

## Automatic continuity of biorthogonality preservers between weakly compact $JB^*$ -triples and atomic $JBW^*$ -triples

by

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**Abstract.** We prove that every biorthogonality preserving linear surjection from a weakly compact  $JB^*$ -triple containing no infinite-dimensional rank-one summands onto another  $JB^*$ -triple is automatically continuous. We also show that every biorthogonality preserving linear surjection between atomic  $JBW^*$ -triples containing no infinite-dimensional rank-one summands is automatically continuous. Consequently, two atomic  $JBW^*$ -triples containing no rank-one summands are isomorphic if and only if there exists a (not necessarily continuous) biorthogonality preserving linear surjection between them.

**1. Introduction and preliminaries.** Studies on the automatic continuity of linear surjections between  $C^*$ -algebras and von Neumann algebras preserving orthogonality relations in both directions constitute the latest variant of a problem initiated by W. Arendt in the early eighties.

We recall that two complex-valued continuous functions  $f$  and  $g$  are said to be *orthogonal* whenever they have disjoint supports. A mapping  $T$  between  $C(K)$ -spaces is called *orthogonality preserving* if it maps orthogonal functions to orthogonal functions. The main result established by Arendt states that every orthogonality preserving bounded linear mapping  $T : C(K) \rightarrow C(K)$  is of the form

$$T(f)(t) = h(t)f(\varphi(t)) \quad (f \in C(K), t \in K),$$

where  $h \in C(K)$  and  $\varphi : K \rightarrow K$  is a mapping which is continuous on  $\{t \in K : h(t) \neq 0\}$ .

The hypothesis of  $T$  being continuous was relaxed by K. Jarosz in [24]. In fact, Jarosz obtained a complete description of all orthogonality preserving (not necessarily continuous) linear mappings between  $C(K)$ -spaces.

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A consequence of his description is that an orthogonality preserving linear surjection between  $C(K)$ -spaces is automatically continuous.

Two elements  $a, b$  in a general  $C^*$ -algebra  $A$  are said to be *orthogonal* (denoted by  $a \perp b$ ) if  $ab^* = b^*a = 0$ . When  $a = a^*$  and  $b = b^*$ , we have  $a \perp b$  if and only if  $ab = 0$ . A mapping  $T$  between two  $C^*$ -algebras  $A, B$  is called *orthogonality preserving* if  $T(a) \perp T(b)$  for every  $a \perp b$  in  $A$ . When  $T(a) \perp T(b)$  in  $B$  if and only if  $a \perp b$  in  $A$ , we say that  $T$  is *biorthogonality preserving*. Under continuity assumptions, orthogonality preserving bounded linear operators between  $C^*$ -algebras are completely described in [10, §4]. This last paper is a culmination of the studies developed by W. Arendt [2], K. Jarosz [24], M. Wolff [34], and N.-C. Wong [35], among others, on bounded orthogonality preserving linear maps between  $C^*$ -algebras.

$C^*$ -algebras belong to a wider class of complex Banach spaces in which orthogonality also makes sense. We refer to the class of (complex)  $JB^*$ -triples (see §2 for definitions). Two elements  $a, b$  in a  $JB^*$ -triple  $E$  are said to be *orthogonal* (denoted by  $a \perp b$ ) if  $L(a, b) = 0$ , where  $L(a, b)$  is the linear operator in  $E$  given by  $L(a, b)x = \{a, b, x\}$ . A linear mapping  $T : E \rightarrow F$  between two  $JB^*$ -triples is called *orthogonality preserving* if  $T(x) \perp T(y)$  whenever  $x \perp y$ . The mapping  $T$  is *biorthogonality preserving* whenever the equivalence  $x \perp y \Leftrightarrow T(x) \perp T(y)$  holds for all  $x, y$  in  $E$ .

Most of the novelties introduced in [10] consist in studying orthogonality preserving bounded linear operators from a  $C^*$ -algebra or a  $JB^*$ -algebra to a  $JB^*$ -triple to take advantage of the techniques developed in  $JB^*$ -triple theory. These techniques were successfully applied in the subsequent paper [11] to obtain a description of such operators (see §2 for a detailed explanation).

Despite the vast literature on orthogonality preserving bounded linear operators between  $C^*$ -algebras and  $JB^*$ -triples, just a few papers have considered the problem of automatic continuity of biorthogonality preserving linear surjections between  $C^*$ -algebras. Besides Jarosz [24], mentioned above, M. A. Chebotar, W.-F. Ke, P.-H. Lee, and N.-C. Wong proved in [13, Theorem 4.2] that every zero products preserving linear bijection from a properly infinite von Neumann algebra into a unital ring is a ring homomorphism followed by left multiplication by the image of the identity. J. Araujo and K. Jarosz showed that every linear bijection between algebras  $L(X)$ , of continuous linear maps on a Banach space  $X$ , which preserves zero products in both directions is automatically continuous and a multiple of an algebra isomorphism [1]. These authors also conjectured that every linear bijection between two  $C^*$ -algebras preserving zero products in both directions is automatically continuous (see [1, Conjecture 1]).

The authors of this note proved in [12] that every biorthogonality preserving linear surjection between two compact  $C^*$ -algebras or between two von Neumann algebras is automatically continuous. One of the consequences

of this result is a partial answer to [1, Conjecture 1]. Concretely, every surjective and symmetric linear mapping between von Neumann algebras (or compact  $C^*$ -algebras) which preserves zero products in both directions is continuous.

In this paper we study the problem of automatic continuity of biorthogonality preserving linear surjections between  $JB^*$ -triples, extending some of the results obtained in [12]. Section 2 contains the basic definitions and results used in the paper. Section 3 is devoted to the structure and properties of the (orthogonal) annihilator of a subset  $M$  in a  $JB^*$ -triple, focusing on the annihilators of single elements. In Section 4 we prove that every biorthogonality preserving linear surjection from a weakly compact  $JB^*$ -triple containing no infinite-dimensional rank-one summands to a  $JB^*$ -triple is automatically continuous. In Section 5 we show that two atomic  $JB^*$ -triples containing no rank-one summands are isomorphic if and only if there exists a biorthogonality preserving linear surjection between them, a result which follows from the automatic continuity of every biorthogonality preserving linear surjection between atomic  $JB^*$ -triples containing no infinite-dimensional rank-one summands.

**2. Notation and preliminaries.** Given Banach spaces  $X$  and  $Y$ ,  $L(X, Y)$  will denote the space of all bounded linear mappings from  $X$  to  $Y$ . The symbol  $L(X)$  will stand for the space  $L(X, X)$ . Throughout the paper the word “operator” will always mean bounded linear mapping. The dual space of a Banach space  $X$  is denoted by  $X^*$ .

$JB^*$ -triples were introduced by W. Kaup in [26]. A  $JB^*$ -triple is a complex Banach space  $E$  together with a continuous triple product  $\{\cdot, \cdot, \cdot\} : E \times E \times E \rightarrow E$ , which is conjugate linear in the middle variable and symmetric and bilinear in the outer variables, and satisfies:

- (a)  $L(a, b)L(x, y) = L(x, y)L(a, b) + L(L(a, b)x, y) - L(x, L(b, a)y)$ , where  $L(a, b)$  is the operator on  $E$  given by  $L(a, b)x = \{a, b, x\}$ ;
- (b)  $L(a, a)$  is an hermitian operator with nonnegative spectrum;
- (c)  $\|L(a, a)\| = \|a\|^2$ .

For each  $x$  in a  $JB^*$ -triple  $E$ ,  $Q(x)$  will stand for the conjugate linear operator on  $E$  defined by the assignment  $y \mapsto Q(x)y = \{x, y, x\}$ .

Every  $C^*$ -algebra is a  $JB^*$ -triple via the triple product given by

$$2\{x, y, z\} = xy^*z + zy^*x,$$

and every  $JB^*$ -algebra is a  $JB^*$ -triple under the triple product

$$(2.1) \quad \{x, y, z\} = (x \circ y^*) \circ z + (z \circ y^*) \circ x - (x \circ z) \circ y^*.$$

The so-called *Kaup–Banach–Stone theorem* for  $JB^*$ -triples states that a bounded linear surjection between  $JB^*$ -triples is an isometry if and only

if it is a triple isomorphism (cf. [26, Proposition 5.5], [5, Corollary 3.4] or [18, Theorem 2.2]). It follows, among many other consequences, that when a  $JB^*$ -algebra is a  $JB^*$ -triple for a suitable triple product, then the latter coincides with the one defined in (2.1).

A  $JBW^*$ -triple is a  $JB^*$ -triple which is also a dual Banach space (with a unique isometric predual [3]). It is known that the triple product of a  $JBW^*$ -triple is separately weak\* continuous [3]. The second dual of a  $JB^*$ -triple  $E$  is a  $JBW^*$ -triple with a product extending the product of  $E$  [15].

An element  $e$  in a  $JB^*$ -triple  $E$  is said to be a *tripotent* if  $\{e, e, e\} = e$ . Each tripotent  $e$  in  $E$  gives rise to the decomposition

$$E = E_2(e) \oplus E_1(e) \oplus E_0(e),$$

where for  $i = 0, 1, 2$ ,  $E_i(e)$  is the  $i/2$ -eigenspace of  $L(e, e)$  (cf. [28, Theorem 25]). The natural projection of  $E$  onto  $E_i(e)$  will be denoted by  $P_i(e)$ . This decomposition is termed the *Peirce decomposition* of  $E$  with respect to the tripotent  $e$ . The Peirce decomposition satisfies certain rules known as *Peirce arithmetic*:

$$\{E_i(e), E_j(e), E_k(e)\} \subseteq E_{i-j+k}(e)$$

if  $i - j + k \in \{0, 1, 2\}$  and is zero otherwise. In addition,

$$\{E_2(e), E_0(e), E\} = \{E_0(e), E_2(e), E\} = 0.$$

The Peirce space  $E_2(e)$  is a  $JB^*$ -algebra with product  $x \circ_e y := \{x, e, y\}$  and involution  $x^{\#e} := \{e, x, e\}$ .

A tripotent  $e$  in  $E$  is called *complete* (resp., *unitary*) if  $E_0(e) = 0$  (resp.,  $E_2(e) = E$ ). When  $E_2(e) = \mathbb{C}e \neq \{0\}$ , we say that  $e$  is *minimal*.

For each element  $x$  in a  $JB^*$ -triple  $E$ , we shall denote  $x^{[1]} := x$ ,  $x^{[3]} := \{x, x, x\}$ , and  $x^{[2n+1]} := \{x, x, x^{[2n-1]}\}$  ( $n \in \mathbb{N}$ ). The symbol  $E_x$  will stand for the  $JB^*$ -subtriple generated by  $x$ . It is known that  $E_x$  is  $JB^*$ -triple isomorphic (and hence isometric) to  $C_0(\Omega)$  for some locally compact Hausdorff space  $\Omega$  contained in  $(0, \|x\|]$  such that  $\Omega \cup \{0\}$  is compact, where  $C_0(\Omega)$  denotes the Banach space of all complex-valued continuous functions vanishing at 0. It is also known that there exists a triple isomorphism  $\Psi$  from  $E_x$  onto  $C_0(\Omega)$  satisfying  $\Psi(x)(t) = t$  ( $t \in \Omega$ ) (cf. [25, Corollary 4.8], [26, Corollary 1.15] and [20]). The set  $\overline{\Omega} = \text{Sp}(x)$  is called the *triple spectrum* of  $x$ . Note that  $C_0(\text{Sp}(x)) = C(\text{Sp}(x))$  whenever  $0 \notin \text{Sp}(x)$ .

Therefore, for each  $x \in E$ , there exists a unique element  $y \in E_x$  such that  $\{y, y, y\} = x$ . The element  $y$ , denoted by  $x^{[1/3]}$ , is termed the *cubic root* of  $x$ . We can inductively define  $x^{[1/3^n]} = (x^{[1/3^{n-1}]})^{[1/3]}$ ,  $n \in \mathbb{N}$ . The sequence  $(x^{[1/3^n]})$  converges in the weak\* topology of  $E^{**}$  to a tripotent denoted by  $r(x)$  and called the *range tripotent* of  $x$ . The tripotent  $r(x)$  is the smallest tripotent  $e \in E^{**}$  such that  $x$  is positive in the  $JBW^*$ -algebra  $E_2^{**}(e)$  (cf. [16, Lemma 3.3]).

A subspace  $I$  of a  $JB^*$ -triple  $E$  is a *triple ideal* if  $\{E, E, I\} + \{E, I, E\} \subseteq I$ . By Proposition 1.3 in [7],  $I$  is a triple ideal if and only if  $\{E, E, I\} \subseteq I$ . We shall say that  $I$  is an *inner ideal* of  $E$  if  $\{I, E, I\} \subseteq I$ . Given an  $x$  in  $E$ , let  $E(x)$  denote the norm closed inner ideal of  $E$  generated by  $x$ . It is known that  $E(x)$  coincides with the norm closure of the set  $Q(x)(E)$ . Moreover  $E(x)$  is a  $JB^*$ -subalgebra of  $E_2^{**}(r(x))$  and contains  $x$  as a positive element (cf. [8]). Every triple ideal is, in particular, an inner ideal.

We recall that two elements  $a, b$  in a  $JB^*$ -triple  $E$  are said to be *orthogonal* (written  $a \perp b$ ) if  $L(a, b) = 0$ . Lemma 1 in [10] shows that  $a \perp b$  if and only if one of the following nine statements holds:

$$(2.2) \quad \begin{aligned} &\{a, a, b\} = 0; \quad a \perp r(b); \quad r(a) \perp r(b); \\ &E_2^{**}(r(a)) \perp E_2^{**}(r(b)); \quad r(a) \in E_0^{**}(r(b)); \quad a \in E_0^{**}(r(b)); \\ &b \in E_0^{**}(r(a)); \quad E_a \perp E_b; \quad \{b, b, a\} = 0. \end{aligned}$$

The Jordan identity and the above reformulations ensure that

$$(2.3) \quad a \perp \{x, y, z\} \quad \text{whenever} \quad a \perp x, y, z.$$

An important class of  $JB^*$ -triples is given by the Cartan factors. A  $JBW^*$ -triple  $E$  is called a *factor* if it contains no proper weak\* closed ideals. The *Cartan factors* are precisely the  $JBW^*$ -triple factors containing a minimal tripotent [27]. These can be classified in six different types (see [21] or [27]).

A Cartan factor *of type 1*, denoted by  $I_{n,m}$ , is a  $JB^*$ -triple of the form  $L(H, H')$ , where  $L(H, H')$  denotes the space of bounded linear operators between two complex Hilbert spaces  $H$  and  $H'$  of dimensions  $n, m$  respectively, with the triple product defined by  $\{x, y, z\} = \frac{1}{2}(xy^*z + zy^*x)$ .

We recall that given a conjugation  $j$  on a complex Hilbert space  $H$ , we can define the linear involution  $x \mapsto x^t := jx^*j$  on  $L(H)$ . A Cartan factor *of type 2* (respectively, *type 3*), denoted by  $II_n$  (respectively,  $III_n$ ), is the subtriple of  $L(H)$  formed by the  $t$ -skew-symmetric (respectively,  $t$ -symmetric) operators, where  $H$  is an  $n$ -dimensional complex Hilbert space. Moreover,  $II_n$  and  $III_n$  are, up to isomorphism, independent of the conjugation  $j$  on  $H$ .

A Cartan factor *of type 4*,  $IV_n$  (also called a *complex spin factor*), is an  $n$ -dimensional complex Hilbert space provided with a conjugation  $x \mapsto \bar{x}$ , where the triple product and norm are given by

$$(2.4) \quad \{x, y, z\} = (x|y)z + (z|y)x - (x|\bar{z})\bar{y}$$

and  $\|x\|^2 = (x|x) + \sqrt{(x|x)^2 - |(x|\bar{x})|^2}$ , respectively.

The Cartan factor *of type 6* is the 27-dimensional exceptional  $JB^*$ -algebra  $VI = H_3(\mathbb{O}^{\mathbb{C}})$  of all symmetric  $3 \times 3$  matrices with entries in the complex octonions  $\mathbb{O}^{\mathbb{C}}$ , while the Cartan factor *of type 5*,  $V = M_{1,2}(\mathbb{O}^{\mathbb{C}})$ , is the subtriple of  $H_3(\mathbb{O}^{\mathbb{C}})$  consisting of all  $1 \times 2$  matrices with entries in  $\mathbb{O}^{\mathbb{C}}$ .

REMARK 2.1. Let  $E$  be a spin factor with inner product  $(\cdot|\cdot)$  and conjugation  $x \mapsto \bar{x}$ . It is not hard to check (and part of the folklore of  $JB^*$ -triple theory) that an element  $w$  in  $E$  is a minimal tripotent if and only if  $(w|\bar{w}) = 0$  and  $(w|w) = 1/2$ . For every minimal tripotent  $w$  in  $E$  we have  $E_2(w) = \mathbb{C}w$ ,  $E_0(w) = \mathbb{C}\bar{w}$  and  $E_1(w) = \{x \in E : (x|w) = (x|\bar{w}) = 0\}$ . Therefore, every minimal tripotent  $w_2 \in E$  satisfying  $w \perp w_2$  can be written in the form  $w_2 = \lambda\bar{w}$  for some  $\lambda \in \mathbb{C}$  with  $|\lambda| = 1$ .

**3. Biorthogonality preservers.** Let  $M$  be a subset of a  $JB^*$ -triple  $E$ . We write  $M_E^\perp$  for the (orthogonal) annihilator of  $M$  defined by

$$M_E^\perp := \{y \in E : y \perp x, \forall x \in M\}.$$

When no confusion can arise, we shall write  $M^\perp$  instead of  $M_E^\perp$ .

The next result summarises some basic properties of the annihilator. The reader is referred to [17, Lemma 3.2] for a detailed proof.

LEMMA 3.1. *Let  $M$  a nonempty subset of a  $JB^*$ -triple  $E$ .*

- (a)  $M^\perp$  is a norm closed inner ideal of  $E$ .
- (b)  $M \cap M^\perp = \{0\}$ .
- (c)  $M \subseteq M^{\perp\perp}$ .
- (d) If  $B \subseteq C$  then  $C^\perp \subseteq B^\perp$ .
- (e)  $M^\perp$  is weak\* closed whenever  $E$  is a  $JBW^*$ -triple. ■

As illustration of the main identity (axiom (a) in the definition of a  $JB^*$ -triple) we shall prove statement (a). For  $a, a'$  in  $M^\perp$ ,  $b$  in  $M$ , and  $c, d$  in  $E$  we have  $\{c, a, \{d, a', b\}\} = \{\{c, a, d\}, a', b\} - \{d, \{a, c, a'\}, b\} + \{d, a', \{c, a, b\}\}$ , which shows that  $\{a, c, a'\} \perp b$ .

Let  $e$  be a tripotent in a  $JB^*$ -triple  $E$ . Clearly,  $\{e\} \subseteq E_2(e)$ . Therefore, by Peirce arithmetic and Lemma 3.1,

$$E_2(e)^\perp \subseteq \{e\}^\perp = E_0(e) \subseteq E_2(e)^\perp,$$

and hence

$$(3.1) \quad E_2(e)^\perp = \{e\}^\perp = E_0(e).$$

The next lemma describes the annihilator of an element in an arbitrary  $JB^*$ -triple. Its proof follows directly from the reformulations of orthogonality in (2.2) (see also [10, Lemma 1]).

LEMMA 3.2. *Let  $x$  be an element in a  $JB^*$ -triple  $E$ . Then*

$$\{x\}_E^\perp = E_0^{**}(r(x)) \cap E.$$

Moreover, when  $E$  is a  $JBW^*$ -triple we have

$$\{x\}_E^\perp = E_0(r(x)). \quad \blacksquare$$

PROPOSITION 3.3. *Let  $e$  be a tripotent in a  $JB^*$ -triple  $E$ . Then*

$$E_2(e) \oplus E_1(e) \supseteq \{e\}_E^{\perp\perp} = E_0(e)^\perp \supseteq E_2(e).$$

*Proof.* It follows from (3.1) that  $\{e\}^{\perp\perp} = \{e\}_E^{\perp\perp} = (E_0(e))^\perp \supseteq E_2(e)$ . Now select  $x \in (E_0(e))^\perp$ . For each  $i \in \{0, 1, 2\}$  we write  $x_i = P_i(e)(x)$ , where  $P_i(e)$  denotes the Peirce  $i$ -projection with respect to  $e$ . Since  $x \in (E_0(e))^\perp$ ,  $x$  must be orthogonal to  $x_0$  and so  $\{x_0, x_0, x\} = 0$ . This equality, together with Peirce arithmetic, shows that  $\{x_0, x_0, x_0\} + \{x_0, x_0, x_1\} = 0$ , which implies that  $\|x_0\|^3 = \|\{x_0, x_0, x_0\}\| = 0$ . ■

REMARK 3.4. For a tripotent  $e$  in a  $JB^*$ -triple  $E$ , the equality  $\{e\}_E^{\perp\perp} = E_0(e)^\perp = E_2(e)$  does not hold in general. Let  $H_1$  and  $H_2$  be two infinite-dimensional complex Hilbert spaces and let  $p$  be a minimal projection in  $L(H_1)$ . We define  $E$  as the orthogonal sum  $pL(H_1) \oplus^\infty L(H_2)$ . In this example  $\{p\}_E^\perp = L(H_2)$  and  $\{p\}_E^{\perp\perp} = pL(H_1) \neq \mathbb{C}p = E_2(p)$ .

However, if  $E$  is a Cartan factor and  $e$  is a noncomplete tripotent in  $E$ , then the equality  $\{e\}^{\perp\perp} = E_0(e)^\perp = E_2(e)$  always holds (cf. Lemma 5.6 in [27]).

COROLLARY 3.5. *Let  $x$  be an element in a  $JB^*$ -triple  $E$ . Then*

$$E(x) \subseteq E_2^{**}(r(x)) \cap E \subseteq \{x\}_E^{\perp\perp}.$$

*Proof.* Clearly,  $E(x) = \overline{Q(x)(E)} \subseteq E_2^{**}(r(x)) \cap E$ . Pick  $y$  in  $E_2^{**}(r(x)) \cap E$ . Then  $y \in E_2^{**}(r(x)) \subseteq \{x\}_{E^{**}}^{\perp\perp}$ . Since  $\{x\}_E^\perp \subseteq \{x\}_{E^{**}}^\perp$ , we conclude that  $y \in \{x\}_{E^{**}}^{\perp\perp} \cap E \subseteq (\{x\}_E^\perp)_{E^{**}}^\perp \cap E = \{x\}_E^{\perp\perp}$ . ■

In the setting of  $C^*$ -algebras the following conditions describing the first and second annihilator of a projection were established in [12, Lemma 3].

LEMMA 3.6. *Let  $p$  be a projection in a (not necessarily unital)  $C^*$ -algebra  $A$ . The following assertions hold:*

- (a)  $\{p\}_A^\perp = (1 - p)A(1 - p)$ , where  $1$  denotes the unit of  $A^{**}$ ;
- (b)  $\{p\}_A^{\perp\perp} = pAp$ . ■

Let  $x$  be an element in a  $JB^*$ -triple  $E$ . We say that  $x$  is *weakly compact* (respectively, *compact*) if the operator  $Q(x) : E \rightarrow E$  is weakly compact (respectively, compact). A  $JB^*$ -triple is *weakly compact* (respectively, *compact*) if every element in  $E$  is weakly compact (respectively, compact).

Let  $E$  be a  $JB^*$ -triple. If we denote by  $K(E)$  the Banach subspace of  $E$  generated by its minimal tripotents, then  $K(E)$  is a (norm closed) triple ideal of  $E$  and it coincides with the set of weakly compact elements of  $E$  (see Proposition 4.7 in [7]). For a Cartan factor  $C$  we define the *elementary  $JB^*$ -triple* of the corresponding type to be  $K(C)$ . Consequently, the elementary  $JB^*$ -triples  $K_i$  ( $i = 1, \dots, 6$ ) are defined as follows:  $K_1 = K(H, H')$  (the

compact operators between complex Hilbert spaces  $H$  and  $H'$ );  $K_i = C_i \cap K(H)$  for  $i = 2, 3$ , and  $K_i = C_i$  for  $i = 4, 5, 6$ .

It follows from [7, Lemma 3.3 and Theorem 3.4] that a  $JB^*$ -triple  $E$  is weakly compact if and only if one of the following statement holds:

- (a)  $K(E^{**}) = K(E)$ .
- (b)  $K(E) = E$ .
- (c)  $E$  is a  $c_0$ -sum of elementary  $JB^*$ -triples.

Let  $E$  be a  $JB^*$ -triple. A subset  $S \subseteq E$  is said to be *orthogonal* if  $0 \notin S$  and  $x \perp y$  for every  $x \neq y$  in  $S$ . The minimal cardinal number  $r$  satisfying  $\text{card}(S) \leq r$  for every orthogonal subset  $S \subseteq E$  is called the *rank* of  $E$  (and will be denoted by  $r(E)$ ).

For every orthogonal family  $(e_i)_{i \in I}$  of minimal tripotents in a  $JBW^*$ -triple  $E$  the weak\* convergent sum  $e := \sum_i e_i$  is a tripotent, and we call  $(e_i)_{i \in I}$  a *frame* in  $E$  if  $e$  is a *maximal tripotent* in  $E$  (i.e.,  $e$  is a complete tripotent and  $\dim(E_1(e)) \leq \dim(E_1(\tilde{e}))$  for every complete tripotent  $\tilde{e}$  in  $E$ ). Every frame is a maximal orthogonal family of minimal tripotents; the converse is not true in general (see [4, §3] for more details).

**PROPOSITION 3.7.** *Let  $e$  be a minimal tripotent in a  $JB^*$ -triple  $E$ . Then  $\{e\}_E^{\perp\perp}$  is a rank-one norm closed inner ideal of  $E$ .*

*Proof.* Let  $F$  denote  $\{e\}_E^{\perp\perp}$ . Since  $e$  is a minimal tripotent (i.e.  $E_2(e) = \mathbb{C}e$ ), the set of states on  $E_2(e)$ ,  $\{\varphi \in E^* : \varphi(e) = 1 = \|\varphi\|\}$ , reduces to one point  $\varphi_0$  in  $E^*$ . Proposition 2.4 and Corollary 2.5 in [9] imply that the norm of  $E$  restricted to  $E_1(e)$  is equivalent to a Hilbertian norm. More precisely, in the terminology of [9], the norm  $\|\cdot\|_e$  coincides with the Hilbertian norm  $\|\cdot\|_{\varphi_0}$  and is equivalent to the norm of  $E_1(e)$ .

Proposition 3.3 guarantees that  $F$  is a norm closed subspace of  $E_2(e) \oplus E_1(e) = \mathbb{C}e \oplus E_1(e)$ , and hence  $F$  is isomorphic to a Hilbert space.

We deduce, by Proposition 4.5(iii) in [7] (and its proof), that  $F$  is a finite orthogonal sum of Cartan factors  $C_1, \dots, C_m$  which are finite-dimensional, or infinite-dimensional spin factors, or of the form  $L(H, H')$  for suitable complex Hilbert spaces  $H$  and  $H'$  with  $\dim(H') < \infty$ . Since  $F$  is an inner ideal of  $E$  (and hence a  $JB^*$ -subtriple of  $E$ ) and  $e$  is a minimal tripotent in  $E$ , we can easily check that  $e$  is a minimal tripotent in  $F = \bigoplus_{j=1, \dots, m}^{\ell_\infty} C_j$ . If we write  $e = e_1 + \dots + e_m$ , where each  $e_j$  is a tripotent in  $C_j$  and  $e_j \perp e_k$  whenever  $j \neq k$ , then since  $\mathbb{C}e_1 \oplus \dots \oplus \mathbb{C}e_1 \subseteq F_2(e) = \mathbb{C}e$ , we deduce that there exists a unique  $j_0 \in \{1, \dots, m\}$  satisfying  $e_j = 0$  for all  $j \neq j_0$  and  $e = e_{j_0} \in C_{j_0}$ .

For each  $j \neq j_0$ , we have  $C_j \subseteq \{e\}_E^{\perp}$ , and hence

$$\bigoplus_{j=1, \dots, m}^{\ell_\infty} C_j = F = \{e\}_E^{\perp\perp} \subseteq C_{j_0}.$$



This implies that  $C_j \perp C_j$  (or equivalently  $C_j = 0$ ) for every  $j \neq j_0$ . We consequently have  $F = \{e\}_{E^\perp}^\perp = C_{j_0}$ .

Finally, if  $r(F) \geq 2$ , then we deduce, via Proposition 5.8 in [27], that there exist minimal tripotents  $e_2, \dots, e_r$  in  $F$  such that  $e, e_2, \dots, e_r$  is a frame in  $F$ . For each  $i \in \{2, \dots, r\}$ ,  $e_i$  is orthogonal to  $e$  and lies in  $F = \{e\}_{E^\perp}^\perp$ , which is impossible. ■

Let  $T : E \rightarrow F$  be a linear map between two  $JB^*$ -triples. We shall say that  $T$  is *orthogonality preserving* if  $T(x) \perp T(y)$  whenever  $x \perp y$ . The mapping  $T$  is said to be *biorthogonality preserving* whenever the equivalence

$$x \perp y \Leftrightarrow T(x) \perp T(y)$$

holds for all  $x, y$  in  $E$ .

It can be easily seen that every biorthogonality preserving linear mapping  $T : E \rightarrow F$  between  $JB^*$ -triples is injective. Indeed, for each  $x \in E$ , the condition  $T(x) = 0$  implies that  $T(x) \perp T(x)$ , and hence  $x \perp x$ , which gives  $x = 0$ .

Orthogonality preserving bounded linear maps from a  $JB^*$ -algebra to a  $JB^*$ -triple were completely described in [11].

Before stating the result, let us recall some basic definitions. Two elements  $a$  and  $b$  in a  $JB^*$ -algebra  $J$  are said to *operator commute* in  $J$  if the multiplication operators  $M_a$  and  $M_b$  commute, where  $M_a$  is defined by  $M_a(x) := a \circ x$ . That is,  $a$  and  $b$  operator commute if and only if  $(a \circ x) \circ b = a \circ (x \circ b)$  for all  $x$  in  $J$ . Self-adjoint elements  $a$  and  $b$  in  $J$  generate a  $JB^*$ -subalgebra that can be realised as a  $JC^*$ -subalgebra of some  $B(H)$  [36], and, in this realisation,  $a$  and  $b$  commute in the usual sense whenever they operator commute in  $J$  [33, Proposition 1]. Similarly, two self-adjoint elements  $a$  and  $b$  in  $J$  operator commute if and only if  $a^2 \circ b = \{a, a, b\} = \{a, b, a\}$  (i.e.,  $a^2 \circ b = 2(a \circ b) \circ a - a^2 \circ b$ ). If  $b \in J$  we use  $\{b\}'$  to denote the set of elements in  $J$  that operator commute with  $b$ . We shall write  $Z(J) := J'$  for the center of  $J$  (this agrees with the usual notation in von Neumann algebras).

**THEOREM 3.8** ([11, Theorem 4.1]). *Let  $T : J \rightarrow E$  be a bounded linear mapping from a  $JB^*$ -algebra to a  $JB^*$ -triple. For  $h = T^{**}(1)$  and  $r = r(h)$  the following assertions are equivalent:*

- (a)  *$T$  is orthogonality preserving.*
- (b) *There exists a unique Jordan  $*$ -homomorphism  $S : J \rightarrow E_2^{**}(r)$  such that  $S^{**}(1) = r$ ,  $S(J)$  and  $h$  operator commute, and  $T(z) = h \circ_r S(z)$  for all  $z \in J$ .*
- (c)  *$T$  preserves zero triple products, that is,  $\{T(x), T(y), T(z)\} = 0$  whenever  $\{x, y, z\} = 0$ . ■*

The above characterisation proves that the bitranspose of an orthogonality preserving bounded linear mapping from a  $JB^*$ -algebra onto a  $JB^*$ -triple is also orthogonality preserving.

The following theorem was essentially proved in [11]. We include here a sketch of proof for completeness.

**THEOREM 3.9.** *Let  $T : J \rightarrow E$  be a surjective linear operator from a  $JBW^*$ -algebra onto a  $JBW^*$ -triple and let  $h$  denote  $T(1)$ . Then  $T$  is biorthogonality preserving if and only if  $r(h)$  is a unitary tripotent in  $E$ ,  $h$  is an invertible element in the  $JB^*$ -algebra  $E = E_2(r(h))$ , and there exists a Jordan  $*$ -isomorphism  $S : J \rightarrow E = E_2(r(h))$  such that  $S(J) \subseteq \{h\}'$  and  $T = h \circ_{r(h)} S$ . Further, if  $J$  is a factor (i.e.  $Z(J) = \mathbb{C}1$ ) then  $T$  is a scalar multiple of a triple isomorphism.*

*Proof.* The sufficiency is clear. We shall prove the necessity. To this end let  $T : J \rightarrow E$  be a surjective linear operator from a  $JBW^*$ -algebra onto a  $JBW^*$ -triple and let  $h = T(1) \in E$ . We have already seen that every biorthogonality preserving linear mapping between  $JB^*$ -triples is injective. Therefore  $T$  is a linear bijection.

From Corollary 4.1(b) in [11] and its proof, we deduce that

$$T(J_{sa}) \subseteq E_2(r(h))_{sa}, \quad \text{and hence} \quad E = T(J) \subseteq E_2(r(h)) \subseteq E.$$

This implies that  $E = E_2(r(h))$ , which ensures that  $r(h)$  is a unitary tripotent in  $E$ . Since the range tripotent of  $h$ ,  $r(h)$ , is the unit of  $E_2(r(h))$ , and  $h$  is a positive element in the  $JBW^*$ -algebra  $E_2(r(h))$ , we can easily check that  $h$  is invertible in  $E_2(r(h))$ . Furthermore,  $h^{1/2}$  is invertible in  $E_2(r(h))$  with inverse  $h^{-1/2}$ .

The proof of [11, Theorem 4.1] can be literally applied here to show the existence of a Jordan  $*$ -homomorphism  $S : J \rightarrow E = E_2(r(h))$  such that  $S(J) \subseteq \{h\}'$  and  $T = h \circ_{r(h)} S$ . Since, for each  $x \in J$ ,  $h$  and  $S(x)$  operator commute and  $h^{1/2}$  lies in the  $JB^*$ -subalgebra of  $E_2(r(h))$  generated by  $h$ , we can easily check that  $S(x)$  and  $h^{1/2}$  operator commute. Thus,

$$T = h \circ_{r(h)} S = U_{h^{1/2}} S,$$

where  $U_{h^{1/2}} : E_2(r(h)) \rightarrow E_2(r(h))$  is the linear mapping defined by

$$U_{h^{1/2}}(x) = 2(h^{1/2} \circ_{r(h)} x) \circ_{r(h)} h^{1/2} - (h^{1/2} \circ_{r(h)} h^{1/2}) \circ_{r(h)} x.$$

It is well known that  $h^{1/2}$  is invertible if and only if  $U_{h^{1/2}}$  is an invertible operator and, in this case,  $U_{h^{1/2}}^{-1} = U_{h^{-1/2}}$  (cf. [22, Lemma 3.2.10]). Therefore,  $S = U_{h^{-1/2}} T$ . It follows from the bijectivity of  $T$  that  $S$  is a Jordan  $*$ -isomorphism.

Finally, when  $Z(J) = \mathbb{C}1$ , the center of  $E_2(r(h))$  also reduces to  $\mathbb{C}r(h)$ , and since  $h$  is an invertible element in the center of  $E_2(r(h))$ , we deduce that  $T$  is a scalar multiple of a triple isomorphism. ■

PROPOSITION 3.10. *Let  $E_1, E_2$  and  $F$  be three  $JB^*$ -triples (respectively,  $JBW^*$ -triples). Let  $T : E_1 \oplus^\infty E_2 \rightarrow F$  be a biorthogonality preserving linear surjection. Then  $T(E_1)$  and  $T(E_2)$  are norm closed (respectively, weak\* closed) inner ideals of  $F$ ,  $B = T(A_1) \oplus^\infty T(A_2)$ , and for  $j = 1, 2$ ,  $T|_{A_j} : A_j \rightarrow T(A_j)$  is a biorthogonality preserving linear surjection.*

*Proof.* Fix  $j \in \{1, 2\}$ . Since  $E_j = E_j^{\perp\perp}$  and  $T$  is a biorthogonality preserving linear surjection, we deduce that  $T(E_j) = T(E_j^{\perp\perp}) = T(E_j)^{\perp\perp}$ . Lemma 3.1 guarantees that  $T(E_j)$  is a norm closed inner ideal of  $F$  (respectively, a weak\* closed inner ideal of  $F$  whenever  $E_1, E_2$  and  $F$  are  $JBW^*$ -triples). The rest of the assertion follows from Lemma 3.1 and the fact that  $F$  coincides with the orthogonal sum of  $T(E_1)$  and  $T(E_2)$ . ■

**4. Biorthogonality preservers between weakly compact  $JB^*$ -triples.** The following theorem generalises [12, Theorem 5] by proving that biorthogonality preserving linear surjections between  $JB^*$ -triples send minimal tripotents to scalar multiples of minimal tripotents.

THEOREM 4.1. *Let  $T : E \rightarrow F$  be a biorthogonality preserving linear surjection between two  $JB^*$ -triples and let  $e$  be a minimal tripotent in  $E$ . Then  $\|T(e)\|^{-1}T(e) = f_e$  is a minimal tripotent in  $F$ . Further,  $T(E_2(e)) = F_2(f_e)$  and  $T(E_0(e)) = F_0(f_e)$ .*

*Proof.* Since  $T$  is a biorthogonality preserving surjection, the equality

$$T(S_E^\perp) = T(S)_F^\perp$$

holds for every subset  $S$  of  $E$ . Lemma 3.1 ensures that for each minimal tripotent  $e$  in  $E$ ,  $\{T(e)\}_F^{\perp\perp} = T(\{e\}_E^{\perp\perp})$  is a norm closed inner ideal in  $F$ . By Proposition 3.7,  $\{e\}_E^{\perp\perp}$  is a rank-one  $JB^*$ -triple, and hence  $\{T(e)\}_F^{\perp\perp}$  cannot contain two nonzero orthogonal elements. Thus,  $\{T(e)\}_F^{\perp\perp}$  is a rank-one  $JB^*$ -triple.

The arguments given in the proof of Proposition 3.7 above (see also Proposition 4.5.(iii) in [7] and its proof or [4, §3]) show that the inner ideal  $\{T(e)\}_F^{\perp\perp}$  is a rank-one Cartan factor, and hence a type 1 Cartan factor of the form  $L(H, \mathbb{C})$ , where  $H$  is a complex Hilbert space, or a type 2 Cartan factor  $II_3$  (it is known that  $II_3$  is a  $JB^*$ -triple isomorphic to a 3-dimensional complex Hilbert space). This implies that  $\|T(e)\|^{-1}T(e) = f_e$  is a minimal tripotent in  $F$  and  $T(e) = \lambda_e f_e$  for a suitable  $\lambda_e \in \mathbb{C} \setminus \{0\}$ .

The equality  $T(E_2(e)) = F_2(f_e)$  has been proved. Concerning the Peirce zero subspace we have

$$T(E_0(e)) = T(E_2(e)_E^\perp) = T(E_2(e))_F^\perp = F_2(f_e)_F^\perp = F_0(f_e). \quad \blacksquare$$

Let  $H$  and  $H'$  be complex Hilbert spaces. Given  $k \in H'$  and  $h \in H$ , we define  $k \otimes h$  in  $L(H, H')$  by  $k \otimes h(\xi) := (\xi|h)k$ . Then every minimal tripotent

in  $L(H, H')$  can be written in the form  $k \otimes h$ , where  $h$  and  $k$  are norm-one elements in  $H$  and  $H'$ , respectively. It can be easily seen that two minimal tripotents  $k_1 \otimes h_1$  and  $k_2 \otimes h_2$  are orthogonal if and only if  $h_1 \perp h_2$  and  $k_1 \perp k_2$ .

**THEOREM 4.2.** *Let  $T : E \rightarrow F$  be a biorthogonality preserving linear surjection between two  $JB^*$ -triples, where  $E$  is a type  $I_{n,m}$  Cartan factor with  $n, m \geq 2$ . Then there exists a positive real number  $\lambda$  such that  $\|T(e)\| = \lambda$  for every minimal tripotent  $e$  in  $E$ .*

*Proof.* Let  $H, H'$  be complex Hilbert spaces such that  $E = L(H, H')$ . Let  $e_1 := k_1 \otimes h_1$  and  $e_2 := k_2 \otimes h_2$  be two minimal tripotents in  $E$ . We write  $H_1 = \text{span}(\{h_1, h_2\})$  and  $H'_1 = \text{span}(\{k_1, k_2\})$ . The tripotents  $k_1 \otimes h_1$  and  $k_2 \otimes h_2$  can be identified with elements in  $L(H_1, H'_1)$ . By Theorem 4.1,  $T(e_1) = \alpha_1 f_1$  and  $T(e_2) = \alpha_2 f_2$ , where  $f_1$  and  $f_2$  are two minimal tripotents in  $F$ .

If  $\dim(H_1) = \dim(H'_1) = 2$ , then the norm closed inner ideal  $E_{e_1, e_2}$  of  $E$  generated by  $e_1$  and  $e_2$  identifies with  $L(H_1, H'_1)$ , which is  $JB^*$ -isomorphic to  $M_2(\mathbb{C})$  and coincides with the inner ideal generated by the orthogonal minimal tripotents  $g_1 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  and  $g_2 = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ , where  $g_1 + g_2$  is the unit element in  $E_{e_1, e_2} \cong M_2(\mathbb{C})$ .

By Theorem 4.1,  $w_1 := \frac{1}{\|T(g_1)\|} T(g_1)$  and  $w_2 := \frac{1}{\|T(g_2)\|} T(g_2)$  are orthogonal minimal tripotents in  $F$ . The element  $w = w_1 + w_2$  is a rank-2 tripotent in  $F$  and coincides with the range tripotent of the element  $h = T(g_1 + g_2) = \|T(g_1)\|w_1 + \|T(g_2)\|w_2$ . By Theorem 3.8 (see also [11, Corollary 4.1(b)]),  $T(E_{e_1, e_2}) \subseteq F_2(w)$ . It is not hard to see that  $h$  is invertible in  $F_2(w)$  with inverse  $h^{-1} = \frac{1}{\|T(g_1)\|} w_1 + \frac{1}{\|T(g_2)\|} w_2$ .

The inner ideal  $E_{e_1, e_2}$  is finite-dimensional,  $T(E_{e_1, e_2})$  is norm closed and  $T|_{E_{e_1, e_2}} : E_{e_1, e_2} \rightarrow F$  is a continuous biorthogonality preserving linear operator. Theorem 3.8 guarantees the existence of a Jordan  $*$ -homomorphism  $S : E_{e_1, e_2} \cong M_2(\mathbb{C}) \rightarrow F_2(w)$  such that  $S(g_1 + g_2) = w$ ,  $S(E_{e_1, e_2})$  and  $h$  operator commute and

$$(4.1) \quad T(z) = h \circ_w S(z) \quad \text{for all } z \in E_{e_1, e_2}.$$

It follows from the operator commutativity of  $h^{-1}$  and  $S(E_{e_1, e_2})$  that  $S(z) = h^{-1} \circ_w T(z)$  for all  $z \in E_{e_1, e_2}$ . The injectivity of  $T$  implies that  $S$  is a Jordan  $*$ -monomorphism.

Lemma 2.7 in [19] shows that  $F_2(w) = F_2(w_1 + w_2)$  coincides with  $\mathbb{C} \oplus^{\ell_\infty} \mathbb{C}$  or with a spin factor. Since  $4 = \dim(T(E_{e_1, e_2})) \leq \dim(F_2(w))$ , we deduce that  $F_2(w)$  is a spin factor with inner product  $(\cdot | \cdot)$  and conjugation  $x \mapsto \bar{x}$ . From Remark 2.1, we may assume, without loss of generality, that  $(w_1 | w_1) = 1/2$ ,  $(w_1 | \bar{w}_1) = 0$ , and  $w_2 = \bar{w}_1$ .

Now, we take  $g_3 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$  and  $g_4 = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$  in  $E_{e_1, e_2}$ . The elements  $w_3 := S(g_3)$  and  $w_4 := S(g_4)$  are orthogonal minimal tripotents in  $F_2(w)$  with  $\{w_i, w_i, w_j\} = \frac{1}{2}w_j$  for every  $(i, j), (j, i) \in \{1, 2\} \times \{3, 4\}$ . Applying again Remark 2.1, we may assume that  $(w_3|w_3) = 1/2$ ,  $(w_3|\bar{w}_3) = 0$ ,  $w_4 = \bar{w}_3$ , and  $(w_3|w_1) = (w_3|w_2) = 0$ . Applying the definition of the triple product in a spin factor given in (2.4) we can check that  $(w_1, w_3, w_2 = \bar{w}_1, w_4 = \bar{w}_3)$  are four minimal tripotents in  $F_2(w)$  with  $w_1 \perp w_2, w_3 \perp w_4, \{w_i, w_i, w_j\} = \frac{1}{2}w_j$  for every  $(i, j), (j, i) \in \{1, 2\} \times \{3, 4\}, \{w_1, w_3, w_2\} = -\frac{1}{2}w_4, \{w_3, w_2, -w_4\} = \frac{1}{2}w_1, \{w_2, -w_4, w_1\} = \frac{1}{2}w_3$ , and  $\{-w_4, w_1, w_3\} = \frac{1}{2}w_2$ . Thus, denoting by  $M$  the  $JB^*$ -subtriple of  $F_2(w)$  generated by  $w_1, w_3, w_2$ , and  $w_4$ , we have shown that  $M$  is a  $JB^*$ -triple isomorphic to  $M_2(\mathbb{C})$ .

Combining (4.1) and (2.4) we get

$$T(g_3) = h \circ_w S(g_3) = \{h, w, w_3\} = \frac{\|T(g_1)\| + \|T(g_2)\|}{2} w_3,$$

$$T(g_4) = h \circ_w S(g_4) = \{h, w, w_4\} = \frac{\|T(g_1)\| + \|T(g_2)\|}{2} w_4.$$

Since  $T(g_1) = \|T(g_1)\|w_1, T(g_2) = \|T(g_2)\|w_2$ , and  $E_{e_1, e_2}$  is linearly generated by  $g_1, g_2, g_3$  and  $g_4$ , we deduce that  $T(E_{e_1, e_2}) \subseteq M$  with  $4 = \dim(T(E_{e_1, e_2})) \leq \dim(M) = 4$ . Thus,  $T(E_{e_1, e_2}) = M$  is a  $JB^*$ -subtriple of  $F$ .

The mapping  $T|_{E_{e_1, e_2}} : E_{e_1, e_2} \cong M_2(\mathbb{C}) \rightarrow T(E_{e_1, e_2})$  is a continuous biorthogonality preserving linear bijection. Theorem 3.9 implies that  $T|_{E_{e_1, e_2}}$  is a (nonzero) scalar multiple of a triple isomorphism, and hence  $\|T(e_1)\| = \|T(e_2)\|$ .

If  $\dim(H'_1) = 1$ , then  $L(H_1, H'_1)$  is a rank-one  $JB^*$ -triple. Since  $n, m \geq 2$ , we can find a minimal tripotent  $e$  in  $E$  such that the norm closed inner ideals of  $E$  generated by  $\{e, e_1\}$  and  $\{e, e_2\}$  both coincide with  $M_2(\mathbb{C})$ . The arguments in the above paragraph show that  $\|T(e_1)\| = \|T(e)\| = \|T(e_2)\|$ .

Finally, the case  $\dim(H_1) = 1$  follows from the same arguments. ■

REMARK 4.3. Given a sequence  $(\mu_n) \subset c_0$  and a bounded sequence  $(x_n)$  in a Banach space  $X$ , the series  $\sum_k \mu_k x_k$  need not be, in general, convergent in  $X$ . However, when  $(x_n)$  is a bounded sequence of mutually orthogonal elements in a  $JB^*$ -triple  $E$ , the equality

$$\left\| \sum_{k=1}^n \mu_k x_k - \sum_{k=1}^m \mu_k x_k \right\| = \max\{|\mu_{n+1}|, \dots, |\mu_m|\} \sup\{\|x_n\|\}$$

holds for every  $n < m$  in  $\mathbb{N}$ . It follows that  $(\sum_{k=1}^n \mu_k x_k)$  is a Cauchy sequence and hence converges in  $E$ .

The following three results generalise [12, Lemmas 8, 9 and Proposition 10] to the setting of  $JB^*$ -triples.

LEMMA 4.4. *Let  $T : E \rightarrow F$  be a biorthogonality preserving linear surjection between two  $JB^*$ -triples and let  $(e_n)$  be a sequence of mutually orthogonal minimal tripotents in  $E$ . Then there exist positive constants  $m \leq M$  satisfying  $m \leq \|T(e_n)\| \leq M$  for all  $n \in \mathbb{N}$ .*

*Proof.* We deduce from Theorem 4.1 that, for each natural  $n$ , there exist a minimal tripotent  $f_n$  and a scalar  $\lambda_n \in \mathbb{C} \setminus \{0\}$  such that  $T(e_n) = \lambda_n f_n$ , where  $\|T(e_n)\| = |\lambda_n|$ . Note that  $T$  being biorthogonality preserving implies  $(f_n)$  is a sequence of mutually orthogonal minimal tripotents in  $F$ .

Let  $(\mu_n)$  be any sequence in  $c_0$ . Since the  $e_n$ 's are mutually orthogonal the series  $\sum_{k \geq 1} \mu_k e_k$  converges to an element in  $E$  (cf. Remark 4.3). For each natural  $n$ ,  $\sum_{k \geq 1} \mu_k e_k$  decomposes as the orthogonal sum of  $\mu_n e_n$  and  $\sum_{k \neq n} \mu_k e_k$ , therefore

$$T\left(\sum_{k \geq 1} \mu_k e_k\right) = \mu_n \lambda_n f_n + T\left(\sum_{k \neq n} \mu_k e_k\right)$$

with  $\mu_n \lambda_n f_n \perp T\left(\sum_{k \neq n} \mu_k e_k\right)$ , which in particular implies

$$\left\|T\left(\sum_{k \geq 1} \mu_k e_k\right)\right\| = \max\left\{|\mu_n| |\lambda_n|, \left\|T\left(\sum_{k \neq n} \mu_k e_k\right)\right\|\right\} \geq |\mu_n| |\lambda_n|.$$

This establishes that, for each  $(\mu_n)$  in  $c_0$ ,  $(\mu_n \lambda_n)$  is a bounded sequence, which in particular implies that  $(\lambda_n)$  is bounded.

Finally, since  $T$  is a biorthogonality preserving linear surjection and  $T^{-1}(f_n) = \lambda_n^{-1} e_n$ , we can similarly show that  $(\lambda_n^{-1})$  is also bounded. ■

LEMMA 4.5. *Let  $T : E \rightarrow F$  be a biorthogonality preserving linear surjection between two  $JB^*$ -triples,  $(\mu_n)$  a sequence in  $c_0$ , and  $(e_n)$  a sequence of mutually orthogonal minimal tripotents in  $E$ . Then the sequence  $(T(\sum_{k \geq n} \mu_k e_k))_n$  is well defined and converges in norm to zero.*

*Proof.* From Theorem 4.1 and Lemma 4.4 it follows that  $(T(e_n))$  is a bounded sequence of mutually orthogonal elements in  $F$ . Let  $M$  denote a bound of the above sequence. For each natural  $n$ , Remark 4.3 ensures that the series  $\sum_{k \geq n} \mu_k e_k$  converges.

Define  $y_n := T(\sum_{k \geq n} \mu_k e_k)$ . We claim that  $(y_n)$  is a Cauchy sequence in  $F$ . Indeed, given  $n < m$  in  $\mathbb{N}$ , we have

$$(4.2) \quad \begin{aligned} \|y_n - y_m\| &= \left\|T\left(\sum_{k \geq n} \mu_k e_k\right)\right\| = \left\|\sum_{k \geq n} \mu_k T(e_k)\right\| \\ &\leq M \max\{|\mu_n|, \dots, |\mu_{m-1}|\}, \end{aligned}$$

where in the last inequality we have used the fact that  $(T(e_n))$  is a sequence of mutually orthogonal elements. Consequently,  $(y_n)$  converges in norm to some element  $y_0$  in  $F$ . Let  $z_0$  denote  $T^{-1}(y_0)$ .

Fix a natural  $m$ . By hypothesis, for each  $n > m$ ,  $e_m$  is orthogonal to  $\sum_{k \geq n} \mu_k e_k$ . This implies that  $T(e_m) \perp y_n$  for every  $n > m$ , which in particular implies  $\{T(e_m), T(e_m), y_n\} = 0$  for every  $n > m$ . Letting  $n$  tend to  $\infty$  we have  $\{T(e_m), T(e_m), y_0\} = 0$ . This shows that  $y_0 = T(z_0)$  is orthogonal to  $T(e_m)$ , and hence  $e_m \perp z_0$ . Since  $m$  was arbitrary, we deduce that  $z_0$  is orthogonal to  $\sum_{k \geq n} \mu_k e_k$  for every  $n$ . Therefore,  $(y_n) \subset \{y_0\}^\perp$ , and hence  $y_0$  belongs to the norm closure of  $\{y_0\}^\perp$ , which implies  $y_0 = 0$ . ■

**PROPOSITION 4.6.** *Let  $T : E \rightarrow F$  be a biorthogonality preserving linear surjection between two  $JB^*$ -triples, where  $E$  is weakly compact. Then  $T$  is continuous if and only if the set  $\mathcal{T} := \{\|T(e)\| : e \text{ a minimal tripotent in } E\}$  is bounded. Moreover, in that case  $\|T\| = \sup(\mathcal{T})$ .*

*Proof.* The necessity being obvious, suppose that

$$M = \sup\{\|T(e)\| : e \text{ a minimal tripotent in } E\} < \infty.$$

Since  $E$  is weakly compact, each nonzero element  $x$  of  $E$  can be written as a norm convergent (possibly finite) sum  $x = \sum_n \lambda_n u_n$ , where  $u_n$  are mutually orthogonal minimal tripotents of  $E$ , and  $\|x\| = \sup\{|\lambda_n| : n \geq 1\}$  (cf. Remark 4.6 in [7]). If the series  $x = \sum_n \lambda_n u_n$  is finite then

$$\|T(x)\| = \left\| \sum_{n=1}^m \lambda_n T(u_n) \right\| \stackrel{(*)}{=} \max\{\|\lambda_n T(u_n)\| : n = 1, \dots, m\} \leq M\|x\|,$$

where at (\*) we apply the fact that  $(T(u_n))$  is a finite set of mutually orthogonal tripotents in  $F$ . When the series  $x = \sum_n \lambda_n u_n$  is infinite we may assume that  $(\lambda_n) \in c_0$ .

It follows from Lemma 4.5 that the sequence  $(T(\sum_{k \geq n} \lambda_k u_k))_n$  is well defined and converges in norm to zero. We can find a natural  $m$  such that  $\|T(\sum_{k \geq m} \lambda_k u_k)\| < M\|x\|$ . Since the elements  $\lambda_1 u_1, \dots, \lambda_{m-1} u_{m-1}$ ,  $\sum_{k \geq m} \lambda_k u_k$  are mutually orthogonal, we have

$$\begin{aligned} \|T(x)\| &= \max \left\{ \|T(\lambda_1 u_1)\|, \dots, \|T(\lambda_{m-1} u_{m-1})\|, \left\| T \left( \sum_{k \geq m} \lambda_k u_k \right) \right\| \right\} \\ &\leq M\|x\|. \quad \blacksquare \end{aligned}$$

Let  $E$  be an elementary  $JB^*$ -triple of type 1 (that is, an elementary  $JB^*$ -triple such that  $E^{**}$  is a type 1 Cartan factor), and let  $T : E \rightarrow F$  be a biorthogonality preserving linear surjection from  $E$  onto another  $JB^*$ -triple. Then by Theorem 4.2 and Proposition 4.6,  $T$  is continuous. Further, we claim that  $T$  is a scalar multiple of a triple isomorphism. Indeed, let us see that  $S = (1/\lambda)T$  is a triple isomorphism, where  $\lambda = \|T(e)\| = \|T\|$  for some (and hence any) minimal tripotent  $e$  in  $E$  (cf. Theorem 4.2). Let  $x \in E$ . Then  $x = \sum_n \lambda_n e_n$  for a suitable  $(\lambda_n) \in c_0$  and a family of mutually orthogonal minimal tripotents  $(e_n)$  in  $E$  [7, Remark 4.6]. Then by observing that  $T$  is

continuous we have

$$\begin{aligned} \|S(x)\| &= \frac{1}{\lambda} \|T(x)\| = \frac{1}{\lambda} \left\| T\left(\sum_n \lambda_n e_n\right) \right\| = \frac{1}{\lambda} \left\| \sum_n \lambda_n T(e_n) \right\| \\ &= \frac{1}{\lambda} \sup_n |\lambda_n| \|T(e_n)\| = \frac{1}{\lambda} \sup_n |\lambda_n| \lambda = \sup_n |\lambda_n| = \|x\|. \end{aligned}$$

This proves that  $S$  is a surjective linear isometry between  $JB^*$ -triples, and hence a triple isomorphism (see [26, Proposition 5.5], [5, Corollary 3.4], [18, Theorem 2.2]). We have thus proved the following result:

**COROLLARY 4.7.** *Let  $T : E \rightarrow F$  a biorthogonality preserving linear surjection from a type 1 elementary  $JB^*$ -triple of rank greater than one onto another  $JB^*$ -triple. Then  $T$  is a scalar multiple of a triple isomorphism. ■*

Let  $p$  and  $q$  be two minimal projections in a  $C^*$ -algebra  $A$  with  $q \neq p$ . It is known that the  $C^*$ -subalgebra of  $A$  generated by  $p$  and  $q$  is isometrically isomorphic to  $\mathbb{C} \oplus^\infty \mathbb{C}$  when  $p$  and  $q$  are orthogonal, and isomorphic to  $M_2(\mathbb{C})$  otherwise. More concretely, by [31, Theorem 1.3] (see also [29, §3]), denoting by  $C_{p,q}$  the  $C^*$ -subalgebra of  $A$  generated by  $p$  and  $q$ , we have the following statements:

- (a) If  $p \perp q$  then there exists an isometric  $C^*$ -isomorphism  $\Phi : C_{p,q} \rightarrow \mathbb{C} \oplus^\infty \mathbb{C}$  such that  $\Phi(p) = (1, 0)$  and  $\Phi(q) = (0, 1)$ .
- (b) If  $p$  and  $q$  are not orthogonal then there exist  $0 < t < 1$  and an isometric  $C^*$ -isomorphism  $\Phi : C_{p,q} \rightarrow M_2(\mathbb{C})$  such that

$$\Phi(p) = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad \text{and} \quad \Phi(q) = \begin{pmatrix} t & \sqrt{t(1-t)} \\ \sqrt{t(1-t)} & 1-t \end{pmatrix}.$$

In the setting of  $JB^*$ -algebras we have:

**LEMMA 4.8.** *Let  $p$  and  $q$  be two minimal projections in a  $JB^*$ -algebra  $J$  with  $q \neq p$  and let  $J_{p,q}$  denote the  $JB^*$ -subalgebra of  $J$  generated by  $p$  and  $q$ .*

- (a) *If  $p \perp q$  then there exists an isometric  $JB^*$ -isomorphism  $\Phi : J_{p,q} \rightarrow \mathbb{C} \oplus^\infty \mathbb{C}$  such that  $\Phi(p) = (1, 0)$  and  $\Phi(q) = (0, 1)$ .*
- (b) *If  $p$  and  $q$  are not orthogonal then there exist  $0 < t < 1$  and an isometric  $JB^*$ -isomorphism  $\Phi : C \rightarrow S_2(\mathbb{C})$  such that*

$$\Phi(p) = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad \text{and} \quad \Phi(q) = \begin{pmatrix} t & \sqrt{t(1-t)} \\ \sqrt{t(1-t)} & 1-t \end{pmatrix},$$

where  $S_2(\mathbb{C})$  denotes the type 3 Cartan factor of all symmetric operators on a two-dimensional complex Hilbert space.

Moreover, the  $JB^*$ -subtriple of  $J$  generated by  $p$  and  $q$  coincides with  $J_{p,q}$ .



*Proof.* Statement (a) is clear. Now assume that  $p$  and  $q$  are not orthogonal. The Shirshov–Cohn theorem (see [22, Theorem 7.2.5]) ensures that  $J_{p,q}$  is a  $J\mathcal{C}^*$ -algebra, that is, a Jordan  $*$ -subalgebra of some  $C^*$ -algebra  $A$ . The symbol  $C_{p,q}$  will stand for the (associative)  $C^*$ -subalgebra of  $A$  generated by  $p$  and  $q$ . Set

$$P := \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad \text{and} \quad Q := \begin{pmatrix} t & \sqrt{t(1-t)} \\ \sqrt{t(1-t)} & 1-t \end{pmatrix}.$$

We have already mentioned that there exist  $0 < t < 1$  and an isometric  $C^*$ -isomorphism  $\Phi : C_{p,q} \rightarrow M_2(\mathbb{C})$  such that  $\Phi(p) = P$  and  $\Phi(q) = Q$ .

Since  $J_{p,q}$  is a Jordan  $*$ -subalgebra of  $C_{p,q}$ ,  $J_{p,q}$  can be identified with the Jordan  $*$ -subalgebra of  $M_2(\mathbb{C})$  generated by the matrices  $P$  and  $Q$ . It can be easily checked that

$$\begin{aligned} P \circ Q &= \begin{pmatrix} t & \frac{1}{2}\sqrt{t(1-t)} \\ \frac{1}{2}\sqrt{t(1-t)} & 0 \end{pmatrix}, \\ 2P \circ Q - 2tP &= \begin{pmatrix} 0 & \sqrt{t(1-t)} \\ \sqrt{t(1-t)} & 0 \end{pmatrix}, \\ Q - (2P \circ Q - 2tP) - tP &= \begin{pmatrix} 0 & 0 \\ 0 & 1-t \end{pmatrix}. \end{aligned}$$

These identities show that  $J_{p,q}$  contains the generators of the  $JB^*$ -algebra  $S_2(\mathbb{C})$ , and hence identifies with  $S_2(\mathbb{C})$ .

In order to prove the last assertion, let  $E_{p,q}$  denote the  $JB^*$ -subtriple of  $J$  generated by  $p$  and  $q$ . As  $J_{p,q}$  is itself a subtriple containing  $p$  and  $q$ , we have  $E_{p,q} \subseteq J_{p,q}$ . If  $p \perp q$  then it can easily be seen that  $E_{p,q} \cong \mathbb{C} \oplus^\infty \mathbb{C} \cong J_{p,q}$ . Now assume that  $p$  and  $q$  are not orthogonal.

From Proposition 5 in [20],  $E_{p,q}$  is a  $JB^*$ -triple isometrically isomorphic to  $M_{1,2}(\mathbb{C})$  or  $S_2(\mathbb{C})$ . If  $E_{p,q}$  is a rank-one  $JB^*$ -triple, that is,  $E \cong M_{1,2}(\mathbb{C})$ , then  $P_0(p)(q)$  must be zero. Thus, according to the above representation, we have  $1-t=0$ , which is impossible. ■

A  $JB^*$ -algebra which is a weakly compact  $JB^*$ -triple will be called *weakly compact* or *dual* (see [6]). Every positive element  $x$  in a weakly compact  $JB^*$ -algebra  $J$  can be written in the form  $x = \sum_n \lambda_n p_n$  for a suitable  $(\lambda_n) \in c_0$  and a family  $(p_n)$  of mutually orthogonal minimal projections in  $J$  (see Theorem 3.3 in [6]).

Our next theorem extends [12, Theorem 11].

**THEOREM 4.9.** *Let  $T : J \rightarrow E$  be a biorthogonality preserving linear surjection from a weakly compact  $JB^*$ -algebra onto a  $JB^*$ -triple. Then  $T$  is continuous and  $\|T\| \leq 2 \sup\{\|T(p)\| : p \text{ a minimal projection in } J\}$ .*

*Proof.* Since  $J$  is a  $JB^*$ -algebra, it is enough to show that  $T$  is bounded on positive norm-one elements. In this case, it suffices to prove that the set

$$\mathcal{P} = \{\|T(p)\| : p \text{ a minimal projection in } J\}$$

is bounded (cf. the proof of Proposition 4.6).

Suppose, on the contrary, that  $\mathcal{P}$  is unbounded. We shall show by induction that there exists a sequence  $(p_n)$  of mutually orthogonal minimal projections in  $J$  such that  $\|T(p_n)\| > n$ .

The case  $n = 1$  is clear. The induction hypothesis guarantees the existence of mutually orthogonal minimal projections  $p_1, \dots, p_n$  in  $J$  with  $\|T(p_k)\| > k$  for all  $k \in \{1, \dots, n\}$ .

By assumption, there exists a minimal projection  $q \in J$  satisfying

$$\|T(q)\| > \max\{\|T(p_1)\|, \dots, \|T(p_n)\|, n + 1\}.$$

We claim that  $q$  must be orthogonal to each  $p_j$ . If that is not the case, there exists  $j$  such that  $p_j$  and  $q$  are not orthogonal. Let  $C$  denote the  $JB^*$ -subtriple of  $J$  generated by  $q$  and  $p_j$ . We conclude from Lemma 4.8 that  $C$  is isomorphic to the  $JB^*$ -algebra  $S_2(\mathbb{C})$ .

Let  $g_1 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  and  $g_2 = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ . Then  $g_1 + g_2$  is the unit element in  $C \cong S_2(\mathbb{C})$ . By Theorem 4.1,  $w_1 := \frac{1}{\|T(g_1)\|}T(g_1)$  and  $w_2 := \frac{1}{\|T(g_2)\|}T(g_2)$  are two orthogonal minimal tripotents in  $E$ . The element  $w = w_1 + w_2$  is a rank-2 tripotent in  $E$  and coincides with the range tripotent of the element  $h = T(g_1 + g_2) = \|T(g_1)\|w_1 + \|T(g_2)\|w_2$ . Furthermore,  $h$  is invertible in  $E_2(w)$ , and by Theorem 3.8 (see also [11, Corollary 4.1(b)]),  $T(C) \subseteq E_2(w)$ .

The rest of the argument is parallel to the argument in the proof of Theorem 4.2.

The finite-dimensionality of the  $JB^*$ -subtriple  $C$  ensures that  $T(C)$  is norm closed and  $T|_C : C \cong S_2(\mathbb{C}) \rightarrow E$  is a continuous biorthogonality preserving linear operator. Theorem 3.8 guarantees the existence of a Jordan  $*$ -homomorphism  $S : C \rightarrow E_2(w)$  such that  $S(g_1 + g_2) = w$ ,  $S(C)$  and  $h$  operator commute and

$$(4.3) \quad T(z) = h \circ_w S(z) \quad \text{for all } z \in C.$$

It follows from the operator commutativity of  $h^{-1}$  and  $S(C)$  that  $S(z) = h^{-1} \circ_w T(z)$  for all  $z \in C$ . The injectivity of  $T$  implies that  $S$  is a Jordan  $*$ -monomorphism.

Lemma 2.7 in [19] shows that  $E_2(w) = E_2(w_1 + w_2)$  coincides with  $\mathbb{C} \oplus^{\ell_\infty} \mathbb{C}$  or with a spin factor. Since  $3 = \dim(T(C)) \leq \dim(E_2(w))$ , we deduce that  $E_2(w)$  is a spin factor with inner product  $(\cdot|\cdot)$  and conjugation  $x \mapsto \bar{x}$ . We may assume, by Remark 2.1, that  $(w_1|w_1) = 1/2$ ,  $(w_1|\bar{w}_1) = 0$ , and  $w_2 = \bar{w}_1$ .

Now, taking  $g_3 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \in C \cong S_2(\mathbb{C})$ , the element  $w_3 := S(g_3)$  is a tripotent in  $E_2(w)$  with  $\{w_i, w_i, w_3\} = \frac{1}{2}w_3$  for every  $i \in \{1, 2\}$ . Remark 2.1 implies that  $(w_3|w_1) = (w_3|w_2) = 0$ . Let  $M$  denote the  $JB^*$ -subtriple of  $E_2(w)$  generated by  $w_1, w_2$ , and  $w_3$ . The mapping  $S : C \cong S_2(\mathbb{C}) \rightarrow M$  is a Jordan  $*$ -isomorphism.

Combining (4.3) and (2.4) we get

$$T(g_3) = h \circ_w S(g_3) = \{h, w, w_3\} = \frac{\|T(g_1)\| + \|T(g_2)\|}{2} w_3.$$

Since  $T(g_1) = \|T(g_1)\|w_1$ ,  $T(g_2) = \|T(g_2)\|w_2$ , and  $C$  is linearly generated by  $g_1, g_2$  and  $g_3$ , we deduce that  $T(C) \subseteq M$  with  $3 = \dim(T(C)) \leq \dim(M) = 3$ . Thus,  $T(C) = M$  is a  $JB^*$ -subtriple of  $E$ .

The mapping  $T|_C : C \cong S_2(\mathbb{C}) \rightarrow T(C)$  is a continuous biorthogonality preserving linear bijection. Theorem 3.9 guarantees the existence of a scalar  $\lambda \in \mathbb{C} \setminus \{0\}$  and a triple isomorphism  $\Psi : C \rightarrow T(C)$  such that  $T(x) = \lambda\Psi(x)$  for all  $x \in C$ . Since  $p_j$  and  $q$  are projections,  $\|\Psi(q)\| = \|\Psi(p_j)\| = 1$ . Hence  $\|T(p_j)\| = |\lambda|$  and  $\|T(q)\| = |\lambda|$ , contradicting the induction hypothesis. Therefore  $q \perp p_j$  for every  $j = 1, \dots, n$ .

It follows by induction that there exists a sequence  $(p_n)$  of mutually orthogonal minimal projections in  $J$  such that  $\|T(p_n)\| > n$ . The series  $\sum_{n=1}^\infty (1/\sqrt{n})p_n$  defines an element  $a$  in  $J$  (cf. Remark 4.3). For each natural  $m$ ,  $a$  decomposes as the orthogonal sum of  $(1/\sqrt{m})p_m$  and  $\sum_{n \neq m} (1/\sqrt{n})p_n$ , therefore

$$T(a) = \frac{1}{\sqrt{m}}T(p_m) + T\left(\sum_{n \neq m} \frac{1}{\sqrt{n}}p_n\right),$$

with orthogonal summands. This argument implies that

$$\|T(a)\| = \max\left\{\frac{1}{\sqrt{m}}\|T(p_m)\|, \left\|T\left(\sum_{n \neq m} \frac{1}{\sqrt{n}}p_n\right)\right\|\right\} > \sqrt{m}.$$

Since  $m$  was arbitrary, we have arrived at the desired contradiction. ■

By Proposition 2 in [23], every Cartan factor of type 1 with  $\dim(H) = \dim(H')$ , every Cartan factor of type 2 with  $\dim(H)$  even or infinite, and every Cartan factor of type 3 is a  $JBW^*$ -algebra factor for a suitable Jordan product and involution. In the case of  $C$  being a Cartan factor which is also a  $JBW^*$ -algebra, the corresponding elementary  $JB^*$ -triple  $K(C)$  is a weakly compact  $JB^*$ -algebra.

**COROLLARY 4.10.** *Let  $K$  be an elementary  $JB^*$ -triple of type 1 with  $\dim(H) = \dim(H')$ , or of type 2 with  $\dim(H)$  even or infinite, or of type 3. Suppose that  $T : K \rightarrow E$  is a biorthogonality preserving linear surjection from  $K$  onto a  $JB^*$ -triple. Then  $T$  is continuous. Further, since  $K^{**}$  is a*

*JBW\*-algebra factor, Theorem 3.9 ensures that  $T$  is a scalar multiple of a triple isomorphism. ■*

**THEOREM 4.11.** *Let  $T : E \rightarrow F$  be a biorthogonality preserving linear surjection between  $JB^*$ -triples, where  $E$  is weakly compact containing no infinite-dimensional rank-one summands. Then  $T$  is continuous.*

*Proof.* Since  $E$  is a weakly compact  $JB^*$ -triple, the statement follows from Proposition 4.6 as soon as we prove that the set

$$\mathcal{T} := \{\|T(e)\| : e \text{ a minimal tripotent in } E\}$$

is bounded.

We know that  $E = \bigoplus_{\alpha \in \Gamma}^{c_0} K_\alpha$ , where  $\{K_\alpha : \alpha \in \Gamma\}$  is a family of elementary  $JB^*$ -triples (see Lemma 3.3 in [7]). Now, Lemma 3.1 guarantees that  $T(K_\alpha) = T(K_\alpha^{\perp\perp}) = T(K_\alpha)^{\perp\perp}$  is a norm closed inner ideal for every  $\alpha \in \Gamma$ .

For each  $\alpha \in \Gamma$ ,  $K_\alpha$  is finite-dimensional, or a type 1 elementary  $JB^*$ -triple of rank greater than one, or a  $JB^*$ -algebra. It follows, by Corollary 4.7 and Theorem 4.9, that  $T|_{K_\alpha} : K_\alpha \rightarrow T(K_\alpha)$  is continuous.

Suppose that  $\mathcal{T}$  is unbounded. Having in mind that every minimal tripotent in  $E$  belongs to a unique factor  $K_\alpha$ , by Proposition 4.6, there exists a sequence  $(e_n)$  of mutually orthogonal minimal tripotents in  $E$  such that  $\|T(e_n)\|$  diverges to  $+\infty$ . The element  $z := \sum_{n=1}^\infty \|T(e_n)\|^{-1/2} e_n$  lies in  $E$  and hence  $\|T(z)\| < \infty$ . We fix an arbitrary natural  $m$ . Since  $z - \|T(e_m)\|^{-1/2} e_m$  and  $\|T(e_m)\|^{-1/2} e_m$  are orthogonal, we have

$$T(z - \|T(e_m)\|^{-1/2} e_m) \perp T(\|T(e_m)\|^{-1/2} e_m),$$

and hence

$$\begin{aligned} \|T(z)\| &= \|T(z - \|T(e_m)\|^{-1/2} e_m) + T(\|T(e_m)\|^{-1/2} e_m)\| \\ &= \max\{\|T(z - \|T(e_m)\|^{-1/2} e_m)\|, \|T(e_m)\|^{-1/2} \|T(e_m)\|\} \geq \sqrt{\|T(e_m)\|}, \end{aligned}$$

which contradicts that  $\|T(e_m)\|^{1/2} \rightarrow +\infty$ . Therefore  $\mathcal{T}$  is bounded. ■

**COROLLARY 4.12.** *Let  $T : E \rightarrow F$  be a biorthogonality preserving linear surjection between two  $JB^*$ -triples, where  $K(E)$  contains no infinite-dimensional rank-one summands. Then  $T|_{K(E)} : K(E) \rightarrow K(F)$  is continuous.*

*Proof.* Pick  $x \in K(E)$ . It can be written in the form  $x = \sum_n \lambda_n u_n$ , where  $u_n$  are mutually orthogonal minimal tripotents of  $E$ , and  $\|x\| = \sup\{|\lambda_n| : n \geq 1\}$  (cf. Remark 4.6 in [7]). For each natural  $m$  we define  $y_m := T(\sum_{n \geq m+1} \lambda_n u_n)$ . Theorem 4.1 guarantees that  $T(x_m) = T(\sum_{n=1}^m \lambda_n u_n)$  defines a sequence in  $K(F)$ .

Since, by Lemma 4.5,  $y_m \rightarrow 0$  in norm, we deduce that  $T(x_m) = T(x) - y_m$  tends to  $T(x)$  in norm. Therefore  $T(K(E)) = K(F)$  and  $T|_{K(E)} : K(E) \rightarrow K(F)$  is a biorthogonality preserving linear surjection between weakly compact  $JB^*$ -triples. The result now follows from Theorem 4.11. ■

REMARK 4.13. In Remark 15 of [10] it was already pointed out that the conclusion of Theorem 4.11 is no longer true if we allow  $E$  to have infinite-dimensional rank-one summands. Indeed, let  $E = L(H) \oplus^\infty L(H, \mathbb{C})$ , where  $H$  is an infinite-dimensional complex Hilbert space. We can always find an unbounded bijection  $S : L(H, \mathbb{C}) \rightarrow L(H, \mathbb{C})$ . Since  $L(H, \mathbb{C})$  is a rank-one  $JB^*$ -triple,  $S$  is a biorthogonality preserving linear bijection and the mapping  $T : E \rightarrow E$  given by  $x + y \mapsto x + S(y)$  has the same properties.

COROLLARY 4.14. *Two weakly compact  $JB^*$ -triples containing no rank-one summands are isomorphic if and only if there exists a biorthogonality preserving linear surjection between them.*

### 5. Biorthogonality preservers between atomic $JBW^*$ -triples.

A  $JBW^*$ -triple  $E$  is said to be *atomic* if it coincides with the weak\* closed ideal generated by its minimal tripotents. Every atomic  $JBW^*$ -triple can be written as an  $\ell_\infty$ -sum of Cartan factors [21].

The aim of this section is to study when the existence of a biorthogonality preserving linear surjection between two atomic  $JBW^*$ -triples implies that they are isomorphic (note that continuity is not assumed). We shall establish an automatic continuity result for biorthogonality preserving linear surjections between atomic  $JBW^*$ -triples containing no rank-one factors.

Before dealing with the main result, we survey some results describing the elements in the predual of a Cartan factor. We make use of the description of the predual of  $L(H)$  in terms of the *trace class* operators (cf. [32, §II.1]). The results, included here for completeness, are direct consequences of this description but we do not know an explicit reference.

Let  $C = L(H, H')$  be a type 1 Cartan factor. Lemma 2.6 in [30] ensures that each  $\varphi$  in  $C_*$  can be written in the form  $\varphi := \sum_{n=1}^\infty \lambda_n \varphi_n$ , where  $(\lambda_n)$  is a sequence in  $\ell_1^+$  and each  $\varphi_n$  is an extreme point of the closed unit ball of  $C_*$ . More concretely, for each natural  $n$  there exist norm-one elements  $h_n \in H$  and  $k_n \in H'$  such that  $\varphi_n(x) = (x(h_n)|k_n)$  for every  $x \in C$ , that is, for each natural  $n$  there exists a minimal tripotent  $e_n$  in  $C$  such that  $P_2(e_n)(x) = \varphi_n(x)e_n$  for every  $x \in C$  (cf. [20, Proposition 4]).

We now consider (infinite-dimensional) type 2 and type 3 Cartan factors. Let  $j$  be a conjugation on a complex Hilbert space  $H$ , and consider the linear involution on  $L(H)$  defined by  $x \mapsto x^t := jx^*j$ . Let  $C_2 = \{x \in L(H) :$

$x^t = -x$ } and  $C_3 = \{x \in L(H) : x^t = x\}$  be Cartan factors of type 2 and 3, respectively.

Noticing that  $L(H) = C_2 \oplus C_3$ , it is easy to see that every element  $\varphi$  in  $(C_2)_*$  (respectively,  $(C_3)_*$ ) admits an extension of the form  $\tilde{\varphi} = \varphi\pi$ , where  $\pi$  denotes the canonical projection of  $L(H)$  onto  $C_2$  (respectively,  $C_3$ ). Making use of [32, Lemma 1.5], we can find an element  $x_{\tilde{\varphi}} \in K(H)$  satisfying

$$(5.1) \quad (x_{\tilde{\varphi}}(h)|k) = \tilde{\varphi}(h \otimes k) \quad (h, k \in H).$$

Since, for each  $x \in L(H)$ ,  $\tilde{\varphi}(x) = \frac{1}{2}\tilde{\varphi}(x - x^t)$ , we can easily check, via (5.1), that  $x_{\tilde{\varphi}}^t = -x_{\tilde{\varphi}}$ . Therefore  $x_{\tilde{\varphi}} \in K_2 = K(C_2)$ . From [7, Remark 4.6] it may be deduced that  $x_{\tilde{\varphi}}$  can be (uniquely) written as a norm convergent (possibly finite) sum  $x_{\tilde{\varphi}} = \sum_n \lambda_n u_n$ , where  $u_n$  are mutually orthogonal minimal tripotents in  $K_2$  and  $(\lambda_n) \in c_0$  (notice that  $u_n$  is a minimal tripotent in  $C_2$  but it need not be minimal in  $L(H)$ ; in any case, either  $u_n$  is minimal in  $L(H)$  or it can be written as a convex combination of two minimal tripotents in  $L(H)$ ). For each  $(\beta_n) \in c_0$ ,  $z := \sum_n \beta_n u_n \in K_2$  and, by (5.1),  $\sum_n \lambda_n \beta_n = \tilde{\varphi}(z) = \varphi(z) < \infty$ . Thus,  $(\lambda_n) \in \ell_1$ , and another application of (5.1) shows that  $\varphi(x) = \sum_n \lambda_n \varphi_n(x)$  for all  $x \in C_2$ , where  $\varphi_n$  lies in  $(C_2)_*$  and satisfies  $P_2(u_n)(x) = \varphi_n(x)u_n$ . A similar reasoning remains true for  $C_3$ .

We have thus proved:

PROPOSITION 5.1. *Let  $C$  be an infinite-dimensional Cartan factor of type 1, 2 or 3. For each  $\varphi$  in  $C_*$ , there exist a sequence  $(\lambda_n) \in \ell_1$  and a sequence  $(u_n)$  of mutually orthogonal minimal tripotents in  $C$  such that*

$$\|\varphi\| = \sum_{n=1}^{\infty} |\lambda_n| \quad \text{and} \quad \varphi(x) = \sum_n \lambda_n \varphi_n(x) \quad (x \in C),$$

where for each  $n \in \mathbb{N}$ ,  $\varphi_n(x)u_n = P_2(u_n)(x)$  ( $x \in C$ ). ■

Let  $T : E \rightarrow F$  be a biorthogonality preserving linear surjection between atomic  $JBW^*$ -triples, where  $E$  contains no rank-one Cartan factors. In this case  $K(E)$  and  $K(F)$  are weakly compact  $JB^*$ -triples with  $K(E)^{**} = E$  and  $K(F)^{**} = F$ . Corollary 4.12 ensures that  $T|_{K(E)} : K(E) \rightarrow K(F)$  is continuous. This is not, a priori, enough to guarantee that  $T$  is continuous. In fact, for each nonreflexive Banach space  $X$  there exists an unbounded linear operator  $S : X^{**} \rightarrow X^{**}$  such that  $S|_X : X \rightarrow X$  is continuous. The main result of this section establishes that a mapping  $T$  as above is automatically continuous.

THEOREM 5.2. *Let  $T : E \rightarrow F$  be a biorthogonality preserving linear surjection between atomic  $JBW^*$ -triples, where  $E$  contains no rank-one Cartan factors. Then  $T$  is continuous.*

*Proof.* Corollary 4.12 ensures that  $T|_{K(E)} : K(E) \rightarrow K(F)$  is continuous. By Lemma 3.3 in [7],  $K(E)$  decomposes as a  $c_0$ -sum of all elementary triple ideals of  $E$ , that is, if  $E = \bigoplus^{\ell_\infty} C_\alpha$ , where each  $C_\alpha$  is a Cartan factor, then  $K(E) = \bigoplus^{c_0} K(C_\alpha)$ . By Proposition 3.10, for each  $\alpha$ ,  $T(K_\alpha)$  (respectively,  $T(C_\alpha)$  is a norm closed (respectively, weak\* closed) inner ideal of  $K(F)$  (respectively,  $F$ ) and  $K(F) = \bigoplus^{c_0} T(K(C_\alpha))$  (respectively,  $F = \bigoplus^{c_0} T(C_\alpha)$ ).

For each  $\alpha$ ,  $C_\alpha$  is either finite-dimensional, or an infinite-dimensional Cartan factor of type 1, 2 or 3. Corollaries 4.7 and 4.10 prove that the operator  $T|_{K(C_\alpha)} : K(C_\alpha) \rightarrow T(K(C_\alpha))$  is a scalar multiple of a triple isomorphism. We claim that, for each  $\alpha$  and each  $\varphi_\alpha$  in the predual of  $T(C_\alpha)$ ,  $\varphi_\alpha T$  is weak\* continuous. There is no loss of generality in assuming that  $C_\alpha$  is infinite-dimensional.

Each minimal tripotent  $f$  in  $F$  lies in a unique elementary  $JB^*$ -triple  $T(K(C_\alpha))$ . Since  $T|_{K(C_\alpha)} : K(C_\alpha) \rightarrow T(K(C_\alpha))$  is a scalar multiple of a triple isomorphism, there exist a nonzero scalar  $\lambda_\alpha$  and a minimal tripotent  $e$  satisfying  $T^{-1}(f) = \lambda_\alpha e$ ,  $|\lambda_\alpha| \leq \|(T|_{K(C_\alpha)})^{-1}\| \leq \|(T|_{K(E)})^{-1}\|$ , and

$$(5.2) \quad T(K(C_\alpha)_i(e)) = T(K(C_\alpha))_i(f)$$

for every  $i = 0, 1, 2$ . Theorem 4.1 shows that  $T((C_\alpha)_i(e)) = T(C_\alpha)_i(f)$  for every  $i = 0, 2$ . Since  $K(E)$  is an ideal of  $E$  and  $e$  is a minimal tripotent,  $(C_\alpha)_1(e) = E_1(e) = K(E)_1(e) = K(C_\alpha)_1(e)$ . It follows from (5.2) that

$$T((C_\alpha)_i(e)) = T((C_\alpha))_i(f)$$

for every  $i = 0, 1, 2$ . Consequently,  $P_2(f)T = \lambda_\alpha^{-1}P_2(e) \in (C_\alpha)_*$ , and  $|\lambda_\alpha^{-1}| \leq \|T|_{K(C_\alpha)}\| \leq \|T|_{K(E)}\|$ .

Since  $f$  was an arbitrary minimal tripotent in  $F$  (equivalently, in  $T(K(C_\alpha))$ ), Proposition 5.1 ensures that  $\varphi_\alpha T \in E_*$  with  $\|\varphi_\alpha T\| \leq \|T|_{K(E)}\|$  for every  $\varphi_\alpha \in (T(C_\alpha))_*$ . Therefore,  $T$  is bounded with

$$\|T\| \leq \|T|_{K(E)}\| \leq \|T\|. \blacksquare$$

**COROLLARY 5.3.** *Two atomic  $JBW^*$ -triples containing no rank-one summands are isomorphic if and only if there is a biorthogonality preserving linear surjection between them. ■*

The conclusion of Theorem 5.2 does not hold for atomic  $JBW^*$ -triples containing rank-one summands.

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