

# Stable rank and real rank of compact transformation group $C^*$ -algebras

by

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**Abstract.** Let  $(G, X)$  be a transformation group, where  $X$  is a locally compact Hausdorff space and  $G$  is a compact group. We investigate the stable rank and the real rank of the transformation group  $C^*$ -algebra  $C_0(X) \rtimes G$ . Explicit formulae are given in the case where  $X$  and  $G$  are second countable and  $X$  is locally of finite  $G$ -orbit type. As a consequence, we calculate the ranks of the group  $C^*$ -algebra  $C^*(\mathbb{R}^n \rtimes G)$ , where  $G$  is a connected closed subgroup of  $SO(n)$  acting on  $\mathbb{R}^n$  by rotation.

**Introduction.** For a  $C^*$ -algebra  $A$ , the stable rank  $\text{sr}(A)$  was introduced by Rieffel [24] with a view to applications in  $K$ -theory. In particular, the condition that  $\text{sr}(A) \leq 2$  is relevant for cancellation in  $K_0(A)$  [2, 25]. The real rank  $\text{RR}(A)$ , defined by Brown and Pedersen [4], is somewhat related to the stable rank but also has some different properties. There are some large classes of  $C^*$ -algebras with real rank zero (see, e.g., [5, Section V.7]). Both the stable rank and the real rank (in particular, the condition  $\text{RR}(A) = 0$ ) have played a significant role in the classification theory of  $C^*$ -algebras [14, 26].

For unital  $A$ , the stable rank  $\text{sr}(A)$  is either  $\infty$  or the smallest possible integer  $n$  such that each  $n$ -tuple in  $A^n$  can be approximated in norm by  $n$ -tuples  $(b_1, \dots, b_n)$  such that  $\sum_{i=1}^n b_i^* b_i$  is invertible. Similarly, the real rank  $\text{RR}(A)$  is either  $\infty$  or the smallest non-negative integer  $n$  such that each  $(n+1)$ -tuple of self-adjoint elements in  $A^{n+1}$  can be approximated in norm by  $(n+1)$ -tuples  $(b_0, b_1, \dots, b_n)$  of self-adjoint elements such that  $\sum_{i=0}^n b_i^2$  is invertible. For non-unital  $A$ , these ranks are defined to be those of the unitization of  $A$ . For the algebra of continuous functions on a compact Hausdorff

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space  $X$  one has  $\text{RR}(C(X)) = \dim X$  and  $\text{sr}(C(X)) = \lfloor (\dim X)/2 \rfloor + 1$ , where  $\dim X$  is the covering dimension of  $X$  (see [23]). Thus the real rank and the stable rank can be considered as non-commutative analogues of the real and complex dimension of topological spaces.

There is now an extensive literature on stable rank and real rank, especially for group  $C^*$ -algebras (see [1, 6, 18, 29, 30] and the references therein). It seems that less is known in general about transformation group  $C^*$ -algebras  $C_0(X) \rtimes G$ , although some important and difficult examples have been calculated. For example, it is known that irrational rotation algebras and Bunce–Deddens algebras have stable rank 1 and real rank 0 (see [5] and the references therein). On the other hand, it has been claimed that if  $G$  is a second countable, connected compact group, and  $X$  is a second countable locally compact Hausdorff space, then  $\text{RR}(C_0(X) \rtimes G) \leq \dim(X/G)$  [21, Theorem 2.1] and  $\text{sr}(C_0(X) \rtimes G) \leq 1 + \lfloor \dim(X/G)/2 \rfloor$  [21, Proposition 2.3]. However, as we shall point out at the end of Section 1, the proofs in [21] contain serious errors. Nevertheless, the above inequality for the stable rank follows from [27, Theorem 3.4 and Proposition 3.1] provided that  $G$  is a compact Lie group and  $X$  is locally of finite  $G$ -orbit type.

In this paper, we establish explicit formulae for the stable rank and the real rank of  $C_0(X) \rtimes G$  when  $G$  is a second countable compact group,  $X$  is a second countable locally compact Hausdorff space and  $X$  is locally of finite  $G$ -orbit type (Theorems 2.3 and 2.4). Let  $\mathcal{H}$  be a representative system of the conjugacy classes of stability groups for the action of  $G$  on  $X$  and, for  $H \in \mathcal{H}$ , let  $X_H$  denote the set of points in  $X$  with stability group equal to  $H$  and let  $N_G(H) = \{g \in G : g^{-1}Hg = H\}$ , the normaliser of  $H$  in  $G$ . We then show, for example, that  $\text{RR}(C_0(X) \rtimes G) = 0$  if and only if  $\dim(X/G) = 0$ , and if  $1 \leq \dim(X/G) < \infty$ , then

$$\text{RR}(C_0(X) \rtimes G) = \max \left\{ 1, \max_{H \in \mathcal{H}} \left\{ \left\lceil \frac{\dim(X_H/N_G(H))}{2[G:H] - 1} \right\rceil \right\} \right\},$$

where  $\frac{d}{\infty}$  is understood as 0. In Theorem 3.1 we apply the results of Section 2 to determine the ranks of  $C_0(\mathbb{R}^n) \rtimes G$ , where  $G$  is a connected closed subgroup of  $\text{SO}(n)$  acting on  $\mathbb{R}^n$  by rotation.

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**1. Preliminaries: transformation groups and covering dimension.** Let  $(G, X)$  be a topological transformation group. That is,  $G$  is a topological group and  $X$  is a Hausdorff space together with a continuous map  $G \times X \rightarrow X$ ,  $(g, x) \mapsto g \cdot x$ , such that  $e \cdot x = x$  and  $h \cdot (g \cdot x) = (hg) \cdot x$  for all  $g, h \in G$  and  $x \in X$ . For  $x \in X$ , let  $S_x$  denote the *stabiliser* of  $x$ , that is,  $S_x = \{g \in G : g \cdot x = x\}$ , and let  $G \cdot x = \{g \cdot x : g \in G\}$  denote

the orbit of  $x$ . The quotient space  $X/G$  is always endowed with the quotient topology. Note that the quotient map  $X \rightarrow X/G$  is continuous and open. If  $G$  is compact then the quotient map is also closed and proper, and  $X/G$  is Hausdorff [3]. The transformation group  $(G, X)$  is said to be *locally compact* if both  $G$  and  $X$  are locally compact.

A subgroup  $S$  of  $G$  is called a *principal stability group* if there exists a dense subset  $D$  of  $X$  such that  $S_x$  is conjugate to  $S$  for every  $x \in D$ . The space  $X$  is of *finite  $G$ -orbit type* if there exist subgroups  $H_1, \dots, H_n$  of  $G$  such that for each  $x \in X$ ,  $S_x$  is conjugate to one of the  $H_j$ . Moreover,  $X$  is said to be *locally of finite  $G$ -orbit type* if every point in  $X$  has a ( $G$ -invariant) neighbourhood which is of finite  $G$ -orbit type. If  $G$  is a compact Lie group and  $X$  is a topological manifold, then  $X$  is locally of finite  $G$ -orbit type [3, Remark after IV.1.2], and if in addition  $X/G$  is connected, then there exists a principal stability group [15].

For every closed subgroup  $H$  of  $G$ , let  $X_H = \{x \in X : S_x = H\}$ . We shall several times use the fact that if  $G$  is compact and  $g^{-1}Hg \subseteq H$  for some  $g \in G$ , then actually  $g^{-1}Hg = H$  so that  $g \in N_G(H)$ . This can be seen as follows. The closed subsemigroup of  $G$  generated by  $g$  is already a group (see [11, (9.28)(a)]). Hence there exists a sequence  $(n_j)_j$  of natural numbers such that  $g^{n_j} \rightarrow e$ . Since

$$g^{-n}Hg^n \subseteq g^{-n+1}Hg^{n-1} \subseteq \dots \subseteq H$$

for all  $n \in \mathbb{N}$ , it follows that  $g^{-1}Hg = H$ .

LEMMA 1.1. *Let  $(G, X)$  be a topological transformation group where  $G$  is compact and  $X$  is of finite  $G$ -orbit type. Then, for some stability group  $S$ , the set  $\{x \in X : S_x \text{ is conjugate to } S\}$  is open in  $X$ .*

*Proof.* Let  $H_1, \dots, H_n$  be representatives of the different conjugacy classes of stability groups. Towards a contradiction, assume that for each  $1 \leq k \leq n$ , there exist  $j_k \in \{1, \dots, n\}$  and  $h_k \in G$  such that  $j_k \neq k$  and  $h_k H_{j_k} h_k^{-1} \subseteq H_k$ . Then, with  $j_0 = 1$ , we find sequences  $(j_k)_k \subseteq \{1, \dots, n\}$  and  $(g_k)_k \subseteq G$  such that  $j_k \neq j_{k-1}$  and

$$H_{j_0} \supseteq g_1 H_{j_1} g_1^{-1} \supseteq \dots \supseteq g_k H_{j_k} g_k^{-1} \supseteq \dots$$

for all  $k \in \mathbb{N}$ . There must exist  $l, k \in \{1, \dots, n\}$  with  $l < k$  and  $j_l = j_k$ . Then  $H_{j_l} \supseteq g_l^{-1} g_k H_{j_l} g_k^{-1} g_l^{-1}$ , which in turn implies that  $g_l H_{j_l} g_l^{-1} = g_k H_{j_k} g_k^{-1}$ . Since  $j_k \neq j_{k-1}$ , we have  $l < k - 1$  and hence

$$g_l H_{j_l} g_l^{-1} \supseteq g_{k-1} H_{j_{k-1}} g_{k-1}^{-1} \supseteq g_k H_{j_k} g_k^{-1}.$$

This shows that  $H_{j_k}$  and  $H_{j_{k-1}}$  are conjugate, a contradiction.

Thus  $H_1$ , say, has the property that  $g H_j g^{-1} \not\subseteq H_1$  for any  $2 \leq j \leq n$  and  $g \in G$ . Let

$$C = \{x \in X : S_x \text{ contains a conjugate of } H_j \text{ for some } 2 \leq j \leq n\}.$$

Then  $C$  is closed in  $X$ . Indeed, let  $(x_\lambda)_\lambda$  be a net in  $C$  converging to some  $x \in X$  and let  $g_\lambda \in G$  and  $j_\lambda \in \{2, \dots, n\}$  be such that  $S_{x_\lambda} \supseteq g_\lambda H_{j_\lambda} g_\lambda^{-1}$ . After passing to a subnet if necessary, we can assume that  $j_\lambda = j$  for all  $\lambda$  and  $g_\lambda \rightarrow g$  for some  $g \in G$ . It follows that  $gH_j g^{-1} \subseteq S_x$ , whence  $x \in C$ .

Finally, observe that

$$X \setminus C = \{x \in X : S_x \text{ is conjugate to } H_1\}.$$

Clearly, if  $x \in X \setminus C$ , then  $S_x$  is not conjugate to any of  $H_2, \dots, H_n$  and hence must be conjugate to  $H_1$ . On the other hand, if  $S_x$  is conjugate to  $H_1$  then, for each  $j \geq 2$ ,  $S_x$  cannot contain a conjugate of  $H_j$  because  $H_1$  does not. ■

Of course, in the setting of Lemma 1.1, if there exists a principal stability group, then the subgroup  $S$  must be one. Note, however, that a principal stability group need not exist when  $X$  is of finite  $G$ -orbit type. A simple example is provided by  $G = \text{SO}(n) \times \text{SO}(m)$  acting by rotation on  $X = (\mathbb{R}^n \times \{0\}) \cup (\{0\} \times \mathbb{R}^m) \subseteq \mathbb{R}^n \times \mathbb{R}^m$  for  $m, n \geq 3$ .

Let  $\mathcal{K}(G)$  denote the set of all closed subgroups of  $G$  endowed with Fell's topology [9]. Recall that a base for this topology is formed by the sets

$$U(C, \mathcal{F}) = \{H \in \mathcal{K}(G) : H \cap C = \emptyset, H \cap V \neq \emptyset \text{ for all } V \in \mathcal{F}\},$$

where  $C$  is a compact subset of  $G$  and  $\mathcal{F}$  is a finite family of non-empty open subsets of  $G$ . The following lemma might well be known. We include the short proof for the reader's convenience.

**LEMMA 1.2.** *Let  $(G, X)$  be a topological transformation group with  $G$  compact. Suppose there exists a closed subgroup  $H$  of  $G$  such that the stabiliser of every point in  $X$  is conjugate to  $H$ . Then the stabiliser map  $x \mapsto S_x$  from  $X$  into  $\mathcal{K}(G)$  is continuous.*

*Proof.* It suffices to show that if  $(x_\lambda)_\lambda$  is any net in  $X$  converging to some  $x \in X$ , then for some subnet of  $(x_\lambda)_\lambda$ , the stability groups converge to  $S_x$  in  $\mathcal{K}(G)$ . Choose  $g_\lambda \in G$  and  $g \in G$  such that  $S_{x_\lambda} = g_\lambda^{-1} H g_\lambda$  for all  $\lambda$  and  $S_x = gHg^{-1}$ . Since  $G$  is compact, after passing to a subnet if necessary, we can assume that  $g_\lambda \rightarrow g_0$  for some  $g_0 \in G$ . Then, since  $H$  is compact,  $g_\lambda^{-1} H g_\lambda \rightarrow g_0^{-1} H g_0$  by definition of the topology on  $\mathcal{K}(G)$ . On the other hand, for  $h \in H$ ,  $(g_\lambda^{-1} h g_\lambda) \cdot x_\lambda = x_\lambda \rightarrow x$ . It follows that  $(g_0 g)^{-1} H g_0 g \subseteq H$ , and this implies that  $g_0^{-1} H g_0 = gHg^{-1} = S_x$ , as was to be shown. ■

Similar arguments show that if  $G$  has a principal stability subgroup  $S$  (for example if  $G$  is a closed subgroup of  $\text{SO}(n)$  acting on  $\mathbb{R}^n \setminus \{0\}$  by rotation) then continuity of the stabiliser map implies that every stability subgroup is conjugate to  $S$ .

**COROLLARY 1.3.** *Suppose that  $(G, X)$  is a locally compact transformation group where  $G$  is compact and  $X$  is locally of finite  $G$ -orbit type. Then*

for any closed subgroup  $H$  of  $G$ , the space  $X_H$  (with the relative topology) is locally compact.

*Proof.* Suppose first of all that  $X$  is of finite  $G$ -orbit type. By Lemma 1.1 there is a representative system  $H_1, \dots, H_r$  of the conjugacy classes of stability groups such that the  $G$ -invariant sets

$$V_j = \{x \in X : S_x \text{ is conjugate to } H_j\}$$

have the property that  $V_1$  is open in  $X$  (and hence is locally compact) and for  $1 \leq j \leq r-1$ ,  $V_{j+1}$  is open in  $X \setminus \bigcup_{k=1}^j V_k$ . Since  $X \setminus \bigcup_{k=1}^j V_k$  is closed in  $X$ , it follows that  $V_{j+1}$  is locally compact. For  $1 \leq j \leq r$ , the stabiliser map  $x \mapsto S_x$  is continuous on  $V_j$  by Lemma 1.2. Therefore  $X_{H_j}$  is closed in  $V_j$  and hence locally compact.

For the general case, suppose that  $H$  is a closed subgroup of  $G$  and that  $x \in X_H$ . Let  $U$  be a relatively compact,  $G$ -invariant open neighbourhood of  $x$  in  $X$ . Then  $U$  is of finite orbit type, and hence  $X_H \cap U = U_H$  is a locally compact space. So there is a compact set  $N$  which is a neighbourhood of  $x$  in the space  $X_H \cap U$ . Since  $U$  is open in  $X$ ,  $N$  is a neighbourhood of  $x$  in the space  $X_H$ . ■

For any topological space  $X$ , let  $\mathcal{C}(X)$  denote the set of all compact subsets of  $X$ . Our general reference for the covering dimension  $\dim X$  of  $X$  is [23].

LEMMA 1.4. *Let  $X$  be a locally compact Hausdorff space and  $Y$  a discrete space. Let  $G$  be a compact group acting on  $X$  and  $Y$  and hence on the product space  $X \times Y$ . Then*

$$\sup\{\dim C : C \in \mathcal{C}((X \times Y)/G)\} = \sup\{\dim K : K \in \mathcal{C}(X/G)\}.$$

*Proof.* Since  $Y$  is discrete,  $(X \times Y)/G$  is a disjoint union of open subsets  $(X \times G \cdot y)/G$ ,  $y \in Y$ . Hence, for any  $C \in \mathcal{C}((X \times Y)/G)$ , since  $C$  meets only finitely many of the disjoint sets  $(X \times G \cdot y)/G$ ,

$$\dim C = \max\{\dim(C \cap (X \times G \cdot y)/G) : y \in Y\}.$$

To prove the lemma, we can therefore assume that  $Y = G \cdot y$  for some  $y \in Y$ . Since  $G$  is compact,  $Y$  is finite and the normal subgroup  $H$  of  $G$  defined by

$$H = \{g \in G : g \cdot z = z \text{ for all } z \in Y\}$$

has finite index in  $G$ . Now, since the action of  $H$  on  $Y$  is trivial, the spaces  $(X \times Y)/H$  and  $(X/H) \times Y$  are canonically homeomorphic. We conclude that, replacing  $X$  with  $X/H$  and  $G$  with  $G/H$ , it suffices to prove the lemma in the case of a finite group  $G$ .

Let  $q_X : X \rightarrow X/G$  and  $q_{X \times Y} : X \times Y \rightarrow (X \times Y)/G$  denote the quotient maps. Both  $q_X$  and  $q_{X \times Y}$  are continuous, open, proper surjections. Now, if  $K \in \mathcal{C}(X/G)$  then  $q_X^{-1}(K)$  is a compact space and  $q_X^{-1}(t)$  is finite for every

$t \in X/G$ . Since compact spaces are paracompact,  $\dim K = \dim q_X^{-1}(K)$  by [23, Chapter 9, Proposition 2.16]. Let  $C = q_{X \times Y}(q_X^{-1}(K) \times Y)$ . Then using [23, 9.2.16] again, we obtain

$$\dim C = \dim(q_X^{-1}(K) \times Y) = \dim(q_X^{-1}(K)).$$

Conversely, let  $C \in \mathcal{C}((X \times Y)/G)$  be given. Then  $q_{X \times Y}^{-1}(C)$  is compact and hence equals  $\bigcup_{j=1}^n X_j \times \{y_j\}$ , where  $y_1, \dots, y_n$  are distinct elements of  $Y$  and  $X_1, \dots, X_n$  are compact subsets of  $X$ . Since the sets  $X \times \{y\}$ ,  $y \in Y$ , are disjoint and clopen,

$$\dim q_{X \times Y}^{-1}(C) = \max_{1 \leq j \leq n} \dim(X_j \times \{y_j\}) = \dim X_{j_0},$$

say. Let  $K = q_X(X_{j_0})$ ; then applying [23, 9.2.16] twice more, we get

$$\dim C = \dim q_{X \times Y}^{-1}(C) = \dim X_{j_0} \leq \dim q_X^{-1}(K) = \dim K,$$

as required. ■

LEMMA 1.5. *Let  $(G, X)$  be a locally compact transformation group where  $G$  is compact, and let  $H$  be a closed subgroup of  $G$ . Then*

- (i)  $X_H/N_G(H)$  is homeomorphic to  $G \cdot X_H/G$ ;
- (ii) if  $X/G$  is second countable and  $X$  is locally of finite  $G$ -orbit type, then

$$\dim(X_H/N_G(H)) \leq \dim(X/G).$$

*Proof.* (i) Define a map  $\phi$  from  $X_H/N_G(H)$  into  $X/G$  by  $\phi(N_G(H) \cdot x) = G \cdot x$ . Then  $\phi$  is continuous since the quotient map  $X \rightarrow X/G$  is continuous and the quotient map  $X_H \rightarrow X_H/N_G(H)$  is open. If  $x_1, x_2 \in X_H$  are such that  $x_2 = g \cdot x_1$  for some  $g \in G$ , then

$$H = S_{x_2} = gS_{x_1}g^{-1} = gHg^{-1}$$

and hence  $g \in N_G(H)$ . Thus  $\phi$  is injective and its range is the subspace  $G \cdot X_H/G$  of  $X/G$ . To prove that  $\phi : X_H/N_G(H) \rightarrow G \cdot X_H/G$  is open, let  $U$  be an open subset of  $X_H/N_G(H)$ , and let  $q_1 : X_H \rightarrow X_H/N_G(H)$  and  $q_2 : G \cdot X_H \rightarrow G \cdot X_H/G$  denote the quotient maps. Let  $x \in q_2^{-1}(\phi(U))$  and let  $(x_\alpha)_\alpha$  be any net in  $G \cdot X_H$  converging to  $x$ . For each  $\alpha$ ,  $x_\alpha = g_\alpha \cdot y_\alpha$  where  $g_\alpha \in G$ ,  $y_\alpha \in X_H$ . Also, there exists  $y \in q_1^{-1}(U) \subseteq X_H$  such that  $q_2(x) = \phi(q_1(y)) = q_2(y)$ , and so  $x = g_0 \cdot y$  for some  $g_0 \in G$ . Passing to a subnet of  $(g_\alpha)_\alpha$  and to the corresponding subnet of  $(x_\alpha)_\alpha$ , we may assume that  $g_\alpha \rightarrow g_1 \in G$ . Then  $y_\alpha \rightarrow (g_1^{-1}g_0) \cdot y$  and therefore

$$H \subseteq S_{(g_1^{-1}g_0) \cdot y} = (g_1^{-1}g_0)S_y(g_1^{-1}g_0)^{-1} = (g_1^{-1}g_0)H(g_1^{-1}g_0)^{-1}.$$

This implies that  $H = (g_1^{-1}g_0)H(g_1^{-1}g_0)^{-1}$ , whence  $g_1^{-1}g_0 \in N_G(H)$ . Eventually  $(g_0^{-1}g_1) \cdot y_\alpha \in q_1^{-1}(U)$  and so eventually  $q_2(x_\alpha) = q_2(y_\alpha) \in \phi(U)$ . Thus the original net  $(x_\alpha)_\alpha$  is frequently in  $q_2^{-1}(\phi(U))$ . This shows that  $q_2^{-1}(\phi(U))$  is open, and hence so is  $\phi(U)$ .

(ii) Recall that a second countable, locally compact Hausdorff space  $Y$  is normal [23, Chapter 1, Lemma 4.7] and every locally compact subspace  $Z$  of such a space  $Y$  is an  $F_\sigma$ -set in  $Y$  and hence  $\dim Z \leq \dim Y$  [23, Chapter 3, Corollary 6.3]. By (i) and Corollary 1.3, we obtain

$$\dim(X_H/N_G(H)) = \dim(G \cdot X_H/G) \leq \dim(X/G),$$

as required. ■

REMARK 1.6. (i) Let  $X$  be a second countable locally compact Hausdorff space. Then  $\dim X = \sup\{\dim C : C \in \mathcal{C}(X)\}$ . Indeed, each such  $C$  is closed and hence  $\dim C \leq \dim X$ . On the other hand, since  $X$  is normal and  $\sigma$ -compact,  $\dim X \leq \sup\{\dim C : C \in \mathcal{C}(X)\}$  by the countable sum theorem [23, Chapter 3, Theorem 2.5].

(ii) Let  $X$  be a second countable locally compact Hausdorff space, and let  $X_j$ ,  $1 \leq j \leq n$ , be pairwise disjoint subsets of  $X$  such that  $X = \bigcup_{j=1}^n X_j$  and  $\bigcup_{j=k}^n X_j$  is closed in  $X$  for every  $2 \leq k \leq n$ . Then

$$\dim X = \max\{\dim X_j : 1 \leq j \leq n\}.$$

This follows from  $n - 1$  applications of the fact that

$$\dim X = \max\{\dim Y, \dim(X \setminus Y)\}$$

for any closed subset  $Y$  of a second countable, locally compact Hausdorff space  $X$  [23, 3.5.8, 3.6.7, 2.2.9].

Finally, as promised in the introduction, we point out the errors in the proof of [21, Theorem 2.1]. The first one is the claim that, if  $F$  is the set of fixed points in  $X$ , then  $C_0(X \setminus F) \rtimes G$  is isomorphic to  $C_0((X \setminus F)/G) \otimes \mathcal{K}$ , where  $\mathcal{K}$  is the algebra of compact operators on a separable Hilbert space. But this would imply that  $C_0(X \setminus F) \rtimes G$  was a continuous trace  $C^*$ -algebra, which need not be the case (see the example below). The second problem concerns the application of [21, Proposition 1.10] to the short exact sequence which begins  $0 \rightarrow C_0(X \setminus F) \rtimes G \rightarrow C_0(X) \rtimes G \rightarrow \cdots$ . In fact, neither  $C_0(X \setminus F) \rtimes G$  nor  $C_0(X) \rtimes G$  need satisfy the hypotheses of [21, Proposition 1.10]. In particular, in the example below, neither of these algebras has Hausdorff spectrum. In addition, this example illustrates the sets  $X_H$ .

EXAMPLE 1.7. Let  $X = \mathbb{R}^n \times \mathbb{R}^m$ ,  $n, m \geq 3$ , and let  $G = \mathrm{SO}(n) \times \mathrm{SO}(m)$  act on  $X$  in the obvious manner. Then  $F = X_G = \{(0, 0)\}$ . Let  $v = (1, 0, \dots, 0) \in \mathbb{R}^n$  and  $w = (1, 0, \dots, 0) \in \mathbb{R}^m$ , and embed  $\mathrm{SO}(n - 1)$  into  $\mathrm{SO}(n)$  as the subgroup fixing  $v$  and  $\mathrm{SO}(m - 1)$  into  $\mathrm{SO}(m)$  as the subgroup fixing  $w$ . Then for every non-zero  $x \in X$  there exist  $s, t \neq 0$  such that  $x$  belongs to the  $G$ -orbit of one of the vectors  $(tv, sw)$ ,  $(tv, 0)$  and  $(0, sw)$ . Thus, apart from  $G$  itself, there are three conjugacy classes of stability subgroups, with representatives

$$\begin{aligned}
H_1 &= S_{(tv,sw)} = \mathrm{SO}(n-1) \times \mathrm{SO}(m-1), \\
H_2 &= S_{(tv,0)} = \mathrm{SO}(n-1) \times \mathrm{SO}(m), \\
H_3 &= S_{(0,sw)} = \mathrm{SO}(n) \times \mathrm{SO}(m-1).
\end{aligned}$$

Then  $N_G(H_j) = H_j$  for  $1 \leq j \leq 3$  and  $X_{H_1} = \{(tv, sw) : t, s \neq 0\}$ ,  $X_{H_2} = \{(tv, 0) : t \neq 0\}$  and  $X_{H_3} = \{(0, sw) : s \neq 0\}$ .

The fact that  $S_{(tv,w)} = \mathrm{SO}(n-1) \times \mathrm{SO}(m-1)$  for all  $t \neq 0$  shows that the map  $x \mapsto S_x$  is not continuous at  $(0, w)$ . It follows from [7, Corollary 2] that neither  $C_0(X \setminus F) \rtimes G$  nor  $C_0(X) \rtimes G$  has Hausdorff spectrum.

**2. The ranks of compact transformation group  $C^*$ -algebras.** We note here that if  $J$  is a closed ideal of a  $C^*$ -algebra  $A$ , then  $\mathrm{sr}(J), \mathrm{sr}(A/J) \leq \mathrm{sr}(A)$  [24, Section 4] and similarly for the real rank [8, Théorème 1.4]. Also, recall that, for a non-negative real number  $t$ ,  $[t]$  denotes the greatest integer  $n$  such that  $n \leq t$  and  $\lceil t \rceil$  is the least integer  $m$  such that  $m \geq t$ .

Let  $(G, X)$  be a locally compact transformation group and let  $C_0(X) \rtimes G$  denote the associated crossed product  $C^*$ -algebra. We shall frequently use the fact that if  $U$  is an open  $G$ -invariant subset of  $X$  then  $C_0(U) \rtimes G$  embeds in  $C_0(X) \rtimes G$  as a closed ideal with quotient canonically isomorphic to  $C_0(X \setminus U) \rtimes G$ . This is a well known consequence of the universal property of (full) crossed products (see, for example, [13]).

For  $x \in X$  and a representation  $\tau$  of  $S_x$  in the Hilbert space  $\mathcal{H}(\tau)$ , let  $\pi_{x,\tau}$  be the  $*$ -representation of  $C_0(X)$  in  $\mathcal{H}(\tau)$  defined by  $\pi_{x,\tau}(f) = f(x)\mathrm{id}_{\mathcal{H}(\tau)}$ ,  $f \in C_0(X)$ . Then  $(\pi_{x,\tau}, \tau)$  is a covariant representation of  $(C_0(X), S_x, \alpha)$ , where  $\alpha$  denotes the action of  $G$  on  $C_0(X)$ . If  $\tau$  is irreducible, then the induced representation  $\mathrm{ind}_{S_x}^G(\pi_{x,\tau}, \tau)$  of  $C_0(X) \rtimes G$  is irreducible. If  $\sigma$  and  $\tau$  are two irreducible representations of  $S_x$ , then  $\mathrm{ind}_{S_x}^G(\pi_{x,\sigma}, \sigma)$  and  $\mathrm{ind}_{S_x}^G(\pi_{x,\tau}, \tau)$  are equivalent if and only if  $\sigma$  and  $\tau$  are equivalent. Moreover, if  $G$  is compact, then every irreducible representation of  $C_0(X) \rtimes G$  is obtained in this way as an induced representation.

**PROPOSITION 2.1.** *Let  $(G, X)$  be a transformation group, where  $X$  is a locally compact Hausdorff space and  $G$  is a compact group. Suppose that there exists a subgroup  $H$  of  $G$  such that the stabiliser of every point in  $X$  is conjugate to  $H$ .*

(a) *Suppose that  $H$  has finite index in  $G$ . Then*

$$\begin{aligned}
& \text{(i) } \mathrm{sr}(C_0(X) \rtimes G) \\
& \quad = \sup \left\{ 1 + \left\lceil \frac{1}{[G:H]} \left\lfloor \frac{1}{2} \dim C \right\rfloor \right\rceil : C \in \mathcal{C}(X_H/N_G(H)) \right\}; \\
& \text{(ii) } \mathrm{RR}(C_0(X) \rtimes G) = \sup \left\{ \left\lceil \frac{\dim C}{2[G:H] - 1} \right\rceil : C \in \mathcal{C}(X_H/N_G(H)) \right\}.
\end{aligned}$$



Suppose, in addition, that  $X$  is second countable. Then

$$\begin{aligned} \text{(iii)} \quad \text{sr}(C_0(X) \rtimes G) &= 1 + \left\lceil \frac{1}{[G : H]} \left\lfloor \frac{1}{2} \dim(X_H/N_G(H)) \right\rfloor \right\rceil \\ &= 1 + \left\lceil \frac{1}{[G : H]} \left\lfloor \frac{1}{2} \dim(X/G) \right\rfloor \right\rceil; \\ \text{(iv)} \quad \text{RR}(C_0(X) \rtimes G) &= \left\lceil \frac{\dim(X_H/N_G(H))}{2[G : H] - 1} \right\rceil = \left\lceil \frac{\dim(X/G)}{2[G : H] - 1} \right\rceil. \end{aligned}$$

(b) Suppose that  $H$  has infinite index in  $G$  and that both  $X$  and  $G$  are second countable and  $\dim(X/G) < \infty$ . Then

$$\begin{aligned} \text{(i)} \quad \text{sr}(C_0(X) \rtimes G) &= \min\left\{2, 1 + \left\lfloor \frac{1}{2} \dim(X_H/N_G(H)) \right\rfloor\right\} \\ &= \min\left\{2, 1 + \left\lfloor \frac{1}{2} \dim(X/G) \right\rfloor\right\}; \\ \text{(ii)} \quad \text{RR}(C_0(X) \rtimes G) &= \min\{1, \dim(X_H/N_G(H))\} \\ &= \min\{1, \dim(X/G)\}. \end{aligned}$$

*Proof.* Let  $A = C_0(X) \rtimes G$  and note that, since the stabiliser map  $x \mapsto S_x$  from  $X$  into  $\mathcal{K}(G)$  is continuous (Lemma 1.2) and  $G$  is compact,  $A$  has continuous trace [7, Corollary 2]. By hypothesis, for each  $x \in X$  there exists  $g_x \in G$  such that  $S_x = g_x H g_x^{-1}$ . Thus there is a well defined  $G$ -equivariant map  $\pi$  from  $X$  to the left coset space  $G/N_G(H)$  given by  $\pi(x) = g_x N_G(H)$ . Clearly,  $\pi^{-1}(\{eH\}) = X_H$ . An argument similar to (but simpler than) the proof of Lemma 1.2 shows that  $\pi$  is continuous. Hence, by [10, Theorem 17] the two  $C^*$ -algebras  $A$  and  $C_0(X_H) \rtimes N_G(H)$  are Morita equivalent and hence their spectra are homeomorphic. On the other hand, since  $(N_G(H), X_H)$  is a compact transformation group for which all of the stability subgroups are equal to  $H$ , it follows from [32, Corollary 5.12] that the continuous trace  $C^*$ -algebra  $C_0(X_H) \rtimes N_G(H)$  has spectrum homeomorphic to the quotient space  $(X_H \times \widehat{H})/N_G(H)$ . Indeed, elements of  $X_H \times \widehat{H}$  induce irreducible representations of  $C_0(X_H) \rtimes N_G(H)$  as described above, and for  $g \in N_G(H)$ ,  $x \in X$  and  $\tau \in \widehat{H}$ , the representation induced from  $(x, \tau)$  is equivalent to the one induced from  $g \cdot (x, \tau) = (g \cdot x, g \cdot \tau)$ .

Assume first that  $G, H$  and  $X$  satisfy the hypotheses of (b). Then, since  $X$  and  $G$  are second countable,  $A$  is separable and  $\dim \pi = \aleph_0$  for every  $\pi \in \widehat{A}$ . Moreover,  $A$  has continuous trace. By Lemmas 1.4 and 1.5, for every compact subset  $C$  of  $(X_H \times \widehat{H})/N_G(H)$ ,

$$\begin{aligned} \dim C &\leq \sup\{\dim K : K \in \mathcal{C}(X_H/N_G(H))\} \\ &\leq \dim(X_H/N_G(H)) \leq \dim(X/G). \end{aligned}$$

Since  $\widehat{A}$  is a second countable, locally compact Hausdorff space and is homeomorphic to  $(X_H \times \widehat{H})/N_G(H)$ , Lemmas 1.4 and 1.5 and Remark 1.6(i) show that  $\dim \widehat{A} \leq \dim(X/G) < \infty$ . The following formulae are given in [1,

Proposition 1.2(b)]:

$$\begin{aligned} \text{RR}(A) &= \min\{1, \sup\{\dim C : C \in \mathcal{C}(\hat{A})\}\}, \\ \text{sr}(A) &= \min\{2, 1 + \lfloor \tfrac{1}{2} \sup\{\dim C : C \in \mathcal{C}(\hat{A})\} \rfloor\}. \end{aligned}$$

The statements in (b) now follow from Lemmas 1.4 and 1.5 together with Remark 1.6(i) again.

Now suppose that  $H$  has finite index in  $G$ . For  $d \in \mathbb{N}$ , define  $\hat{H}_d = \{\tau \in \hat{H} : \dim \tau = d\}$ . Then  $X_H \times \hat{H}$  is the disjoint union of the open and closed sets  $X_H \times \hat{H}_d$ ,  $d \in \mathbb{N}$ , and each  $X_H \times \hat{H}_d$  is  $N_G(H)$ -invariant. It therefore follows that  $(X_H \times \hat{H})/N_G(H)$  is the disjoint union of open and closed sets  $(X_H \times \hat{H}_d)/N_G(H)$ ,  $d \in \mathbb{N}$ .

Let  $A_d$  denote the closed ideal of  $A$  with  $\hat{A}_d = (X_H \times \hat{H}_d)/N_G(H)$ . Note that points of  $(X_H \times \hat{H}_d)/N_G(H)$  give rise to irreducible representations of  $A$ , with dimension  $d[G : H]$ , by the induction process described at the start of this section. Hence each  $A_d$  is  $d[G : H]$ -homogeneous. Since the sets  $\hat{A}_d$  are open and closed,  $A$  is isomorphic to the  $c_0$ -direct sum of the  $A_d$ . Thus

$$\text{RR}(A) = \sup_{d \in \mathbb{N}} \{\text{RR}(A_d)\}, \quad \text{sr}(A) = \sup_{d \in \mathbb{N}} \{\text{sr}(A_d)\}.$$

Since  $A_d$  is  $d[G : H]$ -homogeneous, the formulae in [1, Proposition 1.2(a)] for the real and stable ranks of an  $n$ -homogeneous  $C^*$ -algebra give

$$\begin{aligned} \text{RR}(A_d) &= \sup \left\{ \left\lfloor \frac{\dim C}{2d[G : H] - 1} \right\rfloor : C \in \mathcal{C}(\hat{A}_d) \right\}, \\ \text{sr}(A_d) &= \sup \left\{ 1 + \left\lfloor \frac{1}{d[G : H]} \left\lfloor \tfrac{1}{2} \dim C \right\rfloor \right\rfloor : C \in \mathcal{C}(\hat{A}_d) \right\}. \end{aligned}$$

Since  $\hat{H}$  is discrete and  $N_G(H)$  is compact, for every  $d \in \mathbb{N}$  with  $\hat{H}_d \neq \emptyset$ ,

$$\sup\{\dim C : C \in \mathcal{C}(\hat{A}_d)\} = \sup\{\dim K : K \in \mathcal{C}(X_H/N_G(H))\}$$

by Lemma 1.4. The formulae in (a)(i),(ii) follow by taking  $d = 1$ , and the formulae in (iii) and (iv) follow from Remark 1.6(i) and Lemma 1.5(i). ■

The following simple lemma, together with the countable sum theorem for the covering dimension, will allow us to obtain results in Theorems 2.3 and 2.4 when  $X$  is only locally of finite  $G$ -orbit type.

**LEMMA 2.2.** *Let  $(G, X)$  be a transformation group, where  $G$  is a compact group and  $X$  is a locally compact Hausdorff space. Then*

- (i)  $\text{sr}(C_0(X) \rtimes G) = \sup\{\text{sr}(C(G \cdot K) \rtimes G) : K \in \mathcal{C}(X)\};$
- (ii)  $\text{RR}(C_0(X) \rtimes G) = \sup\{\text{RR}(C(G \cdot K) \rtimes G) : K \in \mathcal{C}(X)\}.$

*Proof.* Let  $A = C_0(X) \rtimes G$  and let  $\mathcal{V}$  denote the set of all relatively compact open subsets of  $X$ , directed by inclusion. Then  $A$  is the inductive

limit of the family of ideals  $C_0(G \cdot V) \rtimes G$ ,  $V \in \mathcal{V}$ , and hence

$$\mathrm{sr}(A) \leq \sup_{V \in \mathcal{V}} \{\mathrm{sr}(C_0(G \cdot V) \rtimes G)\}, \quad \mathrm{RR}(A) \leq \sup_{V \in \mathcal{V}} \{\mathrm{RR}(C_0(G \cdot V) \rtimes G)\}$$

(compare [24, Theorem 5.1] and [12, Lemma 4.1(i)]). On the other hand,  $C_0(G \cdot V) \rtimes G$  is an ideal of  $C(G \cdot \overline{V}) \rtimes G$ , and for every  $K \in \mathcal{C}(X)$ ,  $C(G \cdot K) \rtimes G$  is a quotient of  $A$ . It follows that

$$\begin{aligned} \mathrm{sr}(A) &\leq \sup_{V \in \mathcal{V}} \{\mathrm{sr}(C_0(G \cdot V) \rtimes G)\} \leq \sup_{V \in \mathcal{V}} \{\mathrm{sr}(C(G \cdot \overline{V}) \rtimes G)\} \\ &\leq \sup_{K \in \mathcal{C}(X)} \{\mathrm{sr}(C(G \cdot K) \rtimes G)\} \leq \mathrm{sr}(A), \end{aligned}$$

and similarly for the real rank. ■

In the following two theorems,  $\mathcal{H}$  denotes a representative system of the conjugacy classes of stability groups, and  $\mathcal{H}_{\mathrm{fin}} = \{H \in \mathcal{H} : [G : H] < \infty\}$ .

**THEOREM 2.3.** *Let  $(G, X)$  be a transformation group, where  $X$  is a locally compact Hausdorff space,  $G$  is a compact group and every stability group has finite index in  $G$ .*

(a) *Suppose that  $X$  is of finite  $G$ -orbit type. Then*

$$\begin{aligned} \text{(i)} \quad &\mathrm{sr}(C_0(X) \rtimes G) \\ &= \max_{H \in \mathcal{H}} \sup \left\{ 1 + \left\lceil \frac{1}{[G : H]} \left\lfloor \frac{1}{2} \dim C \right\rfloor \right\rceil : C \in \mathcal{C}(X_H/N_G(H)) \right\}; \\ \text{(ii)} \quad &\mathrm{RR}(C_0(X) \rtimes G) \\ &= \max_{H \in \mathcal{H}} \sup \left\{ \left\lceil \frac{\dim C}{2[G : H] - 1} \right\rceil : C \in \mathcal{C}(X_H/N_G(H)) \right\}. \end{aligned}$$

(b) *Suppose that  $X$  is second countable and locally of finite  $G$ -orbit type. Then*

$$\begin{aligned} \text{(i)} \quad &\mathrm{sr}(C_0(X) \rtimes G) = \sup_{H \in \mathcal{H}} \left\{ 1 + \left\lceil \frac{1}{[G : H]} \left\lfloor \frac{1}{2} \dim(X_H/N_G(H)) \right\rfloor \right\rceil \right\}; \\ \text{(ii)} \quad &\mathrm{RR}(C_0(X) \rtimes G) = \sup_{H \in \mathcal{H}} \left\{ \left\lceil \frac{\dim(X_H/N_G(H))}{2[G : H] - 1} \right\rceil \right\}. \end{aligned}$$

*Proof.* (a) Let  $A = C_0(X) \rtimes G$ . To prove (i), we proceed by induction on the number of elements in  $\mathcal{H}$ . Notice first that by Lemma 1.1 there exists  $H_0 \in \mathcal{H}_{\mathrm{fin}}$  such that the set  $U = \{x \in X : S_x \text{ is conjugate to } H_0\}$  is open in  $X$ . Then, as in the proof of Proposition 2.1(a), the ideal  $I = C_0(U) \rtimes G$  has continuous trace and is isomorphic to a  $c_0$ -direct sum of homogeneous  $C^*$ -algebras. It follows by [20, Lemma 5(a)] that  $\mathrm{sr}(A) = \max\{\mathrm{sr}(I), \mathrm{sr}(A/I)\}$ .

Now  $\mathrm{sr}(I)$  is given by formula (a)(ii) of Proposition 2.1. Since  $\mathcal{H} \setminus \{H_0\}$  represents the conjugacy classes of stability groups for the action of  $G$  on  $X \setminus U$ , the inductive hypothesis together with  $\mathrm{sr}(A) = \max\{\mathrm{sr}(I), \mathrm{sr}(A/I)\}$  yields the formula in (i).

The argument for part (ii) is very similar, but requires the formula  $\text{RR}(A) = \max\{\text{RR}(I), \text{RR}(A/I)\}$  where the ideal  $I$  is as above. The proof of this formula proceeds as in the case of stable rank [20, Lemma 5(a)], but uses the fact that the real rank does not increase on passing to a closed ideal and replaces the application of [28, Proposition 3.15] by [21, Lemma 1.9]. (Note that in the proof of [21, Lemma 1.9] there is sufficient surjectivity for the argument of [21, Proposition 1.3] to be satisfactorily applied. A full proof of [21, Proposition 1.3] has been given in [19, Proposition 1.6].)

(b) Since  $X$  is a  $\sigma$ -compact, locally compact Hausdorff space, there exists a sequence  $(Y_n)_n$  of  $G$ -invariant compact subsets  $Y_n$  of  $X$  such that every  $G$ -invariant compact subset  $K$  of  $X$  is contained in some  $Y_n$ . Then  $C(K) \rtimes G$  is a quotient of  $C(Y_n) \rtimes G$ , and hence Lemma 2.2 shows that

$$(1) \quad \text{sr}(C_0(X) \rtimes G) = \sup_{n \in \mathbb{N}} \{\text{sr}(C(Y_n) \rtimes G)\},$$

$$(2) \quad \text{RR}(C_0(X) \rtimes G) = \sup_{n \in \mathbb{N}} \{\text{RR}(C(Y_n) \rtimes G)\}.$$

On the other hand, for any  $H \in \mathcal{H}$ ,

$$X_H/N_G(H) = \bigcup_{n \in \mathbb{N}} (Y_n)_H/N_G(H).$$

Furthermore, each  $(Y_n)_H$  is relatively closed in  $X_H$  and the quotient map is closed. By Corollary 1.3,  $X_H/N_G(H)$  is a second countable, locally compact Hausdorff space and hence is normal. By the countable sum theorem

$$(3) \quad \dim(X_H/N_G(H)) = \sup_{n \in \mathbb{N}} \{\dim((Y_n)_H/N_G(H))\}.$$

The results now follow by applying part (a) to each  $Y_n$  and noting that  $\dim((Y_n)_H/N_G(H))$  is the supremum of the dimensions of its compact subsets (Remark 1.6(i)). ■

**THEOREM 2.4.** *Let  $(G, X)$  be a transformation group, where  $X$  is a second countable, locally compact Hausdorff space and  $G$  is a second countable compact group. In addition, suppose that  $\dim(X/G) < \infty$ , that  $X$  is locally of finite  $G$ -orbit type and that  $\mathcal{H}_{\text{fin}} \neq \mathcal{H}$ . Then*

(i)  $\text{sr}(C_0(X) \rtimes G) = 1$  if and only if  $\dim(X/G) \leq 1$  and otherwise

$$\text{sr}(C_0(X) \rtimes G) = \max \left\{ 2, \max_{H \in \mathcal{H}_{\text{fin}}} \left\{ 1 + \left\lceil \frac{1}{[G : H]} \left\lfloor \frac{1}{2} \dim(X_H/N_G(H)) \right\rfloor \right\rceil \right\} \right\},$$

where the right hand side is interpreted as 2 if  $\mathcal{H}_{\text{fin}} = \emptyset$ ;

(ii)  $\text{RR}(C_0(X) \rtimes G) = 0$  if and only if  $\dim(X/G) = 0$  and otherwise

$$\text{RR}(C_0(X) \rtimes G) = \max \left\{ 1, \max_{H \in \mathcal{H}_{\text{fin}}} \left\{ \left\lceil \frac{\dim(X_H/N_G(H))}{2[G : H] - 1} \right\rceil \right\} \right\},$$

where the right hand side is interpreted as 1 if  $\mathcal{H}_{\text{fin}} = \emptyset$ .

*Proof.* Since  $X$  is a  $\sigma$ -compact locally compact Hausdorff space, there exists a sequence  $(Y_n)_n$  of  $G$ -invariant compact subsets  $Y_n$  of  $X$  such that every  $G$ -invariant compact subset  $K$  of  $X$  is contained in some  $Y_n$ . As in the proof of Theorem 2.3(b), we obtain the equations (1), (2) and (3) above. Furthermore, by the countable sum theorem,  $\dim(X/G) = \sup_{n \in \mathbb{N}} \{\dim(Y_n/G)\}$ . Therefore, to prove the formulae of the theorem, we can assume that  $X$  is compact and hence of finite  $G$ -orbit type.

Let  $N$  be a closed normal subgroup of  $G$  of finite index in  $G$  such that  $N \subseteq H$  for all  $H \in \mathcal{H}_{\text{fin}}$ . Then the set

$$X_{\text{fin}} = \{x \in X : [G : S_x] < \infty\} = \{x \in X : S_x \supseteq N\}$$

is closed in  $X$ , and  $X \setminus X_{\text{fin}}$  is a non-empty, open,  $G$ -invariant set. Applying Lemma 1.1 to  $X \setminus X_{\text{fin}}$ , we conclude that there exists  $S \in \mathcal{H} \setminus \mathcal{H}_{\text{fin}}$  such that the set  $U = \{x \in X : S_x \text{ is conjugate to } S\}$  is open in  $X$ . Let  $A = C_0(X) \rtimes G$  and  $I = C_0(U) \rtimes G$ , and let  $\mathcal{V}$  be the collection of all  $G$ -invariant, relatively compact, open subsets  $V$  of  $U$  such that  $\overline{V} \subseteq U$ , directed by inclusion. To each  $V \in \mathcal{V}$  associate the ideals  $I_V = C_0(V) \rtimes G$  and  $J_V = C_0(X \setminus \overline{V}) \rtimes G$ . Then  $I_V \cdot J_V = \{0\}$  for each  $V$ , and since  $\bigcup \{C_0(V) : V \in \mathcal{V}\}$  is dense in  $C_0(U)$ , it follows by standard arguments that  $I = \overline{\bigcup \{I_V : V \in \mathcal{V}\}}$ . Then

$$\text{sr}(A) = \max\{\text{sr}(A/I), \sup_{V \in \mathcal{V}} \{\text{sr}(A/J_V)\}\}$$

by applying [28, Proposition 3.15] to the unitization of  $A$ , and

$$\text{RR}(A) = \max\{\text{RR}(A/I), \sup_{V \in \mathcal{V}} \{\text{RR}(A/J_V)\}\}$$

by [21, Lemma 1.9] (see the remarks in the proof of Theorem 2.3(a)).

Since  $A/J_V$  is isomorphic to  $C(\overline{V}) \rtimes G$ , we obtain

$$\text{sr}(A) = \max\{\text{sr}(A/I), \sup_{V \in \mathcal{V}} \{\text{sr}(C(\overline{V}) \rtimes G)\}\},$$

$$\text{RR}(A) = \max\{\text{RR}(A/I), \sup_{V \in \mathcal{V}} \{\text{RR}(C(\overline{V}) \rtimes G)\}\}.$$

Since  $U$  is a countable union of sets  $\overline{V}$ ,  $X_S = U_S$  is a countable union of relatively closed sets  $\overline{V} \cap X_S = \overline{V}_S$ , and hence the normal space  $X_S/N_G(S)$  (see Corollary 1.3) is a countable union of closed subsets  $\overline{V}_S/N_G(S)$ . Proposition 2.1(b)(i) and the countable sum theorem then imply that

$$\begin{aligned} \text{sr}(A) &= \max\{\text{sr}(A/I), \sup_{V \in \mathcal{V}} \{\min\{2, 1 + \lfloor \tfrac{1}{2} \dim(\overline{V}_S/N_G(S)) \rfloor\}\}\} \\ &= \max\{\text{sr}(A/I), \min\{2, 1 + \sup_{V \in \mathcal{V}} \{\lfloor \tfrac{1}{2} \dim(\overline{V}_S/N_G(S)) \rfloor\}\}\} \\ &= \max\{\text{sr}(A/I), \min\{2, 1 + \lfloor \tfrac{1}{2} \dim(X_S/N_G(S)) \rfloor\}\}. \end{aligned}$$

Similarly, using Proposition 2.1(b)(ii), we obtain

$$\text{RR}(A) = \max\{\text{RR}(A/I), \min\{1, \dim(X_S/N_G(S))\}\}.$$

Proceeding inductively, we find that  $\text{sr}(A)$  is the maximum of  $\text{sr}(C(X_{\text{fin}}) \rtimes G)$  and

$$\max_{H \in \mathcal{H} \setminus \mathcal{H}_{\text{fin}}} \{ \min \{ 2, 1 + \lfloor \tfrac{1}{2} \dim(X_H/N_G(H)) \rfloor \} \}.$$

Similarly,  $\text{RR}(A)$  is the maximum of  $\text{RR}(C(X_{\text{fin}}) \rtimes G)$  and

$$\max_{H \in \mathcal{H} \setminus \mathcal{H}_{\text{fin}}} \{ \min \{ 1, \dim(X_H/N_G(H)) \} \}.$$

Now, by Theorem 2.3,

$$\begin{aligned} \text{sr}(C(X_{\text{fin}}) \rtimes G) &= \max_{H \in \mathcal{H}_{\text{fin}}} \left\{ 1 + \left\lceil \frac{1}{[G:H]} \lfloor \tfrac{1}{2} \dim(X_H/N_G(H)) \rfloor \right\rceil \right\}, \\ \text{RR}(C(X_{\text{fin}}) \rtimes G) &= \max_{H \in \mathcal{H}_{\text{fin}}} \left\{ \left\lceil \frac{\dim(X_H/N_G(H))}{2[G:H] - 1} \right\rceil \right\}. \end{aligned}$$

Recall that, by Lemma 1.5 and Remark 1.6(ii),

$$\dim(X/G) = \max_{H \in \mathcal{H}} \{ \dim(X_H/N_G(H)) \}.$$

The above formulae then immediately show that  $\text{sr}(A) = 1$  if and only if  $\dim(X/G) \leq 1$ , and  $\text{RR}(A) = 0$  if and only if  $\dim(X/G) = 0$ .

Now, assume that  $\dim(X/G) \geq 2$ , so that  $\dim(X_S/N_G(S)) \geq 2$  for at least one  $S \in \mathcal{H}$ . If this happens for some  $S \in \mathcal{H} \setminus \mathcal{H}_{\text{fin}}$ , then

$$\text{sr}(A) = \max \{ 2, \text{sr}(C(X_{\text{fin}}) \rtimes G) \}.$$

Otherwise,  $\dim(X_H/N_G(H)) \leq 1$  for all  $H \in \mathcal{H} \setminus \mathcal{H}_{\text{fin}}$ , and hence

$$\text{sr}(A) = \max \{ 1, \text{sr}(C(X_{\text{fin}}) \rtimes G) \} = \max \{ 2, \text{sr}(C(X_{\text{fin}}) \rtimes G) \}.$$

Finally, if  $\dim(X/G) \geq 1$ , the stated formula for  $\text{RR}(A)$  follows in the same manner. ■

Recall that  $X_G$  is the set of fixed points in  $X$  under the action of  $G$ .

**COROLLARY 2.5.** *Let  $(G, X)$  be a transformation group, where  $X$  is a second countable locally compact Hausdorff space and  $G$  is a second countable compact group. Suppose that  $X$  is locally of finite  $G$ -orbit type and that  $\dim(X/G) < \infty$ . Then*

$$\text{sr}(C_0(X) \rtimes G) \leq 1 + \lfloor \tfrac{1}{2} \dim(X/G) \rfloor.$$

*Suppose, in addition, that  $G$  is connected. If  $\dim(X/G) \leq 1$  then we have  $\text{sr}(C_0(X) \rtimes G) = 1$ , and if  $\dim(X/G) \geq 2$  then*

$$\text{sr}(C_0(X) \rtimes G) = \max \{ 2, 1 + \lfloor \tfrac{1}{2} \dim(X_G) \rfloor \},$$

*unless  $X_G = \emptyset$ , in which case  $\text{sr}(C_0(X) \rtimes G) = 2$ .*

*Proof.* The first inequality follows from Theorem 2.3(b)(i), Theorem 2.4(i) and Lemma 1.5(ii). When  $G$  is connected,  $\mathcal{H}_{\text{fin}}$  is either empty or equal to  $\{G\}$ . If the action is trivial then Theorem 2.3(b)(i) yields  $\text{sr}(C_0(X) \rtimes G) =$

$1 + \lfloor \frac{1}{2} \dim X \rfloor$  (which also follows from the fact that  $C_0(X) \rtimes G \cong C_0(X) \otimes C^*(G)$ ). If the action is non-trivial then Theorem 2.4(i) yields the required results. ■

**COROLLARY 2.6.** *Let  $G$  and  $X$  be as in Corollary 2.5. Then*

$$\mathrm{RR}(C_0(X) \rtimes G) \leq \dim(X/G).$$

*Suppose, in addition, that  $G$  is connected. If  $\dim(X/G) = 0$  then we have  $\mathrm{RR}(C_0(X) \rtimes G) = 0$ , and if  $\dim(X/G) \geq 1$  then*

$$\mathrm{RR}(C_0(X) \rtimes G) = \max\{1, \dim(X/G)\},$$

*unless  $X_G = \emptyset$ , in which case  $\mathrm{RR}(C_0(X) \rtimes G) = 1$ .*

*Proof.* The proof is similar to that of Corollary 2.5, using Theorem 2.3(b)(ii) and Theorem 2.4(ii). ■

**3. Motion group  $C^*$ -algebras.** In [1] we have investigated the real and stable ranks for several classes of group  $C^*$ -algebras. However, these did not include  $C^*$ -algebras of motion groups. We are now able to treat some examples of motion groups as an application of Theorem 2.4.

For  $n \geq 2$ , let  $\mathrm{SO}(n)$  act on  $\mathbb{R}^n$  by rotation. Then  $C_0(\mathbb{R}^n) \rtimes \mathrm{SO}(n)$  is isomorphic to the group  $C^*$ -algebra of the classical motion group  $\mathbb{R}^n \rtimes \mathrm{SO}(n)$ . More generally, let  $K$  be any compact group and let  $\alpha : k \mapsto \alpha_k$  be an injective homomorphism from  $K$  into the automorphism group  $\mathrm{GL}(n, \mathbb{R})$  of  $\mathbb{R}^n$ . Then, by replacing a given scalar product  $\langle \cdot, \cdot \rangle$  on  $\mathbb{R}^n$  by the scalar product  $\langle \cdot, \cdot \rangle_\alpha$  defined by

$$\langle v, w \rangle_\alpha = \int_K \langle \alpha_k(v), \alpha_k(w) \rangle dk, \quad v, w \in \mathbb{R}^n,$$

we can always assume that  $K$  is a subgroup of  $\mathrm{SO}(n)$ .

In what follows, we consider  $\mathrm{SO}(n-1)$  as embedded into  $\mathrm{SO}(n)$  as the subgroup fixing the vector  $v = (1, 0, \dots, 0) \in \mathbb{R}^n$ .

**THEOREM 3.1.** *Let  $G$  be a connected closed subgroup of  $\mathrm{SO}(n)$ , where  $n \geq 2$ , and let  $(\mathbb{R}^n)_G$  be the set of points in  $\mathbb{R}^n$  which are fixed by  $G$ . Then*

- (i)  $\mathrm{sr}(C_0(\mathbb{R}^n) \rtimes G) = 1$  if and only if  $Ga\mathrm{SO}(n-1)a^{-1} = \mathrm{SO}(n)$  for some  $a \in \mathrm{SO}(n)$ , and

$$\mathrm{sr}(C_0(\mathbb{R}^n) \rtimes G) = \max\{2, 1 + \lfloor \frac{1}{2} \dim((\mathbb{R}^n)_G) \rfloor\}$$

*otherwise;*

- (ii)  $\mathrm{RR}(C_0(\mathbb{R}^n) \rtimes G) = \max\{1, \dim((\mathbb{R}^n)_G)\}$ .

*Proof.* Note that  $\dim(\mathbb{R}^n/G) \leq n$  by [22, Theorem 3.16]. Define  $X = \mathbb{R}^n \setminus \{0\}$  and let  $q : X \rightarrow X/G$  denote the quotient map. Since  $X/G$  is

metrizable and  $q$  is a closed surjection,

$$\begin{aligned} n &= \dim X \leq \dim(X/G) + \sup\{\dim(q^{-1}(q(x))) : x \in X\} \\ &= \dim(X/G) + \sup\{\dim(G \cdot x) : x \in X\} \\ &= \dim(X/G) + \sup\{\dim(G/S_x) : x \in X\} \end{aligned}$$

by [23, Chapter 9, Proposition 2.6]. For each  $x \in X$ ,  $S_x = G \cap a\mathrm{SO}(n-1)a^{-1}$  for some  $a \in \mathrm{SO}(n)$ , and conversely every such subgroup of  $G$  occurs as a stability group. Thus

$$n \leq \dim(X/G) + \sup\{\dim(G/(G \cap a\mathrm{SO}(n-1)a^{-1})) : a \in \mathrm{SO}(n)\}.$$

Suppose first that  $\dim(G/(G \cap a\mathrm{SO}(n-1)a^{-1})) \leq n-2$  for all  $a \in \mathrm{SO}(n)$ . Then  $\dim(\mathbb{R}^n/G) = \dim(X/G) \geq 2$  and Corollaries 2.5 and 2.6 show that

$$\mathrm{sr}(C_0(\mathbb{R}^n) \rtimes G) = \max\{2, 1 + \lfloor \tfrac{1}{2} \dim((\mathbb{R}^n)_G) \rfloor\}$$

and  $\mathrm{RR}(C_0(\mathbb{R}^n) \rtimes G) = \max\{1, \dim((\mathbb{R}^n)_G)\}$ .

Now suppose that  $\dim(G/(G \cap a\mathrm{SO}(n-1)a^{-1})) \geq n-1$  for some  $a \in \mathrm{SO}(n)$ . Then, since  $C_0(\mathbb{R}^n) \rtimes a^{-1}Ga$  and  $C_0(\mathbb{R}^n) \rtimes G$  are isomorphic and

$$a^{-1}[G/(G \cap a\mathrm{SO}(n-1)a^{-1})]a = a^{-1}Ga/(a^{-1}Ga \cap \mathrm{SO}(n-1)),$$

we can assume that  $a$  is the identity of  $\mathrm{SO}(n)$ . Let  $H = G \cap \mathrm{SO}(n-1)$  and  $C = G\mathrm{SO}(n-1)/\mathrm{SO}(n-1)$ . Since  $gH \mapsto g\mathrm{SO}(n-1)$  provides a homeomorphism between  $G/H$  and the closed subspace  $C$  of  $\mathrm{SO}(n)/\mathrm{SO}(n-1)$ , it follows that

$$n-1 \leq \dim(G/H) = \dim C \leq \dim(\mathrm{SO}(n)/\mathrm{SO}(n-1)) = n-1.$$

Hence  $\dim C = n-1$ . Since  $\mathrm{SO}(n)/\mathrm{SO}(n-1) = S^{n-1}$  is locally homeomorphic to  $\mathbb{R}^{n-1}$ , it follows from [17, Theorem IV.5] and a compactness argument that  $C$  has non-empty interior. Then  $V = \{g \in G : g\mathrm{SO}(n-1) \in C^\circ\}$  is a non-empty open subset of  $G$ . This implies that

$$C = \bigcup_{g \in G} gV\mathrm{SO}(n-1) = \bigcup_{g \in G} gC^\circ$$

is open (and closed) in  $S^{n-1}$ , hence equal to  $S^{n-1}$ . It follows that  $G \cdot \mathrm{SO}(n-1) = \mathrm{SO}(n)$  and hence  $G \cdot v = S^{n-1}$ . Therefore  $X/G = (0, \infty)$  and Corollaries 2.5 and 2.6 imply that  $\mathrm{sr}(C_0(\mathbb{R}^n) \rtimes G) = 1$  and  $\mathrm{RR}(C_0(\mathbb{R}^n) \rtimes G) = \max\{1, \dim((\mathbb{R}^n)_G)\}$ . ■

Regarding the proof above, note that  $G \cdot \mathrm{SO}(n-1) = \mathrm{SO}(n)$  if and only if  $G$  acts transitively on the sphere  $S^{n-1}$ . Actions with this property are described in [16]. We are grateful to M. C. Crabb for drawing our attention to this reference.

**COROLLARY 3.2.** *For all  $n \geq 2$ , we have*

$$\mathrm{sr}(C^*(\mathbb{R}^n \rtimes \mathrm{SO}(n))) = \mathrm{RR}(C^*(\mathbb{R}^n \rtimes \mathrm{SO}(n))) = 1.$$



In [29], Sudo has obtained the comparable result  $\text{sr}(C^*(\mathbb{R}^n \rtimes \text{Spin}(n))) = 1$ , where  $\text{Spin}(n)$  is the simply connected covering group of  $\text{SO}(n)$ .

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