

*SHIFTED VALUES OF THE LARGEST  
PRIME FACTOR FUNCTION  
AND ITS AVERAGE VALUE IN SHORT INTERVALS*

BY

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**Abstract.** We obtain estimates for the average value of the largest prime factor  $P(n)$  in short intervals  $[x, x+y]$  and of  $h(P(n)+1)$ , where  $h$  is a complex-valued additive function or multiplicative function satisfying certain conditions. Letting  $s_q(n)$  stand for the sum of the digits of  $n$  in base  $q \geq 2$ , we show that if  $\alpha$  is an irrational number, then the sequence  $(\alpha s_q(P(n)))_{n \in \mathbb{N}}$  is uniformly distributed modulo 1.

**1. Introduction and notation.** Let  $P(n)$  stand for the largest prime factor of an integer  $n \geq 2$  and set  $P(1) = 1$ . This function has been extensively studied over the past decades, in particular its average value, sums involving the reciprocals of its values, as well as its most frequent value in the interval  $[2, x]$ .

Here, we obtain estimates for  $\sum_{x \leq n \leq x+y} P(n)$  when  $y = x^{7/12+\varepsilon}$  for any  $0 < \varepsilon < 5/12$ . Given an integer  $a \neq 0$ , we also obtain estimates for the average value of  $h(P(n)+a)$  for various arithmetic functions  $h$  satisfying certain regularity conditions. Letting  $s_q(n)$  stand for the sum of the digits of  $n$  in base  $q \geq 2$ , we show that if  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$ , the sequence  $(\alpha s_q(P(n)))_{n \in \mathbb{N}}$  is uniformly distributed modulo 1.

Before we state these results more explicitly, we provide some background results.

In 1984, De Koninck and Ivić [4] proved that, for every positive integer  $m$ , there exist computable constants  $d_1 = \pi^2/12, d_2, \dots, d_m$  such that

$$(1.1) \quad \sum_{n \leq x} P(n) = x^2 \left( \frac{d_1}{\log x} + \frac{d_2}{\log^2 x} + \cdots + \frac{d_m}{\log^m x} + O\left(\frac{1}{\log^{m+1} x}\right) \right).$$

Recently, Naslund [21] improved (1.1) by showing that, given any  $\varepsilon > 0$ ,

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there exists a positive constant  $c$  such that

$$\sum_{n \leq x} P(n) = x \operatorname{li}_g(x) + O_\varepsilon(x^2 \exp\{-c(\log x)^{3/5-\varepsilon}\}),$$

where

$$\operatorname{li}_g(x) = \int_2^x \frac{t \lfloor x/t \rfloor}{x \log t} dt = \frac{c_0 x}{\log x} + \frac{c_1 x}{\log^2 x} + \cdots + \frac{c_{m-1} (m-1)! x}{\log^m x} + O\left(\frac{x}{\log^{m+1} x}\right)$$

(for any given  $m \in \mathbb{N}$ ) with the constants  $c_i$  defined by

$$c_i = \frac{1}{2^{i+1}} \sum_{j=0}^i \frac{2^j (-1)^j \zeta(j)(2)}{j!},$$

where  $\zeta$  stands for the Riemann Zeta Function.

In 1986, Erdős, Ivić and Pomerance [11] proved that

$$\sum_{n \leq x} \frac{1}{P(n)} = x \delta(x) \left(1 + O\left(\sqrt{\frac{\log \log x}{\log x}}\right)\right),$$

where  $\delta(x)$  is some continuous function which decreases to 0 very slowly as  $x \rightarrow \infty$  and in fact satisfies

$$\delta(x) = \exp\{-(1 + o(1))\sqrt{2 \log x \log \log x}\} \quad \text{as } x \rightarrow \infty.$$

On the other hand, it is known (see De Koninck and Luca [5, Problem 9.33]) that

$$(1.2) \quad \sum_{2 \leq n \leq x} \log P(n) = \kappa x \log x + O(x \log \log x),$$

where

$$(1.3) \quad \kappa = 1 - \int_1^\infty \frac{\rho(v)}{v^2} dv$$

with  $\rho(v)$  standing for the Dickman function.

In 1987, De Koninck and Sitaramachandrarao [6] proved that

$$\sum_{2 \leq n \leq x} \frac{1}{n \log P(n)} = e^\gamma \log \log x + O(1),$$

where  $\gamma$  stands for the Euler–Mascheroni constant.

In 1994, the first author [3], and later De Koninck and Sweeney [7], studied the function

$$(1.4) \quad f(x, p) := \#\{n \leq x : P(n) = p\}$$

and proved in particular that the maximum value of  $f(x, p)$ , as  $p$  runs over the interval  $[2, x]$ , is reached at

$$p = \exp \left\{ \sqrt{\frac{1}{2} \log x \log \log x} \left( 1 + \lambda(x) + o\left(\frac{1}{\log \log x}\right) \right) \right\} \quad (x \rightarrow \infty),$$

where  $\lambda(x) = \frac{1}{2} \frac{\log \log \log x}{\log \log x}$ , in which case  $f(x, p)$  is equal to

$$x \exp \left\{ -\sqrt{2 \log x \log \log x} \left( 1 + \frac{\lambda(x)}{2} - \frac{2 + \log 2 + o(1)}{2 \log \log x} \right) \right\} \quad (x \rightarrow \infty).$$

Some improvements of this result have been obtained by McNew [20].

From now on, we shall write  $\pi(x)$  for the number of primes  $p \leq x$ , and  $\pi(x; k, \ell)$  for the number of primes  $p \equiv \ell \pmod{k}$  not exceeding  $x$ . Moreover, we let  $\wp$  stand for the set of all primes.

Now, given a real-valued additive function  $g$  such that the set  $\{g(p) : p \in \wp\}$  is bounded, let

$$A_x := \sum_{p \leq x} \frac{g(p)}{p} \quad \text{and} \quad B_x^2 := \sum_{p \leq x} \frac{g^2(p)}{p},$$

and further set

$$\kappa_n := \frac{g(n) - A_n}{B_n} \quad (n \in \mathbb{N}) \quad \text{and} \quad \Phi(u) := \frac{1}{\sqrt{2\pi}} \int_{-\infty}^u e^{-w^2/2} dw \quad (u \in \mathbb{R}).$$

According to the Erdős–Kac Theorem (see Elliott [9, Theorem 12.3]), if  $B_x \rightarrow \infty$  as  $x \rightarrow \infty$ , then

$$\lim_{x \rightarrow \infty} \frac{1}{x} \#\{n \leq x : \kappa_n < u\} = \Phi(u) \quad \text{for every real } u.$$

Given a positive integer  $N$ , let  $\wp_N := \{p \leq N : p \in \wp\}$ . We shall say that  $\rho_N : \wp_N \rightarrow [0, 1)$  is a *prime weight function* if it satisfies the following four conditions:

- (i)  $\sum_{p \in \wp_N} \rho_N(p) = 1 + o(1)$  as  $N \rightarrow \infty$ ;
- (ii) for every non-increasing sequence  $(\lambda_N)_{N \in \mathbb{N}}$  tending to 0 as  $N \rightarrow \infty$ ,

$$\sum_{\substack{p < N^{\lambda_N} \\ p \in \wp_N}} \rho_N(p) \rightarrow 0 \quad \text{and} \quad \sum_{\substack{N^{1-\lambda_N} < p < N \\ p \in \wp_N}} \rho_N(p) \rightarrow 0 \quad (N \rightarrow \infty);$$

- (iii) with  $(\lambda_N)_{N \in \mathbb{N}}$  as in (ii),

$$\max_{\substack{N^{\lambda_N} < p_1 < p_2 < 2p_1 < N^{1-\lambda_N} \\ p_1, p_2 \in \wp_N}} \left| \frac{\rho_N(p_1)}{\rho_N(p_2)} - 1 \right| \rightarrow 0 \quad \text{as } N \rightarrow \infty;$$

- (iv)  $\sup_{H \leq N} \left| \sum_{H \leq p < 2H, p \in \wp_N} \rho_N(p) \right| \rightarrow 0$  as  $N \rightarrow \infty$ .

An example of a weight function is

$$\rho_N(p) := \frac{c_0}{p} \exp\left\{-\frac{\log N}{\log p}\right\}, \quad \text{where } c_0 = \left(\int_1^\infty e^{-v} \frac{dv}{v}\right)^{-1}.$$

Indeed, in this case,

$$\begin{aligned} \sum_{p \leq N} \rho_N(p) &= c_0 \sum_{p \leq N} \frac{1}{p} \exp\left\{-\frac{\log N}{\log p}\right\} = c_0(1 + o(1)) \int_2^N \frac{1}{t \log t} e^{-\log N / \log t} dt \\ &= c_0(1 + o(1)) \int_1^{\log N / \log 2} e^{-v} \frac{dv}{v} \\ &= c_0(1 + o(1)) \left( \int_1^\infty e^{-v} \frac{dv}{v} + O\left(\frac{1}{N \log N}\right) \right) \\ &= c_0(1 + o(1)) \left( \frac{1}{c_0} + O\left(\frac{1}{N \log N}\right) \right) = 1 + o(1), \end{aligned}$$

so that (i) is satisfied. Conditions (ii)–(iv) are also easily verified.

It is known (see [9, Theorem 12.4]) that, under the conditions of the Erdős–Kac Theorem, for every  $a \in \mathbb{Z} \setminus \{0\}$ ,

$$\lim_{N \rightarrow \infty} \#\{p \in \wp_N : \kappa_{p+a} < u\} = \Phi(u) \quad \text{for every real } u$$

and

$$\lim_{N \rightarrow \infty} \sum_{\kappa_{p+a} < u} \rho_N(p) = \Phi(u) \quad \text{for every real } u.$$

According to the Erdős–Wintner Theorem (see Elliott [8, Theorem 5.1]), in order for a real-valued additive function  $g$  to have a limiting distribution, it is both sufficient and necessary that it satisfies the *three-series condition*

$$(1.5) \quad \sum_{|g(p)| \geq 1} \frac{1}{p} < \infty, \quad \sum_{|g(p)| < 1} \frac{g(p)}{p} \text{ converges,} \quad \sum_{|g(p)| < 1} \frac{g^2(p)}{p} < \infty.$$

In 1968, the second author [16] proved that if  $g$  is a real-valued additive function and

$$F_x(y) := \frac{1}{\text{li}(x)} \sum_{\substack{p \leq x \\ g(p+1) < y}} 1, \quad \text{where } \text{li}(x) := \int_2^x \frac{dt}{\log t},$$

and if moreover  $g$  satisfies (1.5), then the distribution function  $F_x(y)$  tends to a limiting distribution function  $F(y)$  as  $x \rightarrow \infty$  at all points of continuity of  $F(y)$ . The same holds for  $g(p+a)$  for any  $a \in \mathbb{Z} \setminus \{0\}$ .

Erdős and Kubilius asked whether the three-series condition is necessary or not in the case of shifted primes. In fact, partial results were achieved by

Elliott [10], Kátai [17] and Timofeev [24]. In the end, Hildebrand [13] proved the necessity of the three-series condition for shifted primes.

Now, letting

$$(1.6) \quad Q_{\text{pr}}(x) = \frac{1}{\pi(x)} \sup_{h \in \mathbb{R}} \#\{p \leq x : g(p+a) \in [h, h+1]\},$$

going back to an idea of Ruzsa [23], Timofeev [24] proved that

$$(1.7) \quad Q_{\text{pr}}(x) \leq c \frac{\log^2(2+W(x))}{\sqrt{W(x)}},$$

where

$$(1.8) \quad W(x) := \min_{\lambda} \left( \lambda^2 + \sum_{p \leq x} \frac{1}{p} \min(1, (g(p) - \lambda \log p)^2) \right).$$

Later, Elliott [10] refined (1.7) to

$$Q_{\text{pr}}(x) \ll W(x)^{-1/2}.$$

Let  $\tau(n)$  be the number of positive divisors of  $n$ . Using his *dispersion method*, Linnik [18] proved in 1963 that there exists a constant  $d_0 > 0$  such that

$$(1.9) \quad \sum_{p \leq x} \tau(p-1) = d_0 x + O\left(\frac{x}{\log^c x}\right),$$

where  $c = 0.999$ . Later, in 1986, Bombieri, Friedlander and Iwaniec [1], and independently Fouvry [12], improved (1.9) by showing that, given any  $A > 0$  and any integer  $a \neq 0$ ,

$$(1.10) \quad \sum_{p \leq x} \tau(p+a) = D_a x + 2E_a \text{li}(x) + O\left(\frac{x}{\log^A x}\right),$$

where

$$(1.11) \quad D_a = \frac{\zeta(2)\zeta(3)}{\zeta(6)} \prod_{p|a} \left(1 - \frac{p}{p^2 - p + 1}\right),$$

$$E_a = D_a \left( \gamma - \sum_p \frac{\log p}{p^2 - p + 1} + \sum_{p|a} \frac{p^2 \log p}{(p-1)(p^2 - p + 1)} \right).$$

On the other hand, if  $r(n)$  is the number of representations of the positive integer  $n$  as a sum of two squares, it was proved by Hooley [15] that, given any  $a \in \mathbb{Z} \setminus \{0\}$  and assuming the General Riemann Hypothesis (GRH),

$$(1.12) \quad \sum_{p \leq x} r(p+a) = (R_a + o(1)) \text{li}(x) \quad (x \rightarrow \infty)$$

for a certain positive constant  $R_a$ . Later Bredikhin [2] proved (1.12) without assuming GRH; he used the Linnik dispersion method.

Given an integer  $q \geq 2$ , let  $s_q(n)$  be the sum of the digits of  $n$  in base  $q$ . Mauduit and Rivat [19] proved that:

(i) there exists a constant  $\sigma_q(\alpha) > 0$  such that

$$\sum_{n \leq x} \Lambda(n) e(\alpha s_q(n)) = O_{q,\alpha}(x^{1-\sigma_q(\alpha)}),$$

where  $\Lambda$  stands for the von Mangoldt function;

(ii) given an integer  $m \geq 2$  and setting  $d = (q-1, m)$ , there exists a constant  $\sigma_{q,m} > 0$  such that for every  $a \in \mathbb{Z} \setminus \{0\}$ , we have

$$\#\{p \leq x : s_q(p) \equiv a \pmod{m}\} = \frac{d}{m} \pi(x; d, a) + O_{q,m}(x^{1-\sigma_{q,m}});$$

(iii) the sequence  $(\alpha s_q(p))_{p \in \wp}$  is uniformly distributed modulo 1 if and only if  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$ .

In what follows, the letters  $c$  and  $C$  stand for positive constants, not necessarily the same at each occurrence.

## 2. Main results

**THEOREM 1.** *Let  $f : \wp \rightarrow \mathbb{C}$  be a bounded function. Assume that for some constant  $\eta \in \mathbb{C}$ ,*

$$(2.1) \quad S(x) := \sum_{p \leq x} f(p) = (\eta + o(1))\pi(x) \quad (x \rightarrow \infty).$$

Then

$$\sum_{p \leq N} f(p) \rho_N(p) \rightarrow \eta \quad (N \rightarrow \infty).$$

**THEOREM 2.** *Let  $g$  be a real-valued additive function. Then the function  $g(P(n)+1)$  has a limiting distribution if and only if  $g$  satisfies the three-series condition (1.5).*

**THEOREM 3.** *Let  $a \in \mathbb{Z} \setminus \{0\}$ . Then*

$$\sum_{n \leq x} \tau(P(n) + a) = (\kappa D_a + o(1))x \log x \quad (x \rightarrow \infty),$$

where  $\kappa$  and  $D_a$  are the constants defined in (1.3) and (1.11), respectively.

**THEOREM 4.** *Let  $a \in \mathbb{Z} \setminus \{0\}$ . Then*

$$\sum_{n \leq x} r(P(n) + a) = (\kappa R_a + o(1))x \quad (x \rightarrow \infty),$$

where  $R_a$  is the constant appearing in (1.12).

**THEOREM 5.** *Let  $y = x^{7/12+\varepsilon}$  where  $0 < \varepsilon < 5/12$  is a fixed number. Then, for every  $M \in \mathbb{N}$ ,*

$$\frac{1}{xy} \sum_{x \leq n \leq x+y} P(n) = \sum_{k=0}^M \frac{\xi_k}{\log^{k+1} x} + O\left(\frac{1}{\log^{M+2} x}\right),$$

where

$$(2.2) \quad \xi_k = \sum_{\nu=1}^{\infty} \frac{\log^k \nu}{\nu^2}.$$

**THEOREM 6.** *Let  $a \in \mathbb{Z} \setminus \{0\}$ . Then*

$$\lim_{x \rightarrow \infty} \frac{1}{x} \sum_{n \leq x} e(\alpha s_q(P(n))) = 0.$$

Given an integer  $n \geq 2$ , write its prime factorisation as

$$n = P_r(n)P_{r-1}(n) \cdots P_1(n),$$

where  $r = \Omega(n)$  (here  $\Omega(n)$  stands for the number of prime factors of  $n$  counting multiplicity) and  $P_r(n) \leq P_{r-1}(n) \leq \cdots \leq P_1(n)$ . Thus  $P_j(n)$  is the  $j$ th largest prime factor of  $n$ , where for convenience  $P_j(n) = 1$  if  $j > \Omega(n)$ .

**THEOREM 7.** *Let  $k \in \mathbb{N}$ . Let  $f_1(p), \dots, f_k(p)$  be  $k$  functions defined on primes  $p$ . Assume that each  $f_i(p)$  is bounded as  $p$  runs over  $\wp$ , and there exist constants  $C_1, \dots, C_k$  for which*

$$S_j(x) := \sum_{p \leq x} f_j(p) = (C_j + o(1)) \frac{x}{\log x} \quad (x \rightarrow \infty).$$

Then

$$\lim_{x \rightarrow \infty} \frac{1}{x} \sum_{n \leq x} \prod_{j=1}^k f_j(P_j(n)) = C_1 \cdots C_k.$$

**3. Proof of Theorem 1.** Let  $N^{\lambda_N} \leq H < 2H < N^{1-\lambda_N}$ . If  $p$  is in  $[H, 2H]$ , then, by (iii),

$$\left| \rho_N(p) - \frac{1}{\pi([H, 2H])} \sum_{\substack{q \in [H, 2H] \\ q \in \wp_N}} \rho_N(q) \right| \leq \varepsilon_N \rho_N(p),$$

where  $\lim_{N \rightarrow \infty} \varepsilon_N = 0$ . On the other hand, since the function is bounded, there exists an absolute constant  $K > 0$  such that  $|f(p)| \leq K$  for all primes  $p$ .

Therefore,

$$(3.1) \quad \left| \sum_{\substack{p \in [H, 2H] \\ p \in \varphi_N}} f(p) \rho_N(p) - \frac{1}{\pi([H, 2H])} \sum_{\substack{p, q \in [H, 2H] \\ p, q \in \varphi_N}} f(p) \rho_N(q) \right| \leq 2K\varepsilon_N \sum_{p \in [H, 2H]} \rho_N(p).$$

Moreover, using (2.1), we have

$$(3.2) \quad \begin{aligned} & \frac{1}{\pi([H, 2H])} \sum_{\substack{p, q \in [H, 2H] \\ p, q \in \varphi_N}} f(p) \rho_N(q) \\ &= \sum_{\substack{q \in [H, 2H] \\ q \in \varphi_N}} \rho_N(q) \cdot \frac{1}{\pi([H, 2H])} (S(2H) - S(H)) \\ &= \left\{ \eta \frac{\pi(2H) - \pi(H)}{\pi([H, 2H])} + o\left(\frac{\pi(2H)}{\pi([H, 2H])}\right) \right\} \sum_{\substack{q \in [H, 2H] \\ q \in \varphi_N}} \rho_N(q) \\ &= (\eta + o(1)) \sum_{\substack{q \in [H, 2H] \\ q \in \varphi_N}} \rho_N(q) \quad \text{as } H, N \rightarrow \infty. \end{aligned}$$

Consider the sequence  $H_0 = N^{\lambda_N}$ ,  $H_{j+1} = 2H_j$  for each integer  $0 \leq j \leq J$  where  $J$  is such that  $H_J \leq N^{1-\lambda_N} \leq 2H_J$ . Then, in light of (3.1) and (3.2), as  $N \rightarrow \infty$ ,

$$(3.3) \quad \begin{aligned} \sum_{\substack{p \in [H_0, H_J] \\ p \in \varphi_N}} f(p) \rho_N(p) &= \sum_{j=0}^{J-1} \sum_{\substack{p \in [H_j, H_{j+1}] \\ p \in \varphi_N}} f(p) \rho_N(p) \\ &= (\eta + o(1)) \sum_{j=0}^{J-1} \sum_{\substack{q \in [H_j, H_{j+1}] \\ q \in \varphi_N}} \rho_N(q) \\ &= (\eta + o(1)) \sum_{\substack{q \in [H_0, H_J] \\ q \in \varphi_N}} \rho_N(q). \end{aligned}$$

On the other hand, by conditions (i) and (ii) on  $\rho_N(p)$ ,

$$(3.4) \quad \begin{aligned} \sum_{\substack{q \in [H_0, H_J] \\ q \in \varphi_N}} \rho_N(q) &= 1 - \sum_{q < H_0} \rho_N(q) - \sum_{q > H_J} \rho_N(q) \\ &= 1 - o(1) - o(1) \quad \text{as } H, N \rightarrow \infty. \end{aligned}$$

Gathering (3.3) and (3.4) completes the proof of Theorem 1.



REMARK 1. In the line of the function  $f(x, p)$  defined in (1.4), let

$$\gamma_N(p) = \frac{1}{N} \#\{n \leq N : P(n) = p\} = \frac{1}{N} \Psi\left(\frac{N}{p}, p\right),$$

where  $\Psi(x, y) := \#\{n \leq x : P(n) \leq y\}$  for  $2 \leq y \leq x$ . Then one can easily check that  $\gamma_N(p)$  is a prime weight function, since it satisfies (i)–(iv). More generally, given an integer  $k \geq 1$  and recalling that  $P_k(n)$  is the  $k$ th largest prime factor of  $n$  with  $\Omega(n) \geq k$ , we see that

$$\gamma_N^{(k)}(p) := \frac{1}{N} \#\{n \leq N : P_k(n) = p\}$$

is also a prime weight function. This follows essentially by observing that

$$\gamma_N^{(k)}(p) = \frac{1}{N} \sum_{p_1 \geq \dots \geq p_{k-1} \geq p} \Psi\left(\frac{N}{p_1 \cdots p_{k-1}}, p\right)$$

and then using the properties of  $\Psi(x, y)$ .

As consequences of Theorem 1, we have the following results.

COROLLARY 1. *Let  $k$  be a fixed positive integer and let  $f : \wp \rightarrow \mathbb{C}$  be a bounded function satisfying (2.1). Then, for some constant  $c_k$ ,*

$$\frac{1}{N} \sum_{n \leq N} f(P_k(n)) \rightarrow c_k \quad (N \rightarrow \infty).$$

COROLLARY 2. *Let  $(\varphi_n)_{n \in \mathbb{N}}$  be a sequence of positive real numbers for which the limit*

$$F(u) := \lim_{N \rightarrow \infty} \frac{1}{\pi(N)} \#\{p \in \wp_N : \varphi_p < u\}$$

*exists, where  $F(u)$  is a distribution function. Assume moreover that  $\rho_N(p)$  is a prime weight function. Then*

$$\lim_{N \rightarrow \infty} \sum_{\substack{p \in \wp_N \\ \varphi_p < u}} \rho_N(p) = F(u).$$

*Proof.* Indeed, one only needs to choose

$$f(p) = \begin{cases} 1 & \text{if } \varphi_p < u, \\ 0 & \text{otherwise,} \end{cases}$$

and then to apply Theorem 1. ■

**4. Proof of Theorem 2.** Let  $\rho_N(p)$  be a prime weight function and assume that if

$$F_N(u) := \sum_{\substack{p \in \wp_N \\ g(p+1) < u}} \rho_N(p),$$

then the limit

$$(4.1) \quad \lim_{N \rightarrow \infty} F_N(u) = F(u)$$

exists for almost all real numbers  $u$  and  $F$  is a distribution function. Then, since  $F(-\infty) = 0$  and  $F(\infty) = 1$ , there exists a real number  $b$  for which the limit in (4.1) exists for  $u = b$  and  $u = b + 1$  and satisfies  $F(b + 1) - F(b) > 0$ . In this case, there exists a positive real number  $D$  such that

$$\lim_{N \rightarrow \infty} \sum_{\substack{p \in \rho_N \\ g(p+1) \in [b, b+1]}} \rho_N(p) = D.$$

It follows that there exists a sequence  $(H_N)_{N \in \mathbb{N}}$  which tends to infinity with  $N$  and is such that  $2H_N < N$  and, in light of condition (i),

$$\sum_{\substack{p \in [H_N, 2H_N] \\ g(p+1) \in [b, b+1]}} \rho_N(p) > \frac{D}{2} \sum_{p \in [H_N, 2H_N]} \rho_N(p),$$

thus implying that for some positive constant  $c$ , we have  $Q_{\text{pr}}(2H_N) > c$  for every positive integer  $N$ , where  $Q_{\text{pr}}$  is defined in (1.6). Hence  $(W(2H_N))_{N \in \mathbb{N}}$  is a bounded sequence, where  $W$  is defined in (1.8). But this can only hold if  $\lambda = 0$ , in which case

$$(4.2) \quad \sum_p \frac{\min(1, g^2(p))}{p} < \infty.$$

Next, let

$$(4.3) \quad A_m := \sum_{\substack{p \leq m \\ |g(p)| < 1}} \frac{g(p)}{p} \quad (m = 1, 2, \dots).$$

It is known that (4.3) implies that  $g(p + 1) - A_p$  has a limiting distribution

$$\lim_{x \rightarrow \infty} \frac{1}{\pi(x)} \#\{p \leq x : g(p + 1) - A_p < u\} := L(u).$$

This implies that

$$\lim_{N \rightarrow \infty} \sum_{\substack{p \in \rho_N \\ g(p+1) - A_p < u}} \rho_N(p) = L(u).$$

In light of (4.2), we obtain

$$A_x - A_m = \sum_{\substack{m < p \leq x \\ |g(p)| < 1}} \frac{g(p)}{p}$$

and therefore

$$|A_x - A_m|^2 \leq \sum_{m < p \leq x} \frac{1}{p} \sum_{\substack{m < p \leq x \\ |g(p)| < 1}} \frac{g^2(p)}{p}.$$

Hence there exists  $\lambda_x$  which tends to 0 as  $x \rightarrow \infty$  and if  $m \geq x^{\lambda_x}$ , then

$$|A_x - A_m|^2 \leq \log \left( \frac{\log x}{\log x^{\lambda_x}} \right) \sum_{x^{\lambda_x} \leq p \leq x} \frac{g^2(p)}{p} \rightarrow 0 \quad \text{as } x \rightarrow \infty.$$

We will now prove that  $A_N$  is bounded as  $N \rightarrow \infty$ . Assume the contrary, that is, that there exists a sequence of positive integers  $N_1 < N_2 < \dots$  such that  $A_{N_\nu} \rightarrow \infty$  as  $\nu \rightarrow \infty$ . Then, for every  $\varepsilon > 0$ ,

$$\begin{aligned} (4.4) \quad L(u) &= \lim_{\nu \rightarrow \infty} \sum_{\substack{p \in \varphi_{N_\nu} \\ g(p+1) < A_p + u}} \rho_{N_\nu}(p) \\ &\geq \lim_{\nu \rightarrow \infty} \sum_{\substack{p \in \varphi_{N_\nu} \\ g(p+1) < A_{N_\nu} + u - \varepsilon}} \rho_{N_\nu}(p) - \sum_{p < N_\nu^{\lambda_\nu}} \rho_{N_\nu}(p) \\ &\geq \lim_{\nu \rightarrow \infty} \sum_{\substack{p \in \varphi_{N_\nu} \\ g(p+1) < A_{N_\nu} + u - \varepsilon}} \rho_{N_\nu}(p) - \varepsilon \end{aligned}$$

since  $\lambda_\nu \rightarrow 0$  as  $\nu \rightarrow \infty$ , where we have used condition (ii).

Now, since  $A_{N_\nu} \rightarrow \infty$  as  $\nu \rightarrow \infty$ , given any large number  $E$ , we have  $A_{N_\nu} \geq E$  provided  $\nu$  is sufficiently large, in which case (4.4) yields

$$L(u) \geq F_{N_\nu}(E + u - \varepsilon) - \varepsilon,$$

implying that

$$L(u) \geq F(E + u - \varepsilon) - \varepsilon,$$

so that, since  $\varepsilon > 0$  can be taken arbitrarily small,

$$(4.5) \quad L(u) \geq F(E + u).$$

As  $E$  can be chosen arbitrarily large, (4.5) yields  $L(u) = 1$ . Since this is true for every  $u$ ,  $L$  cannot be a distribution function. The case  $\liminf_{N \rightarrow \infty} A_N = -\infty$  can be treated similarly. We have thus established that  $(A_N)_{N \in \mathbb{N}}$  is bounded.

We will now prove that  $(A_N)_{N \in \mathbb{N}}$  is convergent. Indeed, suppose that

$$\limsup_{N \rightarrow \infty} A_N = \alpha \quad \text{and} \quad \liminf_{N \rightarrow \infty} A_N = \beta \quad \text{with } \alpha > \beta,$$

that is,

$$A_{M_\nu} \rightarrow \alpha \quad \text{and} \quad A_{N_\nu} \rightarrow \beta \quad \text{for two subsequences } A_{M_\nu} \text{ and } A_{N_\nu}.$$

We would then have

$$L(u) = \lim_{M_\nu \rightarrow \infty} \sum_{\substack{p \in \mathcal{P}_{M_\nu} \\ g(p+1) < A_p + u}} \rho_{M_\nu}(p) \geq F(\alpha + u - \varepsilon)$$

and the above limit would also be  $\leq F(\alpha + u + \varepsilon)$ , while

$$L(u) = \lim_{N_\nu \rightarrow \infty} \sum_{\substack{p \in \mathcal{P}_{N_\nu} \\ g(p+1) < A_p + u}} \rho_{N_\nu}(p) \geq F(\beta + u - \varepsilon)$$

with the same limit  $\leq F(\beta + u + \varepsilon)$ . This shows that we must have  $\beta = \alpha$  and therefore

$$L(u) = F(\alpha + u).$$

Since  $A_m$  is bounded, we have proved that the series  $\sum_{|g(p)| < 1} g(p)/p$  is convergent, thus completing the proof of Theorem 2.

**5. Proof of Theorem 3.** Let  $\lambda_x \rightarrow 0$  as  $x \rightarrow \infty$  be a function to be chosen later, and set  $T(x) := \sum_{n \leq x} \tau(P(n) + a)$ . We split this sum as follows:

$$\begin{aligned} T(x) &= \sum_{\substack{n \leq x \\ P(n) \leq x^{\lambda_x}}} \tau(P(n) + a) + \sum_{\substack{n \leq x \\ x^{\lambda_x} < P(n) \leq x^{1-\lambda_x}}} \tau(P(n) + a) \\ &\quad + \sum_{\substack{n \leq x \\ x^{1-\lambda_x} < P(n) \leq x}} \tau(P(n) + a) \\ &= S_1(x) + S_2(x) + S_3(x), \end{aligned}$$

say. Setting  $M(x) := \sum_{p \leq x} \tau(p + a)$  and using the estimate of  $M(x)$  provided in (1.10), we get by partial summation

$$\begin{aligned} \sum_{p \leq x} \frac{\tau(p + a)}{p} &= \int_{2-0}^x \frac{1}{u} dM(u) = \frac{M(u)}{u} \Big|_{2-0}^x + \int_{2-0}^x \frac{M(u)}{u^2} du \\ &= \frac{D_a x + 2E_a x / \log x + O(x / \log^2 x)}{x} \\ &\quad + \int_{2-0}^x \left( \frac{D_a}{u} + \frac{2E_a}{u \log u} + O\left( \frac{1}{u \log^2 u} \right) \right) du \\ &= D_a + \frac{2E_a}{\log x} + O\left( \frac{1}{\log^2 x} \right) + D_a \log x + 2E_a \log \log x + O(1), \end{aligned}$$

from which it follows that

$$(5.1) \quad \sum_{p \leq x} \frac{\tau(p + a)}{p} = D_a \log x + 2E_a \log \log x + O(1).$$

On the other hand, using the same technique, we get, for all  $Y \geq 2$ ,

$$(5.2) \quad \sum_{Y \leq p < 2Y} \frac{\tau(p+a)}{p} = D_a \log 2 + O\left(\frac{1}{\log Y}\right).$$

We also easily establish that

$$(5.3) \quad \sum_{p \leq x} \frac{\tau(p+a)}{p \log p} = D_a \log \log x + O(1).$$

Using the well known estimate

$$(5.4) \quad \Psi(x, y) \leq cx \exp\left\{-\frac{1}{2} \frac{\log x}{\log y}\right\} \quad (2 \leq y \leq x)$$

(see for instance De Koninck and Luca [5, Theorem 9.5]), we find that

$$\begin{aligned} S_1(x) &= \sum_{p \leq x^{\lambda_x}} \tau(p+a) \Psi\left(\frac{x}{p}, p\right) \leq cx \sum_{p \leq x^{\lambda_x}} \frac{\tau(p+a)}{p} \exp\left\{-\frac{1}{2} \frac{\log x}{\log p}\right\} \\ &\leq cx \exp\left\{-\frac{1}{2} \frac{1}{\lambda_x}\right\} \sum_{p \leq x^{\lambda_x}} \frac{\tau(p+a)}{p}, \end{aligned}$$

which combined with (5.1) and choosing

$$(5.5) \quad \lambda_x = \frac{1}{\log \log x}$$

yields

$$(5.6) \quad S_1(x) \ll \frac{x}{\sqrt{\log x}} \frac{1}{\log \log x} \log x = \frac{x \sqrt{\log x}}{\log \log x}.$$

On the other hand, using (5.1), we get

$$\begin{aligned} (5.7) \quad S_3(x) &= \sum_{x^{1-\lambda_x} < p \leq x} \tau(p+a) \Psi\left(\frac{x}{p}, p\right) \\ &\leq x \sum_{x^{1-\lambda_x} < p \leq x} \frac{\tau(p+a)}{p} \\ &= x(D_a \log x - D_a \log x^{1-\lambda_x} + O(\log \log x)) \ll \lambda_x x \log x. \end{aligned}$$

For the evaluation of  $S_2(x)$ , we proceed as follows. First, we set  $J_x := (x^{\lambda_x}, x^{1-\lambda_x}]$ . We may thus write

$$(5.8) \quad S_2(x) = \sum_{\substack{n \leq x \\ P(n) \in J_x}} \tau(P(n)+a) = \sum_{p \in J_x} \tau(p+a) \Psi\left(\frac{x}{p}, p\right).$$

Recalling the Hildebrand [14] estimate

$$(5.9) \quad \Psi(x, y) = x\rho(u) \left( 1 + O\left( \frac{\log(u+1)}{\log y} \right) \right),$$

which is valid uniformly for  $x \geq 3$ ,  $\exp\{(\log \log x)^{5/3+\varepsilon}\} \leq y \leq x$ , and setting  $u_p = (\log x - \log p)/\log p$ , we find that, for  $p \in J_x$ ,

$$(5.10) \quad \Psi\left(\frac{x}{p}, p\right) = \frac{x}{p}\rho(u_p) \left( 1 + O\left( \frac{\log(u_p+1)}{\log p} \right) \right)$$

and

$$(5.11) \quad u_p \in \left[ \frac{\lambda_x}{1-\lambda_x}, \frac{1-\lambda_x}{\lambda_x} \right].$$

Thus in light of (5.8), (5.10) and (5.11), we have

$$(5.12) \quad \begin{aligned} S_2(x) &= x \sum_{p \in J_x} \frac{\tau(p+a)}{p} \rho(u_p) + O\left( x \sum_{p \in J_x} \frac{\tau(p+a)}{p \log p} \log(u_p+1) \right) \\ &= x \sum_{p \in J_x} \frac{\tau(p+a)}{p} \rho(u_p) + O\left( x \log(1/\lambda_x) \sum_{p \in J_x} \frac{\tau(p+a)}{p \log p} \right) \\ &= xL(x) + O(xK(x)), \end{aligned}$$

say, where we have used the fact that  $\log(u_p+1) \ll \log(1/\lambda_x)$  for  $p \in J_x$ .

On the other hand,

$$\begin{aligned} \sum_{n \leq x} \log P(n) &= \sum_{\substack{n \leq x \\ P(n) \leq x^{\lambda_x}}} \log P(n) + \sum_{\substack{n \leq x \\ x^{\lambda_x} < P(n) \leq x^{1-\lambda_x}}} \log P(n) \\ &\quad + \sum_{\substack{n \leq x \\ P(n) > x^{1-\lambda_x}}} \log P(n) \\ &= R_1(x) + R_2(x) + R_3(x), \end{aligned}$$

say. Since, using (5.4) and recalling our choice (5.5) of  $\lambda_x$ , we have

$$\begin{aligned} R_1(x) &= \sum_{p \leq x^{\lambda_x}} \log p \Psi\left(\frac{x}{p}, p\right) \ll x \sum_{p \leq x^{\lambda_x}} \frac{\log p}{p} \exp\left\{ -\frac{1}{2} \frac{\log x}{\log p} \right\} \\ &\ll x \exp\left\{ -\frac{1}{2} \frac{1}{\lambda_x} \right\} \sum_{p \leq x^{\lambda_x}} \frac{\log p}{p} \ll \frac{x}{\sqrt{\log x}} \lambda_x \log x = x \frac{\sqrt{\log x}}{\log \log x} \end{aligned}$$

and similarly

$$R_3(x) \ll x \sum_{x^{1-\lambda_x} < p \leq x} \frac{\log p}{p} = (1+o(1))x(\log x - (1-\lambda_x)\log x) \ll \frac{x \log x}{\log \log x},$$

it follows that

$$\sum_{n \leq x} \log P(n) = R_2(x) + o(x \log x),$$

which implies in light of (1.2) that

$$(5.13) \quad R_2(x) = \kappa x \log x + o(x \log x) \quad (x \rightarrow \infty).$$

Since

$$R_2(x) = \sum_{p \in J_x} \log p \Psi\left(\frac{x}{p}, p\right) = x \sum_{p \in J_x} \frac{\log p}{p} \rho(u_p) + o(x \log x) \quad (x \rightarrow \infty),$$

it follows from (5.13) that

$$(5.14) \quad \sum_{p \in J_x} \frac{\log p}{p} \rho(u_p) = \kappa \log x + o(\log x) \quad (x \rightarrow \infty).$$

As a consequence of the Prime Number Theorem,

$$\sum_{Y \leq p < 2Y} \frac{\log p}{p} = \log 2 + O\left(\frac{1}{\log Y}\right).$$

Using this along with estimate (5.2) and the fact that

$$\max_{x^{\lambda_x} < p_1 < p_2 < 2p_1 < x^{1-\lambda_x}} \left| \frac{\rho(u_{p_1})}{\rho(u_{p_2})} - 1 \right| \rightarrow 0 \quad \text{as } x \rightarrow \infty,$$

we obtain

$$(5.15) \quad \left| \sum_{Y \leq p < 2Y} \frac{\tau(p+a)}{p} \rho(u_p) - D_a \sum_{Y \leq p < 2Y} \frac{\log p}{p} \rho(u_p) \right| = O\left(\frac{\rho(u_Y)}{\log Y}\right) \quad (Y \geq 2).$$

Let us now define

$$H_0 = x^{\lambda_x}, \quad H_j = 2^j H_0 \quad \text{for } j = 1, \dots, \mathcal{I},$$

where  $H_{\mathcal{I}-1} < x^{1-\lambda_x} \leq H_{\mathcal{I}}$ , so that  $\mathcal{I} = \lceil \frac{(1-2\lambda_x)\log x}{\log 2} \rceil$ . Hence, (5.15) yields

$$(5.16) \quad \left| \sum_{H_0 \leq p < H_{\mathcal{I}}} \frac{\tau(p+a)}{p} \rho(u_p) - D_a \sum_{H_0 \leq p < H_{\mathcal{I}}} \frac{\log p}{p} \rho(u_p) \right| \leq \sum_{j=0}^{\mathcal{I}-1} O\left(\frac{\rho(u_j)}{\log H_j}\right) \ll \frac{\mathcal{I}}{\log H_0} \ll \frac{1}{\lambda_x}.$$

Since clearly

$$\left| \sum_{x^{1-\lambda_x} < p < H_{\mathcal{I}}} \left( \frac{\tau(p+a)}{p} - D_a \frac{\log p}{p} \right) \rho(u_p) \right| \ll 1,$$

it follows from (5.16) that

$$(5.17) \quad \left| \sum_{p \in J_x} \frac{\tau(p+a)}{p} \rho(u_p) - D_a \sum_{p \in J_x} \frac{\log p}{p} \rho(u_p) \right| \ll \frac{1}{\lambda_x}.$$

Using (5.17) and (5.14), we get

$$(5.18) \quad L(x) = \kappa D_a \log x + O(1/\lambda_x).$$

On the other hand, using (5.3), we deduce that

$$(5.19) \quad K(x) \ll \log(1/\lambda_x) \sum_{p \in J_x} \frac{\tau(p+a)}{p \log p} \ll \log(1/\lambda_x) \log \log x.$$

Combining (5.18) and (5.19) in (5.12) yields

$$(5.20) \quad S_2(x) = \kappa D_a x \log x + o(x \log x) \quad (x \rightarrow \infty).$$

Gathering estimates (5.6), (5.7) and (5.20) completes the proof of Theorem 3.

**6. Proof of Theorem 4.** The proof of Theorem 4 is similar to that of Theorem 3 and we will therefore omit it.

**7. Proof of Theorem 5.** It is clear that in order to prove our result, we may assume that  $y = x^\lambda$ , with  $7/12 < \lambda < 11/12$ , say.

It follows from Corollary 1 in Ramachandra, Sankaranarayanan and Srinivas [22] that

$$(7.1) \quad \sum_{n < x \leq x+y} \Lambda(n) = y + O(y \exp\{-(\log x)^{1/6}\}).$$

Now, observe that if  $p \in [x, x+y]$ , then

$$\log x \leq \log p \leq \log x + \log(1 + y/x) = \log x + O(y/x)$$

while

$$\begin{aligned} \sum_{\substack{x \leq p^\ell < x+y \\ \ell \geq 2}} \log p &\leq (2 \log x)(\sqrt{x+y} - \sqrt{x}) + O(x^{1/3}) \\ &\leq \frac{2(\log x)y}{\sqrt{x}} + O(x^{1/3}) \ll x^{1/3}, \end{aligned}$$

so that, using (7.1), we get

$$\sum_{x \leq p \leq x+y} (\log x + O(y/x)) + O((y/x)(\log x)) = y + O(y \exp\{-(\log x)^{1/6}\}),$$



which then allows us to write

$$\begin{aligned} \sum_{p \in [x, x+y]} 1 &= \frac{y}{\log x} + O\left(\frac{y}{x} \sum_{p \in [x, x+y]} 1\right) + O(y \exp\{-(\log x)^{1/6}\}) \\ &= \frac{y}{\log x} + O\left(\frac{y}{x} \frac{y}{\log x}\right) + O(y \exp\{-(\log x)^{1/6}\}). \end{aligned}$$

Consequently,

$$(7.2) \quad \begin{aligned} \sum_{p \in [x, x+y]} p &= \frac{xy}{\log x} + O\left(\frac{y^2}{\log x}\right) + O(xy \exp\{-(\log x)^{1/6}\}) \\ &= \frac{xy}{\log x} + O(xy \exp\{-(\log x)^{1/6}\}), \end{aligned}$$

where we have used the fact that  $y^2/\log x < xy \exp\{-(\log x)^{1/6}\}$ .

Now, provided that  $7/12 + \varepsilon_1 < \log v/\log u < 11/12$ , say, where  $\varepsilon_1 > 0$  is an arbitrarily small number, we have

$$(7.3) \quad \begin{aligned} \sum_{x \leq n \leq x+y} P(n) &= \sum_{\substack{x \leq \nu p \leq x+y \\ P(\nu) \leq p}} p \\ &= \sum_{\nu < x^{\varepsilon_2}} \sum_{x/\nu < p \leq x/\nu + y/\nu} p + \sum_{x^{\varepsilon_2} \leq \nu \leq x} \sum_{x/\nu < p \leq x/\nu + y/\nu} p \\ &= S_1(x, y) + S_2(x, y), \end{aligned}$$

say. Now it is clear that

$$(7.4) \quad S_2(x, y) \leq x^{1-\varepsilon_2} y.$$

On the other hand, writing

$$(7.5) \quad S_1(x, y) = \sum_{\nu < x^{\varepsilon_2}} A_\nu,$$

say, and assuming that  $\frac{\log x}{\log y} < \frac{\log(y/\nu)}{\log(x/\nu)} < \frac{\log x}{\log y} + \varepsilon$  (which holds if in (7.5),  $\nu$  runs from 1 to  $x^{\varepsilon_2}$  for some positive  $\varepsilon_2$  sufficiently small), we deduce from (7.2) that

$$(7.6) \quad A_\nu = \frac{xy}{\nu^2 \log(x/\nu)} + O\left(\frac{xy}{\nu^2} \exp\{-(\log \sqrt{x})^{1/6}\}\right),$$

where we have used the fact that  $\log(x/\nu) > \log \sqrt{x}$ .

It follows from (7.5) and (7.6) that, for some positive constant  $c$ ,

$$(7.7) \quad S_1(x, y) = \sum_{\nu < x^{\varepsilon_2}} \frac{xy}{\nu^2 \log(x/\nu)} + O(xy \exp\{-(c \log x)^{1/6}\}).$$

Now, observe that

$$\begin{aligned}
 (7.8) \quad T &:= \sum_{\nu < x^{\varepsilon_2}} \frac{1}{\nu^2 \log(x/\nu)} = \sum_{\nu < x^{\varepsilon_2}} \frac{1}{\nu^2 \log x} \frac{1}{1 - \frac{\log \nu}{\log x}} \\
 &= \sum_{k=0}^{\infty} \sum_{\nu < x^{\varepsilon_2}} \frac{1}{\nu^2 \log x} \frac{\log^k \nu}{\log^k x} \\
 &= \sum_{k=0}^M \frac{1}{\log^{k+1} x} \sum_{\nu < x^{\varepsilon_2}} \frac{\log^k \nu}{\nu^2} + O\left(\sum_{\nu} \frac{\log^{M+1} \nu}{\nu^2 \log^{M+2} x}\right).
 \end{aligned}$$

We easily see that, for each integer  $k \geq 0$ , by partial integration,

$$\begin{aligned}
 (7.9) \quad J_k(z) &:= \int_z^{\infty} \eta^k e^{-\eta} d\eta = \eta^k (-e^{-\eta})|_z^{\infty} + k \int_z^{\infty} \eta^{k-1} e^{-\eta} d\eta \\
 &= z^k e^{-z} + k J_{k-1}(z)
 \end{aligned}$$

with, in particular,  $J_0(z) = e^{-z}$ .

Setting

$$R_k := \sum_{\nu \geq x^{\varepsilon_2}} \frac{\log^k \nu}{\nu^2}$$

and using (7.9) clearly shows that

$$(7.10) \quad R_k \leq 2 \int_{x^{\varepsilon_2}}^{\infty} \frac{\log^k t}{t^2} dt = 2 \int_{\varepsilon_2 \log x}^{\infty} \eta^k e^{-\eta} d\eta = 2J_k(\varepsilon_2 \log x).$$

Assuming that  $M$  is fixed, we deduce from (7.10) that

$$(7.11) \quad R_k \ll (\varepsilon_2 \log x)^k e^{-\varepsilon_2 \log x} \quad (k \leq M).$$

Recalling the definition of  $\xi_k$  given in (2.2), and using (7.11) in (7.8), it follows that

$$(7.12) \quad T = \sum_{k=0}^M \frac{\xi_k}{\log^{k+1} x} + O\left(\frac{1}{\log^{M+2} x}\right).$$

Using (7.12) in (7.7), and substituting the resulting estimate in (7.3), taking into account estimate (7.4), completes the proof of Theorem 5.

**8. Proof of Theorem 6.** Setting  $f(p) := e(\alpha s_q(p))$ , it has been shown by Mauduit and Rivat [19] that

$$\lim_{x \rightarrow \infty} \frac{1}{\pi(x)} \sum_{p \leq x} f(p) = 0.$$

From this and Theorem 1, Theorem 6 follows.

**9. Proof of Theorem 7.** Given  $J \subseteq \wp$ , we set  $\omega_J(n) := \#\{p \in J : p \mid n\}$ . Let  $\delta$  be a small positive number. Given a large number  $x$  and setting  $J = J_x = [x^\delta, x]$ , it follows from the Turán–Kubilius inequality that

$$\sum_{n \leq x} \left( \omega_J(n) - \sum_{p \in J} \frac{1}{p} \right)^2 \leq Cx \sum_{x^\delta \leq p \leq x} \frac{1}{p}.$$

Since

$$\sum_{n \leq x} \sum_{\substack{p \mid n \\ x^{1-\delta} \leq p \leq x}} 1 \leq x \sum_{x^{1-\delta} \leq p \leq x} \frac{1}{p} \leq x \left( \log \frac{1}{1-\delta} + o(1) \right) \leq 2\delta x,$$

provided  $x$  is large enough, and since

$$\sum_{x^\delta \leq p \leq x} \frac{1}{p} = \log \frac{1}{\delta} + o(1) \quad (x \rightarrow \infty),$$

it follows that there exists an absolute constant  $c > 0$  and a number  $x_0$  such that if  $x > x_0$ , then

$$x^\delta \leq P_k(n) < \cdots < P_1(n) \leq x^{1-\delta}$$

for every integer  $n \in [2, x]$  with the exception of at most  $c\delta x$  integers.

Now let  $\lambda$  be a small positive number such that  $\lambda \log 1/\delta \leq \delta$ , and let us consider the set  $D_x$  of those positive integers  $n \leq x$  which have two prime divisors  $p, q$  such that  $x^\delta < p < q < p^{1+\lambda}$ . It turns out that

$$\begin{aligned} \#D_x &\leq x \sum_{x^\delta < p < q < p^{1+\lambda}} \frac{1}{pq} \leq x \sum_{x^\delta < p \leq \sqrt{x}} \frac{1}{p} \sum_{p < q < p^{1+\lambda}} \frac{1}{q} \\ &\leq 2x \sum_{x^\delta < p \leq x} \frac{\log(1+\lambda)}{p} \leq 2x\lambda \log \frac{1}{\delta} \leq 2\delta x. \end{aligned}$$

Let  $\mathcal{B} = \mathcal{B}_x$  be the set of those  $k$ -tuples of primes  $(p_1, \dots, p_k)$  such that  $x^\delta \leq p_k < \cdots < p_1 \leq x^{1-\delta}$ ,  $p_{j+1} < p_j^{1-\lambda}$  for  $j = 1, \dots, k-1$ ,  $p_1 \cdots p_k < x^{1-\delta}$ . First observe that the size of the set of those positive integers  $n \leq x$  for which the  $k$ -tuples  $(P_1(n), \dots, P_k(n))$  are not in  $\mathcal{B}$  is  $O(\delta x)$ . Thus

$$\begin{aligned} (9.1) \quad T &:= \sum_{n \leq x} \prod_{j=1}^k f_j(P_j(n)) \\ &= \sum_{(p_1, \dots, p_k) \in \mathcal{B}} \prod_{j=1}^k f_j(p_j) \Psi \left( \frac{x}{p_1 \cdots p_k}, p_k \right) + O(\delta x). \end{aligned}$$

Then, if  $(p_1, \dots, p_k) \in \mathcal{B}$ , one can easily see that with

$$u = \frac{\log(x/p_1 \cdots p_k)}{\log p_k},$$

we get

$$u = \frac{\log x - \sum_{j=1}^k \log p_j}{\log p_k} \leq \frac{1}{\delta},$$

so that

$$\frac{\log(u+1)}{\log y} = \frac{\log(u+1)}{\log p_k} \leq \frac{\log(1/\delta)}{\delta \log x}.$$

We thus obtain, using (5.9),

$$\begin{aligned} & \Psi\left(\frac{x}{p_1 \cdots p_k}, p_k\right) \\ &= \frac{x}{p_1 \cdots p_k} \rho\left(\frac{\log(x/p_1 \cdots p_k)}{\log p_k}\right) + O\left(\frac{x}{p_1 \cdots p_k} \frac{\log(1/\delta)}{\delta} \frac{1}{\log x}\right). \end{aligned}$$

Hence, it follows from (9.1) that

$$(9.2) \quad \begin{aligned} T &= \sum_{(p_1, \dots, p_k) \in \mathcal{B}} \prod_{j=1}^k f_j(p_j) \frac{x}{p_1 \cdots p_k} \rho\left(\frac{\log(x/p_1 \cdots p_k)}{\log p_k}\right) \\ &+ O\left(\sum_{(p_1, \dots, p_k) \in \mathcal{B}} \prod_{j=1}^k f_j(p_j) \frac{x}{p_1 \cdots p_k} \frac{\log(1/\delta)}{\delta \log x}\right). \end{aligned}$$

Since the above error term is, as  $x \rightarrow \infty$ ,

$$\begin{aligned} & \ll \frac{\log(1/\delta)}{\delta \log x} \sum_{(p_1, \dots, p_k) \in \mathcal{B}} \prod_{j=1}^k f_j(p_j) \left(\sum_{x^\delta < p_j < x^{1-\delta}} \frac{1}{p_j}\right)^k \\ & \ll \frac{\log(1/\delta)}{\delta \log x} \left(\log \frac{1-\delta}{\delta}\right)^k = o(x), \end{aligned}$$

it follows, in light of (9.2), that estimate (9.1) can be replaced by

$$(9.3) \quad \begin{aligned} T &= x \sum_{(p_1, \dots, p_k) \in \mathcal{B}} \prod_{j=1}^k \frac{f_j(p_j)}{p_j} \rho\left(\frac{\log(x/p_1 \cdots p_k)}{\log p_k}\right) \\ &+ O(\delta x) + o(x) \quad (x \rightarrow \infty). \end{aligned}$$

Now, given any  $k$  primes  $q_1 < \cdots < q_k$  with  $1/2 < q_j/p_j < 2$  for  $j = 1, \dots, k$  and setting

$$\begin{aligned} \varepsilon(x) := \max_{(p_1, \dots, p_k) \in \mathcal{B}} \max_{\substack{q_1, \dots, q_k \\ q_j/p_j \in (1/2, 2)}} & \left| \rho\left(\frac{\log x - \sum_{j=1}^k \log q_j}{\log q_k}\right) \right. \\ & \left. - \rho\left(\frac{\log x - \sum_{j=1}^k \log p_j}{\log p_k}\right) \right|, \end{aligned}$$

it follows from the continuity of  $\rho$  that  $\varepsilon(x) \rightarrow 0$  as  $x \rightarrow \infty$ . We can then use this in the estimate of the main term in (9.3) so that, arguing as in the proof of Theorem 1, we deduce that (9.3) can be replaced by

$$\begin{aligned} T &= C_1 \cdots C_k \sum_{(p_1, \dots, p_k) \in \mathcal{B}} x \prod_{j=1}^k \frac{1}{p_j} \rho \left( \frac{\log(x/p_1 \cdots p_k)}{\log p_k} \right) + O(\delta x) + o(x) \\ &= C_1 \cdots C_k (x + O(\delta x)) + O(\delta x) + o(x) = C_1 \cdots C_k x + O(\delta x). \end{aligned}$$

Since  $\delta$  can be chosen arbitrarily small, the proof of Theorem 7 is complete.

**10. Final remarks.** Given a real-valued additive function  $g$  and  $a \in \mathbb{Z} \setminus \{0\}$ , let

$$F_N(y) := \frac{1}{\pi(N)} \#\{p \in \mathbb{N} : g(p+a) < y\} \quad \text{and} \quad F(y) = \lim_{N \rightarrow \infty} F_N(y).$$

We then have the following results.

**THEOREM 8.** *Given arbitrary real numbers  $y_1, \dots, y_k$ ,*

$$\lim_{N \rightarrow \infty} \frac{1}{N} \#\{n \leq N : g(P_j(n) + a) < y_j, j = 1, \dots, k\} = \prod_{j=1}^k F(y_j).$$

**THEOREM 9.** *Given any real number  $z$ , set  $G(z) := \int_{-\infty}^{\infty} F(y+z) dF(y)$ . Then*

$$\lim_{N \rightarrow \infty} \frac{1}{N} \#\{n \leq N : g(P_1(n) + a) - g(P_2(n) + a) < z\} = G(z).$$

**THEOREM 10.** *Let  $a_1, \dots, a_k$  be non-zero integers and let  $g_1, \dots, g_k$  be real-valued additive functions each satisfying the three-series condition (1.5). Set*

$$F_{N,j}(y) := \frac{1}{\pi(N)} \#\{p \leq N : g_j(p + a_j) < y\}.$$

*Then, for each  $j \in \{1, \dots, k\}$ , we have  $\lim_{N \rightarrow \infty} F_{N,j}(y) = F_j(y)$ . Moreover, given any real numbers  $y_1, \dots, y_k$ , the limit*

$$\lim_{N \rightarrow \infty} \frac{1}{N} \#\{n \leq N : g_j(P_j(n) + a_j) < y_j, j = 1, \dots, k\}$$

*exists and is equal to  $\prod_{j=1}^k F_j(y_j)$ .*

**THEOREM 11.** *Let  $a_1, \dots, a_k$  be non-zero integers and let  $g_1, \dots, g_k$  be real-valued additive functions each satisfying  $g_j(p) = O(1)$  for  $p \in \wp$ . Let*

$$A_j(x) := \sum_{p \leq x} \frac{g_j(p)}{p} \quad \text{and} \quad B_j(x)^2 := \sum_{p \leq x} \frac{g_j^2(p)}{p}$$

and assume  $B_j(x) \rightarrow \infty$  as  $x \rightarrow \infty$ . Then, for any real numbers  $y_1, \dots, y_k$ ,

$$\lim_{N \rightarrow \infty} \frac{1}{N} \# \left\{ n \leq N : \frac{g_j(P_j(n) + a_j) - A_j(N)}{B_j(N)} < y_j, j = 1, \dots, k \right\} = \prod_{j=1}^k \Phi(y_j).$$

The above theorems are essentially consequences of Theorem 7. For instance, to prove Theorem 10, one can proceed as follows. First define

$$f_j(p) = \begin{cases} 1 & \text{if } g_j(p + a_j) < y_j, \\ 0 & \text{otherwise} \end{cases} \quad (j = 1, \dots, k).$$

Then

$$\lim_{N \rightarrow \infty} \frac{1}{\pi(N)} \sum_{p \leq N} f_j(p) = F_j(y_j) \quad (j = 1, \dots, k),$$

implying that

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n \leq N} f_j(P_j(n)) = F_j(y_j) \quad (j = 1, \dots, k).$$

It follows that, using Theorem 7, we get

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n \leq N} \prod_{j=1}^k f_j(P_j(n)) = \prod_{j=1}^k F_j(y_j),$$

which is precisely the conclusion of Theorem 10.

To prove Theorem 9, we first observe that  $g(P_1(n) + a)$  and  $g(P_2(n) + a)$  are independent. Then, applying the result of Theorem 8, the conclusion of Theorem 9 follows.

The proof of Theorem 11 requires more attention. First we let

$$h_j(p) = \frac{g_j(p + a_j) - A_j(p)}{B_j(p)}$$

and define

$$f_j(p) = \begin{cases} 1 & \text{if } h_j(p) < y_j, \\ 0 & \text{otherwise} \end{cases} \quad (j = 1, \dots, k).$$

Now, it is known that

$$\lim_{N \rightarrow \infty} \frac{1}{\pi(N)} \# \left\{ p \leq N : \frac{g_j(p + a_j) - A_j(N)}{B_j(N)} < y_j \right\} = \Phi(y_j) \quad (j = 1, \dots, k).$$

On the other hand, it is clear that, for every  $\varepsilon > 0$ ,

$$\lim_{N \rightarrow \infty} \frac{1}{\pi(N)} \# \left\{ p \leq N : \left| \frac{g_j(p + a_j) - A_j(N)}{B_j(N)} - \frac{g_j(p + a_j) - A_j(p)}{B_j(p)} \right| > \varepsilon \right\} = 0.$$

From this,

$$\lim_{N \rightarrow \infty} \frac{1}{\pi(N)} \sum_{p \leq x} f_j(p) = \Phi(y_j) \quad (j = 1, \dots, k).$$

On the other hand, it is a consequence of Theorem 8 that, for every  $\varepsilon > 0$ ,

$$\lim_{N \rightarrow \infty} \frac{1}{N} \# \left\{ n \leq N : \max_{j=1, \dots, k} \left| \frac{g_j(P_j(n) + a_j) - A_j(P_j(n))}{B_j(P_j(n))} - \frac{g_j(P_j(n) + a_j) - A_j(N)}{B_j(N)} \right| > \varepsilon \right\} = 0.$$

Combining the above estimates completes the proof of Theorem 11.

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