.13. A Banach quasi *-algebra $(\mathfrak{X}, \mathfrak{A}_0)$ such that $x \blacktriangleright y = x \blacktriangleleft y$ for every $x, y \in \mathfrak{X}_b$ is called *normal*.

COROLLARY 2.14.

- (i) $(\mathfrak{X}, \mathfrak{A}_0)$ is normal if, and only if, \mathfrak{X}_b is a *-algebra with respect to \blacktriangleright (or, equivalently, with respect to \blacktriangleleft).
- (ii) If $(\mathfrak{X}, \mathfrak{A}_0)$ is a normal Banach quasi *-algebra, then $(\mathfrak{X}_b, \|\cdot\|_b)$ is a Banach *-algebra with respect to the multiplication \bullet .

Proof. (i) The fact that if $(\mathfrak{X}, \mathfrak{A}_0)$ is normal, then \mathfrak{X}_b is a *-algebra with respect to \blacktriangleright follows from the previous discussion. On the other hand, assume that \mathfrak{X}_b is a *-algebra with respect to \blacktriangleright ; then, for every $x, y \in \mathfrak{X}_b$, $x \blacktriangleright y \in \mathfrak{X}_b$ and

$$x \blacktriangleleft y = (y^* \blacktriangleright x^*)^* = x \blacktriangleright y.$$

(ii) follows easily from Proposition 2.10 and from the properties of the involution. ■

EXAMPLE 2.15. Assume that for each $x \in \mathfrak{X}_b$ there exists a sequence $\{a_n\} \subset \mathfrak{A}_0$ such that

$$\sup_n \|a_n\|_0 < \infty \quad \text{and} \quad \lim_{n \rightarrow \infty} \|x - a_n\| = 0.$$

Then $(\mathfrak{X}, \mathfrak{A}_0)$ is normal. Indeed, in this case, it is easily seen that (ii) or (iii) of Proposition 2.12 holds.

REMARK 2.16. If $(\mathfrak{X}, \mathfrak{A}_0)$ is a commutative Banach quasi *-algebra, i.e. $xa = ax$ for all $x \in \mathfrak{X}$ and $a \in \mathfrak{A}_0$, then it is easily seen that each left bounded element x is also right bounded and $x \blacktriangleright y = y \blacktriangleleft x$ for every $y \in \mathfrak{X}$. Thus if $x, y \in \mathfrak{X}_b$ then both $x \blacktriangleright y$ and $x \blacktriangleleft y$ are in \mathfrak{X}_b but they need not be equal. In this case, in general, \mathfrak{X}_b is an algebra with respect to \blacktriangleright (and also

with respect to \blacktriangleleft). Normality, in the commutative case, is equivalent to \mathfrak{X}_b being also commutative.

EXAMPLE 2.17. For the Banach quasi $*$ -algebra $(L^p(I), C(I))$ considered in Example 2.4, one finds that $(L^p(I))_b = L^\infty(I)$ and the norm $\|\cdot\|_b$ is exactly the L^∞ -norm. Since the multiplications \blacktriangleright and \blacktriangleleft both coincide with the ordinary multiplication of functions, $(L^p(I), C(I))$ is normal. This example also shows that, in general, \mathfrak{A}_0 is not dense in \mathfrak{X}_b with respect to $\|\cdot\|_b$ since, as is well known, $C(I)$ is not dense in $L^\infty(I)$.

Similarly, $(L^p(\mathbb{R}), C_0^0(\mathbb{R}))$ is a Banach quasi $*$ -algebra without unit. In this case $(L^p(\mathbb{R}))_b = L^\infty(\mathbb{R}) \cap L^p(\mathbb{R})$ and $(L^p(\mathbb{R}), C_0^0(\mathbb{R}))$ is normal. The norm $\|\cdot\|_b$ is equivalent to $\|\cdot\|_p + \|\cdot\|_\infty$.

For the non-commutative L^p -spaces of Example 2.5 one finds that $(L^p(\tau))_b = \mathcal{J}_p$ if τ is semifinite, while $(L^p(\tau))_b = \mathfrak{M}$ if τ is finite. Normality follows from the fact that the multiplications \blacktriangleright and \blacktriangleleft both coincide with the ordinary multiplication of bounded operators.

EXAMPLE 2.18. In the case of the Banach quasi $*$ -algebra $(\mathcal{H}, \mathfrak{A}_0)$ constructed from a Hilbert algebra \mathfrak{A}_0 as in Example 2.6, the set \mathcal{H}_b of bounded elements of \mathcal{H} is the so-called *fulfillment* of \mathfrak{A}_0 (\mathfrak{A}_0 is called a *full* Hilbert algebra if $\mathcal{H}_b = \mathfrak{A}_0$). $(\mathcal{H}, \mathfrak{A}_0)$ is normal. Indeed, let $x, y \in \mathcal{H}_b$, and let $\{a_n\}, \{b_n\}$ be sequences in \mathfrak{A}_0 , $\|\cdot\|$ -converging, respectively, to x and y . Then

$$\langle x \blacktriangleright y | a \rangle = \lim_{n \rightarrow \infty} \langle x b_n | a \rangle = \lim_{n \rightarrow \infty} \langle b_n | x^* a \rangle = \langle y | x^* a \rangle, \quad \forall a \in \mathfrak{A}_0.$$

On the other hand,

$$\langle x \blacktriangleleft y | a \rangle = \lim_{m \rightarrow \infty} \langle a_n y | a \rangle = \lim_{m \rightarrow \infty} \langle y | a_n^* a \rangle = \langle y | x^* a \rangle, \quad \forall a \in \mathfrak{A}_0.$$

This implies that $x \blacktriangleright y = x \blacktriangleleft y$.

LEMMA 2.19. *If $(\mathfrak{X}, \mathfrak{A}_0)$ is a normal Banach quasi $*$ -algebra, then*

$$(2.3) \quad \bar{L}_x \bar{R}_y = \bar{R}_y \bar{L}_x, \quad \forall x, y \in \mathfrak{X}_b.$$

Proof. Indeed, let $x, y \in \mathfrak{X}_b$, and let $\{a_n\}, \{b_n\} \subset \mathfrak{A}_0$ $\|\cdot\|$ -converge, respectively, to x and y . Then, for every $a \in \mathfrak{A}_0$,

$$(\bar{L}_x \bar{R}_y)a = \bar{L}_x(\bar{R}_y a) = \lim_{m \rightarrow \infty} x(ab_m) = \lim_{m \rightarrow \infty} \lim_{n \rightarrow \infty} a_n(ab_m).$$

On the other hand,

$$(\bar{R}_y \bar{L}_x)a = \bar{R}_y(\bar{L}_x a) = \lim_{n \rightarrow \infty} (a_n a)y = \lim_{n \rightarrow \infty} \lim_{m \rightarrow \infty} (a_n a)b_m.$$

The statement then follows from Proposition 2.12(iv). ■

REMARK 2.20. If $(\mathfrak{X}, \mathfrak{A}_0)$ has a unit, then (2.3) implies the normality of $(\mathfrak{X}, \mathfrak{A}_0)$.

If $(\mathfrak{X}, \mathfrak{A}_0)$ is a normal Banach quasi $*$ -algebra the products of an element $x \in \mathfrak{X}$ and an element $y \in \mathfrak{X}_b$ are defined via (2.1) and (2.2).

PROPOSITION 2.21. *If $(\mathfrak{X}, \mathfrak{A}_0)$ is a normal Banach quasi *-algebra, then $(\mathfrak{X}, \mathfrak{X}_b)$ is a BQ^* -algebra.*

Proof. We need only check the module associativity rules. Let $x \in \mathfrak{X}$ and $y_1, y_2 \in \mathfrak{X}_b$. Then

$$x \blacktriangleleft (y_1 \bullet y_2) = x \blacktriangleleft (y_1 \blacktriangleleft y_2) = \bar{R}_{y_1} \blacktriangleleft y_2 x = (\bar{R}_{y_2} \bar{R}_{y_1}) x = \bar{R}_{y_2} (\bar{R}_{y_1} x) = (x \blacktriangleleft y_1) \blacktriangleleft y_2.$$

Using (2.3), we also have

$$\begin{aligned} (y_1 \blacktriangleright x) \blacktriangleleft y_2 &= \bar{R}_{y_2} (y_1 \blacktriangleright x) = \bar{R}_{y_2} (\bar{L}_{y_1} x) = (\bar{R}_{y_2} \bar{L}_{y_1}) x = (\bar{L}_{y_1} \bar{R}_{y_2}) x \\ &= \bar{L}_{y_1} (\bar{R}_{y_2} x) = \bar{L}_{y_1} (x \blacktriangleleft y_2) = y_1 \blacktriangleright (x \blacktriangleleft y_2). \blacksquare \end{aligned}$$

2.3. The spectrum. Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a normal Banach quasi *-algebra with unit e and $x \in \mathfrak{X}$. We say that x has a *bounded inverse* if there exists $y \in \mathfrak{X}_b$ such that $\bar{R}_y(x) = \bar{L}_y(x) = e$. From Proposition 2.21 it follows easily that this element y , if any, is unique. If x has a bounded inverse we denote it by x_b^{-1} .

DEFINITION 2.22. The *resolvent* $\varrho(x)$ of $x \in \mathfrak{X}$ is the set

$$\varrho(x) = \{ \lambda \in \mathbb{C} : x - \lambda e \text{ has a bounded inverse} \}.$$

The set $\sigma(x) = \mathbb{C} \setminus \varrho(x)$ is called the *spectrum* of x .

PROPOSITION 2.23. *Let $x \in \mathfrak{X}$. Then:*

- (i) *The resolvent $\varrho(x)$ is an open subset of the complex plane.*
- (ii) *The resolvent function $R_\lambda(x) : \lambda \in \varrho(x) \mapsto (x - \lambda e)_b^{-1}$ is $\| \cdot \|_b$ -analytic on each connected component of $\varrho(x)$.*
- (iii) *For any $\lambda, \mu \in \varrho(x)$, $R_\lambda(x)$ and $R_\mu(x)$ commute and*

$$R_\lambda(x) - R_\mu(x) = (\mu - \lambda) R_\mu(x) \bullet R_\lambda(x).$$

Proof. (i) Let $\lambda_0 \in \varrho(x)$ and $\lambda \in \mathbb{C}$ be such that $|\lambda - \lambda_0| \leq (\|R_{\lambda_0}(x)\|_b)^{-1}$. Then the series

$$\sum_{n=1}^{\infty} (\lambda_0 - \lambda)^n R_{\lambda_0}(x)^n$$

converges in \mathfrak{X}_b with respect to $\| \cdot \|_b$ to an element $S_{\lambda,x}$.

Let now $T_{\lambda,x} := R_{\lambda_0}(x)(e + S_{\lambda,x})$. It is easily checked, using the $\| \cdot \|$ -convergence for the product $T_{\lambda,x}(x - \lambda e)$, that $T_{\lambda,x}$ is a bounded inverse of $x - \lambda e$.

(ii) follows immediately from the proof of (i). The proof of (iii) is straightforward. \blacksquare

The classical argument based on Liouville's theorem can be applied to prove the following

PROPOSITION 2.24. *Let $x \in \mathfrak{X}$. Then $\sigma(x)$ is non-empty.*

DEFINITION 2.25. Let $x \in \mathfrak{X}$. The non-negative number

$$r(x) = \sup_{\lambda \in \sigma(x)} |\lambda|$$

is called the *spectral radius* of x .

REMARK 2.26. Of course, if $x \in \mathfrak{X}_b$ then $\sigma(x)$ coincides with the spectrum of x regarded as an element of the Banach $*$ -algebra \mathfrak{X}_b . For an arbitrary element x , the set $\sigma(x) \subset \mathbb{C}$, which is closed, could be unbounded. The next proposition shows that $\sigma(x)$ is indeed unbounded if $x \in \mathfrak{X} \setminus \mathfrak{X}_b$.

PROPOSITION 2.27. Let $x \in \mathfrak{X}$. Then $r(x) < \infty$ if, and only if, $x \in \mathfrak{X}_b$.

Proof. The “if” part has been discussed in the previous remark. Assume now that $r(x) < \infty$. Then the function $\lambda \mapsto (x - \lambda e)^{-1}$ is $\|\cdot\|_b$ -analytic in the region $|\lambda| > r(x)$. Therefore it has there a $\|\cdot\|_b$ -convergent Laurent expansion

$$(x - \lambda e)^{-1} = \sum_{k=1}^{\infty} \frac{a_k}{\lambda^k}, \quad |\lambda| > r(x),$$

with $a_k \in \mathfrak{X}_b$ for each $k \in \mathbb{N}$. As usual,

$$a_k = \frac{1}{2\pi i} \int_{\gamma} \frac{(x - \lambda e)^{-1}}{\lambda^{-k+1}} d\lambda, \quad k \in \mathbb{N},$$

where γ is a circle centered in 0 and with radius $R > r(x)$. The integral on the r.h.s. converges with respect to $\|\cdot\|_b$. The $\|\cdot\|$ -continuity of multiplication implies that, as in the ordinary case,

$$x a_k = \frac{1}{2\pi i} \int_{\gamma} \frac{x(x - \lambda e)^{-1}}{\lambda^{-k+1}} d\lambda = \frac{1}{2\pi i} \int_{\gamma} \frac{(x - \lambda e)^{-1}}{\lambda^{-k}} d\lambda = a_{k+1}.$$

In particular, using Cauchy’s integral formula, we find $x a_1 = -x$. This implies that $x \in \mathfrak{X}_b$. ■

REMARK 2.28. If $\lambda \in \varrho(x)$ then all powers $(x - \lambda)^{-n}$ exist in \mathfrak{X}_b , for every $n \in \mathbb{N}$. This does not imply the existence of $(x - \lambda)^n$ for $n > 1$. As an example, consider the Banach quasi $*$ -algebra $(L^2(I), C(I))$ where $I = [0, 1]$ (cf. Example 2.4). The function $v(x) = x^{-1/4}$ is in $L^2(I)$; obviously, $0 \in \varrho(v)$ since $v^{-1}(x) = x^{1/4} \in C(I)$. We have $v^{-n}(x) = x^{n/4} \in L^2(I)$ for all $n \in \mathbb{N}$, but $v^2(x) = x^{-1/2} \notin L^2(I)$.

3. Representations and seminorms. Families of sesquilinear forms have been shown to play a relevant role in the study of the structure of CQ^* -algebras [6] or more generally Banach C^* -modules [23]. The main reason is that they give rise to *representations* with operators acting in Hilbert space.

3.1. Representations. Before going on we recall some definitions. Let \mathcal{H} be a complex Hilbert space and \mathcal{D} a dense subspace of \mathcal{H} . We denote by $\mathcal{L}^\dagger(\mathcal{D}, \mathcal{H})$ the set of all linear operators X such that $D(X) = \mathcal{D}$ and $D(X^*) \supseteq \mathcal{D}$. The set $\mathcal{L}^\dagger(\mathcal{D}, \mathcal{H})$ is a *partial $*$ -algebra* [1] with respect to the following operations: the usual sum $X_1 + X_2$, the scalar multiplication λX , the involution $X \mapsto X^\dagger = X^* \upharpoonright \mathcal{D}$ and the (*weak*) partial multiplication $X_1 \square X_2 = X_1^{\dagger*} X_2$, defined whenever X_2 is a weak right multiplier of X_1 (equivalently, X_1 is a weak left multiplier of X_2), that is, iff $X_2 \mathcal{D} \subset D(X_1^{\dagger*})$ and $X_1^* \mathcal{D} \subset D(X_2^*)$ (we write $X_2 \in R^w(X_1)$ or $X_1 \in L^w(X_2)$). Let

$$\mathcal{L}^\dagger(\mathcal{D}) = \{X \in \mathcal{L}^\dagger(\mathcal{D}, \mathcal{H}) : X\mathcal{D} \subseteq \mathcal{D}, X^\dagger \mathcal{D} \subseteq \mathcal{D}\}.$$

Then $\mathcal{L}^\dagger(\mathcal{D})$ is a $*$ -algebra with respect to \square and $X_1 \square X_2 \xi = X_1(X_2 \xi)$ for each $\xi \in \mathcal{D}$ (see [15]).

A $*$ -representation of the Banach quasi $*$ -algebra $(\mathfrak{X}, \mathfrak{A}_0)$ is a $*$ -homomorphism of \mathfrak{X} into $\mathcal{L}^\dagger(\mathcal{D}, \mathcal{H})$, for some pair $(\mathcal{D}, \mathcal{H})$ where \mathcal{D} is a dense subspace of a Hilbert space \mathcal{H} , that is, a linear map $\pi : \mathfrak{X} \rightarrow \mathcal{L}^\dagger(\mathcal{D}, \mathcal{H})$ such that (i) $\pi(x^*) = \pi(x)^\dagger$ for every $x \in \mathfrak{X}$, and (ii) if $x \in \mathfrak{X}$ and $a \in \mathfrak{A}_0$ then $\pi(x) \in L^w(\pi(a))$ and $\pi(x) \square \pi(a) = \pi(xa)$.

A $*$ -representation π of $(\mathfrak{X}, \mathfrak{A}_0)$ is called *cyclic* if there exists $\eta \in \mathcal{D}$ such that $\pi(\mathfrak{A}_0)\eta$ is dense in \mathcal{H} , and *faithful* if $\pi(x) = 0$ implies $x = 0$.

If π is a $*$ -representation of $(\mathfrak{X}, \mathfrak{A}_0)$ in $\mathcal{L}^\dagger(\mathcal{D}, \mathcal{H})$, then the *closure* $\tilde{\pi}$ of π is defined, for each $x \in \mathfrak{X}$, as the restriction of $\overline{\pi(x)}$ to the domain $\tilde{\mathcal{D}}$, which is the completion of \mathcal{D} under the *graph topology* defined by the seminorms $\xi \in \mathcal{D} \mapsto \|\pi(x)\xi\|$, $x \in \mathfrak{X}$ (see [1]). If $\pi = \tilde{\pi}$ the representation is said to be *closed*.

The Gel'fand–Naimark–Segal (GNS) construction for positive linear functionals is one of the most relevant tools when studying the structure of a Banach $*$ -algebra. As customary when a partial multiplication is involved (see [1]), we consider as starting point for the construction a positive sesquilinear form enjoying certain *invariance* properties.

As usual, a sesquilinear form φ on $\mathfrak{X} \times \mathfrak{X}$ is said to be *bounded* if there exists a positive constant γ such that

$$|\varphi(x, y)| \leq \gamma \|x\| \|y\|, \quad \forall x, y \in \mathfrak{X}.$$

In this case, we put

$$\|\varphi\| := \sup_{\|x\|=\|y\|=1} |\varphi(x, y)| = \sup_{\|x\|=1} \varphi(x, x).$$

DEFINITION 3.1. Let $\mathcal{P}(\mathfrak{X})$ denote the set of all sesquilinear forms on $\mathfrak{X} \times \mathfrak{X}$ such that

- (i) $\varphi(x, x) \geq 0$, $\forall x \in \mathfrak{X}$;
- (ii) $\varphi(xa, b) = \varphi(a, x^*b)$, $\forall x \in \mathfrak{X}$, $a, b \in \mathfrak{A}_0$;
- (iii) φ is bounded.

REMARK 3.2. We notice that if $\varphi \in \mathcal{P}(\mathfrak{X})$ then an easy limit argument shows that, besides (ii) of Definition 3.1, the following equality holds:

$$\varphi(ax, y) = \varphi(x, a^*y), \quad \forall x, y \in \mathfrak{X}, a \in \mathfrak{A}_0.$$

Let $\varphi \in \mathcal{P}(\mathfrak{X})$. Then the positivity of φ implies that:

$$\begin{aligned} \varphi(x, y) &= \overline{\varphi(y, x)}, & \forall x, y \in \mathfrak{X}; \\ |\varphi(x, y)|^2 &\leq \varphi(x, x)\varphi(y, y), & \forall x, y \in \mathfrak{X}. \end{aligned}$$

Hence

$$N_\varphi := \{x \in \mathfrak{X} : \varphi(x, x) = 0\} = \{x \in \mathfrak{X} : \varphi(x, y) = 0, \forall y \in \mathfrak{X}\},$$

and so N_φ is a subspace of \mathfrak{A} . For each $x \in \mathfrak{X}$, we denote by $\lambda_\varphi(x)$ the coset of \mathfrak{X}/N_φ which contains x , and define an inner product $\langle \cdot | \cdot \rangle$ on

$$\lambda_\varphi(\mathfrak{X}) = \mathfrak{X}/N_\varphi$$

by

$$\langle \lambda_\varphi(x) | \lambda_\varphi(y) \rangle = \varphi(x, y), \quad x, y \in \mathfrak{X}.$$

We denote by \mathcal{H}_φ the Hilbert space obtained by the completion of the pre-Hilbert space $\lambda_\varphi(\mathfrak{X})$. The subspace $\lambda_\varphi(\mathfrak{A}_0)$ is dense in \mathcal{H}_φ . Indeed, if $x \in \mathfrak{X}$, there exists a sequence $\{a_n\} \subset \mathfrak{A}_0$ such that $a_n \rightarrow x$ in \mathfrak{X} . Then

$$\|\lambda_\varphi(x) - \lambda_\varphi(a_n)\|^2 = \varphi(x - a_n, x - a_n) \leq \|\varphi\|^2 \|x - a_n\|^2 \rightarrow 0.$$

PROPOSITION 3.3. *Let $\varphi \in \mathcal{P}(\mathfrak{X})$. Put*

$$(3.1) \quad \pi_\varphi^\circ(x)\lambda_\varphi(a) = \lambda_\varphi(xa), \quad x \in \mathfrak{X}, a \in \mathfrak{A}_0.$$

Then π_φ° is a $$ -representation of \mathfrak{X} in $\mathcal{L}^\dagger(\lambda_\varphi(\mathfrak{A}_0), \mathcal{H}_\varphi)$.*

If $(\mathfrak{X}, \mathfrak{A}_0)$ has a unit e , the following properties also hold:

- (i) $\mathcal{D} = \lambda_\varphi(\mathfrak{A}_0) = \pi(\mathfrak{A}_0)\lambda_\varphi(e)$ (i.e. $\lambda_\varphi(e)$ is ultra-cyclic);
- (ii) $\varphi(x, y) = \langle \pi_\varphi^\circ(x)\lambda_\varphi(e) | \pi_\varphi^\circ(y)\lambda_\varphi(e) \rangle, \forall x, y \in \mathfrak{X}$.

Proof. First we prove that, for each $x \in \mathfrak{X}$, the map $\pi_\varphi^\circ(x)$ of (3.1) is well defined. Assume that $\lambda_\varphi(a) = 0$ for some $a \in \mathfrak{A}_0$. If $x \in \mathfrak{X}$, we then get $\varphi(a, x^*b) = 0$ for every $b \in \mathfrak{A}_0$. For each $y \in \mathfrak{X}$ there exists a sequence $\{b_n\} \subset \mathfrak{A}_0$ such that $\|\lambda_\varphi(y) - \lambda_\varphi(b_n)\| \rightarrow 0$. This clearly implies that $\varphi(xa, y) = 0$ for each $y \in \mathfrak{X}$. Hence $xa \in N_\varphi$. Thus, for each $x \in \mathfrak{X}$, the map $\pi_\varphi^\circ(x)$ is a well defined linear operator from $\lambda_\varphi(\mathfrak{A}_0)$ into \mathcal{H}_φ . We notice that the restriction of π_φ° to \mathfrak{A}_0 maps $\lambda_\varphi(\mathfrak{A}_0)$ into itself. This fact and the properties of φ listed in Definition 3.1 easily imply that π_φ° is a $*$ -representation. If $(\mathfrak{X}, \mathfrak{A}_0)$ has a unit e , then (i) and (ii) follow from the definitions. ■

Denote by π_φ the closure of π_φ° . The triple $(\pi_\varphi, \lambda_\varphi, \mathcal{H}_\varphi)$ is called the *GNS construction* for φ and we refer to π_φ as the *GNS representation* of \mathfrak{X} constructed from φ . If $(\mathfrak{X}, \mathfrak{A}_0)$ has a unit e , then $\xi_\varphi := \lambda_\varphi(e)$ is *cyclic* for π_φ .

With a proof similar to the usual one in the case of *-algebras one can prove the following

PROPOSITION 3.4. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a Banach quasi *-algebra with unit e and $\varphi \in \mathcal{P}(\mathfrak{X})$. Then the GNS construction $(\pi_\varphi, \lambda_\varphi, \mathcal{H}_\varphi)$ is unique up to unitary equivalence.*

It is easy to prove

PROPOSITION 3.5. *The *-representation π_φ is bounded if, and only if, φ is admissible, i.e, for every $a \in \mathfrak{A}_0$ there exists $\gamma_x > 0$ such that*

$$\varphi(xa, xa) \leq \gamma_x \varphi(a, a), \quad \forall a \in \mathfrak{A}_0.$$

Assume that $(\mathfrak{X}, \mathfrak{A}_0)$ has a unit e . Then it is clear that, if $\varphi \in \mathcal{P}(\mathfrak{X})$, the linear functional ω_φ defined by

$$\omega_\varphi(x) = \varphi(x, e), \quad x \in \mathfrak{X},$$

is bounded on \mathfrak{X} , i.e. $\omega_\varphi \in \mathfrak{X}^\sharp$. Moreover, it is *positive* on \mathfrak{X} , in the sense that $\omega_\varphi(x) \geq 0$ for every $x \in \mathfrak{X}^+$, where \mathfrak{X}^+ is the closure in \mathfrak{X} of the set

$$\mathfrak{A}_0^+ = \left\{ \sum_{k=1}^n a_k^* a_k : a_k \in \mathfrak{A}_0, k = 1, \dots, n, n \in \mathbb{N} \right\}.$$

The set of positive elements of \mathfrak{X}^\sharp is denoted by \mathfrak{X}_+^\sharp .

Furthermore, the map $\varphi \in \mathcal{P}(\mathfrak{X}) \mapsto \omega_\varphi \in \mathfrak{X}_+^\sharp$ is injective. For, if $\omega_\varphi(x) = 0$ for each $x \in \mathfrak{X}$, then making use of the properties (ii) and (iii) of $\mathcal{P}(\mathfrak{X})$ and of the density of \mathfrak{A}_0 , it follows that $\varphi(x, y) = 0$ for all $x, y \in \mathfrak{X}$.

Finally, we define

$$\mathcal{S}(\mathfrak{X}) = \{ \varphi \in \mathcal{P}(\mathfrak{X}) : \|\varphi\| \leq 1 \}.$$

It is easily seen that $\mathcal{S}(\mathfrak{X})$ is a convex subset of $\mathcal{P}(\mathfrak{X})$. If $(\mathfrak{X}, \mathfrak{A}_0)$ has a unit e , then $\varphi(e, e) \leq \|e\|^2 = 1$ for any $\varphi \in \mathcal{S}(\mathfrak{X})$.

Let $\mathfrak{X}_1^\sharp = \{ \omega \in \mathfrak{X}^\sharp : \|\omega\|^\sharp \leq 1 \}$ be the unit ball of \mathfrak{X}^\sharp and

$$\mathfrak{X}_\mathcal{S}^\sharp = \{ \omega_\varphi : \varphi \in \mathcal{S}(\mathfrak{X}) \}.$$

REMARK 3.6. Obviously, it is possible that $\mathcal{S}(\mathfrak{X}) = \{0\}$ (or, equivalently, $\mathfrak{X}_\mathcal{S}^\sharp = \{0\}$). It is, however much more interesting to consider Banach quasi *-algebras for which the set $\mathcal{S}(\mathfrak{X})$ is sufficiently rich (Section 3.3).

PROPOSITION 3.7. *Assume that $(\mathfrak{X}, \mathfrak{A}_0)$ has a unit and that $\mathcal{S}(\mathfrak{X}) \neq \{0\}$. Then the following statements hold:*

- (i) $\mathfrak{X}_\mathcal{S}^\sharp$ is a convex, weak*-compact subset of \mathfrak{X}_1^\sharp .
- (ii) $\mathfrak{X}_\mathcal{S}^\sharp$ has extreme points. If ω_φ is extreme, then $\|\varphi\| = 1$.
- (iii) ω_φ is extreme in $\mathfrak{X}_\mathcal{S}^\sharp$ if, and only if, φ is extreme in $\mathcal{S}(\mathfrak{X})$.

The proof is very simple and we omit it.

3.2. Seminorms. We will now define some seminorms, closely related to families of sesquilinear forms [22] and to representations. Similar constructions have been considered in the case of $*$ -algebras in [9, 24].

To begin with, we put

$$\mathfrak{p}(x) = \sup_{\varphi \in \mathcal{S}(\mathfrak{X})} \varphi(x, x)^{1/2}.$$

Then p is a seminorm on \mathfrak{X} with $\mathfrak{p}(x) \leq \|x\|$ for every $x \in \mathfrak{X}$.

We also put

$$N(\mathfrak{p}) = \{x \in \mathfrak{X} : \mathfrak{p}(x) = 0\}.$$

REMARK 3.8. Under the assumption of Proposition 3.7, the set $\mathcal{S}(\mathfrak{X})$, which is convex, has extreme elements (of unit norm) whose closed convex hull is exactly $\mathcal{S}(\mathfrak{X})$. Thus, in this case,

$$\mathfrak{p}(x) = \sup_{\|\varphi\|=1} \varphi(x, x)^{1/2}.$$

We also define

$$(3.2) \quad \mathfrak{q}(x) = \sup\{\varphi(xa, xa)^{1/2} : \varphi \in \mathcal{P}(\mathfrak{X}), a \in \mathfrak{A}_0, \varphi(a, a) = 1\}, \quad x \in \mathfrak{X},$$

and

$$D(\mathfrak{q}) = \{x \in \mathfrak{X} : \mathfrak{q}(x) < \infty\}.$$

If $(\mathfrak{X}, \mathfrak{A}_0)$ has a unit e , then \mathfrak{q} has a simpler form. In fact, if we put

$$\mathfrak{q}'(x) = \sup\{\varphi(x, x)^{1/2} : \varphi \in \mathcal{P}(\mathfrak{X}), \varphi(e, e) = 1\}, \quad x \in \mathfrak{X},$$

and

$$D(\mathfrak{q}') = \{x \in \mathfrak{X} : \mathfrak{q}'(x) < \infty\},$$

then $D(\mathfrak{q}) = D(\mathfrak{q}')$ and $\mathfrak{q}(x) = \mathfrak{q}'(x)$ for every $x \in D(\mathfrak{q})$. Indeed, it is clear that

$$(3.3) \quad \mathfrak{q}'(x) \leq \mathfrak{q}(x), \quad \forall x \in \mathfrak{X}.$$

On the other hand, if $\varphi \in \mathcal{P}(\mathfrak{X})$ and $a \in \mathfrak{A}_0$, then also $\varphi_a \in \mathcal{P}(\mathfrak{X})$, where $\varphi_a(x, y) = \varphi(xa, ya)$ for every $x, y \in \mathfrak{X}$. Clearly, if $a \in \mathfrak{A}_0$ and $\varphi(a, a) = 1$, then $\varphi_a(e, e) = 1$. This implies that

$$(3.4) \quad \mathfrak{q}(x) \leq \mathfrak{q}'(x), \quad \forall x \in \mathfrak{X}.$$

The inequalities (3.3) and (3.4) also hold when one of their terms is ∞ . Thus the statement is proved.

The seminorms \mathfrak{p} and \mathfrak{q} compare as follows.

PROPOSITION 3.9. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a Banach quasi $*$ -algebra. Then:*

- (i) $\mathfrak{p}(xa) \leq \mathfrak{q}(x)\mathfrak{p}(a)$, $\forall x \in D(\mathfrak{q}), a \in \mathfrak{A}_0$.
- (ii) *If $(\mathfrak{X}, \mathfrak{A}_0)$ has a unit, then*

$$\mathfrak{p}(x) \leq \mathfrak{q}(x), \quad \forall x \in D(\mathfrak{q}).$$

Proof. (i) Let $x \in D(\mathfrak{q})$. Then from the definition of $\mathfrak{q}(x)$ we get, for each $\varphi \in \mathcal{P}(\mathfrak{X})$,

$$(3.5) \quad \varphi(xa, xa) \leq \mathfrak{q}(x)^2 \varphi(a, a), \quad \forall a \in \mathfrak{A}_0.$$

The statement then follows by taking the supremum over $\varphi \in \mathcal{S}(\mathfrak{X})$.

(ii) This follows from (i) by choosing $a = e$ and taking into account that $\mathfrak{p}(e) \leq 1$. ■

PROPOSITION 3.10. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a Banach quasi *-algebra. Then:*

(i) $\mathfrak{A}_0 \subseteq D(\mathfrak{q})$ and $\mathfrak{q}(a) \leq \|a\|_0, \forall a \in \mathfrak{A}_0$.

(ii) $D(\mathfrak{q}) = \{x \in \mathfrak{X} : \pi_\varphi(x) \text{ bounded}, \forall \varphi \in \mathcal{P}(\mathfrak{X}), \text{ and}$

$$\sup_{\varphi \in \mathcal{P}(\mathfrak{X})} \|\overline{\pi_\varphi(x)}\| < \infty\},$$

$$\mathfrak{q}(x) = \sup_{\varphi \in \mathcal{P}(\mathfrak{X})} \|\overline{\pi_\varphi(x)}\|, \quad \forall x \in D(\mathfrak{q}).$$

(iii) \mathfrak{q} is an extended C^* -seminorm on $(\mathfrak{X}, \mathfrak{A}_0)$ (i.e. $\mathfrak{q}(x^*) = \mathfrak{q}(x), \forall x \in \mathfrak{X}; \mathfrak{q}(a^*a) = \mathfrak{q}(a)^2, \forall a \in \mathfrak{A}_0$, see [22]).

(iv) $\mathfrak{p}(ax) \leq \|a\|_0 \mathfrak{p}(x), \forall x \in \mathfrak{X}, a \in \mathfrak{A}_0$.

Proof. (i) Let $\varphi \in \mathcal{P}(\mathfrak{X})$. Then the restriction of φ to $\mathfrak{A}_0 \times \mathfrak{A}_0$ is $\|\cdot\|_0$ -bounded. This fact together with a repeated use of the Cauchy–Schwarz inequality gives, for any $a, b \in \mathfrak{A}_0$,

$$\begin{aligned} \varphi(ab, ab) &\leq \varphi(b, b)^{1/2+1/2^2+\dots+1/2^k} \varphi((a^*a)^{2^{k-1}}b, (a^*a)^{2^{k-1}}b)^{1/2^k} \\ &\leq \varphi(b, b)^{1/2+1/2^2+\dots+1/2^k} \|\varphi\|^{1/2^k} (\|(a^*a)^{2^{k-1}}\|_0 \|b\|_0)^{1/2^{k-1}}. \end{aligned}$$

For $k \rightarrow \infty$, we get

$$\varphi(ab, ab) \leq \|a\|_0^2 \varphi(b, b), \quad \forall a, b \in \mathfrak{A}_0.$$

This implies that $\mathfrak{q}(a) \leq \|a\|_0$ for every $a \in \mathfrak{A}_0$.

(ii) Let $x \in D(\mathfrak{q})$ and $\varphi \in \mathcal{P}(\mathfrak{X})$. If π_φ denotes the GNS representation constructed from φ , making use of (3.5) we obtain

$$\|\pi_\varphi(x)\lambda_\varphi(a)\|^2 = \varphi(xa, xa) \leq \mathfrak{q}(x)^2 \varphi(a, a) = \mathfrak{q}(x)^2 \|\lambda_\varphi(a)\|^2, \quad \forall a \in \mathfrak{A}_0.$$

Thus $\pi_\varphi(x)$ is bounded and $\|\pi_\varphi(x)\| \leq \mathfrak{q}(x)$. This implies that

$$M(x) := \sup\{\|\overline{\pi_\varphi(x)}\| : \varphi \in \mathcal{P}(\mathfrak{X})\} \leq \mathfrak{q}(x).$$

Conversely, assume that $x \in \mathfrak{X}$ and $M(x)$ is finite. Then

$$\varphi(xa, xa) = \|\pi_\varphi(x)\lambda_\varphi(a)\|^2 \leq M(x)^2 \|\lambda_\varphi(a)\|^2 = M(x)^2 \varphi(a, a), \quad \forall a \in \mathfrak{A}_0.$$

Hence, $x \in D(\mathfrak{q})$ and $\mathfrak{q}(x) \leq M(x)$.

(iii) This follows directly from (ii).

(iv) For $x \in \mathfrak{X}$ and $\varphi \in \mathcal{S}(\mathfrak{X})$, define

$$\omega_\varphi^x(a) = \varphi(ax, x), \quad a \in \mathfrak{A}_0.$$

Then ω_φ^x is positive and $\|\cdot\|_0$ -bounded on \mathfrak{A}_0 . Proceeding as in (i) one gets

$$\varphi(ax, ax) \leq \|a\|_0^2 \varphi(x, x), \quad \forall a \in \mathfrak{A}_0.$$

Taking the supremum over $\varphi \in \mathcal{S}(\mathfrak{X})$, we obtain the result. ■

So far, we have not proved (or even assumed) anything about the *size* of the families of sesquilinear forms we have considered. There are however examples of Banach quasi $*$ -algebras $(\mathfrak{X}, \mathfrak{A}_0)$ with $\mathcal{P}(\mathfrak{X}) = \{0\}$ (see Example 3.20 below). The previous statements remain of course true, but become mostly trivial. Much more interesting is the case where $\mathcal{P}(\mathfrak{X})$ contains sufficiently many elements, by which we mean that $N(\mathfrak{p}) = \{0\}$.

3.3. Sufficient families of sesquilinear forms

DEFINITION 3.11. Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a Banach quasi $*$ -algebra. We say that $\mathcal{S}(\mathfrak{X})$ is *sufficient* if the conditions $x \in \mathfrak{X}$ and $\varphi(x, x) = 0$ for each $\varphi \in \mathcal{S}(\mathfrak{X})$ imply $x = 0$.

REMARK 3.12. We adopted a similar definition for CQ^* -algebras in [6]. Some of the statements that follow generalize results obtained for that situation in [6, 20].

The following lemma allows us to formulate in different ways the notion of sufficiency of $\mathcal{S}(\mathfrak{X})$.

LEMMA 3.13. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a Banach quasi $*$ -algebra with unit e . For an element $x \in \mathfrak{X}$, the following statements are equivalent.*

- (i) $\mathfrak{p}(x) = 0$, i.e. $x \in N(\mathfrak{p})$.
- (ii) $\varphi(x, x) = 0$ for every $\varphi \in \mathcal{S}(\mathfrak{X})$.
- (iii) $\varphi(x, y) = 0$ for every $\varphi \in \mathcal{S}(\mathfrak{X})$ and $y \in \mathfrak{X}$.
- (iv) $\omega_\varphi(x) = 0$ for every $\varphi \in \mathcal{S}(\mathfrak{X})$.
- (v) $\varphi(xa, a) = 0$ for every $\varphi \in \mathcal{S}(\mathfrak{X})$ and $a \in \mathfrak{A}_0$.
- (vi) $\varphi(xa, b) = 0$ for every $\varphi \in \mathcal{S}(\mathfrak{X})$ and $a, b \in \mathfrak{A}_0$.

PROPOSITION 3.14. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a Banach quasi $*$ -algebra with unit e . If the set*

$$\mathfrak{X}_\mathcal{P}^\# := \{\omega_\varphi : \varphi \in \mathcal{P}(\mathfrak{X})\}$$

is weak-dense in $\mathfrak{X}_+^\#$, then $\mathcal{S}(\mathfrak{X})$ is sufficient. Conversely, if $(\mathfrak{X}, \|\cdot\|)$ is a reflexive Banach space and $\mathcal{S}(\mathfrak{X})$ is sufficient, then $\mathfrak{X}_\mathcal{P}^\#$ is weak*-dense in $\mathfrak{X}_+^\#$.*

Proof. Assume that $\mathcal{S}(\mathfrak{X})$ is not sufficient. Then there exists $x \in \mathfrak{X}$, $x \neq 0$, such that $\varphi(x, x) = 0$ for every $\varphi \in \mathcal{S}(\mathfrak{X})$. This implies that $\omega_\varphi(x) = 0$ for each $\varphi \in \mathcal{S}(\mathfrak{X})$. Thus the non-zero continuous linear functional f_x on $\mathfrak{X}^\#$ defined by $f_x(\omega) = \omega(x)$ is identically zero on $\{\omega_\varphi : \varphi \in \mathcal{P}(\mathfrak{X})\}$. Thus this set is not weak*-dense in $\mathfrak{X}_+^\#$.

Conversely, assume that $\mathfrak{X}_{\mathcal{P}}^{\sharp}$ is not weak*-dense in \mathfrak{X}_+^{\sharp} . Then, by reflexivity, there would exist an $x \in \mathfrak{X}$, $x \neq 0$, such that $\omega_{\varphi}(x) = \varphi(x, e) = 0$ for each $\varphi \in \mathcal{P}(\mathfrak{X})$. Then, by Lemma 3.13, we get $\varphi(x, x) = 0$ for each $\varphi \in \mathcal{P}(\mathfrak{X})$. This implies that $x = 0$, a contradiction. ■

PROPOSITION 3.15. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a Banach quasi $*$ -algebra with unit e and let $\mathcal{S}(\mathfrak{X})$ be sufficient. Let $x \in \mathfrak{X}$. Then*

(i) $x = x^*$ if, and only if, $\omega_{\varphi}(x) \in \mathbb{R}$ for each $\varphi \in \mathcal{S}(\mathfrak{X})$.

Moreover, if $\mathfrak{X}_{\mathcal{P}}^{\sharp}$ is weak*-dense in \mathfrak{X}_+^{\sharp} , then:

(ii) If $\omega_{\varphi}(x) \geq 0$ for each $\varphi \in \mathcal{S}(\mathfrak{X})$, then x is positive.

(iii) $x \in \mathfrak{X}^+ \cap \{-\mathfrak{X}^+\}$ if, and only if, $x = 0$.

Proof. (i) Assume that $\omega_{\varphi}(x) \in \mathbb{R}$ for each $\varphi \in \mathcal{S}(\mathfrak{X})$. Then

$$\omega_{\varphi}(x - x^*) = \omega_{\varphi}(x) - \omega_{\varphi}(x^*) = \omega_{\varphi}(x) - \overline{\omega_{\varphi}(x)} = 0$$

for every $\varphi \in \mathcal{S}(\mathfrak{X})$. By Lemma 3.13 one has $\varphi(x - x^*, x - x^*) = 0$ for every $\varphi \in \mathcal{S}(\mathfrak{X})$. Hence $x = x^*$. The converse implication is obvious.

(ii) This follows immediately from the weak*-denseness of $\mathfrak{X}_{\mathcal{P}}^{\sharp}$.

(iii) Assume that $x \in \mathfrak{X}^+ \cap \{-\mathfrak{X}^+\}$; then by (ii) it follows that $\omega_{\varphi}(x) = 0$ for every $\varphi \in \mathcal{S}(\mathfrak{X})$. From this we conclude that $x = 0$. ■

PROPOSITION 3.16. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a BQ*-algebra with unit. If $\mathcal{S}(\mathfrak{X})$ is sufficient, then \mathfrak{A}_0 is a $*$ -semisimple Banach $*$ -algebra.*

Proof. It suffices to show that if $a \in \mathfrak{A}_0$ and $\omega(a^*a) = 0$ for each positive linear functional ω on \mathfrak{A}_0 , then $a = 0$. If this assumption is satisfied, then, in particular, $\omega_{\varphi}(a^*a) = 0$ for each $\varphi \in \mathcal{S}(\mathfrak{X})$. This implies that $\varphi(a, a) = 0$ for every $\varphi \in \mathcal{S}(\mathfrak{X})$, and so $a = 0$. ■

If $(\mathfrak{X}, \mathfrak{A}_0)$ has a sufficient $\mathcal{S}(\mathfrak{X})$, then also the multiplications defined in Section 2 behave in a reasonable fashion:

PROPOSITION 3.17. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a Banach quasi $*$ -algebra with sufficient $\mathcal{S}(\mathfrak{X})$. Then $(\mathfrak{X}, \mathfrak{A}_0)$ is normal.*

Proof. Let $x, y \in \mathfrak{X}_b$. For every $\varphi \in \mathcal{S}(\mathfrak{X})$ and $c \in \mathfrak{A}_0$, we have

$$\begin{aligned} \varphi((x \blacktriangleright y)c, c) &= \varphi((\bar{L}_x y)c, c) = \lim_{m \rightarrow \infty} \varphi((x b_m)c, c) \\ &= \lim_{m \rightarrow \infty} \varphi(x(b_m c), c) = \lim_{m \rightarrow \infty} \varphi(b_m c, x^*c) \\ &= \varphi(y c, x^*c), \end{aligned}$$

where $\{b_m\} \subset \mathfrak{A}_0$ converges to y in \mathfrak{X} . Analogously, if $\{a_n\} \subset \mathfrak{A}_0$ converges to x in \mathfrak{X} , we have

$$\begin{aligned} \varphi((x \blacktriangleleft y)c, c) &= \varphi((\bar{R}_y x)c, c) = \lim_{n \rightarrow \infty} \varphi((a_n y)c, c) \\ &= \lim_{n \rightarrow \infty} \varphi(a_n(y c), c) = \lim_{n \rightarrow \infty} \varphi(y c, a_n^*c) = \varphi(y c, x^*c). \end{aligned}$$

Therefore

$$\varphi((x \blacktriangleright y - x \blacktriangleleft y)c, c) = 0, \quad \forall \varphi \in \mathcal{S}(\mathfrak{X}), c \in \mathfrak{A}_0.$$

By Lemma 3.13 it follows that $x \blacktriangleright y = x \blacktriangleleft y$. This concludes the proof. ■

If $(\mathfrak{X}, \mathfrak{A}_0)$ has a sufficient $\mathcal{S}(\mathfrak{X})$, then \mathfrak{p} is a norm on \mathfrak{X} , weaker in general than the original norm of \mathfrak{X} . Thus, it makes sense to consider the case where they coincide. Hence we give the following

DEFINITION 3.18. A Banach quasi $*$ -algebra $(\mathfrak{X}, \mathfrak{A}_0)$ is called *regular* if

- (i) $\mathcal{S}(\mathfrak{X})$ is sufficient;
- (ii) $\mathfrak{p}(x) = \|x\|$ for every $x \in \mathfrak{X}$.

A similar definition was given for CQ^* -algebras in [6]. We notice that the equality $\mathfrak{p}(x) = \|x\|$ for every $x \in \mathfrak{X}$ implies that $\mathfrak{p}(x^*) = \mathfrak{p}(x)$ for every $x \in \mathfrak{X}$. This equality fails in general; but it is exactly what is needed to embed $(\mathfrak{X}, \mathfrak{A}_0)$ in a larger *regular* Banach quasi $*$ -algebra.

PROPOSITION 3.19. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a Banach quasi $*$ -algebra with sufficient $\mathcal{S}(\mathfrak{X})$ and $\mathfrak{p}(x^*) = \mathfrak{p}(x)$ for every $x \in \mathfrak{X}$. Then there exists a regular Banach quasi $*$ -algebra, $(\mathfrak{X}_S, \mathfrak{A}_0)$, such that \mathfrak{X}_S contains \mathfrak{X} as a dense subspace.*

Proof. We let \mathfrak{X}_S be the completion of \mathfrak{A}_0 with respect to \mathfrak{p} ; then $(\mathfrak{X}_S, \mathfrak{A}_0)$ is a Banach quasi $*$ -algebra, by Proposition 3.10(vi) and the assumption that $\mathfrak{p}(x^*) = \mathfrak{p}(x)$ for every $x \in \mathfrak{X}$. We now prove that \mathfrak{X} can be identified with a subspace of \mathfrak{X}_S . Indeed, if $x \in \mathfrak{X}$ then there exists a sequence $\{a_n\} \subset \mathfrak{A}_0$ such that

$$x = \|\cdot\| - \lim_{n \rightarrow \infty} a_n.$$

It is readily seen that $\{a_n\}$ is also a Cauchy sequence with respect to \mathfrak{p} . Thus there exists an element $\bar{x} \in \mathfrak{X}_S$ such that

$$\bar{x} = \mathfrak{p} - \lim_{n \rightarrow \infty} a_n.$$

The element \bar{x} does not depend on the particular sequence $\{a_n\}$ used to approximate x in \mathfrak{X} . Indeed, if $\{a'_n\}$ is another such sequence, then

$$\mathfrak{p}(a_n - a'_n) \leq \|a_n - a'_n\| \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

We have defined in this way a map $i : x \in \mathfrak{X} \mapsto \bar{x} \in \mathfrak{X}_S$; we will now prove that i is injective.

Assume that $\bar{x} = 0$ for some $x \in \mathfrak{X}$ and let $\{a_n\}$ be a sequence in \mathfrak{A}_0 approximating x in the norm of \mathfrak{X} and such that $\mathfrak{p}(a_n) \rightarrow 0$; this implies that $\varphi(a_n, a_n) \rightarrow 0$ for each $\varphi \in \mathcal{S}(\mathfrak{X})$. Therefore

$$\varphi(x, x) = \lim_{n \rightarrow \infty} \varphi(a_n, a_n) = 0.$$

From the sufficiency of $\mathcal{S}(\mathfrak{X})$ we get $x = 0$. To conclude the proof, we need to show that $\mathcal{S}(\mathfrak{X}_S)$ is sufficient and that $(\mathfrak{X}_S, \mathfrak{A}_0)$ is regular.

First, we prove that the two families of sesquilinear forms, $\mathcal{S}(\mathfrak{X})$ and $\mathcal{S}(\mathfrak{X}_S)$, can be identified. Indeed, let $\Phi \in \mathcal{S}(\mathfrak{X}_S)$; then its restriction $\Phi_{\mathfrak{X}}$ to \mathfrak{X} belongs, as is easily seen, to $\mathcal{S}(\mathfrak{X})$. Conversely, if $\Phi_0 \in \mathcal{S}(\mathfrak{X})$, then making use of the Cauchy–Schwarz inequality, we get

$$|\Phi_0(x, y)| \leq \mathfrak{p}(x)\mathfrak{p}(y), \quad \forall x, y \in \mathfrak{X}.$$

Therefore Φ_0 has a unique extension Φ to \mathfrak{X}_S and $\Phi \in \mathcal{S}(\mathfrak{X}_S)$. Taking this fact into account, the sufficiency of $\mathcal{S}(\mathfrak{X}_S)$ follows by the definition of completion. The regularity is a simple consequence of the definition of the norm in the completion. ■

EXAMPLE 3.20. The BQ^* -algebra $(L^p(I), C(I))$ is regular [5] if, and only if, $p \geq 2$. For $1 \leq p < 2$, $\mathcal{S}(L^p(I)) = \{0\}$. In the case of the non-commutative L^p of Example 2.5, it has been proved in [8] that, for finite τ , $(L^p(\tau), \mathfrak{M})$ is regular if $p \geq 2$.

EXAMPLE 3.21. For the Banach quasi *-algebra $(\mathcal{H}, \mathfrak{A}_0)$ of Example 2.6, $\mathcal{S}(\mathfrak{X})$ is sufficient, since it contains the inner product $\langle \cdot | \cdot \rangle$. For the same reason, $(\mathcal{H}, \mathfrak{A}_0)$ is regular.

We consider again the seminorm \mathfrak{q} defined in (3.2). If $(\mathfrak{X}, \mathfrak{A}_0)$ has a sufficient $\mathcal{S}(\mathfrak{X})$, then \mathfrak{q} is also a norm on $D(\mathfrak{q})$ and has the C^* -property on \mathfrak{A}_0 . If, in addition, $(\mathfrak{X}, \mathfrak{A}_0)$ has a unit, then (Proposition 3.9)

$$(3.6) \quad \mathfrak{p}(x) \leq \mathfrak{q}(x), \quad \forall x \in D(\mathfrak{q}).$$

The space $D(\mathfrak{q})$ endowed with the topology defined by \mathfrak{q} is denoted by $\mathfrak{X}_{\mathfrak{q}}$. Then we have the following

PROPOSITION 3.22. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a Banach quasi *-algebra with unit. Assume that $\mathcal{S}(\mathfrak{X})$ is sufficient. Then $\mathfrak{X}_{\mathfrak{q}}$ is a normed space containing \mathfrak{A}_0 as a subspace. Moreover if \mathfrak{X} is regular, then $\mathfrak{X}_{\mathfrak{q}}$ is a Banach space.*

Proof. The first part of the statement follows from Proposition 3.10(i). In order to prove that, if \mathfrak{X} is regular, $\mathfrak{X}_{\mathfrak{q}}$ is a Banach space, we only have to show its completeness. Let $\{x_n\}$ be a \mathfrak{q} -Cauchy sequence in $\mathfrak{X}_{\mathfrak{q}}$.

Inequality (3.6) in the regular case becomes $\|x\| \leq \mathfrak{q}(x)$ for all $x \in \mathfrak{X}_{\mathfrak{q}}$. Therefore $\{x_n\}$ is also $\|\cdot\|$ -Cauchy. Using the $\|\cdot\|$ -completeness of \mathfrak{X} we conclude that there exists an element $x \in \mathfrak{X}$ which is the $\|\cdot\|$ -limit of x_n .

Let $\varphi \in \mathcal{P}(\mathfrak{X})$. Then $\varphi(x, x) = \lim_{n \rightarrow \infty} \varphi(x_n, x_n)$. The sequence $\mathfrak{q}(x_n)$ is bounded, because $\{x_n\}$ is \mathfrak{q} -Cauchy. Let M be its supremum. Then

$$\varphi(x_n a, x_n a)^{1/2} \leq \mathfrak{q}(x_n) \leq M, \quad \forall a \in \mathfrak{A}_0 \text{ with } \varphi(a, a) = 1.$$

Hence

$$\varphi(xa, xa)^{1/2} = \lim_{n \rightarrow \infty} \varphi(x_n a, x_n a)^{1/2} \leq M.$$

Thus, clearly, $q(x) \leq M$, i.e. $x \in \mathfrak{X}_q$. Finally, using the uniqueness of the limit in the completion of \mathfrak{X}_q , we conclude that $x = q\text{-}\lim_{n \rightarrow \infty} x_n$. Thus \mathfrak{X}_q is complete. ■

We observe that in general the inclusion $\mathfrak{A}_0 \subseteq \mathfrak{X}_q$ is proper. For instance, in $(L^p(I), C(I))$ any step function s defined on $[0, 1]$ is in $L^p(I)$ but not in $C(I)$. It is immediate to verify that $s \in (L^p(I))_q$.

Our next goal is to prove that, for regular Banach quasi $*$ -algebras, \mathfrak{X}_q is exactly the set of elements having finite spectral radius.

We begin with the following

PROPOSITION 3.23. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a Banach quasi $*$ -algebra with sufficient $\mathcal{S}(\mathfrak{X})$. Then for every $x \in \mathfrak{X}$ the maps*

$$L_x : a \in \mathfrak{A}_0 \mapsto xa \in \mathfrak{X}, \quad R_x : a \in \mathfrak{A}_0 \mapsto ax \in \mathfrak{X}$$

are closable in \mathfrak{X} .

Proof. Let $x \in \mathfrak{X}$ and $\{a_n\} \subset \mathfrak{A}_0$ be a sequence $\|\cdot\|$ -converging to zero and such that $xa_n \rightarrow y$ with respect to $\|\cdot\|$. Then, if $\varphi \in \mathcal{S}(\mathfrak{X})$ and $b_1, b_2 \in \mathfrak{A}_0$, we get

$$\begin{aligned} |\varphi(yb_1, b_2)| &\leq |\varphi((y - xa_n)b_1, b_2)| + |\varphi(a_nb_1, x^*b_2)| \\ &\leq \|y - xa_n\| \|b_1\|_0 \|b_2\|_0 + \|a_n\| \|b_1\|_0 \|x^*b_2\| \rightarrow 0. \end{aligned}$$

Therefore $\varphi(yb_1, b_2) = 0$ for every $\varphi \in \mathcal{S}(\mathfrak{X})$ and $b_1, b_2 \in \mathfrak{A}_0$. By Lemma 3.13, $y = 0$. The proof for R_x is similar. ■

The previous proposition suggests a handy criterion for the existence of a bounded inverse of an element:

PROPOSITION 3.24. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a Banach quasi $*$ -algebra with unit e and sufficient $\mathcal{S}(\mathfrak{X})$. Let $x \in \mathfrak{X}$ satisfy the following conditions:*

(i) *there exists $\gamma > 0$ such that*

$$\min\{\|ax\|, \|xa\|\} \geq \gamma\|a\|, \quad \forall a \in \mathfrak{A}_0;$$

(ii) *the sets $\{ax : a \in \mathfrak{A}_0\}$ and $\{xa : a \in \mathfrak{A}_0\}$ are both dense in \mathfrak{X} .*

Then x has a bounded inverse.

Proof. Let $x \in \mathfrak{X}$ satisfy (i) and (ii). Then, making use of standard techniques for closable maps in Banach spaces, one can prove that the range of the closure \bar{L}_x of L_x is the whole space \mathfrak{X} . Moreover, it is easy to prove that

$$\|\bar{L}_x y\| \geq \gamma\|y\|, \quad \forall y \in D(\bar{L}_x),$$

where $D(\bar{L}_x)$ denotes the domain of \bar{L}_x . Therefore, there exists a unique $b_1 \in D(\bar{L}_x)$ such that $\bar{L}_x b_1 = e$.

Let $\{z_n\} \subset \mathfrak{A}_0$ with $\|b_1 - z_n\| \rightarrow 0$ and $\{xz_n\}$ converging in \mathfrak{X} . Then, for every $a \in \mathfrak{A}_0$, $\|b_1a - z_na\| \rightarrow 0$ and $\{x(z_na)\}$ converges in \mathfrak{X} . Hence $b_1a \in D(\bar{L}_x)$ and

$$\bar{L}_x(b_1a) = \lim_{n \rightarrow \infty} x(z_na) = \lim_{n \rightarrow \infty} (xz_n)a = (\bar{L}_x b_1)a = ea = a.$$

Therefore $\bar{L}_x(b_1a) = (\bar{L}_x b_1)a$ for every $a \in \mathfrak{A}_0$. Hence

$$\|\bar{L}_x(b_1a)\| \geq \gamma \|b_1a\|, \quad \forall a \in \mathfrak{A}_0.$$

This implies that

$$\|b_1a\| \leq \frac{1}{\gamma} \|a\|, \quad \forall a \in \mathfrak{A}_0.$$

Hence b_1 is left bounded.

In a similar way one shows the existence of a unique right bounded element $b_2 \in D(\bar{R}_x)$ such that $\bar{R}_x b_2 = e$.

We now prove that $b_1 = b_2$. Let $z_{i,n}$ ($i = 1, 2$) be a sequence in \mathfrak{A}_0 such that $\|z_{i,n} - b_i\| \rightarrow 0$ and $\{xz_{i,n}\}$ converges in \mathfrak{X} . For every $\varphi \in \mathcal{S}(\mathfrak{X})$ and $c \in \mathfrak{A}_0$, we have

$$\begin{aligned} \varphi(b_2c, c) &= \varphi(b_2(\bar{L}_x b_1)c, c) = \varphi((\bar{L}_x b_1)c, b_2^*c) \\ &= \lim_{n \rightarrow \infty} \varphi((\bar{L}_x z_{1,n})c, z_{2,n}^*c) = \lim_{n \rightarrow \infty} \varphi(x(z_{1,n}c), z_{2,n}^*c) \\ &= \lim_{n \rightarrow \infty} \varphi(z_{1,n}c, (z_{2,n}x)^*c) = \varphi(b_1c, c), \end{aligned}$$

since $z_{2,n}x \rightarrow \bar{R}_x b_2 = e$. The sufficiency of $\mathcal{S}(\mathfrak{X})$ implies that $b_1 = b_2$. We put $b := b_1 = b_2$. Then $b \in \mathfrak{X}_b$.

We finally prove that $\bar{L}_x b = \bar{R}_b x$. Let $\varphi \in \mathcal{S}(\mathfrak{X})$ and let $\{z_n\} \subset \mathfrak{A}_0$ with $\|b - z_n\| \rightarrow 0$ and $\{xz_n\}$ converging in \mathfrak{X} . Then, for every $c \in \mathfrak{A}_0$,

$$\varphi((\bar{L}_x b)c, c) = \lim_{n \rightarrow \infty} \varphi((xz_n)c, c) = \lim_{n \rightarrow \infty} \varphi(z_n c, x^*c) = \varphi(bc, x^*c).$$

On the other hand, if $\{a_n\} \subset \mathfrak{A}_0$ with $\|x - a_n\| \rightarrow 0$, then for every $c \in \mathfrak{A}_0$,

$$\begin{aligned} \varphi((\bar{R}_b x)c, c) &= \lim_{n \rightarrow \infty} \varphi((R_b a_n)c, c) = \lim_{n \rightarrow \infty} \varphi((a_n b)c, c) \\ &= \lim_{n \rightarrow \infty} \varphi(bc, a_n^*c) = \varphi(bc, x^*c). \end{aligned}$$

The sufficiency of $\mathcal{S}(\mathfrak{X})$ implies the desired equality.

Analogously, one can prove that $\bar{R}_x b = \bar{L}_b x$. In conclusion, $b \in \mathfrak{X}_b$ and $\bar{L}_b x = \bar{R}_b x = e$, i.e. x has a bounded inverse. ■

PROPOSITION 3.25. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a regular Banach quasi *-algebra with unit e . Let $x \in \mathfrak{X}_q$ and $\lambda \in \mathbb{C}$ with $|\lambda| > q(x)$. Then $x - \lambda e$ has a bounded inverse $(x - \lambda e)_b^{-1} \in \mathfrak{X}_b$. Thus*

$$\{\lambda \in \mathbb{C} : |\lambda| > q(x)\} \subseteq \varrho(x).$$

Proof. Let $\varphi \in \mathcal{S}(\mathfrak{X})$. By definition, if $x \in D(q)$, then

$$|\lambda| > q(x) \geq \varphi(xb, xb), \quad \forall b \in \mathfrak{A}_0 \text{ with } \varphi(b, b) = 1.$$

Therefore, for every $a \in \mathfrak{A}_0$,

$$\begin{aligned} \varphi((x - \lambda e)a, (x - \lambda e)a)^{1/2} &\geq |\lambda| \varphi(a, a)^{1/2} - \varphi(xa, xa)^{1/2} \\ &\geq (|\lambda| - \mathfrak{q}(x)) \varphi(a, a)^{1/2}. \end{aligned}$$

Taking the supremum over $\varphi \in \mathcal{S}(\mathfrak{X})$ we get

$$\mathfrak{p}((x - \lambda e)a) \geq \mathfrak{p}(a)(|\lambda| - \mathfrak{q}(x)).$$

From the regularity of $(\mathfrak{X}, \mathfrak{A}_0)$, we finally get

$$\|(x - \lambda e)a\| \geq \|a\|(|\lambda| - \mathfrak{q}(x)), \quad \forall a \in \mathfrak{A}_0.$$

Furthermore, if $\mathfrak{q}(x) < \infty$ and $|\lambda| > \mathfrak{q}(x)$, then the sets

$$\text{Ran } L_{x-\lambda e} := \{(x - \lambda e)b : b \in \mathfrak{A}_0\}, \quad \text{Ran } R_{x-\lambda e} := \{b(x - \lambda e) : b \in \mathfrak{A}_0\}$$

are $\|\cdot\|$ -dense in \mathfrak{X} .

Indeed, assume, for instance, that $\text{Ran } L_{x-\lambda e}$ is not dense in \mathfrak{X} . Then there exists a non-zero $\|\cdot\|$ -continuous functional f on \mathfrak{X} such that $f((x - \lambda)b) = 0$ for every $b \in \mathfrak{A}_0$. Therefore $f(xb) = \lambda f(b)$ for every $b \in \mathfrak{A}_0$. From the $\|\cdot\|$ -continuity of f we get $|f(xb)| \leq \|f\|^\# \|xb\|$ for every $b \in \mathfrak{A}_0$.

From the regularity of $(\mathfrak{X}, \mathfrak{A}_0)$ and from Proposition 3.9(i), we get

$$|f(xb)| \leq \|f\|^\# \|xb\| = \|f\|^\# \mathfrak{p}(xb) \leq \|f\|^\# \mathfrak{q}(x) \mathfrak{p}(b) = \|f\|^\# \mathfrak{q}(x) \|b\|, \quad \forall b \in \mathfrak{A}_0.$$

The functional f_x defined by $f_x(b) := f(xb)$, $b \in \mathfrak{A}_0$, is $\|\cdot\|$ -continuous, since

$$|f_x(b)| = |\lambda f(b)| \leq |\lambda| \|f\|^\# \|b\|, \quad \forall b \in \mathfrak{A}_0.$$

An easy computation shows that $\|f_x\|^\# = |\lambda| \|f\|^\#$. Thus we find the following contradictory inequality: $|\lambda| \leq \mathfrak{q}(x)$. A similar argument shows the corresponding statement for $R_{x-\lambda e}$.

Applying Proposition 3.24 we get the result. ■

We can now prove the following

THEOREM 3.26. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a regular Banach quasi $*$ -algebra with unit e . Then $D(\mathfrak{q})$ coincides with the set \mathfrak{X}_b of all bounded elements of \mathfrak{X} . Moreover*

$$\mathfrak{q}(x) = \|x\|_b, \quad \forall x \in \mathfrak{X}_b.$$

Therefore $(\mathfrak{X}_b, \|\cdot\|_b)$ is a C^* -algebra.

Proof. Propositions 2.27 and 3.25 show that $D(\mathfrak{q}) \subseteq \mathfrak{X}_b$. On the other hand, consider, for each $\varphi \in \mathcal{P}(\mathfrak{X})$, the linear functional ω_φ defined by

$$\omega_\varphi(x) = \varphi(x, e), \quad x \in \mathfrak{X}_b.$$

A simple limit argument shows that ω_φ is positive (i.e. $\omega(x^* \bullet x) \geq 0$ for each $x \in \mathfrak{X}_b$), so if π_φ denotes the corresponding GNS representation, then $\pi_\varphi(x)$ is bounded and $\|\overline{\pi_\varphi(x)}\| \leq \|x\|_b$ for every $x \in \mathfrak{X}_b$. Thus, if $x \in \mathfrak{X}_b$,

then by Proposition 3.10(ii),

$$q(x) = \sup_{\varphi \in \mathcal{P}(\mathfrak{X})} \|\overline{\pi_\varphi(x)}\| \leq \|x\|_b.$$

From Proposition 3.9(i) it follows that

$$\|xa\| = p(xa) \leq q(x)p(a) = q(x)\|a\|, \quad \forall x \in D(q), a \in \mathfrak{A}_0,$$

and, by taking the involution, also

$$\|ax\| \leq q(x)\|a\|, \quad \forall x \in D(q), a \in \mathfrak{A}_0.$$

This implies that $\|x\|_b \leq q(x)$. Thus, in conclusion, $\|\cdot\|_b$ is a C^* -norm. ■

A further characterization of the set of bounded elements of $(\mathfrak{X}, \mathfrak{A}_0)$, in the case where $\mathcal{S}(\mathfrak{X})$ is sufficient, can be obtained in terms of representations.

THEOREM 3.27. *Let $(\mathfrak{X}, \mathfrak{A}_0)$ be a Banach quasi *-algebra with unit e . Assume that $\mathcal{S}(\mathfrak{X})$ is sufficient. Then $(\mathfrak{X}, \mathfrak{A}_0)$ admits a faithful *-representation π in a Hilbert space \mathcal{H} . Moreover*

$$\mathfrak{X}_b = \{x \in \mathfrak{X} : \overline{\pi(x)} \in \mathcal{B}(\mathcal{H})\}$$

and

$$\|\overline{\pi(x)}\| = q(x), \quad \forall x \in \mathfrak{X}_b.$$

Proof. For each $\varphi \in \mathcal{P}(\mathfrak{X})$, let π_φ be the corresponding GNS construction with dense domain $\mathcal{D}_\varphi \subseteq \mathcal{H}_\varphi$. Put

$$\mathcal{H} = \bigoplus_{\varphi \in \mathcal{P}(\mathfrak{X})} \mathcal{H}_\varphi = \left\{ (\xi_\varphi)_{\varphi \in \mathcal{P}(\mathfrak{X})} : \xi_\varphi \in \mathcal{H}_\varphi, \sum_{\varphi \in \mathcal{P}(\mathfrak{X})} \|\xi_\varphi\|^2 < \infty \right\},$$

with the usual inner product

$$\langle (\xi_\varphi) | (\eta_\varphi) \rangle = \sum_{\varphi \in \mathcal{S}(\mathfrak{X})} \langle \xi_\varphi | \eta_\varphi \rangle, \quad (\xi_\varphi), (\eta_\varphi) \in \mathcal{H}.$$

Let

$$\mathcal{D} = \left\{ (\xi_\varphi) \in \mathcal{H} : \xi_\varphi \in \mathcal{D}_\varphi, \varphi \in \mathcal{P}(\mathfrak{X}), \sum_{\varphi \in \mathcal{S}(\mathfrak{X})} \|\pi_\varphi(x)\xi_\varphi\|^2 < \infty, \forall x \in \mathfrak{X} \right\}.$$

Then \mathcal{D} is a dense domain in \mathcal{H} and so we can define, for $x \in \mathfrak{X}$,

$$\pi(x)(\xi_\varphi) = (\pi_\varphi(x)\xi_\varphi), \quad (\xi_\varphi) \in \mathcal{D}.$$

Then $\pi(x) \in \mathcal{L}^\dagger(\mathcal{D}, \mathcal{H})$ for each $x \in \mathfrak{X}$ and $\pi : x \in \mathfrak{X} \mapsto \pi(x) \in \mathcal{L}^\dagger(\mathcal{D}, \mathcal{H})$ is a *-representation of $(\mathfrak{X}, \mathfrak{A}_0)$. Moreover, π is faithful, since

$$\pi(x) = 0 \Leftrightarrow \pi_\varphi(x) = 0, \forall \varphi \in \mathcal{P}(\mathfrak{X}) \Leftrightarrow \varphi(x, x) = 0, \forall \varphi \in \mathcal{P}(\mathfrak{X}).$$

The sufficiency of $\mathcal{S}(\mathfrak{X})$ then implies that $x = 0$.

Finally, $\pi(x)$ is bounded if, and only if, each π_φ for $\varphi \in \mathcal{P}(\mathfrak{X})$ is bounded and

$$\sup_{\varphi \in \mathcal{P}(\mathfrak{X})} \|\overline{\pi_\varphi(x)}\| < \infty,$$

and, in this case,

$$\|\overline{\pi(x)}\| = \sup_{\varphi \in \mathcal{P}(\mathfrak{X})} \|\overline{\pi_\varphi(x)}\|, \quad x \in \mathfrak{X}.$$

But, by Proposition 3.10(ii),

$$\sup_{\varphi \in \mathcal{P}(\mathfrak{X})} \|\overline{\pi_\varphi(x)}\| = \mathfrak{q}(x).$$

This concludes the proof. ■

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