

## The Kadec–Pełczyński–Rosenthal subsequence splitting lemma for $\text{JBW}^*$ -triple preduals

by

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**Abstract.** Any bounded sequence in an  $L^1$ -space admits a subsequence which can be written as the sum of a sequence of pairwise disjoint elements and a sequence which forms a uniformly integrable or equiintegrable (equivalently, a relatively weakly compact) set. This is known as the Kadec–Pełczyński–Rosenthal subsequence splitting lemma and has been generalized to preduals of von Neuman algebras and of  $\text{JBW}^*$ -algebras. In this note we generalize it to  $\text{JBW}^*$ -triple preduals.

**1. Introduction.** Up to a subsequence any bounded sequence in an  $L^1$ -space splits into (i.e. can be written as) the sum of two sequences of opposite nature: one which is pairwise disjointly supported, and another one which converges weakly or, equivalently, is uniformly integrable. The paper of Kadec–Pełczyński [33] contains a forerunner of this subsequence splitting lemma, its explicit formulation appears in [7, p. 68] (with a reference to Rosenthal’s [47]), whereas the authors of [2, p. 250] call it folklore and refer to [12]. Note in passing that the splitting lemma also holds for  $L^p$ -spaces with  $0 < p < \infty$  [43, 45], but in this note we concentrate on  $p = 1$ . For some generalizations and applications see [51, 43, 25, 14, 44, 45, 32].

In this note we generalize the splitting lemma to preduals of  $\text{JBW}^*$ -triples as stated in our main result (Thm. 6.1). The main result gives a positive answer to [41, Question 3] and a proof to [21, Conjecture 4.4]. On the way from the classical result for  $L^1$ -spaces to  $\text{JBW}^*$ -triple preduals we find the following stages: Randrianantoanina [43] has shown the splitting lemma for von Neumann preduals. In [21], Fernández-Polo, Ramírez and the first author of this note adopted Randrianantoanina’s approach in order to prove the splitting lemma for preduals of  $\text{JBW}^*$ -algebras. In the present

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note we follow Raynaud and Xu [45] who, shortly after Randrianantoanina, recovered his result by means of ultraproduct techniques.

Although this note is intended to be self-contained, it can be considered, in some sense, a continuation of [39] in that it uses a main result of [39] (see the proof of Thm. 6.1) which allows us to obtain a disjointly supported sequence from one being only almost isometric to  $\ell_1$ .

As it is possible to define a topology on arbitrary  $L$ -embedded Banach spaces  $X$  which on bounded sets equals the usual measure topology when  $X$  is  $L^1[0, 1]$  [41], it makes sense to conjecture a splitting lemma for  $L$ -embedded spaces; see [41, §6] for a precise wording. However, examples show that in general,  $L$ -embedded spaces fail such a splitting lemma [41, Ex. 6.2]. So JBW\*-triple preduals seem to be the biggest class of  $L$ -embedded Banach spaces known to admit a splitting property for bounded sequences. It remains an open problem to find (reasonable) conditions on  $L$ -embedded spaces to ensure the possibility of splitting.

**2. Notation.** Basic notions and properties not explained here (or alluded to too succinctly) can be found for Banach spaces in [13, 20, 31] and for JBW\*-triples in [11, 10], but also in the introductory sections of [39]. Throughout this article we will use the following notation. The unit ball of a Banach space  $X$  is written  $B_X$ , and the dual  $X^*$ . Given an ultrafilter  $\mathcal{U}$  on an index set  $I$ , and a family  $(X_i)_{i \in I}$  of Banach spaces, we denote by  $(X_i)_{\mathcal{U}}$  the corresponding ultraproduct of the  $X_i$ , and if  $X_i = X$  for all  $i$ , we write  $(X)_{\mathcal{U}}$  (or simply  $X_{\mathcal{U}}$ ) for the ultrapower of  $X$ . We refer to [28] for basic facts and definitions concerning ultraproducts. Elements of  $(X_i)_{\mathcal{U}}$  are written  $\tilde{x} = [x_i]_{\mathcal{U}}$ , in which case  $(x_i)$  is called a *representing family* or a *representative* of  $\tilde{x}$ . We have  $\|\tilde{x}\| = \lim_{\mathcal{U}} \|x_i\|$  independently of the representative. We recall that there is a canonical isometric embedding  $\hat{\cdot} : X \hookrightarrow (X)_{\mathcal{U}}$ ,  $x \mapsto [x]_{\mathcal{U}}$ , and shall write  $\hat{X}$  and  $\hat{x}$  for the image of  $X$  and  $x$ , respectively, under this embedding. A normalized sequence  $(x_k)$  in a Banach space is said to *span  $\ell_1$  asymptotically* if there exists a sequence  $(\delta_n)$  such that  $0 \leq \delta_n \rightarrow 0$  and

$$\sum_{k \geq 1} |\alpha_k| \geq \left\| \sum_{k \geq 1} \alpha_k x_k \right\| \geq \sum_{k \geq 1} (1 - \delta_k) |\alpha_k|, \quad \forall \alpha_k \in \mathbb{C}.$$

Moreover, throughout this article,  $W$  will denote a JBW\*-triple with predual  $W_*$  and triple product  $\{\cdot, \cdot, \cdot\}$ . The Peirce projections associated with a tripotent  $e$  are denoted by  $P_k(e) : W \rightarrow W$ ,  $k = 0, 1, 2$ , the ranges of  $P_k(e)$  by  $W_k(e)$ , whence we have the Peirce decomposition  $W = W_2(e) \oplus W_1(e) \oplus W_0(e)$  [11, p. 32]. The *Peirce rules* are

$$\{E_2(u), E_0(u), E\} = \{E_0(u), E_2(u), E\} = \{0\}$$

and

$$\{E_i(u), E_j(u), E_k(u)\} \subseteq E_{i-j+k}(u),$$

where  $E_{i-j+k}(u) = \{0\}$  whenever  $i - j + k \notin \{0, 1, 2\}$  ([22] or [11, Thm. 1.2.44]).

When  $X = W_*$  is the predual of the JBW\*-triple  $W$ , the conventions explained above hold accordingly, for example we write  $\tilde{\phi} = [\phi_i]_{\mathcal{U}} \in (W_*)_{\mathcal{U}}$  and  $\widehat{W}_* \subset (W_*)_{\mathcal{U}}$ .

The orthogonality of two elements  $a, b \in W$  is written  $a \perp b$ , which by definition means  $\{a, b, W\} = 0$  (see [9, Lem. 1] for equivalent characterizations).

Two elements  $\varphi, \psi \in W_*$  are called *orthogonal*, in symbols  $\varphi \perp \psi$ , if  $s(\varphi) \perp s(\psi)$  where  $s(\varphi)$  is the support tripotent of  $\varphi$ , uniquely determined by the fact that  $\varphi|_{W_2(s(\varphi))}$  is a faithful normal positive functional on the JBW\*-algebra  $W_2(s(\varphi))$  such that  $\varphi = \varphi P_2(s(\varphi))$  [22, Prop. 2]. For any tripotent  $e \in W$  such that  $\varphi(e) = \|\varphi\|$ , in particular for  $s(\varphi)$ , we have  $\varphi = \varphi P_2(e)$  [22, Prop. 1]. Recall that  $\varphi \perp \psi$  if and only if they are *L-orthogonal*, that is,  $\|\alpha\varphi + \beta\psi\| = |\alpha|\|\varphi\| + |\beta|\|\psi\|$  for all scalars  $\alpha, \beta$  (cf. [24, 19]; see [39] for quantified versions).

According to the notation in [45], we shall say that a functional  $\tilde{\varphi} = [\varphi_i]_{\mathcal{U}} \in (W_*)_{\mathcal{U}}$  is *disjoint from*  $W_* \equiv \widehat{W}_*$  whenever  $\tilde{\varphi} \perp \hat{\phi}$  for every  $\phi \in W_*$ . We recall the *Jordan identity*

$$(2.1) \quad \{a, b, \{x, y, z\}\} = \{\{a, b, x\}, y, z\} - \{x, \{b, a, y\}, z\} + \{x, y, \{a, b, z\}\},$$

which by definition of triple systems is valid for all  $a, b, x, y, z$  in a JB\*-triple  $E$ . We also recall from [23, Cor. 3] that

$$(2.2) \quad \|\{x, y, z\}\| \leq \|x\|\|y\|\|z\|.$$

It follows from the so-called *Gelfand–Naimark axiom* for JB\*-triples ( $\|\{a, a, a\}\| = \|a\|^3$  for all  $a \in E$ ) that the quadratic operator  $Q(a) : E \rightarrow E$ ,  $x \mapsto \{a, x, a\}$ , has norm  $\|a\|^2$ . We finally recall that  $P_2(e) = Q(e)^2$  for every tripotent  $e \in E$  [11, p. 32].

### 3. Preliminary results

**3.1. Banach spaces.** The following way of constructing asymptotically isometric  $\ell_1$ -copies is reminiscent of a construction of Godefroy ([27, IV.2.5] or [42, Thm. 2]).

LEMMA 3.1. *Let  $X$  be a Banach space, and let  $\mathcal{U}$  be an ultrafilter on an index set  $I$ . We denote  $\tilde{X} = (X)_{\mathcal{U}}$  and write  $\hat{X}$  for the image of  $X$  under the canonical embedding  $\hat{\cdot} : X \hookrightarrow (X)_{\mathcal{U}}$ ,  $\hat{x} = [x]_{\mathcal{U}}$ . Suppose that a bounded*

family  $(x_i)$  in  $X$  is such that  $[x_i]_{\mathcal{U}}$  is non-zero and is  $L$ -orthogonal to  $\widehat{X}$  in the sense that

$$(3.1) \quad \|\widehat{y} + [x_i]_{\mathcal{U}}\| = \|\widehat{y}\| + \|[x_i]_{\mathcal{U}}\|, \quad \forall y \in X.$$

Then there is a sequence  $(x_i)_{i \in \mathbb{N}}$  such that  $(x_{i_k}/\|x_{i_k}\|)$  spans  $\ell_1$  asymptotically.

*Proof.* By hypothesis we have

$$(3.2) \quad \lim_{\mathcal{U}} \|y + \alpha x_i\| = \|\widehat{y}\| + |\alpha| \|[x_i]_{\mathcal{U}}\|, \quad \forall \alpha \in \mathbb{C}, y \in X.$$

Let  $(\delta_n)$  be a sequence of strictly positive numbers converging to 0. Set  $\eta_1 = \frac{1}{3}\delta_1$  and  $\eta_{n+1} = \frac{1}{3} \min(\eta_n, \delta_{n+1})$  for  $n \in \mathbb{N}$ . By induction on  $n \in \mathbb{N}$  we will construct  $i_n \in I$  such that

$$(3.3) \quad \sum_{k=1}^n (1 - \delta_k) |\alpha_k| + \eta_n \sum_{k=1}^n |\alpha_k| \leq \left\| \sum_{k=1}^n \alpha_k \frac{x_{i_k}}{\|x_{i_k}\|} \right\|$$

for all  $n \in \mathbb{N}$  and  $\alpha_k \in \mathbb{C}$ .

Suppose without loss of generality that all  $x_i$  are of norm one. For the first induction step we choose any  $i_1 \in I$ . For the induction step  $n \mapsto n+1$  we suppose that  $x_{i_1}, \dots, x_{i_n}$  are constructed so that (3.3) holds. Fix  $\alpha = (\alpha_k)_{k=1}^{n+1}$  in the unit sphere of  $\ell_1^{n+1}$  such that  $\alpha_{n+1} \neq 0$ . Then (3.2) yields

$$\begin{aligned} \lim_{\mathcal{U}} \left\| \sum_{k=1}^n \alpha_k x_{i_k} + \alpha_{n+1} x_i \right\| &= \left\| \sum_{k=1}^n \alpha_k \widehat{x}_{i_k} \right\| + |\alpha_{n+1}| \|[x_i]_{\mathcal{U}}\| \\ &\stackrel{(3.3)}{\geq} \sum_{k=1}^n (1 - \delta_k) |\alpha_k| + \eta_n \sum_{k=1}^n |\alpha_k| + |\alpha_{n+1}| \\ &= \sum_{k=1}^{n+1} (1 - \delta_k) |\alpha_k| + \eta_n \sum_{k=1}^{n+1} |\alpha_k| - (\eta_n - \delta_{n+1}) |\alpha_{n+1}| \\ &\geq \sum_{k=1}^{n+1} (1 - \delta_k) |\alpha_k| + \min(\eta_n, \delta_{n+1}) > \sum_{k=1}^{n+1} (1 - \delta_k) |\alpha_k| + 2\eta_{n+1}, \end{aligned}$$

because  $\|\alpha\| = 1$  and  $|\alpha_{n+1}| \leq 1$ . Thus, there exists  $U \in \mathcal{U}$  such that

$$\left\| \sum_{k=1}^n \alpha_k x_{i_k} + \alpha_{n+1} x_i \right\| > \sum_{k=1}^{n+1} (1 - \delta_k) |\alpha_k| + 2\eta_{n+1}, \quad \forall i \in U.$$

Choose a finite  $\eta_{n+1}/2$ -net  $(\alpha^l)_{l=1}^{L_{n+1}}$ , with  $\alpha_{n+1}^l \neq 0$  for  $l \leq L$ , in the unit sphere of  $\ell_1^{n+1}$  in the sense that for each  $\alpha$  in that unit sphere there

is  $l \leq L_{n+1}$  such that  $\|\alpha - \alpha^l\| = \sum_{k=1}^{n+1} |\alpha_k - \alpha_k^l| < \eta_{n+1}/2$ . Then we may repeat the reasoning above finitely many times for  $l = 1, \dots, L_{n+1}$  to get  $x_{i_{n+1}}$  such that

$$\left\| \sum_{k=1}^{n+1} \alpha_k^l x_{i_k} \right\| > \sum_{k=1}^{n+1} (1 - \delta_k) |\alpha_k^l| + 2\eta_{n+1}, \quad \forall l \leq L_{n+1}.$$

For each  $\alpha$  in the unit sphere of  $\ell_1^{n+1}$  choose  $l \leq L_{n+1}$  with  $\|\alpha - \alpha^l\| < \eta_{n+1}$ . Then

$$\begin{aligned} \left\| \sum_{k=1}^{n+1} \alpha_k x_{i_k} \right\| &\geq \left\| \sum_{k=1}^{n+1} \alpha_k^l x_{i_k} \right\| - \left\| \sum_{k=1}^{n+1} (\alpha_k - \alpha_k^l) x_{i_k} \right\| \\ &\geq \sum_{k=1}^{n+1} (1 - \delta_k) |\alpha_k^l| + 2\eta_{n+1} - \|\alpha - \alpha^l\| \\ &\geq \sum_{k=1}^{n+1} (1 - \delta_k) |\alpha_k| - \frac{\eta_{n+1}}{2} + 2\eta_{n+1} - \frac{\eta_{n+1}}{2} \\ &= \sum_{k=1}^{n+1} (1 - \delta_k) |\alpha_k| + \eta_{n+1} \sum_{k=1}^{n+1} |\alpha_k|. \end{aligned}$$

This extends to all  $\alpha \in \ell_1^{n+1}$ , and thus ends the induction and the proof. ■

An ultrafilter  $\mathcal{U}$  on a set  $I$  is called *countably incomplete* if it contains a sequence  $(U_n)$  such that  $\bigcap_n U_n = \emptyset$ . Ultrafilters on  $\mathbb{N}$  are countably incomplete. The following lemma is essentially contained in [45, end of the proof of Thm. 4.6]. For the sake of completeness we give a detailed proof.

LEMMA 3.2. *Let  $\mathcal{U}$  be a countably incomplete ultrafilter on a set  $I$ , and let  $X$  be a Banach space. Consider a sequence  $(\tilde{x}^{(n)})$  and an element  $\tilde{x}$  in the ultrapower  $X_{\mathcal{U}}$  such that  $\|\tilde{x}^{(n)} - \tilde{x}\| \rightarrow 0$  and for each  $n \in \mathbb{N}$ ,  $\tilde{x}^{(n)}$  admits a representative  $\tilde{x}^{(n)} = [x_i^{(n)}]_{\mathcal{U}}$  with  $\{x_i^{(n)} : i \in I\}$  relatively weakly compact in  $X$ . Then  $\tilde{x}$  also admits a representative  $\tilde{x} = [x_i]_{\mathcal{U}}$  with  $\{x_i : i \in I\}$  relatively weakly compact in  $X$ .*

*Proof.* We use the notation of the hypothesis and may further assume that  $\|\tilde{x}^{(n)} - \tilde{x}\| < 1/n$ . Let  $\tilde{x} = [x'_i]_{\mathcal{U}}$ . Let  $(U_n)$  in  $\mathcal{U}$  be such that  $\bigcap_n U_n = \emptyset$ . We may further assume that  $U_1 \supset U_2 \supset \dots$  and  $\|x_i^{(n)} - x'_i\| < 1/n$  for all  $i \in U_n$ .

Set  $x_i = 0$  for  $i \notin U_1$  and  $x_i = x_i^{(n_i)}$  for  $i \in U_1$ , where  $n_i$  is defined by  $i \in U_{n_i} \setminus U_{n_i+1}$ . By construction,  $\|x_i - x'_i\| < 1/n$  for  $i \in U_n$ . Hence  $[x_i]_{\mathcal{U}} = [x'_i]_{\mathcal{U}} = \tilde{x}$ .

Fix  $n \geq 1$  and  $i \in U_1$ . If  $n > n_i$  then

$$\min_{j \leq n} \|x_i - x_i^{(j)}\| \leq \|x_i - x_i^{(n_i)}\| = 0,$$

and if  $n \leq n_i$  then

$$\|x_i - x_i^{(n)}\| = \|x_i^{(n_i)} - x_i^{(n)}\| \leq \|x_i^{(n_i)} - x_i'\| + \|x_i' - x_i^{(n)}\| < \frac{1}{n_i} + \frac{1}{n} \leq \frac{2}{n}.$$

From both cases we see that  $\min_{j \leq n} \|x_i - x_i^{(j)}\| < 2/n$  for all  $i \in U_1$  and  $n \geq 1$ . This means that given  $n$  there is a family  $(y_i)$  in the relatively weakly compact union  $\{0\} \cup \bigcup_{j=1}^n \{x_i^{(j)} : i \in I\}$  which is at most  $2/n$  away from  $(x_i)$ .

Now let  $x^{**} \in X^{**}$  be a weak\*-limit of the  $x_i$  along an ultrafilter  $\mathcal{V}$  on  $I$ . Denote by  $\alpha$  the distance from  $x^{**}$  to  $X$  and suppose  $\alpha > 0$ . Take a natural number  $n$  such that  $2/n < \alpha/2$ ,  $\|y_i - x_i\| \leq 2/n$  for every  $i$ , and set  $y = \text{weak-lim}_{\mathcal{V}} y_i$ . Let  $x^* \in B_{X^*}$  be such that  $|(x^{**} - y)(x^*)| > \|x^{**} - y\| - \alpha/2$ . The contradiction

$$\alpha \leq \|x^{**} - y\| < \lim_{\mathcal{V}} |x^*(x_i - y_i)| + \frac{\alpha}{2} \leq \lim_{\mathcal{V}} \|x_i - y_i\| + \frac{\alpha}{2} \leq \frac{\alpha}{2} + \frac{\alpha}{2} < \alpha$$

shows that  $\alpha = 0$ . Hence  $x^{**} \in X$ , and  $\{x_i : i \in I\}$  is relatively weakly compact in  $X$ . ■

**3.2. JBW\*-triples.** Using the first half of [28, Cor. 7.6], Becerra and Martín [6, Prop. 5.5] have shown the stability of the class of JBW\*-triple preduals under ultraproducts. By using also the second half, the following improvement can be obtained.

**THEOREM 3.3.** *Let  $(W_i)_{i \in I}$  be a family of JBW\*-triples,  $\mathcal{U}$  an ultrafilter on  $I$ , and let  $\mathcal{W} = X^*$ , where  $X = ((W_i)_*)_{\mathcal{U}}$ . Then  $\mathcal{W}$  is a JBW\*-triple and the canonical embedding  $\mathcal{J} : (W_i)_{\mathcal{U}} \rightarrow \mathcal{W}$  (defined by  $\mathcal{J}([x_i]_{\mathcal{U}})([\varphi_i]_{\mathcal{U}}) = \lim_{\mathcal{U}} \varphi_i(x_i)$ ) is an isometric triple homomorphism with weak\*-dense image.*

*Proof.* Let  $E = (W_i)_{\mathcal{U}}$ . As a consequence of [28, Cor. 7.6], there are an ultrafilter  $\mathcal{B}$  on an index set  $I'$ , a contractive projection  $P$  on  $(E)_{\mathcal{B}}$ , and a surjective linear isometry  $T : \mathcal{W} \rightarrow V$  where  $V = P((E)_{\mathcal{B}})$ .

Let  $\tilde{E} = (E)_{\mathcal{B}}$  and let  $j_E : E \rightarrow \tilde{E}$  be the canonical embedding of  $E$  into its ultrapower. Still according to [28, Cor. 7.6], the restriction of  $T$  to  $\mathcal{J}(E)$  is  $E$ 's canonical embedding into  $(E)_{\mathcal{B}}$ , that is,  $T(\mathcal{J}(x)) = j_E(x)$  for all  $x \in E$ . In particular,  $P$  acts as the identity on  $j_E(E)$ . By the contractive projection theorem (cf. [50], [35], [11, Thm. 3.3.1]),  $V = P(\tilde{E})$  is a JB\*-triple via  $\{P(a), P(b), P(c)\}_V = P(\{a, b, c\}_{\tilde{E}})$ . Since  $T$  is a surjective linear isometry, the product  $\{a, b, c\}_{\mathcal{W}} = T^{-1}\{T(a), T(b), T(c)\}_V$  defines a JB\*-triple structure on  $\mathcal{W}$ . The mapping  $T : (\mathcal{W}, \{\cdot, \cdot, \cdot\}_{\mathcal{W}}) \rightarrow (V, \{\cdot, \cdot, \cdot\}_V)$  is a triple isomorphism by construction.

Let  $x, y, z \in E$ . Then

$$\begin{aligned}
 \{\mathcal{J}(x), \mathcal{J}(y), \mathcal{J}(z)\}_{\mathcal{W}} &= T^{-1}(\{T\mathcal{J}(x), T\mathcal{J}(y), T\mathcal{J}(z)\}_V) \\
 &= T^{-1}(P\{T\mathcal{J}(x), T\mathcal{J}(y), T\mathcal{J}(z)\}_{\tilde{E}}) \\
 &= T^{-1}(P\{j_E(x), j_E(y), j_E(z)\}_{\tilde{E}}) \\
 &= T^{-1}(P\{[x]_{\mathcal{B}}, [y]_{\mathcal{B}}, [z]_{\mathcal{B}}\}_{\tilde{E}}) \\
 &= T^{-1}(P[\{x, y, z\}_E]_{\mathcal{B}}) = T^{-1}(Pj_E\{x, y, z\}_E) \\
 &= T^{-1}(j_E(\{x, y, z\}_E)) = \mathcal{J}(\{x, y, z\}_E),
 \end{aligned}$$

which shows that  $\mathcal{J}$  preserves the triple product. By [28, Prop. 7.3] (or [49, Sec. 11, Cor. p. 78]), the image of  $\mathcal{J}$  is weak\*-dense in  $\mathcal{W}$ . ■

We isolate here a technical result which will be needed later.

LEMMA 3.4. *Let  $W$  be a  $JBW^*$ -triple, let  $z \in W$ ,  $\phi \in W_*$  and denote by  $s(\phi)$  the support tripotent of  $\phi$ . If  $z \perp s(\phi)$ , then  $\phi\{x, y, z\} = 0$  for all  $x, y \in W$ .*

*Proof.* We write  $s = s(\phi)$  for short. Since  $z \perp s$ , and hence  $z \in W_0(s)$ , it follows from the Peirce rules that  $\{x, y, z\} = a + b$ , where

$$\begin{aligned}
 a &= \{P_1(s)(x), P_0(s)(y), z\} + \{P_2(s)(x), P_1(s)(y), z\} \subseteq W_1(s), \\
 b &= \{P_0(s)(x), P_0(s)(y), z\} + \{P_1(s)(x), P_1(s)(y), z\} \subseteq W_0(s).
 \end{aligned}$$

Therefore,  $\phi\{x, y, z\} = \phi(a + b) = \phi P_2(s(\phi))(a + b) = 0$ . ■

**4. Using the strong\*-topology.** In [4, Prop. 1.2], Barton and Friedman showed that for a  $JBW^*$ -triple  $W$ , the mapping  $x \mapsto \|x\|_{\varphi} := (\varphi\{x, x, s(\varphi)\})^{1/2}$ , where  $s(\varphi)$  is the support tripotent of  $\varphi \in W_*$ , defines a pre-Hilbertian seminorm on  $W$ . Moreover,  $\varphi\{x, x, s(\varphi)\} = \varphi\{x, x, z\}$  whenever  $\varphi(z) = \|\varphi\| = \|z\| = 1$ .

It is known that the identity

$$(4.1) \quad \|x\|_{\varphi}^2 = \|P_1(e)(x)\|_{\varphi}^2 + \|P_2(e)(x)\|_{\varphi}^2$$

holds for all  $x \in W$ ,  $\varphi \in W_*$ , and tripotents  $e$  such that  $\|\varphi\| = 1 = \varphi(e)$ . Indeed, although the proof of (4.1) in [36, Lem. 4.2] does not cover the general case, it is very close. For the general case, let  $x = x_0 + x_1 + x_2$  be the Peirce decomposition associated with  $e$  (i.e.  $x_k = P_k(e)(x)$ ,  $k = 0, 1, 2$ ). By the Peirce rules,

$$\|x\|_{\varphi}^2 = \varphi\{x, x, e\} = \varphi\{x_1, x_1, e\} + \varphi\{x_2, x_2, e\} + \varphi\{x_0, x_1, e\} + \varphi\{x_1, x_2, e\},$$

hence (4.1) because  $|\varphi\{x_0, x_1, e\}| \leq \varphi\{x_0, x_0, e\}\varphi\{x_1, x_1, e\} = 0$  ([4, Prop. 1.2] and  $x_0 \perp e$ ) and  $\varphi\{x_1, x_2, e\} = \varphi\{x_2, x_1, e\} = 0$  (Peirce rules and [4, Prop. 1.2]).

In [5] the strong\*-topology  $s^*(W, W_*)$  on a JBW\*-triple  $W$  is defined as the locally convex topology generated by the family  $\{\|\cdot\|_\varphi : \varphi \in W_*, \|\varphi\| = 1\}$ . On a von Neumann algebra the strong\* topology in the von Neumann sense [48, 1.8.7] and the strong\*-topology in the triple sense coincide [5, pp. 258–259].

The following proposition resembles [21, Cor. 2.6]. It says that a bounded net  $(a_\lambda)$  is strong\*-null if and only if the net  $\{a_\lambda, x, y\}$  is weak\*-null uniformly in  $x, y \in B_W$ .

PROPOSITION 4.1. *Let  $(a_\lambda)$  be a bounded net in a JBW\*-triple  $W$ .*

(a) *The net  $(a_\lambda)$  is strong\*-null if and only if for each  $\varphi \in W_*$ ,*

$$(4.2) \quad \sup\{|\varphi\{a_\lambda, x, y\}| : x, y \in B_W\} \xrightarrow{\lambda} 0.$$

(b) *If  $(a_\lambda)$  is strong\*-null then, for each  $b \in W$  and each  $\varphi \in W_*$ ,*

$$(4.3) \quad \sup\{|\varphi\{b, a_\lambda, y\}| : y \in B_W\} \xrightarrow{\lambda} 0.$$

*Proof.* Without loss of generality, we suppose that  $(a_\lambda) \subset B_W$ . First we notice that the “if” part of (a) follows from

$$\|a_\lambda\|_\varphi^2 = \varphi\{a_\lambda, a_\lambda, s(\varphi)\} \leq \sup\{|\varphi\{a_\lambda, x, y\}| : x, y \in B_W\}.$$

For the “only if” part of (a) and for (b) we first consider the case when  $W$  is a von Neumann algebra considered as a JBW\*-triple via  $\{a, b, c\} = (ab^*c + cb^*a)/2$ . In this case it is enough to consider a positive  $\varphi \in W_*$ . We may assume  $\|\varphi\| = 1$ . By the Cauchy–Schwarz inequality,  $|\varphi(a_\lambda x^* y)|^2 \leq \varphi(a_\lambda a_\lambda^*) \varphi(y^* x x^* y) \leq \varphi(a_\lambda a_\lambda^*)$  and similarly  $|\varphi(y x^* a_\lambda)|^2 \leq \varphi(a_\lambda^* a_\lambda)$ . Thus

$$2|\varphi\{a_\lambda, x, y\}| = |\varphi(a_\lambda x^* y) + \varphi(y x^* a_\lambda)| \leq (\varphi(a_\lambda^* a_\lambda))^{1/2} + (\varphi(a_\lambda a_\lambda^*))^{1/2},$$

and similarly, for  $b \in W$ ,

$$2|\varphi\{b, a_\lambda, y\}| \leq (\varphi_b(a_\lambda^* a_\lambda))^{1/2} + (\varphi_{b^*}(a_\lambda a_\lambda^*))^{1/2}$$

where  $\varphi_b$  and  $\varphi_{b^*}$  are positive normal functionals on  $W$  defined by  $c \mapsto \varphi(bcb^*)$  and  $c \mapsto \varphi(b^*cb)$ , respectively. This shows (4.2) and (4.3) for von Neumann algebras  $W$ .

To pass to general JBW\*-triples  $W$  we first make three observations.

*Observation 1.* The property expressed in the proposition is stable under  $\ell_\infty$  sums. More precisely, let  $(W_j)_{j \in J}$  be a family of JBW\*-triples such that each  $W_j$  satisfies the proposition accordingly. Set  $W = \bigoplus_{j \in J}^{\ell_\infty} W_j$ , which is a JBW\*-triple in a canonical way ([34, p. 523] or [11, Ex. 3.1.4]). Then  $W$  satisfies the proposition, too. Indeed, let  $(a_\lambda)_\lambda = ((a_{\lambda,j})_{j \in J})_\lambda$  be a strong\*-null net in  $B_W$ . Given  $\varphi = (\varphi_j) \in W_* = \bigoplus_{j \in J}^{\ell_1} W_{j,*}$  we have  $\varphi\{a_\lambda, x, y\} = \sum_J \varphi_j\{a_{\lambda,j}, x_j, y_j\}$ . For any  $\varepsilon > 0$  there is a finite subset  $F \subset J$  such that



(by (2.2))

$$\sum_{j \in J \setminus F} |\varphi_j\{a_{\lambda,j}, x_j, y_j\}| \leq \sum_{j \in J \setminus F} \|\varphi_j\| < \varepsilon/2$$

uniformly in  $x = (x_j), y = (y_j) \in B_W$ . Since  $(a_{\lambda,j})_\lambda$  is strong\*-null in  $W_j$  for each  $j$  we have  $\sum_{j \in F} \varphi_j\{a_{\lambda,j}, x_j, y_j\} \xrightarrow{\lambda} 0$  uniformly in  $x_j, y_j \in B_{W_j}$ . This proves (4.2). The argument for (4.3) is similar.

*Observation 2.* By [3, Cor. 9] (see also [23, Cor. 1, 2]), every JBW\*-triple  $W$  can be identified with (i.e. is JBW\*-triple isometrically isomorphic to) a weak\*-closed JB\*-subtriple of a JBW\*-algebra  $M$ . (Recall that a JBW\*-algebra with product  $a \circ b$  is a JBW\*-triple with the triple product

$$(4.4) \quad \{a, b, c\} = (a \circ b^*) \circ c + (c \circ b^*) \circ a - (a \circ c) \circ b^*,$$

cf. [11, Lem. 3.1.6]). In turn, every JBW\*-algebra  $M$  can be (uniquely) decomposed as a direct  $\ell_\infty$ -sum  $M = M_1 \oplus_\infty M_2$  where  $M_1$  is a weak\*-closed subtriple of a von Neumann algebra and  $M_2$  is a purely exceptional JBW\*-algebra (cf. [26, Thm. 7.2.7]). Moreover,  $M_2$  embeds as a JBW\*-subalgebra into an  $\ell_\infty$ -sum of finite-dimensional exceptional JBW\*-algebras ([26, Lem. 7.2.2 and Thm. 7.2.7]).

*Observation 3.* For each JBW\*-subtriple  $F$  of a JBW\*-triple  $W$ , the strong\*-topology of  $F$  coincides with the restriction to  $F$  of the strong\*-topology of  $W$ , that is,  $s^*(F, F_*) = s^*(W, W_*)|_F$  (cf. [8, Cor.]). Hence the property expressed in the proposition passes from JBW\*-triples to weak\*-closed subtriples.

For an arbitrary JBW\*-triple  $W$ , the proposition can now be reduced, via the previous three observations, to the von Neumann case, which has been proved above, and to the fact that finite-dimensional JBW\*-triples satisfy the proposition trivially. ■

Analogously to [43, 45] and to [21], we define uniform integrability in JBW\*-triple preduals:

DEFINITION 4.2. Let  $W$  be a JBW\*-triple. A bounded subset  $K$  of  $W_*$  is said to be *uniformly integrable* if

$$\lim_{n \rightarrow \infty} \sup\{\|\varphi Q(x_n)\| : \varphi \in K\} = 0$$

for each strong\*-null sequence  $(x_n)$  in  $W$ .

This definition turns out to be equivalent to relative weak compactness and is therefore equivalent to the corresponding definitions of [43, Def. 2.2], [45, Def. 4.1] (to be read only for the case  $p = 1$ ) and [21, Def. 2.1]. As in [21], this will be a consequence of some characterizations of relative weak compactness in JBW\*-triple preduals taken from [37].

For the reader’s convenience we first recall some more results concerning the strong\*-topology. Let  $u, v$  be two tripotents in a JBW\*-triple  $W$ . We write  $u \leq v$  if  $v - u \perp u$ , which is equivalent to  $\{v, u, v\} = u$  [11, 1.2.43]. The Peirce space  $W_2(v)$  becomes a unital JB\*-algebra with product  $a \circ b = \{a, v, b\}$  and involution  $a^* = \{v, a, v\}$ ; further, from this product the original triple product can be recovered by (4.4) [11, p. 20]. Now it is not difficult to see that  $u$  is a symmetric projection in  $W_2(v)$ . On a JBW\*-algebra  $M$  a strong\*-topology in the algebraic sense is defined by the family of seminorms of the form  $x \mapsto \|x\|_\phi = \phi\{x, x, 1\}^{1/2} = (\phi(x^* \circ x))^{1/2}$ , where  $\phi \in M_*$  is positive and of norm one [26, 4.1.3]. Rodríguez-Palacios [46, Prop. 3] has shown that this topology coincides with  $s^*(M, M_*)$  when  $M$  is considered as a JBW\*-triple.

Let now  $(q_n)$  be a decreasing weak\*-null sequence of tripotents in  $W$ . Then  $(q_n)$  is a weak\*-null sequence of projections in  $M = W_2(q_1)$ . For any positive  $\phi \in (W_2(q_1))_*$  we have  $\|q_n\|_\phi^2 = \phi(q_n^* \circ q_n) = \phi(q_n) \rightarrow 0$ , which shows that  $(q_n)$  is strong\*-null in the algebraic and in the triple sense in  $W_2(q_1)$ , hence it is also strong\*-null in  $W$  (cf. [8, Cor.]). To sum up, a decreasing weak\*-null sequence of tripotents in  $W$  is also strong\*-null.

Similarly, we can show that a sequence  $(e_n)$  of pairwise orthogonal tripotents in  $W$  is strong\*-null in  $W$ . It is known that  $(e_n)$  is summable with respect to the weak\*-topology of  $W$ . Moreover, the element  $e := \sigma(W, W_*) - \sum_n e_n$  is a tripotent in  $W$  and  $e_n \leq e$  for every  $n \in \mathbb{N}$ , that is, the sequence  $(e_n)$  lies in the JBW\*-algebra  $W_2(e)$  (cf. [30, Cor. 3.13]) and we have  $e_n \circ e_n^* = e_n$  for all  $n$ . Further,  $e_n \rightarrow 0$  with respect to the weak\*-topology (of  $W$  and) of  $W_2(e)$ . As in the preceding paragraph, we deduce from  $\|e_n\|_\phi^2 = \phi(e_n^* \circ e_n) = \phi(e_n) \rightarrow 0$  that  $(e_n)$  is strong\*-null in  $W_2(e)$  and finally in  $W$ .

We can now show the connections between uniform integrability and relative weak compactness. The main aspect of the following proposition is the equivalence of (i) and (ii); other equivalences are standard or, like (vii), at least implicitly known but perhaps not stated in the literature.

PROPOSITION 4.3. *Let  $K$  be a bounded subset in the predual of a JBW\*-triple  $W$ . The following statements are equivalent:*

- (i)  $K$  is relatively weakly compact.
- (ii)  $K$  is uniformly integrable.
- (iii) For each strong\*-null sequence  $(e_n)$  of tripotents we have

$$(4.5) \quad \lim_{n \rightarrow \infty} \sup\{\|\varphi P_2(e_n)\| : \varphi \in K\} = 0.$$

- (iv) For each decreasing strong\*-null sequence  $(e_n)$  of tripotents we have (4.5).

(v) For each sequence  $(e_n)$  of pairwise orthogonal tripotents we have (4.5).

(vi) For each decreasing weak\*-null (equivalently decreasing strong\*-null) sequence  $(e_n)$  of tripotents we have

$$(4.6) \quad \lim_{n \rightarrow \infty} \sup\{|\varphi(e_n)| : \varphi \in K\} = 0.$$

(vii) For each sequence  $(e_n)$  of pairwise orthogonal tripotents we have (4.6).

*Proof.* We use the notation  $\|x\|_{\varphi_1, \varphi_2}^2 = \|x\|_{\varphi_1}^2 + \|x\|_{\varphi_2}^2$ . From [37, Thm. 1.1, Cor. 1.4] we infer that (i) is equivalent to (vi) and also to the following statement.

(1) There exist norm-one elements  $\psi_1, \psi_2 \in W_*$  with the following property: Given  $\varepsilon > 0$ , there exists  $\delta > 0$  such that for every  $x \in W$  with  $\|x\| \leq 1$  and  $\|x\|_{\psi_1, \psi_2} < \delta$ , we have  $|\varphi(x)| < \varepsilon$  for each  $\varphi \in K$ .

We have (vi) $\Rightarrow$ (i) $\Rightarrow$ (1) and show (1) $\Rightarrow$ (ii): Let  $(x_n)$  be strong\*-null in  $W$ , in fact in  $B_W$ , and take  $\psi \in \{\psi_1, \psi_2\}$  where  $\psi_1, \psi_2$  are from (1). Given  $\varepsilon > 0$  choose  $\delta > 0$  according to (1). Let  $y \in B_W$ , and set  $z = Q(x_n)(y)$ . From the Jordan identity (2.1) we get

$$\begin{aligned} \{z, z, s(\psi)\} &= \{\{x_n, y, x_n\}, z, s(\psi)\} \\ &= \{x_n, y, \{x_n, z, s(\psi)\}\} + \{x_n, \{y, x_n, z\}, s(\psi)\} - \{x_n, z, \{x_n, y, s(\psi)\}\}. \end{aligned}$$

Hence

$$\|Q(x_n)(y)\|_{\psi}^2 \leq 3 \sup\{|\psi\{x_n, a, b\}| : a, b \in B_W\} \xrightarrow{n} 0$$

uniformly in  $y \in B_W$  by Proposition 4.1(a). Thus, there is  $n_0$  such that  $\|Q(x_n)(y)\|_{\psi_1, \psi_2} < \delta$  for all  $n \geq n_0$  and all  $y \in B_W$ . Now  $\|\varphi Q(x_n)\| = \sup_{y \in B_W} |\varphi(Q(x_n)(y))| \leq \varepsilon$  by (1), which shows (ii).

The implication (ii) $\Rightarrow$ (iii) follows from  $\|\varphi P_2(e_n)\| = \|\varphi Q(e_n)^2\| \leq \|\varphi Q(e_n)\|$ . The implication (iii) $\Rightarrow$ (iv) is trivial, and so is (iii) $\Rightarrow$ (v) if we take into account that, as seen above, a sequence of pairwise orthogonal tripotents is strong\*-null.

(iv) $\Rightarrow$ (vi): We have commented that a decreasing weak\*-null sequence of tripotents is strong\*-null. Thus the desired implication follows from  $|\varphi(e_n)| = |\varphi(P_2(e_n)(e_n))| \leq \|\varphi P_2(e_n)\|$ .

From the same inequality we also deduce (v) $\Rightarrow$ (vii).

(vii) $\Rightarrow$ (i): In order to show that  $K$  is relatively weakly compact, it is enough to show that the restriction  $K|_{\mathcal{C}}$  is so for each maximal abelian subtriple  $\mathcal{C}$  of  $W$  (cf. [37, Thm. 1.1]). But such  $\mathcal{C}$ 's are isometric to von Neumann algebras (see, for example, [29, Cor. 6.4]), thus the desired implication follows from Akemann's criterion (see, for example, [1], [45, 4.14(ii)]). ■

We will use the following definitions of functionals on  $W$ . For  $a, b, x \in W$  and  $\varphi \in W_*$ , the maps  $\{a, b, \varphi\}$ ,  $\{\varphi, b, a\}$  and  $\{a, \varphi, b\}$  defined by

$$(4.7) \quad \{a, b, \varphi\}(x) = \{\varphi, b, a\}(x) := \varphi\{b, a, x\}$$

and

$$\{a, \varphi, b\}(x) := \overline{\varphi\{a, x, b\}}$$

are well-defined elements of  $W_*$  (by the separate weak\*-continuity of the triple product). Further,  $\{a, b, \varphi\}$  and  $\{\varphi, b, a\}$  are linear in  $b$  and  $\varphi$  and conjugate linear in  $a$ , whereas  $\{a, \varphi, b\}$  is conjugate linear in  $a, b, \varphi$ . Although these properties are more than enough for what we need, it is worth pointing out that (4.7) defines natural actions of  $W$  on  $W_*$  and allows one to consider  $W_*$  as a Banach triple module over  $W$ . The notion of Banach triple module has been introduced in the recent paper [40] by Russo and the first author of this note. A bit more concretely in our context, compare, for example,  $\{\mathcal{W}, \mathcal{W}, \widehat{W}_*\}$  in Proposition 5.3 with  $\mathcal{A}L_1(\mathcal{A}) + L_1(\mathcal{A})\mathcal{A}$  in the proof of [45, Thm. 4.6b].

**COROLLARY 4.4.** *Let  $\phi$  be a normal functional in the predual of a  $JBW^*$ -triple  $W$ . Let  $(a_i)_{i \in I}, (b_i)_{i \in I}$  be two bounded families of elements in  $W$ . Then the set  $\{\{a_i, b_i, \phi\} : i \in I\}$  is relatively weakly compact in  $W_*$ .*

*Proof.* We may assume that  $a_i, b_i \in B_W$  for every  $i \in I$ . Let  $(e_n)$  be a decreasing strong\*-null sequence of tripotents in  $W$ . Proposition 4.1(a) implies that

$$\sup\{|\{a_i, b_i, \phi\}(e_n)| : i \in I\} \leq \sup\{|\phi\{b, a, e_n\}| : a, b \in B_W\} \xrightarrow{n} 0.$$

The desired statement follows from [37, Thm. 1.1, Cor. 1.4] (cited here as (vi) $\Rightarrow$ (i) in Theorem 4.3). ■

**PROPOSITION 4.5.** *Let  $E$  be a weak\*-dense  $JB^*$ -subtriple of a  $JBW^*$ -triple  $W$ . Then for all  $\phi \in W_*$  and  $y, z \in W$ , the functional  $\{z, y, \phi\} \in W_*$  is in the norm-closure of the set  $\{\{a, b, \phi\} : a, b \in \rho B_E\}$ , where  $\rho = \max\{\|y\|, \|z\|\}$ .*

*Proof.* We can assume that  $\max\{\|y\|, \|z\|\} = 1$ . By the Kaplansky density theorem [5, Cor. 3.3] it follows that

$$B_W = \overline{B_E}^{s^*(W, W_*)}.$$

Let  $(y_\lambda)$  and  $(z_\mu)$  be two nets in  $B_E$  converging in the strong\*-topology of  $W$  to  $y$  and  $z$ , respectively. By Proposition 4.1, we have

$$\|\{z_\mu, y - y_\lambda, \phi\}\| \leq \sup\{|\phi\{y - y_\lambda, z, x\}| : z, x \in B_W\} \xrightarrow{\lambda} 0$$

uniformly in  $\mu$ , and

$$\|\{z - z_\mu, y, \phi\}\| \leq \sup\{|\phi\{y, z - z_\mu, x\}| : x \in B_W\} \xrightarrow{\mu} 0.$$

Finally, the identity  $\{z, y, \phi\} - \{z_\mu, y_\lambda, \phi\} = \{z - z_\mu, y, \phi\} + \{z_\mu, y - y_\lambda, \phi\}$  gives the desired statement. ■

**5. Using structural projections.** A linear subspace  $J$  of a JBW\*-triple  $W$  is an *inner ideal* in  $W$  if  $\{J, W, J\} \subseteq J$ . Clearly, inner ideals are subtriples. Edwards and Rüttimann [17, Lem. 2.3] established the following characterization: A weak\*-closed subtriple  $J$  of  $W$  is an inner ideal of  $W$  if and only if

$$(5.1) \quad J = \bigcup_{e \in \text{Trip}(J)} W_2(e)$$

where  $\text{Trip}(J)$  is the set of tripotents contained in  $J$ . Note in passing that in von Neumann algebras (viewed as JBW\*-triples) left and right ideals and sets of the form  $aWb$  ( $a, b, \in W$ ) are inner ideals, whereas weak\*-closed inner ideals are of the form  $pWq$  with projections  $p, q \in W$  [16, Thm. 3.16].

Examples of inner ideals can be given as follows. Let  $M \subset W$ . Then  $M^\perp$ , the (*orthogonal annihilator*) of  $M$ , defined by

$$M^\perp := \{y \in W : y \perp x, \forall x \in M\},$$

is a weak\*-closed (by the separate weak\*-continuity of the triple product) inner ideal of  $W$  (cf. [18, Lem. 3.2]).

A linear projection  $P$  on  $W$  is said to be *structural* when

$$\{P(a), b, P(c)\} = P\{a, P(b), c\}, \quad \forall a, b, c \in W.$$

Such a projection is contractive and weak\*-continuous and its pre-adjoint  $P_* : W_* \rightarrow W_*$  has range

$$P_*(W_*) = P(W)_\# := \{\varphi \in W_* : \|\varphi\| = \|\varphi|_{P(W)}\| \},$$

where, of course,  $\|\varphi|_{P(W)}\| = \sup_{\|P(x)\| \leq 1} |\varphi(P(x))|$  (see [15, Thm. 5.3]). Note in passing that structural projections on a von Neumann algebra  $M$  are of the form  $x \mapsto pxq$  where  $p, q$  are centrally equivalent projections in  $M$  [15, Thm. 6.1].

This circle of ideas culminates in the result of [15, Thm. 5.4], where Edwards, McCrimmon and Rüttimann proved that every weak\*-closed inner ideal  $J$  in a JBW\*-triple  $W$  is the range of a unique structural projection  $P$  on  $W$ . It is also known (cf. [15, Lem. 5.2]) that

$$P_*(W_*) = J_* = \bigcup_{e \in \text{Trip}(J)} W_{*,2}(e).$$

Given a subset  $Z \subset W_*$  we henceforth write

$$Z^\perp := \{\varphi \in W_* : \varphi \perp \phi, \forall \phi \in Z\}.$$

LEMMA 5.1. *Let  $Z$  be a subset in the predual of a JBW\*-triple  $W$ . Let  $S(Z) := \{s(\phi) : \phi \in Z\}$  in  $W$  and write  $J = S(Z)^\perp \subseteq W$ . Suppose  $P : W \rightarrow W$  is the unique structural projection on  $W$  whose image is the weak\*-closed inner ideal  $J$ . Then  $P_*(W_*) = Z^\perp$ .*

*Proof.* Let  $\varphi$  be a functional in  $P_*(W_*) = P(W)_\#$ . Then there exists a tripotent  $e \in P(W) = J$  such that  $\varphi(e) = \|\varphi\|$ , and hence  $\varphi = \varphi P_2(e)$ . It follows that  $s(\varphi) \in W_2(e)$  (cf. [22, proof of Prop. 2]), and thus  $s(\varphi) \in J = S(Z)^\perp$  by (5.1). We deduce that  $s(\varphi) \perp s(\phi)$  for every  $\phi \in Z$ , or equivalently,  $\varphi \in Z^\perp$ . This shows that  $J_* \subseteq Z^\perp$ .

Take now  $\varphi \in Z^\perp$ . In this case,  $s(\varphi) \perp s(\phi)$  for every  $\phi \in Z$ . Therefore,  $s(\varphi) \in S(Z)^\perp = J$ , and hence  $\varphi \in P(W)_\# = P_*(W_*) = J_*$ . ■

We now describe the situation in which the theory above will be used. Let  $W$  be a JBW\*-triple and let  $\mathcal{U}$  be an ultrafilter on a set  $I$ . Henceforth, we write  $\mathcal{W} = ((W_*)_{\mathcal{U}})^*$ ,  $S(\widehat{W}_*) = \{s(\widehat{\phi}) \in \mathcal{W} : \phi \in W_*\}$  and

$$\mathcal{J} = (S(\widehat{W}_*))^\perp = \{y \in \mathcal{W} : y \perp s(\widehat{\phi}), \forall s(\widehat{\phi}) \in S(\widehat{W}_*)\}.$$

Then  $\mathcal{J}$  is a weak\*-closed inner ideal in  $\mathcal{W}$ . Further, we denote by  $\mathcal{P}_{\mathcal{U}} : \mathcal{W} \rightarrow \mathcal{W}$  the unique structural projection on  $\mathcal{W}$  whose image is  $\mathcal{J}$ .

The following corollary is immediate from Lemma 5.1.

COROLLARY 5.2. *In the situation just described, a functional  $\tilde{\varphi} = [\varphi_i]_{\mathcal{U}}$  in  $(W_*)_{\mathcal{U}} = W_*$  is disjoint from  $W_* \equiv \widehat{W}_*$  if and only if  $(\mathcal{P}_{\mathcal{U}})_*(\tilde{\varphi}) = \tilde{\varphi}$ . ■*

PROPOSITION 5.3. *In the situation described before Corollary 5.2 we have*

$$(5.2) \quad \ker((\mathcal{P}_{\mathcal{U}})_*) = \overline{\text{span}}^{\|\cdot\|} \{\mathcal{W}, \mathcal{W}, \widehat{W}_*\} = \overline{\text{span}}^{\|\cdot\|} \{W_{\mathcal{U}}, W_{\mathcal{U}}, \widehat{W}_*\}.$$

*Proof.* Take  $x, y \in \mathcal{W}$ , and  $\widehat{\phi} \in \widehat{W}_*$ . Since each element of  $\mathcal{J}$  is orthogonal to the support tripotent  $s(\widehat{\phi})$  of  $\widehat{\phi}$ , we have  $\widehat{\phi}\{x, y, \mathcal{J}\} = 0$  by Lemma 3.4, that is,  $\{y, x, \widehat{\phi}\}(\mathcal{J}) = 0$ . Equivalently,

$$(\mathcal{P}_{\mathcal{U}})_*\{y, x, \widehat{\phi}\} = \{y, x, \widehat{\phi}\}\mathcal{P}_{\mathcal{U}} = 0.$$

This shows that  $\ker((\mathcal{P}_{\mathcal{U}})_*) \supseteq \overline{\text{span}}^{\|\cdot\|} \{\mathcal{W}, \mathcal{W}, \widehat{W}_*\}$ .

In order to show that equality holds suppose that  $z \in \mathcal{W}$  vanishes on  $\{\mathcal{W}, \mathcal{W}, \widehat{W}_*\}$ . Then  $0 = \{y, x, \widehat{\phi}\}(z) = \widehat{\phi}\{x, y, z\}$  for all  $x, y \in \mathcal{W}$  and all  $\widehat{\phi} \in \widehat{W}_*$ . Taking  $x = s(\widehat{\phi}) \in \mathcal{W}$  and  $y = z$  we get

$$\|z\|_{\widehat{\phi}}^2 = \widehat{\phi}\{z, z, s(\widehat{\phi})\} = 0.$$

By (4.1),

$$\begin{aligned} 0 &= \|z\|_{\widehat{\phi}}^2 = \|P_2(s(\widehat{\phi}))(z)\|_{\widehat{\phi}}^2 + \|P_1(s(\widehat{\phi}))(z)\|_{\widehat{\phi}}^2 \\ &= \widehat{\phi}\{P_2(s(\widehat{\phi}))(z), P_2(s(\widehat{\phi}))(z), s(\widehat{\phi})\} + \widehat{\phi}\{P_1(s(\widehat{\phi}))(z), P_1(s(\widehat{\phi}))(z), s(\widehat{\phi})\}. \end{aligned}$$

By [22, Lem. 1.5] (see also [38]), the triples  $\{P_2(s(\widehat{\phi}))(z), P_2(s(\widehat{\phi}))(z), s(\widehat{\phi})\}$  and  $\{P_1(s(\widehat{\phi}))(z), P_1(s(\widehat{\phi}))(z), s(\widehat{\phi})\}$  are positive elements in the JBW\*-algebra  $\mathcal{W}_2(s(\widehat{\phi}))$ , and it follows from the faithfulness of  $\widehat{\phi}$  on  $\mathcal{W}_2(s(\widehat{\phi}))$  that both are zero. Another application of [22, Lem. 1.5] (see also [38]) shows that  $P_2(s(\widehat{\phi}))(z) = P_1(s(\widehat{\phi}))(z) = 0$ . We have shown that  $z \in \mathcal{W}_0(s(\widehat{\phi}))$ , equivalently,  $z \perp s(\widehat{\phi})$  for every  $\widehat{\phi} \in \widehat{W}_*$ , that is,  $z \in \mathcal{J} = \mathcal{P}_{\mathcal{U}}(\mathcal{W})$ . Hence  $z$  vanishes on the annihilator of  $\mathcal{P}_{\mathcal{U}}(\mathcal{W})$  in  $\mathcal{W}_*$ , that is, on  $\ker((\mathcal{P}_{\mathcal{U}})_*)$ . By the Hahn–Banach theorem we get the first equality of (5.2).

If we keep in mind that  $W_{\mathcal{U}}$  is a weak\*-dense JBW\*-subtriple of  $\mathcal{W}$  (cf. Theorem 3.3), then the second equality of (5.2) is a consequence of Proposition 4.5. ■

The main result of this section shows how, in the case of a countably incomplete ultrafilter  $\mathcal{U}$ , the projection  $(\mathcal{P}_{\mathcal{U}})_*$  determines when an element  $\widetilde{\varphi} \in (W_*)_{\mathcal{U}}$  admits a representative which, as a set, is relatively weakly compact in  $W_*$ .

**THEOREM 5.4.** *Consider the situation described before Corollary 5.2. Suppose the ultrafilter  $\mathcal{U}$  is countably incomplete. Then  $(\mathcal{P}_{\mathcal{U}})_*(\widetilde{\varphi}) = 0$  if and only if we can write  $\widetilde{\varphi} = [\varphi_i]_{\mathcal{U}}$  for some relatively weakly compact set  $\{\varphi_i : i \in I\}$  in  $W_*$ .*

*Proof.* “Only if”: Every  $\widetilde{\varphi} \in \{W_{\mathcal{U}}, W_{\mathcal{U}}, \widehat{W}_*\}$  can be written in the form

$$\widetilde{\varphi} = \{[a_i]_{\mathcal{U}}, [b_i]_{\mathcal{U}}, \widehat{\phi}\} = \{[a_i, b_i, \phi]\}_{\mathcal{U}}$$

where  $[a_i]_{\mathcal{U}}, [b_i]_{\mathcal{U}} \in W_{\mathcal{U}}$  and  $\phi \in W_*$ . Corollary 4.4 proves that the set  $\{[a_i, b_i, \phi] : i \in I\}$  is relatively weakly compact in  $W_*$ . Thus, every  $\widetilde{\varphi} \in \{W_{\mathcal{U}}, W_{\mathcal{U}}, \widehat{W}_*\}$ , and in fact every  $\widetilde{\varphi} \in \overline{\text{span}}\{W_{\mathcal{U}}, W_{\mathcal{U}}, \widehat{W}_*\}$ , admits a representative  $\widetilde{\varphi} = [\varphi_i]_{\mathcal{U}}$  where  $\{\varphi_i : i \in I\}$  is relatively weakly compact in  $W_*$ . By Lemma 3.2, the same statement still holds for all  $\widetilde{\varphi} \in \overline{\text{span}}^{\|\cdot\|}\{W_{\mathcal{U}}, W_{\mathcal{U}}, \widehat{W}_*\}$ , and hence for all  $\widetilde{\varphi} \in \ker((\mathcal{P}_{\mathcal{U}})_*)$  by Proposition 5.3.

“If”: Suppose that  $\widetilde{\varphi} = [\varphi_i]_{\mathcal{U}}$  where  $\{\varphi_i : i \in I\}$  is relatively weakly compact in  $W_*$ . Write

$$\widetilde{\varphi} = \widetilde{\psi} + \widetilde{\xi}$$

where  $\widetilde{\psi}$  is in  $(\mathcal{P}_{\mathcal{U}})_*$ ’s range and  $\widetilde{\xi} \in \ker((\mathcal{P}_{\mathcal{U}})_*)$ . By the “only if” implication, we can find a representative  $\widetilde{\xi} = [\xi_i]_{\mathcal{U}}$  for some relatively weakly compact set  $\{\xi_i : i \in I\}$  in  $W_*$ . If we set  $\psi_i = \varphi_i - \xi_i$ , it follows from the above that the  $\psi_i$ ’s form a relatively weakly compact representative of  $\widetilde{\psi}$ . Since  $\widetilde{\psi}$  is in the image of  $(\mathcal{P}_{\mathcal{U}})_*$ , we infer from Corollary 5.2 that  $\widetilde{\psi}$  is disjoint from  $(\widehat{W}_*)^{\perp}$ , hence  $\|\widetilde{\psi} + \widehat{\phi}\| = \|\widetilde{\psi}\| + \|\widehat{\phi}\|$  for all  $\phi \in W_*$ . If we had  $\widetilde{\psi} = [\psi_i]_{\mathcal{U}} \neq 0$  then by Lemma 3.1 the set  $\{\psi_i : i \in I\}$  would contain an  $\ell_1$ -sequence, which, however, is not possible for a relatively weakly compact set. Hence  $(\mathcal{P}_{\mathcal{U}})_*(\widetilde{\varphi}) = \widetilde{\psi} = 0$ . ■

**6. Main result.** Finally, we are in a position to prove the main result, a generalization of the Kadec–Pełczyński–Rosenthal subsequence splitting lemma to preduals of  $\text{JBW}^*$ -triples. As already mentioned in the introduction,  $\text{JBW}^*$ -triple preduals seem to constitute the largest known class of  $L$ -embedded Banach spaces fulfilling a splitting property for bounded sequences.

**THEOREM 6.1.** *Let  $W$  be a  $\text{JBW}^*$ -triple, and let  $(\varphi_n)$  be a bounded sequence in  $W_*$ . Then there is a subsequence  $(\varphi_{n_k})$  which can be written  $\varphi_{n_k} = \psi_k + \xi_k$  where the  $\psi_k$ 's are pairwise orthogonal and  $(\xi_k)$  converges weakly to some  $\xi \in W_*$ .*

*Proof.* We apply Theorem 5.4 with  $I = \mathbb{N}$  and  $\mathcal{U}$  a free ultrafilter over  $\mathbb{N}$ . Consider  $\tilde{\varphi} = [\varphi_n]_{\mathcal{U}}$  and  $\tilde{\tau} = \tilde{\varphi} - (\mathcal{P}_{\mathcal{U}})_*(\tilde{\varphi})$  in  $(W_*)_{\mathcal{U}}$ . Then  $(\mathcal{P}_{\mathcal{U}})_*(\tilde{\tau}) = 0$ . By Theorem 5.4 we can write  $\tilde{\tau} = [\tau_n]_{\mathcal{U}}$  where the set  $\{\tau_n : n \in \mathbb{N}\}$  is relatively weakly compact in  $W_*$ .

Set  $\omega_n = \varphi_n - \tau_n$  and  $\tilde{\omega} = [\omega_n]_{\mathcal{U}}$ . Then  $\tilde{\omega} = (\mathcal{P}_{\mathcal{U}})_*(\tilde{\varphi})$  and  $\tilde{\omega} \perp \widehat{W}_*$  (cf. Corollary 5.2). If  $\tilde{\omega} = 0$ , then  $\lim_{\mathcal{U}} \|\varphi_n - \tau_n\| = 0$ , and hence

$$\lim_{k \rightarrow \infty} \|\varphi_{n_k} - \tau_{n_k}\| = 0$$

for appropriate subsequences; we can further assume, by the theorem of Eberlein–Šmul'yan, that  $(\tau_{n_k})$  converges weakly to some  $\xi$ . Setting  $\psi_k = 0$  and  $\xi_k = (\varphi_{n_k} - \tau_{n_k}) + \tau_{n_k}$ , we get the conclusion in the case  $\tilde{\omega} = 0$ .

If  $\tilde{\omega} \neq 0$ , then by Lemma 3.1 there is a seminormalized (= bounded and uniformly away from 0) subsequence  $(\omega_{n_l})$  such that  $(\omega_{n_l}/\|\omega_{n_l}\|)$  spans  $\ell_1$  asymptotically, hence almost isometrically. It follows from [39, Thm. 4.1] that there are a further subsequence of  $(\omega_{n_l})$  (which we still denote by  $(\omega_{n_l})$ ) and a sequence  $(\psi'_l)$  of pairwise orthogonal norm-one functionals in  $W_*$  such that  $\|\omega_{n_l}/\|\omega_{n_l}\| - \psi'_l\| \rightarrow 0$ . Moreover, there is a subsequence  $(\tau_{n_{l_k}})$  which converges weakly to some  $\xi$  (Eberlein–Šmul'yan theorem). It remains to set

$$\psi_{l_k} = \|\omega_{n_{l_k}}\| \psi'_{n_{l_k}} \quad \text{and} \quad \xi_{l_k} = \tau_{n_{l_k}} + (\omega_{n_{l_k}} - \psi_{l_k}),$$

and to replace  $l_k$  by  $k$ . ■

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