

## A CORSON COMPACT L-SPACE FROM A SUSLIN TREE

BY

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**Abstract.** The completion of a Suslin tree is shown to be a consistent example of a Corson compact L-space when endowed with the coarse wedge topology. The example has the further properties of being zero-dimensional and monotonically normal.

**1. Introduction.** In this paper, the coarse wedge topology on trees is used to construct what may be the first consistent example of a Corson compact L-space that is monotonically normal. It is considerably simpler and easier to (roughly!) visualize than the CH example of a Corson compact L-space produced by Kunen [4], or the Corson compact L-space produced by Kunen and van Mill [5] under the hypothesis that  $2^{\omega_1}$  with the product measure is the union of a family of  $\aleph_1$  nullsets such that every nullset is contained in some member of the family.

Corson compact L-spaces cannot be constructed in ZFC alone, because  $\text{MA}_{\omega_1}$  implies there are no compact L-spaces at all. This is one of the earliest applications of  $\text{MA}_{\omega_1}$  to set-theoretic topology, and one of the few that uses its topological characterization, viz., that a compact ccc space cannot be the union of  $\aleph_1$  nowhere dense sets [3], [9, 6.2], [10, p. 16].

Recall that a *Corson compact space* is a compact Hausdorff space that can be embedded in the  $\Sigma$ -product of real lines, viz., a subspace of the product space  $\mathbb{R}^\Gamma$  (for some set  $\Gamma$ ) consisting of all points which differ from the zero element in only countably many coordinates. Corson compact spaces play a role in functional analysis, especially through their spaces of continuous functions, the Banach space  $\langle C(K), \|\cdot\|_\infty \rangle$ , and the space  $C_p(X)$  of real-valued continuous functions with the relative product topology.

Recall that a topological space is *separable* if it has a countable dense subset, and *Lindelöf* if every open cover has a countable subcover. The following terminology is now standard:

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DEFINITION 1.1. An *L-space* is a regular, hereditarily Lindelöf space which has a nonseparable subspace.

For over four decades, one of the best known unsolved problems of set-theoretic topology was whether there is a ZFC example of an L-space. This was solved in an unexpected manner by Justin Tatch Moore, who constructed one with the help of a deep analysis of walks on ordinals [6]. The following problem, motivated by our main example, may still be unsolved:

PROBLEM 1.2. *Is there a ZFC example of an L-space which embeds as a closed subspace in a  $\Sigma$ -product of real lines?*

DEFINITION 1.3. A space  $X$  is *monotonically normal* if there is a function  $U(E, F)$  defined on pairs of disjoint closed sets  $\langle E, F \rangle$  such that: (1)  $U(E, F)$  is an open set; (2)  $E \subset U(E, F)$  and  $U(E, F) \cap U(F, E) = \emptyset$ ; and (3) if  $E \subset E'$  and  $F \supset F'$ , then  $U(E, F) \subset U(E', F')$ .

A neat feature of our main example is that, being monotonically normal, it is the continuous image of a compact orderable space [11]—and yet every linearly orderable Corson compact space is metrizable [1]. One natural question is whether the main example is actually the continuous image of a compact orderable L-space: such spaces exist iff there is a Suslin tree/line. A much more general pair of contrasting questions is open:

PROBLEM 1.4. *Is the existence of a monotonically normal L-space equivalent to the existence of a Suslin tree?*

PROBLEM 1.5. *Is there a ZFC example of a monotonically normal L-space?*

**2. Trees and the coarse wedge topology.** The purpose of this section is to make this paper as self-contained as reasonable, and to show that trees with the coarse wedge topology have a property even stronger than being monotonically normal. Readers familiar with the coarse wedge topology might try omitting this section on a first reading. Others with a good understanding of trees might try picking up the reading at Definition 2.6 below.

DEFINITION 2.1. A *tree* is a partially ordered set in which the predecessors of any element are well-ordered. [Given two elements  $x < y$  of a poset, we say  $x$  is a *predecessor* of  $y$  and  $y$  is a *successor* of  $x$ .]

DEFINITION 2.2. If a tree has only one minimal member, it is said to be *rooted* and the minimal member is called the *root* of the tree. A *chain* in a poset is a totally ordered subset. An *antichain* in a tree is a set of pairwise incomparable elements. Maximal members (if any) of a tree are called *leaves*, and maximal chains are called *branches*.

DEFINITION 2.3. If  $T$  is a tree, then  $T(0)$  is its set of minimal members. Given an ordinal  $\alpha$ , if  $T(\beta)$  has been defined for all  $\beta < \alpha$ , then  $T \upharpoonright \alpha = \bigcup \{T(\beta) : \beta < \alpha\}$ , while  $T(\alpha)$  is the set of minimal members of  $T \setminus T \upharpoonright \alpha$ . The set  $T(\alpha)$  is called *the  $\alpha$ th level of  $T$* . The *height* or *level* of  $t \in T$  is the unique  $\alpha$  for which  $t \in T(\alpha)$ , and it is denoted  $\ell(t)$ . The *height of  $T$*  is the least  $\alpha$  such that  $T(\alpha) = \emptyset$ .

The following example illustrates some fine points of associating ordinals with trees and their elements.

EXAMPLE 2.4. The *full  $\omega$ -ary tree of height  $\omega + 1$*  is the set  $T$  of all sequences of nonnegative integers that are either finite or have domain  $\omega$ , and in which the order is end extension. Each chain of order type  $\omega$  consists of finite sequences whose union is an  $\omega$ -sequence on level  $\omega$ . Since this is the last nonempty level of the tree, the tree itself is of height  $\omega + 1$ . The subtree  $T \upharpoonright \omega$  is the *full  $\omega$ -ary tree of height  $\omega$* .

DEFINITION 2.5. A tree is *chain-complete* [resp. *Dedekind complete*] if every chain [resp. chain that is bounded above] has a least upper bound. A tree is *complete* if it is rooted and chain-complete.

DEFINITION 2.6. For each  $t$  in a tree  $T$  we let  $V_t$  denote the wedge  $\{s \in T : t \leq s\}$ . The *coarse wedge topology* on a tree  $T$  is the one whose subbase is the set of all wedges  $V_t$  and their complements, where  $t$  is either minimal or on a successor level.

Because of the way trees are structured, the nonempty finite intersections of members of the subbase are “notched wedges” of the form

$$W_t^F = V_t \setminus \bigcup \{V_s : s \in F\} = V_t \setminus V_F$$

where  $F$  is a finite set of successors of  $t$ .

If  $t$  is minimal or on a successor level, then a local base at  $t$  is formed by the sets  $W_t^F$  such that  $F$  is a finite set of immediate successors of  $t$ . If, on the other hand,  $t$  is on a limit level, then a local base is formed by the  $W_s^F$  such that  $s$  is on a successor level below  $t$ .

A corollary of the following theorem is that every complete tree is compact Hausdorff in the coarse wedge topology.

THEOREM 2.7 ([7, Corollary 3.5]). *A tree is compact Hausdorff in the coarse wedge topology iff it is chain-complete and has only finitely many minimal elements.*

THEOREM 2.8. *A complete tree is Corson compact in the coarse wedge topology iff every chain is countable.*

*Proof.* A necessary and sufficient condition for a compact space being Corson compact is that it have a point-countable  $T_0$ -separating cover by co-

zero sets—equivalently, open  $F_\sigma$ -sets [1]. If a complete tree has an uncountable chain, then it has a copy of  $\omega_1 + 1$  which does not have a point-countable  $T_0$ -separating open cover of any kind, thanks in part to the Pressing-Down Lemma (Fodor’s Lemma).

Conversely, if every chain is countable, then the clopen sets of the form  $V_t$  clearly form a  $T_0$ -separating, point-countable cover. ■

Hausdorff trees with the coarse wedge topology have a property even stronger than monotone normality; it is the property that results if “clopen” is substituted for “open” in Definition 1.3:

DEFINITION 2.9. A space  $X$  is *monotonically ultranormal* if there is a function  $U(E, F)$  defined on pairs of disjoint closed sets  $\langle E, F \rangle$  such that: (1)  $U(E, F)$  is a clopen set; (2)  $E \subset U(E, F)$  and  $U(E, F) \cap U(F, E) = \emptyset$ ; and (3) if  $E \subset E'$  and  $F \supset F'$ , then  $U(E, F) \subset U(E', F')$ .

The property in the following theorem is named with the Borges criterion (see below) for monotone normality in mind.

THEOREM 2.10 ([8, Theorem 2.2]). *Every Hausdorff space satisfying the following property is monotonically ultranormal:*

Property B+: *To each pair  $\langle G, x \rangle$  where  $G$  is an open set and  $x \in G$ , it is possible to assign an open set  $G_x$  such that  $x \in G_x \subset G$  so that  $G_x \cap H_y \neq \emptyset$  implies either  $x \in H_y$  or  $y \in G_x$ .*

The Borges criterion puts  $H$  for  $H_y$  and  $G$  for  $G_x$  in the part of Property B+ after “implies”.

The question of whether every monotonically ultranormal space satisfies Property B+ was posed in [8] and is still open.

THEOREM 2.11. *Every tree with the coarse wedge topology has Property B+.*

*Proof.* For each point  $t$  and each open neighborhood  $G$  of  $t$ , there exists  $s \leq t$  for which there is a basic clopen set  $W_s^F$  such that  $t \subset W_s^F \subset G$ , and for which  $F \subset V_t$ . [If  $t$  is on a successor level we can let  $s = t$ , while if  $t$  is on a limit level we first find some  $s < t$  on a successor level and some finite  $F' \subset V_s$  for which  $t \subset W_s^{F'}$ ; then let  $F = F' \cap V_t$  and choose  $s'$  such that  $s \leq s' < t$  and all elements of  $F' \setminus F$  are incomparable with  $s'$ .]

Now for each  $x \in F$  let  $x'$  be the immediate successor of  $t$  below  $x$  and let  $F^* = \{x' : x \in F\}$ .

CLAIM. *Letting  $G_t = W_s^{F^*}$  for all  $t, G$  as above produces an assignment witnessing Property B+.*

*Proof.* The notched wedges  $W_t^F$  clearly have the property that the intersection of any two contains the minimum point of one of them. Let

$G_x \cap H_y \neq \emptyset$ . Assume that the minimum point  $t$  of  $G_x$  is in  $H_y$ ; in particular,  $t \geq s$ . Let  $H_y = W_s^{F^*}$ .

CASE 1:  $y < t$ . Then  $G_x \subset V_t \subset H_y$ , because  $t$  is not in  $V_{z'}$  for any  $z' \in F^*$ .

CASE 2:  $y$  and  $t$  are incomparable. Then  $t > s$ , and we again have  $G_x \subset V_t \subset H_y$ .

CASE 3:  $t \leq y$ . Then if  $x$  and  $y$  are incomparable, we clearly have  $s < x \in H_y$ . This also holds if  $x \leq y$ . Finally, if  $x > y$ , we must have  $y \in G_x$ .

This proves the Claim and hence the theorem. ■

COROLLARY 2.12. *Every Hausdorff tree is monotonically normal in the coarse wedge topology.*

**3. The main example.** The following construction is utilized in the main example of this paper.

EXAMPLE 3.1. For any tree  $T$ , we call a tree a *completion* of  $T$  if it is formed by adding a supremum to each downwards closed chain that does not already have one. Formally, we define *the completion*  $\hat{T}$  of  $T$  as follows. If  $T$  is not rooted, we let  $\hat{T}$  be the collection of downwards closed chains (called “paths” by Todorčević), ordered by inclusion. If  $T$  is rooted, we only put the nonempty paths in  $\hat{T}$ .

We identify each  $t \in T$  with the path  $P_t = \{s \in T : s \leq t\}$ . Completeness of  $\hat{T}$  follows from rootedness of  $\hat{T}$  and from the easy fact that the supremum of a chain  $C$  of  $\hat{T}$  is the same as the supremum of  $C \cap T$ . In particular, if  $C$  is a path in  $\hat{T}$  then  $C \cap T$  is downwards closed in  $T$ .

Todorčević called the set of characteristic functions of the paths of  $T$  the *path space* of  $T$  when it is endowed with the relative topology as a subspace of  $2^T$  with the product topology. Gruenhage [2] showed that this topology is the coarse wedge topology of  $\hat{T}$ .

Recall that a *Suslin tree* is an uncountable tree in which every chain and antichain is countable. Let us call a tree *uniformly  $\omega$ -ary* if every nonmaximal point has denumerably many immediate successors. (For instance, the tree in Example 2.4 is uniformly  $\omega$ -ary.)

As is well known, every Suslin tree has a subtree  $T$  in which every point has more than one successor at every level above it. Thus every point of  $T$  has denumerably many successors on the next limit level above it. And so, a uniformly  $\omega$ -ary Suslin tree results when we take the subtree  $S$  of all points on limit levels of  $T$ .

THEOREM 3.2. *The completion  $\hat{S}$  of a uniformly  $\omega$ -ary Suslin tree  $S$  is an L-space in the coarse wedge topology.*

*Proof.* Since  $\hat{S} \upharpoonright (\alpha + 1)$  is closed for all  $\alpha < \omega_1$ , we see that  $\hat{S}$  is not separable. In the proof that  $\hat{S}$  is hereditarily Lindelöf, uniform  $\omega$ -arity plays a key role: if the tree were finitary, every point on a successor level would be isolated.

We make use of the elementary fact that a space is hereditarily Lindelöf if (and only if) every open subspace is Lindelöf. Let  $W$  be an open subspace of  $\hat{S}$ , and let  $W_0$  be the set of points  $t \in W$  such that  $V_t \subset W$ . If  $t \in W_0$  is on a limit level, there is also  $s < t$  such that  $V_s$  is clopen and  $s \in W_0$ : see the first paragraph in the proof of Theorem 2.11, and note that here,  $F = \emptyset$ . Let  $A = \{a \in W_0 : a \text{ is minimal in } W_0\}$ . Then  $W_0$  is the disjoint union of the clopen wedges  $V_\alpha$  ( $a \in A$ ), and  $A$  is countable by the Suslin property.

If  $x \in W \setminus W_0$ , then there is a basic clopen subset of  $W$  of the form  $W_t^F$  where  $F \neq \emptyset$  and  $F \subset V_x$ : see the first paragraph in the proof of 2.11 again. There are no more than  $n$  immediate successors of  $x$  below some element of  $F$ , and if  $s$  is one of the other immediate successors of  $x$ , then  $V_s \subset V_x \setminus V_F$ , so  $s \in W_0$ . But then  $s \in A$  also, since any  $V_z$  containing  $V_s$  properly must also contain  $x$ , contradicting  $x \in W \setminus W_0$ . So  $W \setminus W_0$  is countable, and we have countably many basic clopen sets whose union is  $W$ . ■

The following is now immediate from 2.8, 2.12, and 3.2.

**COROLLARY 3.3.** *If there is a Suslin tree, then there is a Corson compact, monotonically normal  $L$ -space.*

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