

On  $L_1$ -subspaces of holomorphic functions

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**Abstract.** We study the spaces

$$H_\mu(\Omega) = \left\{ f : \Omega \rightarrow \mathbb{C} \text{ holomorphic} : \int_0^R \int_0^{2\pi} |f(re^{i\varphi})| d\varphi d\mu(r) < \infty \right\}$$

where  $\Omega$  is a disc with radius  $R$  and  $\mu$  is a given probability measure on  $[0, R[$ . We show that, depending on  $\mu$ ,  $H_\mu(\Omega)$  is either isomorphic to  $l_1$  or to  $(\sum \oplus A_n)_{(1)}$ . Here  $A_n$  is the space of all polynomials of degree  $\leq n$  endowed with the  $L_1$ -norm on the unit sphere.

**1. Introduction.** Let  $R > 0$  and  $\Omega = R \cdot \mathbb{D} = \{z \in \mathbb{C} : |z| < R\}$ , or  $\Omega = \mathbb{C}$  and  $R = \infty$ . We want to study Banach spaces of holomorphic functions endowed with a norm  $\int_\Omega |f(z)| d\nu(z)$  where  $\nu$  is a given bounded positive measure on  $\Omega$ . In the present note we consider the case  $d\nu(re^{i\varphi}) = d\varphi d\mu(r)$  where  $\mu$  is a given bounded positive measure on  $[0, R[$ . We put

$$M_1(f, r) = \frac{1}{2\pi} \int_0^{2\pi} |f(re^{i\varphi})| d\varphi, \quad \|f\|_\mu = \int_0^R M_1(f, r) d\mu(r)$$

and

$$H_\mu = H_\mu(\Omega) = \{f : \Omega \rightarrow \mathbb{C} \text{ holomorphic} : \|f\|_\mu < \infty\}.$$

Recall that  $M_1(f, r)$  is increasing in  $r$  if  $f$  is holomorphic. It is easily seen that  $H_\mu$  is a Banach space. We can assume without loss of generality that

$$(1.1) \quad \mu([r, R]) > 0 \quad \text{for any } r < R.$$

(Otherwise we restrict our functions to  $\rho\mathbb{D}$  where  $\rho = \sup\{\tau : \mu([r, \tau]) > 0 \text{ for all } r < \tau\}$  and put  $R = \rho$ .) Moreover we assume

$$(1.2) \quad \int_0^R r^n d\mu(r) < \infty \quad \text{for any } n \geq 0.$$

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2010 *Mathematics Subject Classification*: Primary 46E15; Secondary 46B03.

*Key words and phrases*: holomorphic functions, entire functions, weighted spaces, isomorphic classification.

Certainly (1.2) is automatically satisfied if  $R < \infty$ . But in the case of entire functions, without (1.2),  $H_\mu$  might be finite-dimensional.

We easily see that the polynomials are dense in  $H_\mu$ . Indeed, fix  $f \in H_\mu$ . Let  $\sigma_n f$  be the  $n$ th Cesàro mean of  $f$  (see Section 4 below for definition). Then  $\sigma_n f \rightarrow f$  pointwise as  $n \rightarrow \infty$ , and  $M_1(\sigma_n f, r) \leq M_1(f, r)$  for all  $n$ . Moreover  $\sigma_n f$  is a polynomial. The dominated convergence theorem implies  $\lim_{n \rightarrow \infty} \|\sigma_n f - f\|_\mu = 0$ .

EXAMPLES. (i)  $\Omega = \mathbb{D}$ :  $d\mu_1 = r dr$ .  $H_{\mu_1}$  is the classical Djrbashian or Bergman space ([2, 3]). It is known to be isomorphic to  $l_1$  ([10, 5]). Even if we consider  $d\mu_2 = (1 - r)^\alpha r^\beta dr$  for some  $\alpha \geq 0$  and  $\beta \geq 0$  we have  $H_{\mu_2} \sim l_1$  (see e.g. [11]; “ $\sim$ ” means “is isomorphic to”). Furthermore consider

$$d\mu_3 = \frac{dr}{(1 - r) \log^\gamma(e/(1 - r))} \quad \text{for some } \gamma > 1, \quad d\mu_4 = \sum_{k=1}^\infty \frac{1}{k(k + 1)} \delta_{1-2^{-k}}$$

( $\delta_a$  is the Dirac measure at  $a$ ). It was shown in [7] that in both cases  $H_\mu$  is not isomorphic to  $l_1$ .

(ii)  $\Omega = \mathbb{C}$ : Consider  $d\mu_5 = e^{-r} dr$  and  $d\mu_6 = e^{-\log^2 r} dr$ .  $\mu_5$  was investigated e.g. in [4]. There it was shown that  $H_{\mu_5} \sim l_1$  (see Section 2).

We want to give a complete isomorphic classification of the Banach spaces  $H_\mu(\Omega)$ . To this end let  $A_n$  be the space of all polynomials of degree  $\leq n$  endowed with the norm  $M_1(\cdot, 1)$ .

**1.1. THEOREM.** *Each  $H_\mu$  is isomorphic to either  $l_1$  or  $(\sum_{n=1}^\infty \oplus A_n)_{(1)}$ .*

Theorem 1.1 is an extension of [8] where a similar result was shown only for measures on  $[0, 1[$  under additional rather restrictive assumptions on  $\mu$  excluding many examples. To decide to which isomorphism class a given space  $H_\mu$  belongs we focus on purely non-atomic measures  $\mu$ . This is no restriction since we have

**1.2. PROPOSITION.** *Let  $\mu$  be any probability measure on  $[0, R[$  and  $\epsilon > 0$ . Then there is a purely non-atomic bounded measure  $\mu_0$  on  $[0, R[$  such that  $H_\mu = H_{\mu_0}$  and*

$$(1 - \epsilon)\|f\|_\mu \leq \|f\|_{\mu_0} \leq \|f\|_\mu, \quad f \in H_\mu.$$

Let us assume now that  $\mu$  is purely non-atomic. Fix  $b \geq 5$ . Then we use induction to define  $0 \leq m_1 < m_2 < \dots$  and  $0 \leq s_1 < s_1 < \dots < R$  as follows. Put  $m_1 = 0$ . If we already have  $m_n$ , consider  $s_n$  with

$$(1.3) \quad \int_0^{s_n} r^{m_n} d\mu = b \int_{s_n}^R r^{m_n} d\mu.$$

Then find  $m_{n+1} > m_n$  with

$$(1.4) \quad \int_0^{s_n} r^{m_{n+1}} d\mu = \int_{s_n}^R r^{m_{n+1}} d\mu.$$

It is easily seen that  $\lim_{n \rightarrow \infty} s_n = R$  and  $\lim_{n \rightarrow \infty} m_n = \infty$ . We have

**1.3. THEOREM.** *There are  $c_1 > 0$ ,  $c_2 > 0$  and  $t_{n,k} \geq 0$  such that*

$$c_1 \|f\|_\mu \leq \sum_{n=1}^\infty M_1(T_n f, s_n) \left( \int_0^{s_n} \left(\frac{r}{s_n}\right)^{m_{n-1}} d\mu + \int_{s_n}^R \left(\frac{r}{s_n}\right)^{m_{n+1}} d\mu \right) \leq c_2 \|f\|_\mu$$

for all  $f \in H_\mu$  where  $T_n(\sum_{k=0}^\infty \alpha_k z^k) = \sum_{m_{n-1} \leq k < m_{n+1}} \alpha_k t_{n,k} z^k$ .

Moreover:

**1.4. THEOREM.**  $H_\mu \sim l_1$  if and only if there are  $\alpha, \beta, \gamma > 0$  such that, for each  $n$ ,

$$(1.5) \quad \alpha \leq \frac{m_{n+1} - m_n}{m_n - m_{n-1}} \leq \beta \quad \text{or} \quad m_{n+1} - m_{n-1} \leq \gamma.$$

The paper is organized as follows. In Section 2 we discuss the two examples on  $\mathbb{C}$  that we already mentioned, and compute explicitly the indices  $m_n$ . In Section 3 we prove Proposition 1.2 while in Section 4 we collect a few technical lemmas. Then we prove Theorem 1.3 in Section 5. Section 6 is dedicated to the proofs of Theorems 1.1, 1.4 and 1.5 (below).

Our results have many similarities with the isomorphic classification of weighted sup-norm spaces of holomorphic functions ([9]). However, they cannot be inferred directly from those results via duality. This follows e.g. from [11, Theorem 2] which states that, if  $H_\mu$  with a “weighting” measure  $\mu$  is the dual of a weighted sup-norm space, then  $H_\mu$  is complemented in an  $L_1$ -space.

Finally, we note that the isomorphic classification for the spaces

$$H_{p,\mu} = \left\{ f : \Omega \rightarrow \mathbb{C} \text{ holomorphic} : \int_0^R \int_0^{2\pi} |f(re^{i\varphi})|^p d\varphi d\mu(r) < \infty \right\}$$

is much easier if  $1 < p < \infty$ .

**1.5. THEOREM.** *If  $1 < p < \infty$  then  $H_{p,\mu}$  is always isomorphic to  $l_p$ .*

For the proof see end of Section 6.

**2. Two examples.** Here we construct explicitly the indices  $m_n$  mentioned in Theorem 1.3 and 1.4 for two examples.

(a) Put  $d\mu(r) = \exp(-\log^2 r) dr$ . Then, using the substitution

$$r = \exp(s/\sqrt{2} + (m + 1)/2),$$

for any  $x \geq 0$  and  $m \geq 0$  we obtain

$$\int_0^x r^m e^{-\log^2 r} dr = \frac{e^{(m+1)^2/4}}{\sqrt{2}} \int_{-\infty}^{(\log x - (m+1)/2)\sqrt{2}} e^{-s^2/2} ds.$$

In particular,  $\int_0^\infty r^m \exp(-\log^2 r) dr = \sqrt{\pi} \exp((m+1)^2/4)$ . Using the tables of the normal distribution ([1]) we get, for fixed  $m_n$  and  $s_n = \exp(1.3/\sqrt{2} + (m_n + 1)/2)$ ,

$$\int_0^{s_n} r^{m_n} e^{-\log^2 r} dr = c\sqrt{\pi} e^{(m_n+1)^2/4} \quad \text{where } c \geq 0.9.$$

Hence

$$\int_0^{s_n} r^{m_n} e^{-\log^2 r} dr = b \int_{s_n}^\infty r^{m_n} e^{-\log^2 r} dr \quad \text{where } b = \frac{c}{1-c}, \text{ i.e. } b \geq 9.$$

Now if

$$(2.1) \quad m_{n+1} = m_n + \sqrt{2} \cdot 1.3$$

we have  $\exp(1.3/\sqrt{2} + (m_n + 1)/2) = \exp((m_{n+1} + 1)/2)$ . Hence

$$\begin{aligned} \int_0^{s_n} r^{m_{n+1}} e^{-\log^2 r} dr &= \frac{e^{(m_{n+1}+1)^2/4}}{\sqrt{2}} \int_{-\infty}^0 e^{-s^2/2} ds \\ &= \frac{\sqrt{\pi}}{2} e^{(m_{n+1}+1)^2/4} = \int_{s_n}^\infty r^{m_{n+1}} e^{-\log^2 r} dr. \end{aligned}$$

Now (2.1) tells us that the assumptions of Theorem 1.4 are satisfied. Hence  $H_\mu \sim l_1$ . Moreover, since  $\sup_n(m_{n+1} - m_n) < \infty$  the ‘‘summands’’ in the equivalent norm in Theorem 1.3 have uniformly bounded length. This cannot happen for any measure on  $[0, R[$  if  $R < \infty$  (see Proposition 2.1).

(b) We next consider the measure  $d\mu(r) = \exp(-r)dr$  on  $[0, \infty[$ . Here

$$\int_0^\infty r^m \exp(-r) dr = \Gamma(m + 1)$$

is the gamma function. Using the substitution  $t = 2r$  we obtain, for any  $x > 0$ ,  $\int_0^x r^m \exp(-r) dr = 2^{-m-1} \int_0^{2x} t^m \exp(-t/2) dt$ , which is the distribution function (up to the factor  $\Gamma(m+1)^{-1}$ ) of a  $\chi^2$ -distribution. A well-known limit theorem ([1, 26.4.11]) tells us that

$$\lim_{m \rightarrow \infty} \left( \frac{1}{2^{m+1}\Gamma(m+1)} \int_0^{2x} t^m e^{-t/2} dt \right) \left( \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{(x-m-1)/\sqrt{m+1}} e^{-t^2/2} dt \right)^{-1} = 1.$$

So, if  $s_n = 1.3\sqrt{m_n + 1} + m_n + 1$  we have  $(s_n - m_n - 1)/\sqrt{m_n + 1} = 1.3$  and  $\int_0^{s_n} r^{m_n} \exp(-r) dr \sim c\Gamma(m_n + 1)$  where  $c \geq 0.9$ . Hence  $\int_0^{s_n} r^{m_n} \exp(-r) dr \sim b \int_{s_n}^\infty r^{m_n} \exp(-r) dr$  where  $b \geq 9$  if  $n$  is large enough. If we put

$$(2.2) \quad m_{n+1} = m_n + 1.3\sqrt{m_n + 1}$$

then

$$\int_0^{s_n} r^{m_{n+1}} e^{-r} dr \sim \frac{\Gamma(m_{n+1} + 1)}{\sqrt{2\pi}} \int_{-\infty}^0 e^{-t^2/2} dt = \frac{\Gamma(m_{n+1} + 1)}{2}.$$

Thus  $\int_0^{s_n} r^{m_{n+1}} \exp(-r) dr \sim \int_{s_n}^{\infty} r^{m_{n+1}} \exp(-r) dr$ . Using this and (2.2), Theorem 1.4 again shows that  $H_\mu \sim l_1$ .

Next we prove that for  $R < \infty$  the length of the summands in Theorem 1.3 necessarily tends to  $\infty$ .

**2.1. PROPOSITION.** *Let  $\mu$  be a purely non-atomic probability measure on  $[0, R[$  where  $R < \infty$ . Fix  $b > 1$  and, for any  $m > 0$ , pick  $t_m$  with  $\int_0^{t_m} r^m d\mu = b \int_{t_m}^R r^m d\mu$ .*

(a) *For any  $a$  with  $0 < a < R$  we have*

$$\lim_{m \rightarrow \infty} \frac{\int_0^{t_m} r^m d\mu}{\int_a^{t_m} r^m d\mu} = 1.$$

(b) *If  $n = n(m)$  is such that  $\int_0^{t_m} r^n d\mu = \int_{t_m}^R r^n d\mu$  then  $\lim_{m \rightarrow \infty} (n(m) - m) = \infty$ .*

*Proof.* (a) First we observe

$$\frac{\int_0^{t_m} r^m d\mu}{\int_a^{t_m} r^m d\mu} = \frac{\int_0^a (r/a)^m d\mu + \int_a^{t_m} (r/a)^m d\mu}{\int_a^{t_m} (r/a)^m d\mu}.$$

Clearly,  $\lim_{m \rightarrow \infty} \int_0^a (r/a)^m d\mu = 0$  and  $\lim_{m \rightarrow \infty} \int_a^{t_m} (r/a)^m d\mu = \infty$  since  $\lim_{m \rightarrow \infty} t_m = R$ . This proves (a).

(b) Assume that there are a constant  $c > 0$  and, for all  $k$ , numbers  $m_k > k$  with  $n(m_k) - m_k \leq c$ . Fix  $a < R$  and  $\epsilon > 0$  such that  $(b - \epsilon)(a/R)^c > 1$ . Let  $k$  be large enough and pick  $t = t_{m_k}$ ,  $n = n(m_k)$  such that  $\int_a^t r^{m_k} d\mu \geq (b - \epsilon) \int_t^R r^{m_k} d\mu$  and  $\int_a^t r^n d\mu \neq 0$ . This is possible in view of (a). Since  $n > m_k$  we obtain

$$\begin{aligned} \int_a^t \left(\frac{r}{R}\right)^n d\mu &\geq \left(\frac{a}{R}\right)^c \int_a^t \left(\frac{r}{R}\right)^{m_k} d\mu \geq \left(\frac{a}{R}\right)^c (b - \epsilon) \int_t^R \left(\frac{r}{R}\right)^{m_k} d\mu \\ &\geq \left(\frac{a}{R}\right)^c (b - \epsilon) \int_t^R \left(\frac{r}{R}\right)^n d\mu \\ &\geq \left(\frac{a}{R}\right)^c (b - \epsilon) \int_0^t \left(\frac{r}{R}\right)^n d\mu \geq \left(\frac{a}{R}\right)^c (b - \epsilon) \int_a^t \left(\frac{r}{R}\right)^n d\mu. \end{aligned}$$

This is a contradiction since  $(a/R)^c(b - \epsilon) > 1$ . ■

**3. Approximation by purely non-atomic measures.** First we show

**3.1. LEMMA.** *Let  $0 < r < s$  and  $0 < m < n$ .*

- (a) *If  $f(z) = \sum_{m < k \leq n, k \in \mathbb{Z}} \alpha_k z^k$  then  $M_1(f, r) \leq (r/s)^m M_1(f, s)$ .*
- (b) *If  $g(z) = \sum_{0 \leq k \leq n, k \in \mathbb{Z}} \alpha_k z^k$  then  $M_1(g, s) \leq (s/r)^n M_1(g, r)$ .*

*Proof.* (a) Put  $h(z) = \sum_{0 \leq k \leq n - [m] - 1, k \in \mathbb{Z}} \alpha_{k+[m]+1} z^k$  where  $[m]$  is the largest integer  $\leq m$ . Then  $f(z) = z^{[m]+1} h(z)$  and

$$M_1(f, r) = r^{[m]+1} M_1(h, r) \leq r^{[m]+1} M_1(h, s) = (r/s)^{[m]+1} M_1(f, s) \leq (r/s)^m M_1(f, s).$$

- (b) Put  $h_1(z) = g(1/z)$  and  $h_2(z) = z^{[n]} g(1/z)$ . Then

$$\begin{aligned} M_1(g, s) &= M_1(h_1, 1/s) = s^{[n]} M_1(h_2, 1/s) \\ &\leq s^{[n]} M_1(h_2, 1/r) = (s/r)^{[n]} M_1(h_1, 1/r) \\ &= (s/r)^{[n]} M_1(g, r) \leq (s/r)^n M_1(g, r). \quad \blacksquare \end{aligned}$$

**3.2. LEMMA.** *Let  $f(z) = \sum_{k=0}^\infty \alpha_k z^k \in H_\mu$ .*

- (a)  $|\alpha_k| s^k \mu([s, R]) \leq \|f\|_\mu$  for any  $k$  and  $s \in [0, R[$ .
- (b) For any  $r_0 \in [0, R[$ ,  $n_0 > 0$  and  $\epsilon > 0$  there is  $n \geq n_0$  (independent of  $f$ ) such that  $M_1(f - f_n, r) \leq \epsilon \|f\|_\mu$  if  $r \leq r_0$  where  $f_n(z) = \sum_{k=0}^n \alpha_k z^k$ .
- (c) For any  $r_0 \in ]0, R[$  and any  $\epsilon > 0$  there is  $r_1 < r_0$ , independent of  $f$ , such that  $r_0 - r_1 < \epsilon$  and

$$(1 - \epsilon) M_1(f, r_0) - \epsilon \|f\|_\mu \leq \frac{1}{r_0 - r_1} \int_{r_1}^{r_0} M_1(f, r) dr \leq M_1(f, r_0).$$

*Proof.* (a) Clearly we have  $|\alpha_k| s^k \leq M_1(f, s)$ . Hence

$$|\alpha_k| s^k \mu([s, R]) \leq \int_s^R M_1(f, r) d\mu \leq \|f\|_\mu.$$

- (b) Fix  $s$  with  $r_0 < s < R$ . Let  $n > n_0$  be such that

$$\left(\frac{r_0}{s}\right)^{n+1} \frac{s}{s - r_0} \leq \epsilon \mu([s, R]).$$

Then, for any  $r \leq r_0$ ,

$$\begin{aligned} M_1(f - f_n, r) &\leq M_1(f - f_n, r_0) \leq \sum_{k=n+1}^\infty |\alpha_k| s^k \left(\frac{r_0}{s}\right)^k \\ &\leq \left(\frac{r_0}{s}\right)^{n+1} \left(\frac{s}{s - r_0}\right) \frac{\|f\|_\mu}{\mu([s, R])} \leq \epsilon \|f\|_\mu. \end{aligned}$$

(c) The second inequality is trivial. To prove the first inequality we use (b) to obtain, for any  $\delta > 0$ , some  $n$  with

$$M_1(f_n, r) - \delta \|f\|_\mu \leq M_1(f, r) \leq M_1(f_n, r) + \delta \|f\|_\mu \quad \text{if } r \leq r_0.$$

Hence, if  $r_1 < r_0$  then, by Lemma 3.1(b),

$$\begin{aligned} \frac{1}{r_0 - r_1} \int_{r_1}^{r_0} M_1(f, r) dr &\geq \frac{1}{r_0 - r_1} \int_{r_1}^{r_0} M_1(f_n, r) dr - \delta \|f\|_\mu \\ &\geq \frac{M_1(f_n, r_0)}{r_0 - r_1} \int_{r_1}^{r_0} \left(\frac{r}{r_0}\right)^n dr - \delta \|f\|_\mu \\ &\geq \left(\frac{r_1}{r_0}\right)^n M_1(f_n, r_0) - \delta \|f\|_\mu \\ &\geq \left(\frac{r_1}{r_0}\right)^n M_1(f, r_0) - \left(1 + \left(\frac{r_1}{r_0}\right)^n\right) \delta \|f\|_\mu. \end{aligned}$$

Now put  $\delta = \epsilon/2$  and take  $r_1$  so close to  $r_0$  that  $(r_1/r_0)^n \geq 1 - \epsilon$ . ■

**3.3. Proof of Proposition 1.2.** Split  $\mu$  into  $\mu = \nu + \mu_1$  where  $\nu$  is purely non-atomic and  $\mu_1 = \sum_k \alpha_k \delta_{s_k}$  for some positive  $\alpha_k$  with  $\sum_k \alpha_k \leq 1$  and some  $s_k$  with  $0 \leq s_k < R$ . Fix  $\epsilon > 0$  and let  $0 < \epsilon' < \epsilon$  be such that  $1 - 2\epsilon' \geq 1 - \epsilon$ . Find  $r_k < s_k$  with

$$(1 - \epsilon')M_1(f, s_k) - \epsilon' \|f\|_\mu \leq \frac{1}{s_k - r_k} \int_{r_k}^{s_k} M_1(f, r) dr \leq M_1(f, s_k),$$

which is possible according to Lemma 3.2. Put

$$d\mu_0 = d\nu + \sum_k \frac{\alpha_k}{s_k - r_k} 1_{[r_k, s_k]} dr.$$

Then we obtain  $(1 - 2\epsilon')\|f\|_\mu \leq \|f\|_{\mu_0} \leq \|f\|_\mu$  for all  $f \in H_\mu$ . This implies Proposition 1.2. ■

**4. Classical convolution operators.** For a harmonic function  $f : \Omega \rightarrow \mathbb{C}$  with  $f(re^{i\varphi}) = \sum_{k \in \mathbb{Z}} \alpha_k r^{|k|} e^{ik\varphi}$  and  $n > m > 0$  let

$$(4.1) \quad (\sigma_n f)(re^{i\varphi}) = \sum_{|k| < n, k \in \mathbb{Z}} \frac{[n] - |k|}{[n]} \alpha_k r^{|k|} e^{ik\varphi}$$

and

$$V_{n,m} f = \frac{[n]\sigma_n f - [m]\sigma_m f}{[n] - [m]} \quad \text{if } [m] < [n].$$

Hence

$$(4.2) \quad (V_{n,m}f)(re^{i\varphi}) = \sum_{|k| \leq m, k \in \mathbb{Z}} \alpha_k r^{|k|} e^{ik\varphi} + \sum_{m < |k| < n, k \in \mathbb{Z}} \frac{[n] - |k|}{[n] - [m]} \alpha_k r^{|k|} e^{ik\varphi}.$$

(4.2) also makes sense if  $[m] = [n]$ . Then  $V_{n,m}$  is a Dirichlet projection. Finally put  $(Rf)(z) = \sum_{0 \leq k} \alpha_k z^k$ .

In the following lemma fix  $r > 0$  and let  $\|T\|$  be the norm of a bounded operator on the space of all harmonic functions  $f$  with  $M_1(f, r) < \infty$  (endowed with the norm  $M_1(\cdot, r)$ ).

**4.1. LEMMA.** *We have*

- (a)  $\|V_{n,m}\| \leq \frac{[n] + [m]}{[n] - [m]}$ .
- (b)  $M_1(Rh, r) \leq \left(1 + \frac{[n] - [m]}{[m]}\right) M_1(h, r)$  for any  $r > 0$  and  $h \in \text{span}\{r^{|k|} \exp(ik\varphi) : k \in \mathbb{Z}, m < |k| \leq n\}$ .
- (c)  $\|V_{n_4, n_3} - V_{n_2, n_1}\| \leq 4 \left(\frac{[n_4] - [n_1]}{[n_2] - [n_1]}\right) \left(3 + 4 \frac{[n_4] - [n_1]}{[n_4] - [n_3]}\right)$  if  $0 < n_1 < n_2 < n_3 < n_4$ .
- (d)  $\|V_{n_4, n_3} - V_{n_2, n_1}\| \leq 2([n_4] - [n_1])$  and  $\|R(V_{n_4, n_3} - V_{n_2, n_1})\| \leq [n_4] - [n_1]$  for any  $n_k, k = 1, \dots, 4$ , with  $0 < n_1 < n_2 < n_3 < n_4$ .

The proof is literally the same as the proof of [9, 3.3. Lemma].

In the following lemma we restrict the preceding operators to holomorphic functions.

**4.2. LEMMA.** *Fix  $b > 0, c > 1/b$  and  $0 < m < n, 0 < s < R$  such that*

$$(4.3) \quad \int_0^s r^m d\mu \geq b \int_s^R r^m d\mu \quad \text{and} \quad \int_s^R r^n d\mu \geq c \int_0^s r^n d\mu$$

(a) *Consider  $f(z) = \sum_{0 \leq k \leq m, k \in \mathbb{Z}} \alpha_k z^k$  and  $g(z) = \sum_{k \geq n, k \in \mathbb{Z}} \alpha_k z^k$ . Then*

$$\|f\|_\mu \leq \frac{b + 1}{bc_1 - c_2} \|f + g\|_\mu \quad \text{with} \quad c_1 = \min(c, 1), \quad c_2 = \min(1/c, 1).$$

(b) *We have*

$$\|V_{n,m}h\|_\mu \leq \left(181 \frac{b + 1}{bc_1 - c_2} + 88\right) \|h\|_\mu \quad \text{for all } h \in H_\mu.$$

*Proof.* (a) For  $s \leq r$  we have  $M_1(f, r) \leq (r/s)^m M_1(f, s)$  according to Lemma 3.1. Then (4.3) implies



$$\begin{aligned} \int_s^R M_1(f, r) d\mu &\leq M_1(f, s) \int_s^R \left(\frac{r}{s}\right)^m d\mu \leq \frac{1}{b} M_1(f, s) \int_0^s \left(\frac{r}{s}\right)^m d\mu \\ &\leq \frac{1}{b} \int_0^s M_1(f, r) \left(\frac{s}{r}\right)^m \left(\frac{r}{s}\right)^m d\mu = \frac{1}{b} \int_0^s M_1(f, r) d\mu. \end{aligned}$$

Hence  $\int_0^R M_1(f, r) d\mu \leq (1 + 1/b) \int_0^s M_1(f, r) d\mu$ . Similarly we obtain

$$\begin{aligned} c \int_0^s M_1(g, r) d\mu &\leq cM_1(g, s) \int_0^s \left(\frac{r}{s}\right)^n d\mu \leq M_1(g, s) \int_s^R \left(\frac{r}{s}\right)^n d\mu \\ &\leq \int_s^R M_1(g, r) \left(\frac{s}{r}\right)^n \left(\frac{r}{s}\right)^n d\mu = \int_s^R M_1(g, r) d\mu. \end{aligned}$$

This implies

$$\begin{aligned} \int_0^R M_1(f + g, r) d\mu &\geq c_1 \int_0^s M_1(f + g, r) d\mu + c_2 \int_s^R M_1(f + g, r) d\mu \\ &\geq c_1 \int_0^s M_1(f, r) d\mu - c_1 \int_0^s M_1(g, r) d\mu + c_2 \int_s^R M_1(g, r) d\mu - c_2 \int_s^R M_1(f, r) d\mu \\ &\geq \left(c_1 - \frac{c_2}{b}\right) \int_0^s M_1(f, r) d\mu \geq \frac{bc_1 - c_2}{b + 1} \int_0^R M_1(f, r) d\mu. \end{aligned}$$

This proves (a).

(b) If  $[n] \geq 2[m]$  then  $\|V_{n,m}\| \leq ([n] + [m])([n] - [m])^{-1} \leq 3$  in view of Lemma 4.1.

Now let  $[n] < 2[m]$ , i.e.  $2[m] - [n] > 0$ . Put

$$h(z) = \sum_{k=0}^{\infty} \alpha_k z^k, \quad \tilde{f}(z) = \sum_{k \leq m} \alpha_k z^k, \quad \tilde{g}(z) = \sum_{k \geq n} \alpha_k z^k.$$

Moreover, let  $T = V_{2n-m,n} - V_{m,2m-n}$  and  $S = V_{n,m}T$ . In view of (4.2) this means  $S = V_{n,m} - V_{m,2m-n}$ . Lemma 4.1 implies  $\|T\| \leq 180$  and  $\|S\| \leq 88$ . Finally, put  $f = (\text{id} - T)\tilde{f}$  and  $g = (\text{id} - T)\tilde{g}$ . Then we obtain  $h = f + g + Th$ ,  $V_{n,m}f = f$  and  $V_{n,m}g = 0$ . Now (a) yields

$$\begin{aligned} \|V_{n,m}h\|_{\mu} &= \|f + Sh\|_{\mu} \leq \|f\|_{\mu} + \|Sh\|_{\mu} \\ &\leq \frac{b + 1}{bc_1 - c_2} \|f + g\|_{\mu} + \|Sh\|_{\mu} \\ &\leq \frac{b + 1}{bc_1 - c_2} \|f + g + Th\|_{\mu} + \|Sh\|_{\mu} + \frac{b + 1}{bc_1 - c_2} \|Th\|_{\mu} \\ &\leq \left(181 \frac{b + 1}{bc_1 - c_2} + 88\right) \|h\|_{\mu}. \quad \blacksquare \end{aligned}$$

**4.3. LEMMA.** *Let  $0 \leq m < n < p$  and  $f(z) = \sum_{m \leq k \leq p, k \in \mathbb{Z}} \alpha_k z^k$ . Then*

$$M_1(V_{p,n}f, r) \leq 2M_1(f, r) \quad \text{and} \quad M_1(V_{n,m}f, r) \leq M_1(f, r)$$

for any  $r > 0$ .

*Proof.* Let  $(U_j f)(re^{i\varphi}) = e^{ij\varphi} f(re^{i\varphi})$ . Then we have

$$V_{n,m}f = U_{[m]}\sigma_{[n]-[m]}U_{-[m]}f \quad \text{and} \quad V_{p,n}f = U_{[p]}(id - \sigma_{[p]-[n]})U_{-[p]}f.$$

This implies Lemma 4.3 since the Cesàro means as well as the operators  $U_j$  are all contractive. ■

**5. Proof of Theorem 1.3.** We need a few lemmas.

**5.1. LEMMA.** *Let  $0 \leq m \leq n$  and  $s \in [0, R[$ . Assume there is  $c > 0$  with*

$$\int_0^s r^m d\mu \leq c \int_s^R r^m d\mu \quad \text{and} \quad \int_s^R r^n d\mu \leq c \int_0^s r^n d\mu.$$

Then, for any  $f(z) = \sum_{m \leq k \leq n, k \in \mathbb{Z}} \alpha_k z^k$ , we have

$$\|f\|_\mu \leq \left( \int_0^s \left(\frac{r}{s}\right)^m d\mu + \int_s^R \left(\frac{r}{s}\right)^n d\mu \right) M_1(f, s) \leq c\|f\|_\mu.$$

*Proof.* Using Lemma 3.1 we get

$$\begin{aligned} \int_0^R M_1(f, r) d\mu &\leq M_1(f, s) \left( \int_0^s \left(\frac{r}{s}\right)^m d\mu + \int_s^R \left(\frac{r}{s}\right)^n d\mu \right) \\ &\leq cM_1(f, s) \left( \int_s^R \left(\frac{r}{s}\right)^m d\mu + \int_0^s \left(\frac{r}{s}\right)^n d\mu \right) \\ &\leq c \int_s^R M_1(f, r) \left(\frac{s}{r}\right)^m \left(\frac{r}{s}\right)^m d\mu + c \int_0^s M_1(f, r) \left(\frac{s}{r}\right)^n \left(\frac{r}{s}\right)^n d\mu \\ &= c \int_0^R M_1(f, r) d\mu. \quad \blacksquare \end{aligned}$$

**5.2. LEMMA.** *Fix  $b > 1$  and  $0 < c < b$ . Let  $0 \leq m < n$  and  $0 \leq s < t < R$  be such that*

$$\int_0^s r^m d\mu \leq c \int_s^R r^m d\mu \quad \text{and} \quad \int_0^t r^n d\mu \geq b \int_t^R r^n d\mu.$$

Then, for any  $f(z) = \sum_{m \leq k \leq n, k \in \mathbb{Z}} \alpha_k z^k$ , we have

$$\|f\|_\mu \leq (1 + c) \left( \frac{b + 1 + c}{b - c} \right) \int_s^t M_1(f, r) d\mu.$$

*Proof.* First we obtain

$$\begin{aligned} \|f\|_\mu &\leq M_1(f, s) \int_0^s \left(\frac{r}{s}\right)^m d\mu + \int_s^R M_1(f, r) d\mu \\ &\leq cM_1(f, s) \int_s^R \left(\frac{r}{s}\right)^m d\mu + \int_s^R M_1(f, r) d\mu \\ &\leq c \int_s^R M_1(f, r) \left(\frac{s}{r}\right)^m \left(\frac{r}{s}\right)^m d\mu + \int_s^R M_1(f, r) d\mu \\ &= (1 + c) \int_s^R M_1(f, r) d\mu. \end{aligned}$$

Moreover

$$\begin{aligned} \int_s^R M_1(f, r) d\mu &\leq \int_s^t M_1(f, r) d\mu + M_1(f, t) \int_t^R \left(\frac{r}{t}\right)^n d\mu \\ &\leq \int_s^t M_1(f, r) d\mu + \frac{M_1(f, t)}{b} \int_0^t \left(\frac{r}{t}\right)^n d\mu \\ &\leq \left(1 + \frac{1}{b}\right) \int_s^t M_1(f, r) d\mu + \frac{M_1(f, t)}{b} \int_0^s \left(\frac{r}{t}\right)^n d\mu \\ &\leq \left(1 + \frac{1}{b}\right) \int_s^t M_1(f, r) d\mu + \frac{M_1(f, s)}{b} \left(\frac{t}{s}\right)^n \int_0^s \left(\frac{r}{t}\right)^n d\mu \\ &\leq \left(1 + \frac{1}{b}\right) \int_s^t M_1(f, r) d\mu + \frac{M_1(f, s)}{b} \int_0^s \left(\frac{r}{s}\right)^m d\mu \\ &\leq \left(1 + \frac{1}{b}\right) \int_s^t M_1(f, r) d\mu + c \frac{M_1(f, s)}{b} \int_s^t \left(\frac{r}{s}\right)^m d\mu \\ &\quad + c \frac{M_1(f, s)}{b} \int_t^R \left(\frac{r}{s}\right)^m d\mu \\ &\leq \left(1 + \frac{1+c}{b}\right) \int_s^t M_1(f, r) d\mu + \frac{c}{b} \int_t^R M_1(f, r) d\mu \\ &\leq \frac{b+c+1}{b} \int_s^t M_1(f, r) d\mu + \frac{c}{b} \int_s^R M_1(f, r) d\mu. \end{aligned}$$

This implies

$$\int_s^R M_1(f, r) d\mu \leq \frac{b+c+1}{b-c} \int_s^t M_1(f, r) d\mu$$

and hence

$$\|f\|_\mu \leq (1+c) \left( \frac{b+c+1}{b-c} \right)^t \int_s^t M_1(f,r) d\mu. \blacksquare$$

**5.3. LEMMA.** *Let  $b > 1$ ,  $0 < m < n$ ,  $0 < s < t < R$  and assume that*

$$\int_0^s r^m d\mu \leq b \int_s^R r^m d\mu, \quad \int_0^s r^n d\mu = \int_s^R r^n d\mu, \quad \int_0^t r^n d\mu = b \int_t^R r^n d\mu.$$

*Then there is  $N = N(b)$  with  $\int_0^t r^m d\mu \leq 3^N b \int_t^R r^m d\mu$ ;  $N$  does not depend on  $m, n, s, t$ .*

*Proof.* For  $j = 0, 1, \dots$ , put  $b_j = 3^j b$ ,  $c_j = (2b_j)^{-1}$ . Moreover put  $t_0 = s$ . Find  $t_0 < t_1 < t_2 < \dots$  with

$$(5.1) \quad \int_{t_{j-1}}^{t_j} r^n d\mu = c_{j-1} \int_0^{t_{j-1}} r^n d\mu.$$

We actually take

$$t_j = \sup \left\{ u > t_{j-1} : \int_{t_{j-1}}^u r^n d\mu = c_{j-1} \int_0^{t_{j-1}} r^n d\mu \right\}.$$

Then we claim

$$(5.2) \quad \int_0^{t_j} r^m d\mu \leq 3^j b \int_{t_j}^R r^m d\mu.$$

We prove (5.2) by induction. (5.2) is clear if  $j = 0$ . Assume it holds for some  $j$ . Then we obtain

$$\begin{aligned} \int_{t_j}^{t_{j+1}} \left( \frac{r}{t_j} \right)^m d\mu &\leq \int_{t_j}^{t_{j+1}} \left( \frac{r}{t_j} \right)^n d\mu = c_j \int_0^{t_j} \left( \frac{r}{t_j} \right)^n d\mu \\ &\leq c_j \int_0^{t_j} \left( \frac{r}{t_j} \right)^m d\mu. \end{aligned}$$

Hence

$$\begin{aligned} \int_{t_j}^{t_{j+1}} r^m d\mu &\leq c_j \int_0^{t_j} r^m d\mu \leq b_j c_j \int_{t_j}^R r^m d\mu \\ &= \frac{1}{2} \int_{t_{j+1}}^R r^m d\mu + \frac{1}{2} \int_{t_j}^{t_{j+1}} r^m d\mu. \end{aligned}$$

This implies  $\int_{t_j}^{t_{j+1}} r^m d\mu \leq \int_{t_{j+1}}^R r^m d\mu$  and

$$\begin{aligned} \int_0^{t_{j+1}} r^m d\mu &\leq \int_0^{t_j} r^m d\mu + \int_{t_j}^{t_{j+1}} r^m d\mu \\ &\leq 3^j b \int_{t_j}^R r^m d\mu + \int_{t_j}^{t_{j+1}} r^m d\mu \\ &= 3^j b \int_{t_{j+1}}^R r^m d\mu + (3^j b + 1) \int_{t_j}^{t_{j+1}} r^m d\mu \\ &\leq (2 \cdot 3^j b + 1) \int_{t_{j+1}}^R r^m d\mu \leq 3^{j+1} b \int_{t_{j+1}}^R r^m d\mu. \end{aligned}$$

We claim that there is  $N$ , depending only on  $b$ , such that  $t_N \geq t$ , which proves the lemma in view of (5.2). Indeed, (5.1) implies

$$\begin{aligned} \int_0^{t_{j+1}} r^n d\mu &= \int_0^{t_j} r^n d\mu + \int_{t_j}^{t_{j+1}} r^n d\mu = (c_j + 1) \int_0^{t_j} r^n d\mu \\ &= (c_j + 1)(c_{j-1} + 1) \int_0^{t_{j-1}} r^n d\mu = \dots = \prod_{j=0}^j (c_j + 1) \int_0^s r^n d\mu. \end{aligned}$$

On the other hand we have

$$\int_0^t r^n d\mu = \frac{b}{b+1} \int_0^R r^n d\mu = \frac{2b}{b+1} \int_0^s r^n d\mu.$$

To prove the claim we need to show  $\prod_{j=0}^\infty (c_j + 1) > 2b(b+1)^{-1}$  since  $f(u) = (\int_0^u r^n d\mu)(\int_0^s r^n d\mu)^{-1}$  is increasing. Indeed,

$$\prod_{j=0}^\infty (c_j + 1) = \left(\frac{2b+1}{2b}\right) \left(\frac{2 \cdot 3b+1}{2 \cdot 3b}\right) \left(\frac{2 \cdot 3^2b+1}{2 \cdot 3^2b}\right) \dots \geq 2b+1 > \frac{2b}{b+1}. \blacksquare$$

*Conclusion of the proof of Theorem 1.3.* Consider  $m, n, s_n$  with (1.3) and (1.4) for  $b \geq 5$ . Take a polynomial  $f \in H_\mu$  and put

$$(5.3) \quad T_n f = (V_{m_{n+1}, m_n} - V_{m_n, m_{n-1}})f.$$

Here take  $V_{m_1, m_{-1}} = 0$ , i.e.  $T_1 = V_{m_2, m_1} f$ . (Recall that only finitely many summands are different from zero since  $f$  is a polynomial.)

Then we have  $f = \sum_n T_n f$ . An application of Lemma 5.2 with  $s = s_{n-2}$  and  $t = s_{n+1}$  yields  $\|T_n f\|_\mu \leq d_1 \int_{s_{n-2}}^{s_{n+1}} M_1(T_n f, r) d\mu$  for a universal constant  $d_1$  (independent of  $f$  and  $n$ ). We claim that there is another universal constant  $d_2$  with

$$(5.4) \quad \int_{s_{n-2}}^{s_{n+1}} M_1(T_n f, r) \, d\mu \leq d_2 \int_{s_{n-2}}^{s_{n+1}} M_1(f, r) \, d\mu.$$

Then we conclude

$$(5.5) \quad \begin{aligned} \|f\|_\mu &\leq \sum_n \|T_n f\|_\mu \leq d_1 \sum_n \int_{s_{n-2}}^{s_{n+1}} M_1(T_n f, r) \, d\mu \\ &\leq d_1 d_2 \sum_n \int_{s_{n-2}}^{s_{n+1}} M_1(f, r) \, d\mu \leq 3d_1 d_2 \int_0^R M_1(f, r) \, d\mu. \end{aligned}$$

Now we apply Lemma 5.3 with  $s = s_{n-1}$  and  $t = s_n$  to obtain  $\int_0^{s_n} r^{m_{n-1}} \, d\mu \leq 3^N b \int_{s_n}^R r^{m_{n-1}} \, d\mu$ . Since we also have  $\int_{s_n}^R r^{m_{n+1}} \, d\mu = \int_0^{s_n} r^{m_{n+1}} \, d\mu$  Lemma 5.1 implies

$$\|T_n f\|_\mu \leq \left( \int_0^{s_n} \left(\frac{r}{s_n}\right)^{m_{n-1}} \, d\mu + \int_{s_n}^R \left(\frac{r}{s_n}\right)^{m_{n+1}} \, d\mu \right) M_1(T_n f, s_n) \leq d_3 \|T_n f\|_\mu$$

for some universal constant  $d_3$ . Since the polynomials are dense in  $H_\mu$  this together with (5.5) proves Theorem 1.3.

It remains to show (5.4). To this end we apply Lemma 4.2 for the measure  $d\nu = 1_{[s_{n-2}, s_{n+1}]} \, d\mu$ . We prove

$$(5.6) \quad \begin{aligned} \int_{s_n}^{s_{n+1}} r^{m_{n+1}} \, d\mu &\geq \frac{b-1}{b+1} \int_{s_{n-2}}^{s_n} r^{m_{n+1}} \, d\mu, \\ \frac{b-1}{2} \int_{s_n}^{s_{n+1}} r^{m_n} \, d\mu &\leq \int_{s_{n-2}}^{s_n} r^{m_n} \, d\mu. \end{aligned}$$

Then  $V_{m_{n+1}, m_n}$  is uniformly bounded on  $H_\nu$  since  $(b-1)^2(2b+2)^{-1} > 1$  if  $b \geq 5$ .

Moreover we show

$$(5.7) \quad \begin{aligned} \frac{b-1}{b+1} \int_{s_{n-2}}^{s_{n-1}} r^{m_n} \, d\mu &\leq \int_{s_{n-1}}^{s_{n+1}} r^{m_n} \, d\mu, \\ \frac{b-1}{2} \int_{s_{n-1}}^{s_{n+1}} r^{m_{n-1}} \, d\mu &\leq \int_{s_{n-2}}^{s_{n-1}} r^{m_{n-1}} \, d\mu. \end{aligned}$$

By Lemma 4.2,  $V_{m_n, m_{n-1}}$  is uniformly bounded on  $H_\nu$  since we have  $(b-1)^2(2b+2)^{-1} > 1$  if  $b \geq 5$ . Hence  $T_n$  is uniformly bounded on  $H_\nu$ , which proves (5.4).

To show (5.6) we note that, by (1.4),  $\int_0^{s_n} r^{m_{n+1}} \, d\mu = 2^{-1} \int_0^R r^{m_{n+1}} \, d\mu$ , and by (1.3),  $\int_0^{s_{n+1}} r^{m_{n+1}} \, d\mu = b(b+1)^{-1} \int_0^R r^{m_{n+1}} \, d\mu$ . Hence

$$\begin{aligned} \int_{s_n}^{s_{n+1}} r^{m_{n+1}} d\mu &= \frac{b-1}{2b+2} \int_0^R r^{m_{n+1}} d\mu = \frac{b-1}{b+1} \int_0^{s_n} r^{m_{n+1}} d\mu \\ &\geq \frac{b-1}{b+1} \int_{s_{n-2}}^{s_n} r^{m_{n+1}} d\mu. \end{aligned}$$

Similarly we have  $\int_{s_{n-1}}^{s_n} r^{m_n} d\mu = (b-1)(2b+2)^{-1} \int_0^R r^{m_n} d\mu$  and therefore

$$\begin{aligned} \int_{s_n}^{s_{n+1}} r^{m_n} d\mu &\leq \int_{s_n}^R r^{m_n} d\mu = \frac{1}{b} \int_0^{s_n} r^{m_n} d\mu \\ &= \frac{1}{b} \int_0^{s_{n-1}} r^{m_n} d\mu + \frac{1}{b} \int_{s_{n-1}}^{s_n} r^{m_n} d\mu \\ &= \frac{1}{2b} \int_0^R r^{m_n} d\mu + \frac{1}{b} \int_{s_{n-1}}^{s_n} r^{m_n} d\mu \\ &= \left( \frac{2(b+1)}{2b(b-1)} + \frac{1}{b} \right) \int_{s_{n-1}}^{s_n} r^{m_n} d\mu \leq \frac{2}{b-1} \int_{s_{n-2}}^{s_n} r^{m_n} d\mu, \end{aligned}$$

which shows (5.6).

To prove (5.7) we start with

$$\begin{aligned} \int_{s_{n-2}}^{s_{n-1}} r^{m_n} d\mu &\leq \int_0^{s_{n-1}} r^{m_n} d\mu = \frac{1}{2} \int_0^R r^{m_n} d\mu \\ &= \frac{1}{2} \cdot \frac{2b+2}{b-1} \int_{s_{n-1}}^{s_n} r^{m_n} d\mu \leq \frac{b+1}{b-1} \int_{s_{n-1}}^{s_{n+1}} r^{m_n} d\mu. \end{aligned}$$

Furthermore

$$\begin{aligned} \int_{s_{n-2}}^{s_{n-1}} r^{m_{n-1}} d\mu &= \frac{b-1}{2b+2} \int_0^R r^{m_{n-1}} d\mu \\ &= \frac{b-1}{2} \int_{s_{n-1}}^R r^{m_{n-1}} d\mu \geq \frac{b-1}{2} \int_{s_{n-1}}^{s_{n+1}} r^{m_{n-1}} d\mu, \end{aligned}$$

which completes the proof of (5.7). ■

**6. Final proofs.** Now we consider sequences  $(m_n)$  and  $(s_n)$  satisfying (1.3) and (1.4) for some  $b \geq 5$ . Let  $T_n$  be as in Theorem 1.3 (see (5.3)). Using (4.2) we see that

$$T_n f = 0 \quad \text{if } f \in \text{span}\{z^k : |k| \leq m_{n-1} \text{ or } |k| \geq m_{n+1}\}.$$

In particular

$$(6.1) \quad T_n T_{n'} = 0 \text{ if } |n - n'| \geq 2, \quad T_n(T_{n-1} + T_n + T_{n+1}) = T_n \text{ for all } n.$$

Put  $X = (\sum_n \oplus A_n)_{(1)}$ . In [8] it was shown that

$$(6.2) \quad X \sim (X \oplus X \oplus \dots)_{(1)} \sim \left( \sum_k \oplus A_{n_k} \right)_{(1)} \quad \text{if } \sup_k n_k = \infty.$$

Moreover put

$$(6.3) \quad a_n = \int_0^{s_n} \left( \frac{r}{s_n} \right)^{m_{n-1}} d\mu + \int_{s_n}^R \left( \frac{r}{s_n} \right)^{m_{n+1}} d\mu.$$

Let  $B_n = \text{span}\{z^k : m_{n-1} \leq k \leq m_{n+1}\}$  be endowed with the norm  $M_1(\cdot, s_n)a_n$ .

For any function  $h$  and  $s > 0$  put  $h_s(z) = h(sz)$ . If  $0 \leq m < n$ ,  $f(z) = \sum_{0 \leq k \leq n-[m]} \alpha_k z^k$  and  $g(z) = z^{[m]}f(z)$  then we have  $s^{[m]}M_1(f_s, 1) = M_1(g, s)$ . This implies that  $A_{[m_{n+1}]-[m_{n-1}]}$  and  $B_n$  are isometrically isomorphic.

**6.1. PROPOSITION.**

- (a)  $H_\mu$  is isomorphic to a complemented subspace of  $(\sum_n \oplus A_n)_{(1)}$ .
- (b) If  $(m_n)$  satisfies (1.5) then  $H_\mu$  is isomorphic to a complemented subspace of  $l_1$ .

*Proof.* (a) It suffices to show that  $H_\mu$  is isomorphic to a complemented subspace of  $(\sum_n \oplus B_n)_{(1)}$ .

Define  $Sf = (T_n f)$ ,  $f \in H_\mu$ . Then  $S$  is an isomorphism into  $(\sum_n \oplus B_n)_{(1)}$  according to Theorem 1.3. For  $(g_n) \in (\sum_n \oplus B_n)_{(1)}$  put

$$P(g_n) = \left( T_n \left( \frac{a_{n-1}}{a_n} g_{n-1} + g_n + \frac{a_{n+1}}{a_n} g_{n+1} \right) \right).$$

Then Lemma 4.3 tells us that  $P$  is well-defined and bounded. (Recall that  $V_{m_{n+1}, m_n}|_{B_{n-1}} = \text{id}_{B_{n-1}}$  and  $V_{m_n, m_{n-1}}|_{B_{n+1}} = 0$ .) Using (6.1) we see that  $P$  is a projection onto  $SH_\mu$ .

(b) Let  $L_n$  be the completion of  $\{f : f \text{ a trigonometric polynomial}\}$  with respect to  $M_1(\cdot, s_n)a_n$ . Then  $L_n$  is an  $L_1$ -space which contains  $B_n$ . All  $B_n$  are finite-dimensional. Hence we find finite-dimensional spaces  $C_n \supset B_n$  consisting of trigonometric polynomials, where  $C_n \subset L_n$ , such that  $\sup_n d(C_n, l_1^{\dim C_n}) < \infty$ . Here  $d(X_1, X_2) = \inf\{\|T\| \cdot \|T^{-1}\| : T : X_1 \rightarrow X_2 \text{ an onto-isomorphism}\}$  is the Banach–Mazur distance. Then clearly  $(\sum_n \oplus C_n)_{(1)} \sim l_1$ . By definition all  $V_{n,m}$  are well-defined on the  $C_k$  (see (4.2)) and the norm estimates of Lemma 4.1 hold for  $M_1(\cdot, s_n)a_n$  instead of  $M_1(\cdot, r)$ . So the operators  $T_n$  are well-defined on all  $C_k$ . Again (6.1) holds.



For  $(h_n) \in (\sum_n \oplus C_n)_{(1)}$  put

$$Q(h_n) = \left( RT_n \left( \frac{a_{n-1}}{a_n} h_{n-1} + h_n + \frac{a_{n+1}}{a_n} h_{n+1} \right) \right).$$

(Recall  $R$  is the Riesz projection.) By (1.5), according to Lemma 4.1, the operators  $RT_n$  are uniformly bounded. Indeed, for any  $r > 0$  we have

$$\begin{aligned} M_1(RT_n h, r) &\leq \left( 1 + \frac{m_{n+1} - m_n + m_n - m_{n-1}}{m_{n-1}} \right) M_1(T_n h, r) \\ &\leq \left( 1 + (\beta^2 + \beta) \frac{m_{n-1} - m_{n-2}}{m_{n-1}} \right) M_1(T_n h, r) \\ &\leq (1 + \beta + \beta^2) M_1(T_n h, r) \end{aligned}$$

unless  $m_{n+1} - m_{n-1} \leq \gamma$  or  $m_n - m_{n-2} \leq \gamma$ . In the latter cases we get similar estimates. In any case we obtain

$$M_1(RT_n h, r) \leq \max((1 + \beta + \beta^2), (1 + (\beta + 1)\gamma)) M_1(T_n h, r).$$

Hence in view of (6.1),  $Q$  is a well-defined bounded projection onto  $SH_\mu$ . ■

We need another lemma.

**6.2. LEMMA.**

- (a) Fix  $p, q \in \mathbb{Z}_+$  and let  $N = \{p + jq : j \in \mathbb{Z}\} \cap \mathbb{Z}_+$ . For  $f(z) = \sum_{k=0}^\infty \alpha_k z^k$  put  $(P_N f)(z) = \sum_{k \in N} \alpha_k z^k$ . Then  $M_1(P_N f, r) \leq M_1(f, r)$  for any  $r > 0$ .
- (b) Let  $n_1, n_2 \in \mathbb{Z}_+$  and  $m \leq \min(n_1, n_2)$ . Then there is an isometry  $i : A_m \rightarrow (A_{n_1} \oplus A_{n_2})_{(1)}$  and a projection  $Q : (A_{n_1} \oplus A_{n_2})_{(1)} \rightarrow i(A_m)$  with  $\|Q\| \leq 2$  and  $Q(z^j, 0) = 0 = Q(0, z^j)$  for all  $j \geq m$ .
- (c) Let  $n_1, n_2 \in \mathbb{Z}_+$  and  $m \leq \min(n_1, n_2)$ . Then there is an isometry  $j : A_m \rightarrow (A_{n_1} \oplus A_{n_2})_{(1)}$  and a projection  $P : (A_{n_1} \oplus A_{n_2})_{(1)} \rightarrow j(A_m)$  with  $\|P\| \leq 2$  and  $P(z^l, 0) = 0 = P(0, z^l)$  for all  $l \leq \min(n_1, n_2) - m$ .

*Proof.* (a) The proof is the same as the proof of [9, Lemma 4.3].

(b) This is [8, Lemma 2.1].

(c) Let  $i$  and  $Q$  be as in (b). Let  $S : (A_{n_1} \oplus A_{n_2})_{(1)} \rightarrow (A_{n_1} \oplus A_{n_2})_{(1)}$  be the isometry with  $S(z^l, z^k) = (z^{n_1-l}, z^{n_2-k})$ . Then put  $j = S \circ i$  and  $P = SQS^{-1}$ . ■

Recall that if  $|n_1 - n_2| \geq 2$  then  $(B_{n_1} \oplus B_{n_2})_{(1)}$  is isomorphic to a subspace of  $H_\mu$ . Indeed, take  $f_k \in B_{n_k}$ ,  $k = 1, 2$ . Then, by Lemmas 5.1 and 5.3,

$$(6.4) \quad c_1 \|f_k\|_\mu \leq M_1(f_k, s_{n_k}) a_{n_k} \leq c_2 \|f_k\|_\mu, \quad k = 1, 2,$$

for universal constants  $c_1, c_2$ . We have

$$\sum_n T_n(f_1 + f_2) = T_{n_1-1}f_1 + T_{n_1}f_1 + T_{n_1+1}f_1 + T_{n_2-1}f_2 + T_{n_2}f_2 + T_{n_2+1}f_2$$

in view of (6.1). Hence Theorem 1.3 implies

$$(6.5) \quad d_1 \|f_1 + f_2\|_\mu \leq \|f_1\|_\mu + \|f_2\|_\mu \leq d_2 \|f_1 + f_2\|_\mu$$

for universal constants  $d_1, d_2$ .

**6.3. PROPOSITION.** *Assume that  $(m_n)$  does not satisfy (1.5). Then  $H_\mu$  contains a complemented subspace isomorphic to  $(\sum_n \oplus A_n)_{(1)}$ .*

*Proof.* Case 1: There are  $0 < n_1 < n_2 < \dots$  with

$$(m_{n_k} - m_{n_{k-1}})k \leq m_{n_{k+1}} - m_{n_k} \quad \text{and} \quad k \leq m_{n_{k+1}} - m_{n_{k-1}}$$

for all  $k$ . Put  $q_k = [m_{n_k}] - [m_{n_{k-1}}]$  and  $N_k = \{[m_{n_k}] + jq_k : j \in \mathbb{Z}\} \cap \mathbb{Z}_+$ . Recall that in view of (4.2) we have

$$(6.6) \quad P_{N_k}(T_{n_k} + T_{n_{k+1}}) \left( \sum_{k=0}^{\infty} \alpha_k z^k \right) \\ = \sum_{\substack{[m_{n_k}] \leq j \leq [m_{n_{k+1}}] \\ j \in N_k}} \alpha_j z^j + \sum_{\substack{m_{n_k+1} < j < m_{n_{k+2}} \\ j \in N_k}} \alpha_j \gamma_j z^j$$

for some  $\gamma_j$ . Let  $p_k = \max\{j \in N_k : [m_{n_k}] + jq_k < m_{n_{k+2}}\}$  and  $p'_k = \max\{j \in N_k : [m_{n_k}] + jq_k \leq [m_{n_{k+1}}]\}$ . Moreover let  $S_k : (A_{p_k} \oplus A_{p_{k+1}})_{(1)} \rightarrow (B_{n_k} \oplus B_{n_{k+1}})_{(1)}$  be defined by

$$S_k(f, g) = \left( \left( \frac{z}{s_{n_k}} \right)^{[m_{n_k}]} f \left( \left( \frac{z}{s_{n_k}} \right)^{q_k} \right), \left( \frac{z}{s_{n_{k+1}}} \right)^{[m_{n_{k+1}}]} f \left( \left( \frac{z}{s_{n_{k+1}}} \right)^{q_{k+1}} \right) \right),$$

which is an isometry. Put  $l_k = \min(p'_k, p'_{k+1})$ . Let  $i : A_{l_k} \rightarrow (A_{p_k} \oplus A_{p_{k+1}})_{(1)}$  be an isometry and  $\tilde{Q}_k : (A_{p_k} \oplus A_{p_{k+1}})_{(1)} \rightarrow i(A_{l_k})$  a projection with  $\|\tilde{Q}_k\| \leq 2$  and  $\tilde{Q}_k(z^j, 0) = 0 = \tilde{Q}_k(0, z^j)$  if  $j \leq l_k$  (Lemma 6.2(b)). Then put, for  $f \in H_\mu$ ,

$$Q_k f = S_k \tilde{Q}_k S_k^{-1} (T_{n_k} f, T_{n_{k+1}} f) \in (B_{n_k} \oplus B_{n_{k+1}})_{(1)}.$$

The latter space can be identified with a subspace of  $H_\mu$  (by (6.4) and (6.5)). Taking (6.6) into account we see that  $Q_k$  is a projection onto a space which is isomorphic to  $A_{l_k}$ . Then  $Qf = \sum_k Q_k f$  is a bounded projection onto a subspace of  $H_\mu$  which is isomorphic to  $(\sum_k \oplus A_{l_{2k}})_{(1)}$ . This proves the proposition in Case 1 (in view of (6.2)).

Case 2: There are  $0 < n_1 < n_2 < \dots$  with

$$(m_{n_{k+1}} - m_{n_k})k \leq m_{n_k} - m_{n_{k-1}} \quad \text{and} \quad k \leq m_{n_{k+1}} - m_{n_{k-1}}.$$

Then proceed exactly as in Case 1 and use Lemma 6.2(c) instead of (b). ■

**Concluding remarks.** If (1.5) is satisfied then  $H_\mu$  is complemented in  $l_1$ , hence isomorphic to  $l_1$  ([6]). If (1.5) is not satisfied then, using Pełczyński's decomposition method, (6.2), Proposition 6.1(b) and Proposition 6.3

we see that  $H_\mu \sim (\sum_n \oplus A_n)_{(1)}$ . The spaces  $A_n$  are never uniformly complemented in  $l_1$ . Therefore  $(\sum_n \oplus A_n)_{(1)}$  cannot be isomorphic to  $l_1$ . This finishes the proofs of Theorems 1.1 and 1.4.

For  $H_{p,\mu}$ ,  $1 < p < \infty$ , we proceed exactly as before. Here we can replace  $V_{n,m}$  by the Dirichlet projections  $V_{m,m}$  and use that  $\int_0^{2\pi} |(V_{m,m}f)(re^{i\varphi})|^p d\varphi \leq c \int_0^{2\pi} |f(re^{i\varphi})|^p d\varphi$  where  $c$  is independent of  $r$ ,  $m$  and  $f$ . Then we conclude that  $H_{p,\mu}$  is always complemented in  $l_p$  and hence isomorphic to  $l_p$ .

**Acknowledgments.** Research of A. Harutyunyan was supported by Heinrich-Hertz Stiftung B 42 Nr. 19/06.

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