STUDIA MATHEMATICA 159 (2) (2003)

Remarks on rich subspaces of Banach spaces

by

VLADIMIR KADETS (Kharkov and Berlin),
NIGEL KALTON (Columbia, MO) and DIRK WERNER (Berlin)

Dedicated to Professor Aleksander Pełczyński
on the occasion of his 70th birthday

Abstract. We investigate rich subspaces of L_1 and deduce an interpolation property of Sidon sets. We also present examples of rich separable subspaces of nonseparable Banach spaces and we study the Daugavet property of tensor products.

1. Introduction. In this paper we present some results concerning the notion of a rich subspace of a Banach space as introduced in [13]. In that paper (see also [21]), an operator $T\colon X \to Y$ is called *narrow* if for every $x, y \in S(X)$ (the unit sphere of X), $\varepsilon > 0$ and every slice S of the unit ball $B(X)$ of X containing y there is an element $v \in S$ such that $\|x+v\| > 2-\varepsilon$ and $\|T(y-v)\| < \varepsilon$, and a subspace Z of X is called *rich* if the quotient map $q\colon X \to X/Z$ is narrow. We recall that a *slice* of the unit ball is a nonvoid set of the form $S = \{x \in B(X)\colon \operatorname{Re} x^*(x) > \alpha\}$ for some functional $x^* \in X^*$. Thus, Z is a rich subspace if for every $x, y \in S(X)$, $\varepsilon > 0$ and every slice S of $B(X)$ containing y there is some $z \in X$ at distance $\le \varepsilon$ from Z such that $y+z \in S$ and $\|x+y+z\| > 2-\varepsilon$. Actually, we are not giving the original definition of a narrow operator but the equivalent reformulation from [13, Prop. 3.11].

These ideas build on previous work in [17] and [11]; however we point out that the above definition of richness is unrelated to Bourgain's in [4]. Narrow operators were used in [2] and [11] to extend Pełczyński's classical result that neither $C[0,1]$ nor $L_1[0,1]$ embed into spaces having unconditional bases.

2000 *Mathematics Subject Classification*: Primary 46B20; Secondary 46B04, 46M05, 47B38.

Key words and phrases: Daugavet property, rich subspace, narrow operator.

The work of the first-named author was supported by a fellowship from the Alexander von Humboldt Foundation. The second-named author was supported by NSF grant DMS-9870027.

The investigation of narrow operators is closely connected with the Daugavet property of a Banach space. A Banach space X has the *Daugavet property* whenever $\|\mathrm{Id}+T\| = 1+\|T\|$ for every rank-1 operator $T\colon X \to X$; prime examples are $C(K)$ when K is perfect (i.e., has no isolated points), $L_1(\mu)$ and $L_\infty(\mu)$ when μ is nonatomic, the disc algebra, and spaces like $L_1[0,1]/V$ when V is reflexive. For future reference we mention the following characterisation of the Daugavet property [12]:

LEMMA 1.1. *The following assertions are equivalent*:

(i) *X has the Daugavet property.*

(ii) *For every $x \in S(X)$, $\varepsilon > 0$ and every slice S of $B(X)$ there exists some $v \in S$ such that $\|x+v\| > 2-\varepsilon$.*

(iii) *For all $x \in S(X)$ and $\varepsilon > 0$, $B(X) = \overline{\mathrm{co}}\{v \in B(X) : \|x+v\| > 2-\varepsilon\}$.*

Therefore, X has the Daugavet property if and only if 0 is a narrow operator on X or equivalently if and only if there exists at least one narrow operator on X. It is proved in [13] that then every weakly compact operator on X with values in some Banach space Y (indeed, every strong Radon–Nikodým operator) and every operator not fixing a copy of ℓ_1 is narrow (and hence satisfies $\|\mathrm{Id}+T\| = 1+\|T\|$ when it maps X into X). Consequently, a subspace Z of a space with the Daugavet property is rich if X/Z or $(X/Z)^*$ has the RNP.

Also, X has the Daugavet property if and only if X is a rich subspace in itself or equivalently if X contains at least one rich subspace.

The general idea of these notions is that a narrow operator is sort of small and hence a rich subspace is large. In Section 2 of this paper we study rich subspaces of L_1. With reference to a quantity that is reminiscent of the Dixmier characteristic we show that a rich subspace is indeed large: a subspace with a bigger "characteristic" coincides with L_1. As an application we present an interpolation property of Sidon sets. We remark that the counterpart notion of a small subspace of L_1 has been defined and investigated in [8].

These results notwithstanding, Section 3 gives examples of rich subspaces that appear to be small, namely there are examples of nonseparable spaces and separable rich subspaces.

In Section 4 we study hereditary properties for the Daugavet property in tensor products. Although there are positive results for rich subspaces of $C(K)$, we present counterexamples in the general case.

2. Rich subspaces of L_1. Let $X \subset L_1 = L_1(\Omega, \Sigma, \lambda)$ be a closed subspace where λ is a probability measure. We define C_X to be the closure of $B(X)$ in L_1 with respect to the L_0-topology, the topology of convergence in measure. Note that for $f \in C_X$ there is a sequence (f_n) in $B(X)$ converging to f pointwise almost everywhere and almost uniformly. In this section, the symbol $\|f\|$ refers to the L_1-norm of a function.

In [13, Th. 6.1] narrow operators on the space L_1 were characterised as follows.

THEOREM 2.1. *An operator $T\colon L_1 \to Y$ is narrow if and only if for every measurable set A and every $\delta, \varepsilon > 0$ there is a real-valued L_1-function f supported on A such that $\int f = 0$, $f \le 1$, the set $\{f = 1\}$ of those $t \in \Omega$ for which $f(t) = 1$ has measure $\lambda(\{f = 1\}) > \lambda(A) - \varepsilon$ and $\|Tf\| \le \delta$. In particular, a subspace $X \subset L_1$ is rich if and only if for every measurable set A and every $\delta, \varepsilon > 0$ there is a real-valued L_1-function f supported on A such that $\int f = 0$, $f \le 1$, $\lambda(\{f = 1\}) > \lambda(A) - \varepsilon$ and the distance from f to X is $\le \delta$.*

Actually, in [13] only the case of real L_1-spaces was considered, but the proof extends to the complex case. Indeed, instead of the function v that is constructed in the first part of the proof of [13, Th. 6.1] one uses its real part and employs the fact that for real-valued L_1-functions v_1 and v_2 satisfying

$$1 - \delta < \int_\Omega |v_1|\, d\lambda \le \int_\Omega (v_1^2 + v_2^2)^{1/2}\, d\lambda \le 1$$

we have $\|v_2\| \le \sqrt{2\delta}$.

PROPOSITION 2.2. *If X is rich, then $\frac{1}{2}B(L_1) \subset C_X$.*

Proof. Since C_X is L_1-closed, it is enough to show that $f_A := \chi_A/\lambda(A) \in 2C_X$ for every measurable set A. By Theorem 2.1 there is, given $\varepsilon > 0$, a real-valued function g_ε supported on A with $g_\varepsilon \le 1$ and $\int g_\varepsilon = 0$ such that $\{g_\varepsilon < 1\}$ has measure $\le \varepsilon$ and the distance of g_ε to X is $\le \varepsilon$. Clearly $g_\varepsilon/\lambda(A) \to f_A$ in measure as $\varepsilon \to 0$ and

$$\|g_\varepsilon\| = \|g_\varepsilon^+\| + \|g_\varepsilon^-\| = 2\|g_\varepsilon^+\| \le 2\lambda(A).$$

Therefore, there is a sequence (f_n) in X of norm ≤ 2 converging to f_A in measure. ∎

PROPOSITION 2.3. *If $\frac{1}{2}B(L_1) \subset C_Y$ for all 1-codimensional subspaces Y of X, then X is rich.*

Proof. Again by Theorem 2.1, we have to produce functions g_ε as above on any given measurable set A. Therefore, we let $Y = \{f \in X\colon \int_A f = 0\}$. By assumption, there is a sequence (f_n) in Y such that $\|f_n\| \le 2\lambda(A)$ and $f_n \to \chi_A$ in measure.

We shall argue that $\|\mathrm{Im}\, f_n\| \to 0$. Let $\eta > 0$. If n is large enough, the set $B_n := \{|f_n - \chi_A| \ge \eta\}$ has measure $\le \eta$. For those n,

$$0 = \int_A \operatorname{Re} f_n = \int_{A\setminus B_n} \operatorname{Re} f_n + \int_{A\cap B_n} \operatorname{Re} f_n$$

implies that

$$\int_{A\cap B_n} |\operatorname{Re} f_n| \ge \Big| \int_{A\cap B_n} \operatorname{Re} f_n \Big| = \Big| \int_{A\setminus B_n} \operatorname{Re} f_n \Big| \ge \lambda(A \setminus B_n)(1-\eta)$$

and

$$\|\operatorname{Re} f_n|_A\| \ge \lambda(A \setminus B_n)(1-\eta) + \int_{A\cap B_n} |\operatorname{Re} f_n| \ge 2(\lambda(A) - \eta)(1-\eta).$$

Hence,

$$2(\lambda(A) - \eta)(1-\eta) \le \|\operatorname{Re} f_n|_A\| \le \|f_n|_A\| \le \|f_n\| \le 2\lambda(A),$$

and it follows for one thing that $\|\operatorname{Im} f_n|_A\|$ is small provided η is small enough (cf. the remarks after Theorem 2.1) and moreover that

$$\|f_n|_{[0,1]\setminus A}\| \le 2\eta + 2\eta\lambda(A).$$

Consequently, $\|\operatorname{Im} f_n\| \to 0$ as $n \to \infty$.

Now let $\delta = \varepsilon/9$ and choose n so large that the set $B := \{|\operatorname{Re} f_n - \chi_A| \ge \delta\}$ has measure $\le \delta$ and $\|\operatorname{Im} f_n\| \le \delta$. Then there exists a real-valued function h such that $h = 0$ on $[0,1] \setminus (A \cup B)$, $h = 1$ on $A \setminus B$, $\int_A h = 0$ and $\|h - \operatorname{Re} f_n\| \le 2\delta$. Now

$$\|h|_A\| = 2\|h^+|_A\| \ge 2(\lambda(A) - \delta), \qquad \|h\| \le \|\operatorname{Re} f_n\| + 2\delta \le 2(\lambda(A) + \delta),$$

so

$$\|h|_{[0,1]\setminus A}\| \le 4\delta.$$

Furthermore,

$$\begin{aligned} \|h^+|_A\| &= \|h^+|_{A\cap B}\| + \|h^+|_{A\setminus B}\| \ge \|h^+|_{A\cap B}\| + \lambda(A) - \delta, \\ 2\|h^+|_A\| &= \|h|_A\| \le 2(\lambda(A) + \delta), \end{aligned}$$

so

$$\|h^+|_{A\cap B}\| \le 2\delta,$$

and it follows that there is a function $g = g_\varepsilon$ such that $g = 0$ on $[0,1] \setminus A$, $g = 1$ on $A \setminus B$, $\int g = 0$, $g \le 1$ and $\|g - h\| \le 4\delta$. Then

$$\operatorname{dist}(g, X) \le \|g - f_n\| \le \|g - h\| + \|h - \operatorname{Re} f_n\| + \|\operatorname{Im} f_n\| \le 9\delta = \varepsilon,$$

as required. ∎

Since a 1-codimensional subspace of a rich subspace is rich [12, Th. 5.12], Proposition 2.2 shows that Proposition 2.3 can actually be formulated as an equivalence. This is not so for Proposition 2.2: the space constructed in Theorem 6.3 of [13] is not rich, yet it satisfies $\frac{1}{2}B(L_1) \subset C_X$.

We sum this up in a theorem.

THEOREM 2.4. *X is a rich subspace of L_1 if and only if $\frac{1}{2}B(L_1) \subset C_Y$ for all 1-codimensional subspaces Y of X.*

The next proposition shows that the factor $\frac{1}{2}$ is optimal.

PROPOSITION 2.5. *If, for some $r > \frac{1}{2}$, $rB(L_1) \subset C_X$, then $X = L_1$.*

Proof. Suppose $h \in L_\infty$, $\|h\|_\infty = 1$, and let $Y = \{f \in L_1 \colon \int fh = 0\}$. Assume that $B(L_1) \subset sC_Y$; we shall argue that $s \geq 2$. This will prove the proposition since every proper closed subspace is contained in a closed hyperplane.

Assume without loss of generality that h takes the (essential) value 1. Let $\varepsilon > 0$, and put $A = \{|h-1| < \varepsilon/2\}$; then A has positive measure. There is a sequence (f_n) converging to χ_A in measure such that $\|f_n\| \leq s\,\lambda(A)$ and $\int f_n h = 0$ for all n. Since $f_n h \to \chi_A h$ in measure as well, there is, if n is a sufficiently large index, a subset $A_n \subset A$ of measure $\geq (1-\varepsilon)\lambda(A)$ such that $|f_n h - 1| < \varepsilon$ on A_n. For such an n,

$$\Big|\int_{A_n} f_n h\Big| = \Big|\lambda(A_n) - \int_{A_n} (1 - f_n h)\Big| \geq \lambda(A_n) - \int_{A_n} |1 - f_n h| \geq (1-\varepsilon)\lambda(A_n),$$

and therefore

$$\int_{A_n} |f_n h| \geq (1-\varepsilon)\lambda(A_n);$$

moreover, if B_n denotes the complement of A_n,

$$\int_{B_n} |f_n h| \geq \Big|\int_{B_n} f_n h\Big| = \Big|\int_{A_n} f_n h\Big| \geq (1-\varepsilon)\lambda(A_n)$$

so that

$$s\lambda(A) \geq \|f_n\| \geq \|f_n h\| \geq 2(1-\varepsilon)^2\lambda(A).$$

Since $\varepsilon > 0$ was arbitrary, we conclude that $s \geq 2$. ■

Thus, the rich subspaces appear to be the next best thing in terms of size of a subspace after L_1 itself. At the other end of the spectrum are the nicely placed subspaces, defined by the condition that $B(X)$ is L_0-closed. Recall that X is *nicely placed* if X is an L-summand in its bidual, i.e., $X^{**} = X \oplus_1 X_s$ (ℓ_1-direct sum) for some closed subspace X_s of X^{**} [9, Th. IV.3.5].

We now look at the translation invariant case, and we consider $L_1(\mathbb{T})$ (or $L_1(G)$ for a compact abelian group). As usual, for $\Lambda \subset \mathbb{Z}$ the space $L_{1,\Lambda}$ consists of those L_1-functions whose Fourier coefficients vanish off Λ.

PROPOSITION 2.6. *Let $\Lambda \subset \mathbb{Z}$ and suppose that $L_{1,\Lambda}$ is rich in L_1. Then for every measure μ on $\mathbb{T}$ and every $\varepsilon > 0$ there is a measure ν with $\|\nu\| \leq$*

$\|\mu\|+\varepsilon$ and $\widehat{\nu}(\gamma)=\widehat{\mu}(\gamma)$ for all $\gamma\notin\Lambda$ that is ε-almost singular in the sense that there is a set S with $\lambda(S)\le\varepsilon$ and $|\nu|(\mathbb{T}\setminus S)\le\varepsilon$.

Proof. Let $\mu=f\lambda+\mu_{\mathrm{s}}$ be the Lebesgue decomposition of μ, and let $\delta>0$. By Proposition 2.2 there is a function $g\in L_{1,\Lambda}$ such that $\|g\|\le 2\|f\|$ and $A:=\{|f-g|>\delta\}$ has measure $<\delta$. Let $B:=\{|f-g|\le\delta\}$. Then

$$\|g\chi_A\|\le 2\|f\|-\|g\chi_B\|\le 2\|f\|-\|f\chi_B\|+\delta=\|f\|+\|f\chi_A\|+\delta.$$

Therefore we have, for $\nu:=\mu-g\lambda$,

$$\begin{aligned}\|\nu\|&=\|(f-g)\lambda+\mu_s\|\\&\le\|f\chi_A\|+\|g\chi_A\|+\|(f-g)\chi_B\|+\|\mu_s\|\le 2\|f\chi_A\|+2\delta+\|\mu\|,\end{aligned}$$

and hence $\|\nu\|\le\|\mu\|+\varepsilon$ if δ is sufficiently small.

Clearly $\widehat{\nu}=\widehat{\mu}$ on the complement of Λ, and if N is a null set supporting μ_{s}, then $S:=A\cup N$ has the required properties if $\delta\le\varepsilon$. ∎

We apply these ideas to *Sidon sets*, i.e., sets $\Lambda'\subset\mathbb{Z}$ such that all functions in $C_{\Lambda'}$ have absolutely sup-norm convergent Fourier series. (See [15] for recent results on this notion.) If Λ is the complement of a Sidon set, then $L_1/L_{1,\Lambda}$ is isomorphic to c_0 or finite-dimensional [18, p. 121]. Hence $L_{1,\Lambda}$ is rich by [13, Prop. 5.3], and Proposition 2.6 applies. Thus, the following corollary holds.

Corollary 2.7. *If $\Lambda'\subset\mathbb{Z}$ is a Sidon set and μ is a measure on $\mathbb{T}$, then for every $\varepsilon>0$ there is an ε-almost singular measure ν with $\|\nu\|\le\|\mu\|+\varepsilon$ and $\widehat{\nu}(\gamma)=\widehat{\mu}(\gamma)$ for all $\gamma\in\Lambda'$.*

To show that there are also non-Sidon sets sharing this property we observe a simple lemma.

Lemma 2.8. *If Z is a rich subspace of X, then $L_1(Z)$ is a rich subspace of the Bochner space $L_1(X)$.*

Proof. It is enough to check the definition of narrowness of the quotient map on vector-valued step functions. Thus the assertion of the lemma is reduced to the assertion that $Z\oplus_1\ldots\oplus_1 Z$ is a rich subspace of $X\oplus_1\ldots\oplus_1 X$; but this has been proved in [3]. ∎

Now if $\Lambda\subset\mathbb{Z}$ is a co-Sidon set, then $L_1(L_{1,\Lambda})\cong L_{1,\mathbb{Z}\times\Lambda}(\mathbb{T}^2)$ is a rich subspace of $L_1(L_1)\cong L_1(\mathbb{T}^2)$, and $\Lambda'=\mathbb{Z}\times(\mathbb{Z}\backslash\Lambda)$ is a non-Sidon set with reference to the group $\mathbb{T}^2$ for which Corollary 2.7 is valid.

3. Some examples of small but rich subspaces. In this section we provide examples of nonseparable Banach spaces and separable rich subspaces.

First we give a handy reformulation of richness. We let

$$D(x,y,\varepsilon)=\{z\in X\colon \|x+y+z\|>2-\varepsilon,\ \|y+z\|<1+\varepsilon\}$$

for $x,y\in S(X)$.

LEMMA 3.1. *The following are equivalent for a Banach space X.*

(i) *Z is a rich subspace of X.*

(ii) *For every $x,y\in S(X)$ and every $\varepsilon>0$,*

$$y\in\overline{\mathrm{co}}(y+(D(x,y,\varepsilon)\cap Z)).$$

(iii) *For every $x,y\in S(X)$ and every $\varepsilon>0$,*

$$0\in\overline{\mathrm{co}}(D(x,y,\varepsilon)\cap Z).$$

Proof. (i)$\Leftrightarrow$(ii) is a consequence of the Hahn–Banach theorem, and (ii)$\Leftrightarrow$(iii) is obvious. ∎

For $Z=X$, (ii) boils down to condition (iii) of Lemma 1.1.

In the examples we are going to present Z will be a space $C(K,E)$ embedded in a suitable space X. The type of space we have in mind will be defined next.

DEFINITION 3.2. Let E be a Banach space and X be a sup-normed space of bounded E-valued functions on a compact space K. The space X is said to be a *$C(K,E)$-superspace* if it contains $C(K,E)$ and for every $f\in X$, every $\varepsilon>0$ and every open subset $U\subset K$ there exists an element $e\in E$, $\|e\|>(1-\varepsilon)\sup_U\|f(t)\|$, and a nonvoid open subset $V\subset U$ such that $\|e-f(\tau)\|<\varepsilon$ for every $\tau\in V$.

Basically, X is a $C(K,E)$-superspace if every element of X is large and almost constant on suitable open sets.

Here are some examples of this notion.

PROPOSITION 3.3. (a) $D[0,1]$, *the space of bounded functions on* $[0,1]$ *that are right-continuous and have left limits everywhere and are continuous at $t=1$, is a $C[0,1]$-superspace.*

(b) *Let K be a compact Hausdorff space and E be a Banach space. Then $C_{\mathrm{w}}(K,E)$, the space of weakly continuous functions from K into E, is a $C(K,E)$-superspace.*

Proof. (a) $D[0,1]$ is the uniform closure of the span of the step functions $\chi_{[a,b)}$, $0\le a<b<1$, and $\chi_{[a,1]}$, $0\le a<1$; hence the result.

(b) Fix f, U and ε as in Definition 3.2; without loss of generality we assume that $\sup_U\|f(t)\|=1$. Consider the open set $U_0=\{t\in U\colon \|f(t)\|>1-\varepsilon\}$. Now $f(U_0)$ is relatively weakly compact since f is weakly continuous; hence it is dentable [1, p. 110]. Therefore there exists a halfspace $H=\{x\in E\colon x^*(x)>\alpha\}$ such that $f(U_0)\cap H$ is nonvoid and has diameter $<\varepsilon$. Consequently, $V:=f^{-1}(H)\cap U_0$ is an open subset of U for which

$\|f(\tau_1)-f(\tau_2)\| < \varepsilon$ for all $\tau_1, \tau_2 \in V$. This shows that $C_{\mathrm{w}}(K,E)$ is a $C(K,E)$-superspace. ∎

The following theorem explains the relevance of these ideas.

THEOREM 3.4. *If X is a $C(K,E)$-superspace and K is perfect, then $C(K,E)$ is rich in X; in particular, X has the Daugavet property.*

Proof. We wish to verify condition (iii) of Lemma 3.1. Let $f, g \in S(X)$ and $\varepsilon > 0$. We first find an open set V and an element $e \in E$, $\|e\| > 1-\varepsilon/4$, such that $\|e - f(\tau)\| < \varepsilon/4$ on V. Given $N \in \mathbb{N}$, find open nonvoid pairwise disjoint subsets $V_1, \ldots, V_N$ of V. Applying the definition again, we obtain elements $e_j \in E$ and open subsets $W_j \subset V_j$ such that $\|e_j\| > (1-\varepsilon/4)\sup_{V_j}\|g(t)\|$ and $\|e_j - g(\tau)\| < \varepsilon/4$ on W_j. Let $x_j = e - e_j$, let $\varphi_j \in C(K)$ be a positive function supported on W_j of norm 1 and let $h_j = \varphi_j \otimes x_j$. Now if $t_j \in W_j$ is selected to satisfy $\varphi_j(t_j) = 1$, then

$$\|f + g + h_j\| \ge \|(f+g+h_j)(t_j)\| > \|e + e_j + x_j\| - \varepsilon/2 > 2 - \varepsilon$$

and

$$\|g + h_j\| < 1 + \varepsilon$$

since $\|g(t) + h_j(t)\| \le 1$ for $t \notin W_j$, and for $t \in W_j$,

$$\|g(t) + h_j(t)\| \le \|e_j + \varphi_j(t)x_j\| + \varepsilon/4 \le (1-\varphi_j(t))\|e_j\| + \varphi_j(t)\|e\| + \varepsilon/4.$$

This shows that $h_j \in D(f,g,\varepsilon) \cap C(K,E)$. But the supports of the h_j are pairwise disjoint, hence $\|N^{-1}\sum_{j=1}^N h_j\| \le 2/N \to 0$. ∎

COROLLARY 3.5. (a) $C[0,1]$ *is a separable rich subspace of the nonseparable space* $D[0,1]$.

(b) *If K is perfect, then $C(K,E)$ is a rich subspace of $C_{\mathrm{w}}(K,E)$. In particular, $C([0,1],\ell_p)$ is a separable rich subspace of the nonseparable space $C_{\mathrm{w}}([0,1],\ell_p)$ if $1 < p < \infty$.*

Let us remark that there exist nonseparable spaces with the Daugavet property with only nonseparable rich subspaces. Indeed, an ℓ_∞-sum of uncountably many spaces with the Daugavet property is an example of this phenomenon. To see this we need the result from [3] that whenever T is a narrow operator on $X_1 \oplus_\infty X_2$, then the restriction of T to X_1 is narrow as well, and in particular it is not bounded from below. Now let X_i, $i \in I$, be Banach spaces with the Daugavet property and let X be their ℓ_∞-sum. If Z is a rich subspace of X, then by the result quoted above there exist elements $x_i \in S(X_i)$ and $z_i \in Z$ with $\|x_i - z_i\| \le 1/4$; hence $\|z_i - z_j\| \ge 1/2$ for $i \ne j$. If I is uncountable, this implies that Z is nonseparable.

4. The Daugavet property and tensor products.

4. The Daugavet property and tensor products. One may consider the space $C(K,E)$ as the injective tensor product of $C(K)$ and E;

see for instance [6, Ch. VIII] or [19, Ch. 3] for these matters. It is known that $C(K,E)$ has the Daugavet property whenever $C(K)$ has, regardless of E ([10] or [12]), and it is likewise true that $C(K,E)$ has the Daugavet property whenever E has, regardless of K (see [16]). This raises the natural question whether the injective tensor product of two spaces has the Daugavet property if at least one factor has.

We first give a positive answer for the class of rich subspaces of $C(K)$; for example, a uniform algebra is a rich subspace of $C(K)$ if K denotes its Shilov boundary and is perfect.

PROPOSITION 4.1. *If X is a rich subspace of some $C(K)$-space, then $X\widehat{\otimes}_\varepsilon E$, the completed injective tensor product of X and E, is a rich subspace of $C(K)\,\widehat{\otimes}_\varepsilon\, E$ for every Banach space E; in particular, it has the Daugavet property.*

Proof. We will consider $X\,\widehat{\otimes}_\varepsilon\, E$ as a subspace of $C(K,E)$. In order to verify (iii) of Lemma 3.1 let $f,g \in S(C(K,E))$ and $\varepsilon > 0$ be given. Further, let $\eta > 0$ be given. We wish to construct functions $h_1,\dots,h_n \in D(f,g,\varepsilon)\cap X\,\widehat{\otimes}_\varepsilon\, E$ such that $\|n^{-1}\sum_{j=1}^n h_j\| \le 2\eta$.

There is no loss of generality in assuming that $\eta \le \varepsilon$. Consider $U = \{t\colon \|f(t)\| > 1-\eta/2\}$. By reducing U if necessary we may also assume that $\|g(t)-g(t')\| < \eta$ for $t,t' \in U$. Fix $n \ge 2/\eta$ and pick n pairwise disjoint open nonvoid subsets $U_1,\dots,U_n$ of U; this is possible since K must be perfect, for $C(K)$ carries a narrow operator, viz. the quotient map $q\colon C(K)\to C(K)/X$. By applying [13, Th. 3.7] to q we infer that there exists, for each j, a function $\psi_j \in X$ with $\psi_j \ge 0$, $\|\psi_j\| = 1$ and $\psi_j < \eta/2$ off U_j. Choose $t_j \in U_j$ with $\psi_j(t_j) = 1$. We define

$$h_j = \psi_j \otimes (f(t_j) - g(t_j)) \in X\,\widehat{\otimes}_\varepsilon\, E$$

and claim that $h_j \in D(f,g,\eta) \subset D(f,g,\varepsilon)$. In fact,

$$\|f+g+h_j\| \ge \|f(t_j)+g(t_j)+h_j(t_j)\| = 2\|f(t_j)\| > 2-\eta.$$

Also, $\|g+h_j\| < 1+\eta$, for if $t \in U_j$, then

$$\begin{aligned}\|g(t)+h_j(t)\| &\le \|g(t_j)+h_j(t)\| + \|g(t)-g(t_j)\|\\ &< \|(1-\psi_j(t))g(t_j)+\psi_j(t)f(t_j)\| + \eta \le 1+\eta,\end{aligned}$$

and for $t \notin U_j$ we clearly have $\|g(t)+h_j(t)\| < 1+\eta$.

It is left to estimate $\|n^{-1}\sum_{j=1}^n h_j\|$. If t does not belong to any of the U_j, we have

$$\Big\|\frac{1}{n}\sum_{j=1}^n h_j(t)\Big\| \le \eta,$$

and if $t \in U_i$, we have

$$\left\| \frac{1}{n} \sum_{j=1}^{n} h_j(t) \right\| \le \frac{n-1}{n}\,\eta + \frac{1}{n}\,\|h_i(t)\| \le \eta + \frac{2}{n} \le 2\eta$$

by our choice of n. ∎

In general, however, the above question has a negative answer.

THEOREM 4.2. *There exists a two-dimensional complex Banach space E such that $L_1^{\mathbb{C}}[0,1] \mathbin{\widehat{\otimes}_\varepsilon} E$ fails the Daugavet property, where $L_1^{\mathbb{C}}[0,1]$ denotes the space of complex-valued L_1-functions.*

Proof. Consider the subspace E of complex ℓ_∞^6 spanned by the vectors $x_1 = (1,1,1,1,1,0)$ and $x_2 = (0, 1/2, -1/2, i/2, -i/2, 1)$. The injective tensor product of E and $L_1^{\mathbb{C}}[0,1]$ can be identified with the space of 6-tuples of functions $f = (f_1, \dots, f_6)$ of the form $g_1 \otimes x_1 + g_2 \otimes x_2$, $g_1, g_2 \in L_1^{\mathbb{C}}[0,1]$, with the norm $\|f\| = \max_{k=1,\dots,6} \|f_k\|_1$. To show that this space does not have the Daugavet property, consider the slice

$$S_\varepsilon = \Big\{ f = (f_1, \dots, f_6) \in L_1^{\mathbb{C}}[0,1] \otimes E\colon \operatorname{Re}\int_0^1 f_1(t)\,dt > 1 - \varepsilon,\ \|f\| \le 1 \Big\}.$$

Every $f = g_1 \otimes x_1 + g_2 \otimes x_2 \in S_\varepsilon$ satisfies the conditions

$$\|g_1\| > 1 - \varepsilon, \qquad \max\big\{ \big\|g_1 \pm \tfrac{1}{2} g_2\big\|, \big\|g_1 \pm \tfrac{i}{2} g_2\big\| \big\} \le 1.$$

Now the complex space L_1 is complex uniformly convex [7]. Therefore, there exists a function $\delta(\varepsilon)$, which tends to 0 as ε tends to 0, such that $\|g_2\| < \delta(\varepsilon)$ for every $f = g_1 \otimes x_1 + g_2 \otimes x_2 \in S_\varepsilon$. This implies that for every $f \in S_\varepsilon$,

$$\|1 \otimes x_2 + f\| \le 3/2 + \delta(\varepsilon).$$

So if ε is small enough, there is no $f \in S_\varepsilon$ with $\|1 \otimes x_2 + f\| > 2 - \varepsilon$. By Lemma 1.1, this proves that this injective tensor product does not have the Daugavet property. ∎

For the projective norm it is known that $L_1(\mu) \mathbin{\widehat{\otimes}_\pi} E = L_1(\mu, E)$ has the Daugavet property regardless of E whenever μ has no atoms [12]. Again, there is a counterexample in the general case.

COROLLARY 4.3. *There exists a two-dimensional complex Banach space F such that $L_\infty^{\mathbb{C}}[0,1] \mathbin{\widehat{\otimes}_\pi} F$ fails the Daugavet property, where $L_\infty^{\mathbb{C}}[0,1]$ denotes the space of complex-valued L_∞-functions.*

Proof. Let E be the two-dimensional space from Theorem 4.2; note that $(L_1^{\mathbb{C}} \mathbin{\widehat{\otimes}_\varepsilon} E)^* = L_\infty^{\mathbb{C}} \mathbin{\widehat{\otimes}_\pi} E^*$. Since the Daugavet property passes from a dual space to its predual, $F := E^*$ is the desired example. ∎

5. Questions. We finally mention two questions that were raised by A. Pełczyński which we have not been able to solve.

(1) Is there a rich subspace of L_1 with the Schur property? It was recently proved in [14] that the subspace $X \subset L_1$ constructed by Bourgain and Rosenthal in [5], which has the Schur property and fails the RNP, is a space with the Daugavet property; however, it is not rich in L_1.

(2) If X is a subspace of L_1 with the RNP, does L_1/X have the Daugavet property? The answer is positive for reflexive spaces [12], for H^1 (see [22]), and for a certain space constructed by Talagrand [20] in his (negative) solution of the three-space problem for L_1 (see [12]).

References

[1] Y. Benyamini and J. Lindenstrauss, *Geometric Nonlinear Functional Analysis*, Vol. 1, Amer. Math. Soc. Colloq. Publ. 48, Amer. Math. Soc., 2000.

[2] D. Bilik, V. M. Kadets, R. V. Shvidkoy, G. G. Sirotkin, and D. Werner, *Narrow operators on vector-valued sup-normed spaces*, Illinois J. Math. 46 (2002), 421–441.

[3] D. Bilik, V. Kadets, R. Shvidkoy and D. Werner, *Narrow operators and the Daugavet property for ultraproducts*, Positivity, to appear; preprint available from http://xxx.lanl.gov.

[4] J. Bourgain, *The Dunford–Pettis property for the ball-algebras, the polydisc-algebras and the Sobolev spaces*, Studia Math. 77 (1984), 245–253.

[5] J. Bourgain and H. P. Rosenthal, *Martingales valued in certain subspaces of* L^1, Israel J. Math. 37 (1980), 54–75.

[6] J. Diestel and J. J. Uhl, *Vector Measures*, Math. Surveys 15, Amer. Math. Soc., Providence, RI, 1977.

[7] J. Globevnik, *On complex strict and uniform convexity*, Proc. Amer. Math. Soc. 47 (1975), 175–178.

[8] G. Godefroy, N. J. Kalton, and D. Li, *Operators between subspaces and quotients of* L_1, Indiana Univ. Math. J. 49 (2000), 245–286.

[9] P. Harmand, D. Werner, and W. Werner, *M-Ideals in Banach Spaces and Banach Algebras*, Lecture Notes in Math. 1547, Springer, Berlin, 1993.

[10] V. M. Kadets, *Some remarks concerning the Daugavet equation*, Quaestiones Math. 19 (1996), 225–235.

[11] V. M. Kadets and M. M. Popov, *The Daugavet property for narrow operators in rich subspaces of* $C[0,1]$ *and* $L_1[0,1]$, St. Petersburg Math. J. 8 (1997), 571–584.

[12] V. M. Kadets, R. V. Shvidkoy, G. G. Sirotkin, and D. Werner, *Banach spaces with the Daugavet property*, Trans. Amer. Math. Soc. 352 (2000), 855–873.

[13] V. M. Kadets, R. V. Shvidkoy, and D. Werner, *Narrow operators and rich subspaces of Banach spaces with the Daugavet property*, Studia Math. 147 (2001), 269–298.

[14] V. M. Kadets and D. Werner, *A Banach space with the Schur and the Daugavet property*, preprint; available from http://xxx.lanl.gov.

[15] N. Kalton and A. Pełczyński, *Kernels of surjections from* $\mathscr{L}_1$*-spaces with an application to Sidon sets*, Math. Ann. 309 (1997), 135–158.

[16] M. Martín and R. Payá, *Numerical index of vector-valued function spaces*, Studia Math. 142 (2000), 269–280.

[17] A. M. Plichko and M. M. Popov, *Symmetric function spaces on atomless probability spaces*, Dissertationes Math. 306 (1990).

[18] W. Rudin, *Fourier Analysis on Groups*, Wiley-Interscience, New York, 1962.

[19] R. Ryan, *Introduction to Tensor Products of Banach Spaces*, Springer, London, 2002.

[20] M. Talagrand, *The three-space problem for L^1*, J. Amer. Math. Soc. 3 (1990), 9–29.

[21] D. Werner, *Recent progress on the Daugavet property*, Irish Math. Soc. Bull. 46 (2001), 77–97.

[22] P. Wojtaszczyk, *Some remarks on the Daugavet equation*, Proc. Amer. Math. Soc. 115 (1992), 1047–1052.

Faculty of Mechanics and Mathematics
Kharkov National University
pl. Svobody 4
61077 Kharkov, Ukraine
E-mail: vova1kadets@yahoo.com

Current address of V. Kadets:
Department of Mathematics
Freie Universität Berlin
Arnimallee 2–6
D-14195 Berlin, Germany
E-mail: kadets@math.fu-berlin.de

Department of Mathematics
University of Missouri
Columbia, MO 65211, U.S.A.
E-mail: nigel@math.missouri.edu

Department of Mathematics
Freie Universität Berlin
Arnimallee 2–6
D-14195 Berlin, Germany
E-mail: werner@math.fu-berlin.de

Received October 28, 2002
Revised version April 8, 2003 (5065)