

Preperiodic dynatomic curves for $z \mapsto z^d + c$

by

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Abstract. The preperiodic dynatomic curve $\mathcal{X}_{n,p}$ is the closure in \mathbb{C}^2 of the set of (c, z) such that z is a preperiodic point of the polynomial $z \mapsto z^d + c$ with preperiod n and period p ($n, p \geq 1$). We prove that each $\mathcal{X}_{n,p}$ has exactly $d - 1$ irreducible components, which are all smooth and have pairwise transverse intersections at the singular points of $\mathcal{X}_{n,p}$. We also compute the genus of each component and the Galois group of the defining polynomial of $\mathcal{X}_{n,p}$.

1. Introduction. Fix $d \geq 2$. For $c \in \mathbb{C}$, set $f_c(z) = z^d + c$. For $p \geq 1$, define

$$\check{\mathcal{X}}_{0,p} := \{(c, z) \in \mathbb{C}^2 \mid f_c^p(z) = z \text{ and for all } 0 < k < p, f_c^k(z) \neq z\},$$

$$\mathcal{X}_{0,p} := \text{the closure of } \check{\mathcal{X}}_{0,p} \text{ in } \mathbb{C}^2.$$

It is known that all $\mathcal{X}_{0,p}$ are affine algebraic curves, called the *periodic dynatomic curves*. These curves have been the subject of several studies in algebraic and holomorphic dynamical systems. The known results for these curves mainly concern smoothness (Douady–Hubbard [DH1], Milnor [Mil1], Buff–Tan [BT]); irreducibility (Bousch [B], Buff–Tan [BT], Morton [Mo], Lau–Schleicher [LS], Schleicher [S]); the genus (Bousch [B]) and the associated Galois groups (Bousch [B], Morton [Mo], Lau–Schleicher [LS], Schleicher [S]).

In the present work, we study some topological and algebraic properties of *preperiodic dynatomic curves*.

DEFINITION 1.1. For $n \geq 0$ and $p \geq 1$, a point z is called a *p-periodic point* of f_c if $f_c^p(z) = z$ but $f_c^k(z) \neq z$ for $0 < k < p$, and an *(n, p)-preperiodic*

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point of f_c if $f_c^n(z)$ is a p -periodic point of f_c but $f_c^l(z)$ is not periodic for any $0 \leq l < n$.

Now, for any $n, p \geq 1$, define

$$\begin{aligned}\check{\mathcal{X}}_{n,p} &:= \{(c, z) \in \mathbb{C}^2 \mid z \text{ is an } (n, p)\text{-preperiodic point of } f_c\}, \\ \mathcal{X}_{n,p} &:= \text{the closure of } \check{\mathcal{X}}_{n,p} \text{ in } \mathbb{C}^2.\end{aligned}$$

In fact, as we shall see below, all $\mathcal{X}_{n,p}$ are also affine algebraic curves, called the *preperiodic dynatomic curves*. Not much work has been done for this kind of curves. The special case $d = 2$ has been previously studied by Bousch [B], who established in this case that for any integers $n, p \geq 1$, the curve $\mathcal{X}_{n,p}$ is also smooth and irreducible (like the periodic dynatomic curves), and computed its associated Galois group.

The main purpose of this work is to extend these results to arbitrary $d \geq 2$. An obvious difference with the previous case is that, for $d > 2$, the curve $\mathcal{X}_{n,p}$ is no longer irreducible: it consists of $d-1$ irreducible components. We may understand this by a simple observation. Consider the curve $\mathcal{X}_{1,p}$ of $(1, p)$ -preperiodic points, that is, the points z which are not p -periodic, but whose image $z_0 = f(z)$ is. The periodic point $z_{p-1} = f^{p-1}(z_0)$ is another preimage of z_0 . Because $f_c(z) = z^d + c$, we have $z = \omega z_{p-1}$, where ω is a d th root of unity. According to the value of ω , we can partition the $(1, p)$ -preperiodic points into $d-1$ classes, and this decomposition is of algebraic nature: it corresponds to a factorization of $f_c^{p+1}(z) - f_c(z)$.

We show that these $d-1$ components are smooth and irreducible. Our approach to smoothness is by using elementary calculations on quadratic differentials and Thurston's contraction principle, following the method of Buff–Tan [BT]. The approach to irreducibility is based on the connectedness of periodic dynatomic curves and then using induction on the preperiodic index n . Moreover, we study the features of the singular points of $\mathcal{X}_{n,p}$.

Following Bousch, we compute the genus of each irreducible component and the associated Galois group of the curve $\mathcal{X}_{n,p}$.

Here is a list of our main results. They should be compared with the results on periodic dynatomic curves.

Denote by $\{\nu_d(p)\}_{p \geq 1}$ the unique sequence of positive integers satisfying the recursive relation

$$(1.1) \quad d^p = \sum_{k|p} \nu_d(k), \quad d \geq 2 \text{ integer},$$

and let $\varphi(m)$ be the Euler totient function (i.e., the number of positive integers less than m and coprime to m). For $n, p \geq 1$, define

$$M_{n,p} := \nu_d(p) d^{n-2} (d-1) \left(n-1 - \sum_{t=1}^{[(n-1)/p]} d^{-tp} \right),$$

where $[x]$ denotes the maximal integer less than or equal to x , and

$$K_{n,p} := \nu_d(p)(d^{p-1} - 1)d^{n-1-p} \left(\sum_{t=1}^{[(n-1)/p]-1} d^{-t(p-1)} - \sum_{t=1}^{[(n-1)/p]-1} d^{-pt} \right) \\ + (d^{[(n-1)/p]} - 1)\nu_d(p)d^{n-2-[(n-1)/p]p}$$

(see (5.3) and (5.4) for the computation of them). For $n, p \geq 1$, set

$$g_p(d) := 1 + \frac{dp - d - p - 1}{2d} \nu_d(p) - \frac{d-1}{2d} \sum_{k|p, k < p} \varphi\left(\frac{p}{k}\right) k \cdot \nu_d(k), \\ g_{n,p} := 1 + \frac{1}{2} \nu_d(p) d^{n-2} (pd - d - p - 1) + \frac{1}{2} (M_{n,p} + K_{n,p}) \\ - \frac{1}{2} d^{n-2} (d-1) \sum_{k|p, k < p} \varphi\left(\frac{p}{k}\right) k \cdot \nu_d(k).$$

THEOREM 1.2. *For any $d \geq 2$ and $n, p \geq 1$, the preperiodic dynatonic curve $\mathcal{X}_{n,p}$ has the following properties:*

- (1) $\mathcal{X}_{n,p}$ is an affine algebraic curve. It has $d-1$ irreducible components and each one is smooth. Moreover, the components pairwise intersect at the singular points of $\mathcal{X}_{n,p}$. In particular, if $d=2$, the curve $\mathcal{X}_{n,p}$ is smooth and irreducible.
- (2) The genus of every irreducible component of $\mathcal{X}_{n,p}$ (in some kind of compactification) is $g_{n,p}(d)$, and all irreducible components are mutually homeomorphic.
- (3) The Galois group associated with $\mathcal{X}_{n,p}$ is the same as that associated with $\mathcal{X}_{\leq n,p} := \bigcup_{l=0}^n \mathcal{X}_{l,p}$; it consists of all permutations of the roots of the defining polynomial of $\mathcal{X}_{\leq n,p}$ that commute with f_c and with the rotation of argument $1/d$.

Here is a table comparing these various curves, where \mathbf{S}_m denotes the group of permutations of $\{1, \dots, m\}$, and $G_{n,p}(d)$ is the Galois group of $\mathcal{X}_{n,p}$.

periodic $\mathcal{X}_{0,p}$	$d=2$	$d>2$
	irreducible	irreducible
	smooth	smooth
genus	$g_p(2)$	$g_p(d)$
Galois group	$\mathbf{S}_{\nu_2(p)/p} \times \mathbb{Z}_p^{\nu_2(p)/p}$	$\mathbf{S}_{\nu_d(p)/p} \times \mathbb{Z}_p^{\nu_d(p)/p}$

preperiodic $\mathcal{X}_{n,p}, n \geq 1$	$d=2$	$d>2$
	irreducible	$d-1$ irreducible components
	smooth	not smooth, but each component smooth
componentwise genus	$g_{n,p}(2)$	$g_{n,p}(d)$
Galois group	$G_{n,p}(2)$	$G_{n,p}(d)$
pairwise intersection	empty	$C_{n,p}(\text{singular})$: singularity set of $\mathcal{X}_{n,p}$

This article is organized as follows:

In Section 2, we gather some preliminaries.

In Section 3, we prove that every $\mathcal{X}_{n,p}$ is an affine algebraic curve, and find its defining polynomial.

In Section 4, we give the irreducible factorization of $\mathcal{X}_{n,p}$, and prove that each irreducible factor is smooth and the irreducible components pairwise intersect at the singular points of $\mathcal{X}_{n,p}$.

In Section 5, we calculate the genus of each irreducible component.

In Section 6, we describe $\mathcal{X}_{n,p}$ from the algebraic point of view by calculating its Galois group.

2. Preliminaries

1. Filled-in Julia set and Multibrot set. This material can be found in [DH1, DH2] and [Eb].

For $c \in \mathbb{C}$, we denote by K_c the *filled-in Julia set* of f_c , that is, the set of points $z \in \mathbb{C}$ whose orbit under f_c is bounded. We denote by M_d the *Multibrot set* in the parameter plane, that is, the set of $c \in \mathbb{C}$ for which the critical point 0 belongs to K_c . It is known that M_d is connected.

Assume $c \in M_d$. Then K_c is connected. There is a conformal isomorphism $\phi_c : \mathbb{C} \setminus \bar{K}_c \rightarrow \mathbb{C} \setminus \bar{\mathbb{D}}$ satisfying $\phi_c \circ f_c = (\phi_c)^d$ and $\phi_c'(\infty) = 1$ (i.e., $\phi_c(z)/z \rightarrow_{z \rightarrow \infty} 1$). The *dynamical ray* of angle $\theta \in \mathbb{T}$ is defined by

$$R_c(\theta) := \{z \in \mathbb{C} \setminus K_c \mid \arg(\phi_c(z)) = 2\pi\theta\}.$$

Assume $c \notin M_d$. Then K_c is a Cantor set and all periodic points of f_c are *repelling*, that is, $|(f^p)'(z)| > 1$ for $p \geq 1$ and all p -periodic points z . There is a conformal isomorphism $\phi_c : U_c \rightarrow V_c$ between neighborhoods of ∞ in \mathbb{C} which satisfies $\phi_c \circ f_c = (\phi_c)^d$ on U_c . We may choose U_c so that U_c contains the critical value c , and V_c is the complement of a closed disk. For each $\theta \in \mathbb{T}$, there is a minimal $r_c(\theta) \geq 1$ such that ϕ_c^{-1} extends analytically along $R_0(\theta) \cap \{z \in \mathbb{C} \mid r_c(\theta) < |z|\}$. We denote by ψ_c this extension and by $R_c(\theta)$ the dynamical ray

$$R_c(\theta) := \psi_c(R_0(\theta) \cap \{z \in \mathbb{C} \mid r_c(\theta) < |z|\}).$$

As $|z| \searrow r_c(\theta)$, the point $\psi_c(re^{2\pi i\theta})$ converges to some $x \in \mathbb{C}$ [DH2, Prop. 8.3]. If $r_c(\theta) > 1$, then $x \in \mathbb{C} \setminus K_c$ is an iterated preimage of 0 and we say that $R_c(\theta)$ *bifurcates at* x . If $r_c(\theta) = 1$, then x belongs to K_c and we say that $R_c(\theta)$ *lands at* x .

There are three kinds of important parameters in M_d : superattracting, parabolic, and Misiurewicz parameters. Recall that a point z is said to be p -*periodic* if $f_c^p(z) = z$ but $f_c^k(z) \neq z$ for $0 < k < p$. We call a parameter $c \in \mathbb{C}$

- *p*-superattracting if 0 is *p*-periodic by f_c ;
- *p*-parabolic if f_c has a *p*-periodic point z_0 with $(f^p)'(z_0) = 1$ or *m*-periodic point z_0 such that $m \mid p$ and $(f^m)'(z_0)$ is a (p/m) th root of unity;
- (n, p) -Misiurewicz if 0 is an (n, p) -preperiodic point of f_c .

A well-known result in complex dynamics says that any parabolic cycle of a rational map has a critical point in its basin, whose orbit eventually converges to but is disjoint from the cycle (see [Mil2, Thm. 10.15]). So for the family $\{f_c \mid c \in \mathbb{C}\}$ of unicritical polynomials, the three classes of parameters above are pairwise disjoint. We write this as a lemma, since it will be repeatedly used throughout the paper.

LEMMA 2.1. *If the critical point 0 is (pre)periodic for f_c , then c is not a parabolic parameter.*

2. Affine algebraic curve and singularity. This material can be found in [G].

A polynomial $f \in \mathbb{C}[x, y]$ is called *squarefree* if it is not divisible by $h(x, y)^2$ for any non-constant $h(x, y) \in \mathbb{C}[x, y]$. An *affine algebraic curve over \mathbb{C}* is defined as

$$\mathcal{C} = \{(x, y) \in \mathbb{C}^2 \mid f(x, y) = 0\},$$

where f is a non-constant squarefree polynomial in $\mathbb{C}[x, y]$, called the *defining polynomial* of \mathcal{C} . If $f = \prod_{i=1}^m f_i$, where f_i are the irreducible factors of f , we say that the affine curve defined by f_i is an *irreducible component* of \mathcal{C} .

Let $f \in \mathbb{C}[x, y]$. The *total degree* of $f(x, y)$ as a multivariate polynomial is the highest degree of its terms, denoted by $\text{Deg}(f)$. Correspondingly, we denote by $\text{deg}_x(f)$ and $\text{deg}_y(f)$ the degrees of f when considered as a polynomial in x and y respectively. The following lemma is repeatedly used in this paper.

LEMMA 2.2.

- (1) *If $f = f_1 f_2$ with $f_1, f_2 \in \mathbb{C}[x, y]$, then $\text{Deg}(f) = \text{Deg}(f_1) + \text{Deg}(f_2)$, $\text{deg}_x(f) = \text{deg}_x(f_1) + \text{deg}_x(f_2)$ and $\text{deg}_y(f) = \text{deg}_y(f_1) + \text{deg}_y(f_2)$.*
- (2) *For $f_1, f_2 \in \mathbb{C}[x, y]$, if $f(x, y) = f_1(x, f_2(x, y))$, then*

$$\text{deg}_y(f) = \text{deg}_y(f_1) \cdot \text{deg}_y(f_2).$$

- (3) *For $f_1, f_2 \in \mathbb{C}[x, y]$, if $f(x, y) = f_1(x, f_2(x, y))$ and $\text{Deg}(f_1) = \text{deg}_y(f_1) \geq 1$, $\text{Deg}(f_2) > 1$, then $\text{Deg}(f) = \text{Deg}(f_1) \cdot \text{Deg}(f_2)$.*

Proof. (1) Refer to [F, Section 1.1].

(2) This is straightforward by a simple computation.

(3) Set $d_1 := \text{Deg}(f_1)$ and $d_2 := \text{Deg}(f_2)$. By assumption, $\text{deg}_y(f_1) = d_1 \geq 1$ and $d_2 > 1$. On the one hand, since $\text{Deg}(f_1) = \text{deg}_y(f_1) = d_1$, there

is a unique term in f_1 of the form $a_1 y^{d_1}$, where a_1 is a non-zero constant. So, from (1) and $d_1 \geq 1$, it follows that $\text{Deg}(a_1 f_2^{d_1}) = d_1 d_2$. On the other hand, any other term of f_1 has the form $a x^s y^t$, where a is a non-zero constant and either $s + t < d_1$, or $s + t = d_1$ and $s \geq 1$. From (1) and $d_2 > 1$,

$$\text{Deg}(x^s f_2^t(x, y)) = s + t d_2 < d_1 d_2.$$

So we get $\text{Deg}(f) = d_1 d_2$. ■

Let \mathcal{C} be an affine algebraic curve for \mathbb{C} defined by $f \in \mathbb{C}[x, y]$, and let $P = (a, b) \in \mathcal{C}$. The *multiplicity* of \mathcal{C} at P , denoted by $\text{mult}_P(\mathcal{C})$, is defined as the order s of the first non-vanishing term in the Taylor expansion of f at P , i.e.,

$$f(x, y) = \sum_{s=0}^{\infty} \frac{1}{s!} \sum_{t=0}^s \binom{s}{t} (x-a)^t (y-b)^{s-t} \frac{\partial^s f}{\partial x^t \partial y^{s-t}}(a, b).$$

If $\text{mult}_P(\mathcal{C}) = 1$, the point P is called a *smooth point* of \mathcal{C} . If $\text{mult}_P(\mathcal{C}) = r > 1$, then we say that P is a *singular point of multiplicity r* . We say that $\text{mult}_P \mathcal{C}$ or f is *smooth* if any point of \mathcal{C} is smooth. Note that the first non-vanishing term is a homogeneous polynomial of $x - a$ and $y - b$, so all its irreducible factors are linear and they are called the *tangents* of \mathcal{C} at P .

A singular point P of multiplicity r on an affine plane curve \mathcal{C} is called *ordinary* if the r tangents to \mathcal{C} at P are distinct.

The following result provides a topological interpretation of the irreducibility of polynomials.

LEMMA 2.3. *A squarefree polynomial $f \in \mathbb{C}[x, y]$ is irreducible if and only if the set of smooth points of f is connected.*

3. Periodic dynatomic curves. In this paper, some of the proofs and statements rely on the work concerning the periodic curves $\mathcal{X}_{0,p}$. We list the related results in the following lemma. Their proofs can be found in [B], [BT], [Eb], [GO], [LS], [Mil1], [S].

By abuse of notation, we will identify polynomials in $\mathbb{C}[c, z]$ as polynomials in $\mathbf{C}[z]$ with $\mathbf{C} = \mathbb{C}[c]$. Denote by \mathbf{K} a fixed algebraically closed field containing \mathbf{C} .

Let $f \in \mathbb{C}[c, z]$. By the *zeros* of $f \in \mathbb{C}[c, z]$, we mean the points $(c, z) \in \mathbb{C}^2$ with $f(c, z) = 0$. By the *roots* of $f \in \mathbf{C}[z]$, we mean the roots of f in \mathbf{K} when f is considered as a polynomial in $\mathbf{C}[z]$.

Recall that $\{\nu_d(p)\}_{p \geq 1}$ is a unique sequence of positive integers satisfying the recursive relation $d^p = \sum_{k|p} \nu_d(k)$, $\text{Deg}(f)$ denotes the total degree of f , and $\text{deg}_z(f)$ denotes the degree of f as a polynomial in $\mathbf{C}[z]$.

LEMMA 2.4. *Let $\mathcal{X}_{0,p}$ be a periodic dynatomic curve. Then:*

- (i) ([B, BT]) *There exists a unique sequence $\{Q_{0,p} \in \mathbf{C}[z]\}_{p \geq 1}$ of monic polynomials such that for all $p \geq 1$,*

$$\Phi_{0,p}(c, z) := f_c^{\circ p}(z) - z = \prod_{k|p} Q_{0,k}(c, z).$$

Moreover, $\text{Deg}(Q_{0,p}) = \text{deg}_z(Q_{0,p}) = \nu_d(p)$.

- (ii) ([BT]) *Let $c_0 \in \mathbf{C}$. Then a point z_0 is a root of $Q_{0,p}(c_0, z) \in \mathbf{C}[z]$ if and only if one of the following three mutually exclusive conditions is satisfied:*

- (1) z_0 is a p -periodic point of f_{c_0} and $[f_{c_0}^{\circ p}]'(z_0) \neq 1$,
- (2) z_0 is a p -periodic point of f_{c_0} and $[f_{c_0}^{\circ p}]'(z_0) = 1$,
- (3) z_0 is an m -periodic point of f_{c_0} , where m is a proper factor of p , and $[f_{c_0}^{\circ m}]'(z_0)$ is a primitive (p/m) th root of unity.

- (iii) ([B, BT, GO, LS, S]) *The polynomial $Q_{0,p}$ is smooth and irreducible for all $p \geq 1$ and*

$$\mathcal{X}_{0,p} = \{(c, z) \in \mathbf{C} \mid Q_{0,p}(c, z) = 0\}.$$

- (iv) ([B, BT, GO]) *The projection $\pi_{0,p} : \mathcal{X}_{0,p} \rightarrow \mathbf{C}$ defined by $\pi_{0,p}(c, z) = c$ is a degree $\nu_d(p)$ (given in (1.1)) branched covering with two kinds of critical points:*

- (1) $C_{0,p}(\text{primitive}) = \{(c, z) \in \mathcal{X}_{0,p} \mid (c, z) \text{ satisfies (ii)(2)}\}$. *In this case, (c, z) is a simple critical point.*
- (2) $C_{0,p}(\text{satellite}) = \{(c, z) \in \mathcal{X}_{0,p} \mid (c, z) \text{ satisfies (ii)(3)}\}$. *In this case, the multiplicity of the critical point (c, z) is $p/m - 1$.*

The critical value set of $\pi_{0,p}$ consists of the parabolic parameters of period p .

- (v) ([Eb, Mil1]) *The projection $\varpi_{0,p} : \mathcal{X}_{0,p} \rightarrow \mathbf{C}$ defined by $\varpi_{0,p}(c, z) = z$ is a degree $\nu_d(p)/d$ branched covering, which is injective near each point $(c_0, 0) \in \mathcal{X}_{0,p}$.*
- (vi) ([B]) *The Galois group $G_{0,p}$ for the polynomial $Q_{0,p} \in \mathbf{C}[z]$ consists of the permutations of roots of $Q_{0,p} \in \mathbf{C}[z]$ that commute with f_c .*

3. The defining polynomial for $\mathcal{X}_{n,p}$. The objective of this section is to show that $\mathcal{X}_{n,p}$ is an affine algebraic curve, and find its defining polynomial.

Recall that \mathbf{C} denotes the ring $\mathbf{C}[c]$. For $n \geq 0$ and $p \geq 1$, set $\Phi_{n,p}(c, z) = f_c^{\circ(n+p)}(z) - f_c^{\circ n}(z)$.

LEMMA 3.1. *The polynomial $\Phi_{n,p} \in \mathbf{C}[z]$ has no multiple roots. Consequently, it is squarefree.*

Proof. It is enough to show that there exists $c_0 \in \mathbb{C}$ such that all roots of $\Phi_{n,p}(c_0, z)$ are simple. In fact, given $c_0 \in \mathbb{C} \setminus M_d$, a point z_0 is a root of $\Phi_{n,p}(c_0, z) \in \mathbb{C}[z]$ if and only if z_0 is an (l, k) -preperiodic point of f_{c_0} , where $0 \leq l \leq n$ and $k \mid p$. For such a c_0 , the critical point 0 goes to infinity and all periodic points of f_{c_0} are repelling. It follows that

$$(\partial\Phi_{n,p}/\partial z)(c_0, z_0) = [f_{c_0}^{\circ n}]'(z_0)([f_{c_0}^{\circ p}]'(z_0) - 1) \neq 0,$$

which completes the proof. ■

LEMMA 3.2. *There exists a unique doubly indexed sequence $\{Q_{n,p} \in \mathbb{C}[z]\}_{n,p \geq 1}$ of squarefree monic polynomials such that for all $n, p \geq 1$,*

$$(3.1) \quad \Phi_{n,p}(c, z) = \Phi_{n-1,p}(c, z) \prod_{k \mid p} Q_{n,k}(c, z).$$

Moreover, $\text{Deg}(Q_{n,p}) = \deg_z(Q_{n,p}) = \nu_d(p)(d-1)d^{n-1}$.

Proof. The definition of $\{Q_{n,p}\}_{n,p \geq 1}$ is based on the polynomials $\{Q_{0,p}\}_{p \geq 1}$ of Lemma 2.4(i). We first show that $Q_{0,p}(c, z)$ divides $Q_{0,p}(c, f_c(z))$ for any $p \geq 1$. Since the polynomials $Q_{0,p}(c, f_c(z)) \in \mathbb{C}[z]$ are monic, we may perform Euclidean division to find a monic quotient $Q \in \mathbb{C}[z]$ and a remainder $R \in \mathbb{C}[z]$ with $\deg(R) < \deg(Q_{0,p})$ such that $Q_{0,p}(c, f_c(z)) = Q_{0,p}Q + R$. We need to show that $R = 0$, which will enable us to set $Q_{1,p}(c, z) := Q$.

By Lemmas 3.1 and 2.4(i), the polynomial $Q_{0,p} \in \mathbb{C}[z]$ has no repeated factors. So its discriminant $\Delta_{0,p} \in \mathbb{C}[c]$ does not identically vanish, and hence $\Delta_{0,p}(c) \neq 0$ outside a finite set. Fix $c_0 \in \mathbb{C}$ such that $\Delta_{0,p}(c_0) \neq 0$. Then any root z_0 of $Q_{0,p}(c_0, z)$ is simple. By Lemma 2.4(ii), the point z_0 is also a root of $Q_{0,p}(c_0, f_{c_0}(z))$. As a consequence, $R(c_0, z) = 0$ for all $z \in \mathbb{C}$. Since this is true for every c_0 outside a finite set, we have $R = 0$ as required.

For $n, p \geq 1$, we define $Q_{n,p}(c, z) := Q_{1,p}(c, f_c^{n-1}(z))$. It is clear that each $Q_{n,p} \in \mathbb{C}[z]$ is monic. Note that $\Phi_{n,p}(c, z) = \Phi_{0,p}(c, f_c^n(z))$ for any $n, p \geq 1$, and so

$$\begin{aligned} \Phi_{n,p}(c, z) &= \Phi_{0,p}(c, f_c^n(z)) \stackrel{\text{Lem. 2.4}}{=} \prod_{k \mid p} Q_{0,k}(c, f_c^n(z)) \\ &= \prod_{k \mid p} Q_{0,k}(c, f_c^{n-1}(z)) Q_{1,k}(c, f_c^{n-1}(z)) \\ &= \prod_{k \mid p} Q_{0,k}(c, f_c^{n-1}(z)) \prod_{k \mid p} Q_{1,k}(c, f_c^{n-1}(z)) \\ &= \Phi_{0,p}(c, f_c^{n-1}(z)) \prod_{k \mid p} Q_{n,k}(c, z) = \Phi_{n-1,p}(c, z) \prod_{k \mid p} Q_{n,k}(c, z). \end{aligned}$$

Since each $\Phi_{n,p}$ is squarefree (Lemma 3.1), so is each $Q_{n,p}$.

Repeatedly applying Lemma 2.2(2) & (3), we find $\text{Deg}(f_c^k(z)) = \deg_z(f_c^k(z)) = d^k$ for $k \geq 1$. It follows that $\text{Deg}(\Phi_{n,p}) = \deg_z(\Phi_{n,p}) = d^{n+p}$

for $n \geq 0$ and $p \geq 1$. Then by the recursive formulas (3.1), (1.1) and Lemma 2.2(1), the degree conclusion in the lemma holds. ■

By the definition of $Q_{n,p}$, we get the inductive formulas

$$(3.2) \quad \begin{aligned} Q_{n-1,p}(c, f_c(z)) &= Q_{n,p}(c, z), \quad n \geq 2, \\ Q_{0,p}(c, f_c(z)) &= Q_{0,p}(c, z)Q_{1,p}(c, z), \end{aligned}$$

for each $p \geq 1$. This implies that we can obtain the properties of $Q_{n,p}$ by induction on n .

In fact, $Q_{n,p}(c, z)$ is the defining polynomial of $\mathcal{X}_{n,p}$. To see this, we will now study the properties of the roots of $Q_{n,p}(c_0, z) \in \mathbb{C}[z]$ for any $c_0 \in \mathbb{C}$.

PROPOSITION 3.3. *Let $n, p \geq 1$ be integers and $c_0 \in \mathbb{C}$. Then $z_0 \in \mathbb{C}$ is a root of $Q_{n,p}(c_0, z)$ if and only if one of the following mutually exclusive conditions holds:*

- (1) z_0 is an (n, p) -preperiodic point of f_{c_0} such that $f_{c_0}^l(z_0) \neq 0$ for any $0 \leq l < n$ and $[f_{c_0}^p]'(f_{c_0}^n(z_0)) \neq 1$.
- (2) z_0 is an (n, p) -preperiodic point of f_{c_0} such that $f_{c_0}^l(z_0) \neq 0$ for any $0 \leq l < n$ and $[f_{c_0}^p]'(f_{c_0}^n(z_0)) = 1$.
- (3) z_0 is an (n, m) -preperiodic point of f_{c_0} such that $f_{c_0}^l(z_0) \neq 0$ for any $0 \leq l < n$ and m is a proper factor of p with $[f_{c_0}^m]'(f_{c_0}^n(z_0))$ a primitive (p/m) th root of unity.
- (4) z_0 is an (n, p) -preperiodic point of f_{c_0} such that $f_{c_0}^l(z_0) = 0$ for some $0 \leq l < n$.
- (5) $f_{c_0}^{(n-1)}(z_0) = 0$ and 0 is a p -periodic point of f_{c_0} .

We remark that in case (4), the case of $l = n - 1$ never occurs.

Proof. Fix $c_0 \in \mathbb{C}$. The proof goes by induction on n . If $n = 1$, then $Q_{0,p}(c, f_c(z)) = Q_{0,p}(c, z) \cdot Q_{1,p}(c, z)$. We claim that z_0 is a common root of $Q_{0,p}(c_0, z)$ and $Q_{1,p}(c_0, z)$ if and only if $z_0 = 0$ is a p -periodic point of f_{c_0} .

For sufficiency, we only need to note that, in this case, 0 is a multiple root of $Q_{0,p}(c_0, f_{c_0}(z))$, but a simple root of $Q_{0,p}(c_0, z)$ by Lemma 2.4(ii). For necessity, z_0 must be a multiple root of $Q_{0,p}(c_0, f_{c_0}(z))$. It follows that either $f_{c_0}(z_0)$ is a multiple root of $Q_{0,p}(c_0, z)$, or z_0 is a critical point of f_{c_0} . In the former case, by Lemma 2.4(iv), c_0 is a parabolic parameter and $f_{c_0}(z_0)$ is a parabolic periodic point. This means that $Q_{0,p}(c_0, f_{c_0}(z))$ and $Q_{0,p}(c_0, z)$ have the same zero multiplicity at z_0 . Thus $Q_{1,p}(c_0, z_0) \neq 0$. In the latter case, we have $z_0 = 0$, and by Lemma 2.4(ii), 0 is a p -periodic point of f_{c_0} .

Such c_0, z_0 correspond to condition (5). In any other case, z_0 is a root of $Q_{1,p}(c_0, z)$ if and only if $f_{c_0}(z_0)$ is a root of $Q_{0,p}(c_0, z)$ but z_0 is not periodic. In fact, if it were, it would have the same period and multiplier as its first image. By Lemma 2.4(ii), $Q_{0,p}(c_0, z_0)$ would vanish, a contradiction.

Then Theorem 2.4(2) implies that z_0 satisfies one of conditions (1)–(4) in Proposition 3.3.

Assume that the proposition is established for $1 \leq l < n$. Then $Q_{n,p}(c, z) = Q_{n-1,p}(c, f_c(z))$. So for any $c_0 \in \mathbb{C}$, z_0 is a root of $Q_{n,p}(c_0, z)$ if and only if $f_{c_0}(z_0)$ is a root of $Q_{n-1,p}(c_0, z)$. By Lemma 2.1, if $f_{c_0}(z_0)$ has property (2) or (3), then the orbit of z_0 does not contain 0. Therefore by the inductive assumption, z_0 satisfies one of the five listed conditions. ■

In Proposition 3.3, the zeros of $Q_{n,p}(c, z)$ are divided into five classes. We give some notation for sets consisting of zeros of various classes:

The set	The points in the set
$C_{n,p}(\text{primitive})$	(c, z) satisfies condition (2) in Proposition 3.3
$C_{n,p}(\text{satellite})$	(c, z) satisfies condition (3) in Proposition 3.3
$C_{n,p}(\text{Misiurewicz})$	(c, z) satisfies condition (4) in Proposition 3.3
$C_{n,p}(\text{singular})$	(c, z) satisfies condition (5) in Proposition 3.3

Recall that for any $n, p \geq 1$, the sets $\check{\mathcal{X}}_{n,p}$ and $\mathcal{X}_{n,p}$ are defined by

$$\begin{aligned}\check{\mathcal{X}}_{n,p} &= \{(c, z) \in \mathbb{C}^2 \mid z \text{ is an } (n, p)\text{-preperiodic point of } f_c\}, \\ \mathcal{X}_{n,p} &= \text{the closure of } \check{\mathcal{X}}_{n,p} \text{ in } \mathbb{C}^2.\end{aligned}$$

PROPOSITION 3.4. *For $n, p \geq 1$, we have*

$$\mathcal{X}_{n,p} = \{(c, z) \mid Q_{n,p}(c, z) = 0\}, \quad \mathcal{X}_{n,p} \setminus \check{\mathcal{X}}_{n,p} = C_{n,p}(\text{satellite}) \cup C_{n,p}(\text{singular}).$$

Proof. Set $X := \{(c, z) \mid Q_{n,p}(c, z) = 0\}$. Then X is a closed, perfect set. By the definition of $\mathcal{X}_{n,p}$ and Proposition 3.3, we have

$$(3.3) \quad X \setminus (C_{n,p}(\text{satellite}) \cup C_{n,p}(\text{singular})) = \check{\mathcal{X}}_{n,p} \subset X.$$

We claim that the sets $C_{n,p}(\text{satellite})$ and $C_{n,p}(\text{singular})$ are both finite. If so, we get

$$X = \overline{X \setminus (C_{n,p}(\text{satellite}) \cup C_{n,p}(\text{singular}))} = \overline{\check{\mathcal{X}}_{n,p}} = \mathcal{X}_{n,p} \subset X.$$

Hence it remains to check the claim.

If $(c_0, z_0) \in C_{n,p}(\text{satellite})$, then $f_{c_0}^{n+p}(z_0) - f_{c_0}^n(z_0) = 0$ and $[f_{c_0}^p]'(f_{c_0}^n(z_0)) = 1$. Hence c_0 is a root of the resultant $R \in \mathbb{C}[c]$ of the equations $f_c^{n+p}(z) - f_c^n(z) = 0$ and $(f_c^p)'(f_c^n(z)) = 1$. For c outside the Multibrot set, all the periodic points of f_c are repelling, so $f_c^{n+p}(z) - f_c^n(z)$ and $(f_c^p)'(f_c^n(z)) - 1$ do not have a common root. It follows that R is not identically zero, and hence its roots form a finite set. If $(c_0, z_0) \in C_{n,p}(\text{singular})$, then $Q_{0,p}(c_0, 0) = 0$ by Proposition 3.3(5) and Lemma 2.4(ii), whereas the roots of $Q_{0,p}(c, 0)$ form a finite set. ■

4. The irreducible factorization of $Q_{n,p}$. In this section, we will show that the curve $\mathcal{X}_{n,p}$, $n \geq 1$, has $d - 1$ smooth irreducible components, and analyze the properties of its singular points. We always assume $n \geq 1$ without explicit mention.

4.1. Factorization of $Q_{n,p}$ and the features of its singular points.

Recall that for $f \in \mathbb{C}[c, z]$, $\text{Deg}(f)$ the total degree of f and $\text{deg}_z(f)$ is the degree of f in z .

LEMMA 4.1. (Algebraic version) *There exists a unique sequence $\{q_{n,p}^j \in \mathbb{C}[z]\}_{1 \leq j \leq d-1}$ of monic polynomials such that*

$$Q_{n,p}(c, z) = \prod_{j=1}^{d-1} q_{n,p}^j(c, z).$$

All points in $C_{n,p}(\text{singular})$ are zeros of $q_{n,p}^j \in \mathbb{C}[c, z]$, and there are no other common zeros for $q_{n,p}^i$ and $q_{n,p}^j$ with $i \neq j$. Moreover, $\text{Deg}(q_{n,p}^j) = \text{deg}_z(q_{n,p}^j) = \nu_d(p)d^{n-1}$.

(Topological version) *Define $\mathcal{V}_{n,p}^j = \{(c, z) \in \mathbb{C}^2 \mid q_{n,p}^j(c, z) = 0\}$ ($1 \leq j \leq d - 1$). Then $C_{n,p}(\text{singular}) \subset \mathcal{V}_{n,p}^j$ for each j , and the sets $\{\mathcal{V}_{n,p}^j \setminus C_{n,p}(\text{singular})\}_{1 \leq j \leq d-1}$ are pairwise disjoint.*

Proof. Recall that $\mathbf{C} = \mathbb{C}[c]$ and \mathbf{K} is a fixed algebraically closed field containing \mathbf{C} .

Let Δ be a root of $Q_{0,p} \in \mathbf{C}[z]$. Then by Lemma 2.4(i),

$$\Phi_{0,p}(c, \Delta) = f_c^p(\Delta) - \Delta = 0.$$

We see that Δ is periodic under f_c and $\Delta, \dots, f_c^{p-1}(\Delta)$ are roots of $\Phi_{0,p}$. Note that $\Phi_{0,p}(c, 0) = f_c^p(0)$ is a polynomial in c of degree d^{p-1} , so $\Delta \neq 0$. Consequently, $\omega\Delta, \dots, \omega^{d-1}\Delta$ are not roots of $Q_{0,p}$, where $\omega = e^{2\pi i/d}$, because they are not periodic under f_c . Then by the equation $Q_{0,p}(c, f_c(z)) = Q_{0,p}(c, z)Q_{1,p}(c, z)$ (see (3.2)), we see that $\omega\Delta, \dots, \omega^{d-1}\Delta$ are roots of $Q_{1,p} \in \mathbf{C}[z]$.

Let us factorize $Q_{0,p}$ in \mathbf{K} by

$$Q_{0,p}(c, z) = \prod_{i=1}^{\nu_d(p)} (z - \Delta_i)$$

($\Delta_{s_1} \neq \Delta_{s_2}$ for $s_1 \neq s_2$, because all roots of $\Phi_{0,p} \in \mathbf{C}[z]$ are simple by Lemma 3.1, and so are $Q_{0,p}$ by Lemma 2.4(i)). Then $Q_{1,p}$ can be expressed as

$$(4.1) \quad Q_{1,p} = \prod_{i=1}^{\nu_d(p)} (z - \omega\Delta_i) \cdots (z - \omega^{d-1}\Delta_i) = \prod_{j=1}^{d-1} \prod_{i=1}^{\nu_d(p)} (z - \omega^j\Delta_i).$$

To see this, we first note that for any $s, t \in [1, d-1]$ and $i_1 \neq i_2 \in [1, \nu_d(p)]$, $\omega^s \Delta_{i_1} \neq \omega^t \Delta_{i_2}$. But this is impossible because both Δ_{i_1} and Δ_{i_2} are periodic. Thus $\{\omega \Delta_i, \dots, \omega^{d-1} \Delta_i\}_{i=1}^{\nu_d(p)}$ are pairwise distinct roots of $Q_{1,p} \in \mathbf{C}[z]$ by the discussion above, so $\prod_{i=1}^{\nu_d(p)} (z - \omega \Delta_i) \cdots (z - \omega^{d-1} \Delta_i)$ is a divisor of $Q_{1,p}$. As its degree is $(d-1)\nu_d(p)$, equal to the degree of $Q_{1,p}$, and $Q_{1,p}$ is monic, we get (4.1). For $j \in [1, d-1]$, set

$$(4.2) \quad \begin{aligned} q_{1,p}^j(c, z) &= \prod_{i=1}^{\nu_d(p)} (z - \omega^j \Delta_i) = (\omega^j)^{\nu_d(p)} \prod_{i=1}^{\nu_d(p)} (\omega^{-j} z - \Delta_i) \\ &= (\omega^j)^{\nu_d(p)} Q_{0,p}(c, \omega^{-j} z). \end{aligned}$$

Note that $d \mid \nu_d(p)$, so $(\omega^j)^{\nu_d(p)} = 1$. Then $q_{1,p}^j(c, z)$ is a monic polynomial in $\mathbf{C}[z]$ satisfying

$$(4.3) \quad Q_{1,p}(c, z) = \prod_{j=1}^{d-1} q_{1,p}^j(c, z).$$

This gives a factorization of $Q_{1,p}$ in $\mathbf{C}[z]$. By (4.2) and the degree conclusion in Lemma 2.4(i), $\text{Deg}(q_{1,p}^j)$ and $\text{deg}_z(q_{1,p}^j)$ are both $\nu_d(p)$.

For $n \geq 2$, we can define $q_{n,p}^j(c, z)$ inductively by the formula $q_{n,p}^j(c, z) = q_{n-1,p}^j(c, f_c(z))$. Using induction, the degree conclusion in the lemma follows directly from Lemma 2.2(2)&(3). As $Q_{n,p}(c, z) = Q_{n-1,p}(c, f_c(z))$, we have

$$(4.4) \quad Q_{n,p}(c, z) = \prod_{j=1}^{d-1} q_{n,p}^j(c, z).$$

This is a factorization of $Q_{n,p}(c, z)$ in $\mathbf{C}[z]$.

It remains to prove that each $q_{n,p}^j(c, z)$ has the remaining properties announced in the lemma. For $n = 1$, since $q_{1,p}^j(c, z) = Q_{0,p}(c, \omega^{-j} z)$, we set that: (c_0, z_0) is a common root of $q_{1,p}^i(c, z)$ and $q_{1,p}^j(c, z)$ for some $1 \leq i \neq j \leq d-1 \Leftrightarrow$ both $(c_0, \omega^{-i} z_0)$ and $(c_0, \omega^{-j} z_0)$ are zeros of $Q_{0,p}(c, z)$. It follows that $\omega^{-i} z_0$ and $\omega^{-j} z_0$ are both periodic points of f_{c_0} , hence $z_0 = 0$. Note that, in case (3) of Lemma 2.4(ii), the critical point 0 is never periodic (Lemma 2.1), so 0 has period p . It follows that $(c_0, z_0) \in C_{1,p}(\text{singular})$. On the other hand, if $(c_0, z_0) \in C_{1,p}(\text{singular})$, then $(c_0, \omega^{-i} z_0) = (c_0, \omega^{-j} z_0) = (c_0, 0)$ is a zero of $Q_{0,p}(c, z)$. For $n \geq 2$, the conclusion can be deduced from the case of $n = 1$ and the definition of $q_{n,p}^j(c, z)$. ■

For convenience, we summarize the definitions of $q_{1,p}^j$ in terms of $Q_{0,p}$ and the inductive definitions of $q_{n,p}^j$ ($n \geq 2$) in terms of $q_{n-1,p}^j$ as a corollary.

COROLLARY 4.2. For any $p \geq 1$, $1 \leq j \leq d-1$, and $\omega = e^{2\pi i/d}$, we have

$$\begin{cases} q_{1,p}^j(c, z) = Q_{0,p}(c, \omega^{-j}z), \\ q_{n,p}^j(c, z) = q_{n-1,p}^j(c, f_c(z)), \quad n \geq 2. \end{cases}$$

EXAMPLE 4.3. Here are some examples of $Q_{n,p}$ and their decompositions. Let $d = 3$. Suppose $p = 1$; then $Q_{0,1}(c, z) = z^3 + c - z$,

$$\begin{aligned} Q_{1,1}(c, z) &= c^2 + cz + z^2 + 2cz^3 + z^4 + z^6 \\ &= (z^3 + c - e^{-\frac{2}{3}\pi i}z)(z^3 + c - e^{-\frac{4}{3}\pi i}z) \\ &= q_{1,1}^1(c, z) \cdot q_{1,1}^2(c, z) \end{aligned}$$

and

$$\begin{aligned} Q_{2,1}(c, z) &= 3c^2 + 3c^4 + (c^6 + 3c + 10c^3 + 6c^5)z^3 + (1 + 12c^2 + 15c^4)z^6 \\ &\quad + (6c + 20c^3)z^9 + (1 + 15c^2)z^{12} + 6cz^{15} + z^{18} \\ &= ((1 - e^{-\frac{2}{3}\pi i})c + c^3 + (3c^2 - e^{-\frac{2}{3}\pi i})z^3 + 3cz^6 + z^9) \\ &\quad \times ((1 - e^{-\frac{4}{3}\pi i})c + c^3 + (3c^2 - e^{-\frac{4}{3}\pi i})z^3 + 3cz^6 + z^9) \\ &= q_{2,1}^1(c, z) \cdot q_{2,1}^2(c, z). \end{aligned}$$

Suppose $p = 2$; then $Q_{0,2}(c, z) = 1 + c^2 + cz + z^2 + 2cz^3 + z^4 + z^6$ and

$$\begin{aligned} Q_{1,2}(c, z) &= 1 + 2c^2 + c^4 - (c + c^3) - z^2 + (3c + 4c^3)z^3 - 3c^2z^4 \\ &\quad + (1 + 6c^2)z^6 - 3cz^7 + 4cz^9 - z^{10} + z^{12} \\ &= (1 + c^2 + e^{-\frac{2}{3}\pi i}z + e^{-\frac{4}{3}\pi i}z^2 + 2cz^3 + e^{-\frac{2}{3}\pi i}z^4 + z^6) \\ &\quad \times (1 + c^2 + e^{-\frac{4}{3}\pi i}z + e^{-\frac{2}{3}\pi i}z^2 + 2cz^3 + e^{-\frac{4}{3}\pi i}z^4 + z^6) \\ &= q_{1,2}^1(c, z) \cdot q_{1,2}^2(c, z). \end{aligned}$$

From Lemma 4.1, we see that in the case $d \geq 3$, the polynomial $Q_{n,p}$ is both reducible and non-smooth, because $C_{n,p}(\text{singular})$, which is non-empty, is contained in the set of singular points of $Q_{n,p}$.

We now turn to the study of the components $q_{n,p}^j(c, z)$. The following theorem is the core of this section.

THEOREM 4.4. Given $d \geq 2$, for any $n, p \geq 1$ and $j \in [1, d-1]$, the polynomial $q_{n,p}^j(c, z)$ is smooth and irreducible.

The proof of this theorem is postponed to §4.2.

By this theorem, all components $\mathcal{V}_{n,p}^j$ are Riemann surfaces. Together with Lemma 4.1, this implies that the singularity set of $\mathcal{X}_{n,p}$ is equal to $C_{n,p}(\text{singular})$. The next proposition characterizes the features of these singularities.

PROPOSITION 4.5. *Given $d \geq 2$, for $n, p \geq 1$, each singularity (c_0, z_0) of $\mathcal{X}_{n,p}$ has multiplicity $d - 1$. Furthermore, if $f_{c_0}^l(z_0) = 0$ for some $0 \leq l \leq n - 2$, then $\mathcal{X}_{n,p}$ has one tangent of multiplicity $d - 1$ at (c_0, z_0) ; otherwise, the singularity (c_0, z_0) is ordinary.*

Proof. Let (c_0, z_0) be a singular point of $\mathcal{X}_{n,p}$. Since each component of $\mathcal{X}_{n,p}$ is smooth and they pairwise intersect at (c_0, z_0) , the first non-vanishing term of $Q_{n,p}(c, z)$ at (c_0, z_0) is $d - 1$. Hence the multiplicity of the singularity (c_0, z_0) is $d - 1$.

If $n = 1$, by Proposition 3.3(5), the fact that $(c_0, z_0) \in C_{1,p}(\text{singular})$ implies that $z_0 = 0$ and $(c_0, 0) \in \mathcal{X}_{0,p}$. According to Lemma 2.1, c_0 is not a parabolic parameter. Then Lemma 2.4(iv) shows that $(c_0, 0)$ is not a critical point of $\pi_{0,p}$, and hence $(\partial Q_{0,p}/\partial z)(c_0, 0) \neq 0$. Meanwhile, according to Lemma 2.4(v), $(\partial Q_{0,p}/\partial c)(c_0, 0) \neq 0$. Thus $Q_{0,p}(c, z)$ has a local expression

$$Q_{0,p}(c, z) = a_{0,p}(c - c_0) + b_{0,p}z + \text{higher order terms}$$

around $(c_0, 0)$ with $a_{0,p}, b_{0,p} \neq 0$. It follows that

$$q_{1,p}^j(c, z) = Q_{0,p}(c, \omega^{-j}z) = a_{0,p}(c - c_0) + b_{0,p}\omega^{-j}z + \text{higher order terms.}$$

Therefore the tangents of $\mathcal{V}_{1,p}^j$ ($1 \leq j \leq d - 1$) at $(c_0, 0)$ are pairwise distinct.

For $n \geq 2$, we denote by $a_{n,p}^j(c - c_0) + b_{n,p}^j(z - z_0)$ the equation of the tangent of $\mathcal{V}_{n,p}^j$ at (c_0, z_0) . By the formula $q_{n,p}^j(c, z) = q_{1,p}(c, f_c^{n-1}(z))$ (Corollary 4.2), we have

$$\left\{ \begin{array}{l} a_{n,p}^j = \frac{\partial q_{n,p}^j}{\partial c}(c_0, z_0) = \frac{\partial q_{1,p}^j}{\partial c}(c_0, 0) + \frac{\partial q_{1,p}^j}{\partial z}(c_0, 0) \frac{\partial f_c^{n-1}}{\partial c}(c_0, z_0) \\ \quad = a_{0,p} + b_{0,p}\omega^{-j} \frac{\partial f_c^{n-1}}{\partial c}(c_0, z_0), \\ b_{n,p}^j = \frac{\partial q_{n,p}^j}{\partial z}(c_0, z_0) = \frac{\partial q_{1,p}^j}{\partial z}(c_0, 0) (f_{c_0}^{n-1})'(z_0) = b_{0,p}\omega^{-j} (f_{c_0}^{n-1})'(z_0). \end{array} \right.$$

If there exists $0 \leq l \leq n - 2$ such that $f_{c_0}^l(z_0) = 0$, then $(f_{c_0}^{n-1})'(z_0) = 0$, and hence $b_{n,p}^j = 0$. It follows that the first non-vanishing term of $Q_{n,p}$ at (c_0, z_0) is $a(c - c_0)^{d-1}$ where a is a non-zero constant, i.e., $\mathcal{X}_{n,p}$ has the tangent $c = c_0$ of multiplicity $d - 1$ at (c_0, z_0) . In the other cases, we get $(f_{c_0}^{n-1})'(z_0) \neq 0$. Combining this with the fact that $a_{0,p}, b_{0,p} \neq 0$, it is not difficult to check that the pairs $(a_{n,p}^j, b_{n,p}^j)$ ($1 \leq j \leq d - 1$) are pairwise non-colinear. Hence the tangents of $\mathcal{V}_{n,p}^j$ ($1 \leq j \leq d - 1$) at (c_0, z_0) are pairwise distinct, that is, (c_0, z_0) is ordinary. ■

4.2. Proof of the smoothness and irreducibility of $q_{n,p}^j$. The objective here is to prove Theorem 4.4.

The approach to proving the smoothness is similar to that in [BT]. The idea is to prove that some partial derivative of $q_{n,p}^j$ is non-vanishing. Following A. Epstein [E], we will express this derivative as the coefficient of a quadratic differential of the form $(f_c)_* \mathcal{Q} - \mathcal{Q}$. Thurston's contraction principle gives $(f_c)_* \mathcal{Q} - \mathcal{Q} \neq 0$, whence our partial derivative is non-zero.

The approach to the irreducibility is based on the connectedness of the periodic curve $\mathcal{X}_{0,p}$. Then we will show the connectedness of the $\mathcal{V}_{n,p}^j$ using a branched covering, by induction on n .

Here we list some definitions and results about quadratic differentials and Thurston's contraction principle. The proofs can be found in [BT] and [Le].

We use $\mathcal{Q}(\mathbb{C})$ to denote the set of meromorphic quadratic differentials on \mathbb{C} whose poles (if any) are all simple. If $\mathcal{Q} \in \mathcal{Q}(\mathbb{C})$ and U is a bounded open subset of \mathbb{C} , the norm

$$\|\mathcal{Q}\|_U := \iint_U |q|$$

is well-defined and finite.

For $f : \mathbb{C} \rightarrow \mathbb{C}$ a non-constant polynomial and $\mathcal{Q} = q dz^2$ a meromorphic quadratic differential on \mathbb{C} , the pushforward $f_* \mathcal{Q}$ is defined to be the quadratic differential

$$f_* \mathcal{Q} := Tq dz^2 \quad \text{with} \quad Tq(z) := \sum_{f(w)=z} \frac{q(w)}{f'(w)^2}.$$

If $\mathcal{Q} \in \mathcal{Q}(\mathbb{C})$, then also $f_* \mathcal{Q} \in \mathcal{Q}(\mathbb{C})$. The following lemma is a weak version of Thurston's contraction principle.

LEMMA 4.6. *If $f : \mathbb{C} \rightarrow \mathbb{C}$ is a polynomial and $\mathcal{Q} \in \mathcal{Q}(\mathbb{C})$, then $f_* \mathcal{Q} \neq \mathcal{Q}$.*

The formulas below appeared in [Le, Chapter 2]; we write them together as a lemma.

LEMMA 4.7 (Levin). *For $f = f_c$, we have*

$$(4.5) \quad \begin{cases} f_* \left(\frac{dz^2}{z} \right) = 0, \\ f_* \left(\frac{dz^2}{z-a} \right) = \frac{1}{f'(a)} \left(\frac{dz^2}{z-f(a)} - \frac{dz^2}{z-c} \right) \quad \text{if } a \neq 0. \end{cases}$$

To prove the irreducibility of $q_{n,p}^j$, we need the following lemma.

LEMMA 4.8. *For each $n, p \geq 1$ and $1 \leq j \leq d-1$, the polynomial $q_{n,p}^j(c, 0)$ (in the variable c) has degree $\nu_d(p)d^{n-2}$.*

Proof. For $n = 1$, we see that $q_{1,p}^j(c, 0) = Q_{0,p}(c, 0)$ from Corollary 4.2. Then the result follows directly from Lemma 2.4(v).

For $n \geq 2$, we have $q_{n,p}^j(c, 0) = q_{1,p}^j(c, f^{n-1}(0))$. Since $\text{Deg}(q_{1,p}^j) = \text{deg}_z(q_{1,p}^j) = \nu_d(p)$ (see Lemma 4.1) and $\text{Deg}(f_c^{n-1}(0)) = d^{n-2}$ (which is easily checked), we have

$$\text{Deg}(q_{n,p}^j(c, 0)) = \text{Deg}(q_{1,p}^j(c, z)) \cdot \text{Deg}(f_c^{n-1}(0)) = \nu_d(p)d^{n-2}$$

by Lemma 2.2(2) & (3). ■

Proof of Theorem 4.4. The proof goes by induction on n .

For $n = 1$, as $q_{1,p}^j(c, z) = Q_{0,p}(c, \omega^{-j}z)$ and $Q_{0,p}(c, z)$ is smooth and irreducible, we know that $q_{1,p}^j(c, z)$ are smooth and irreducible. Assume that for $1 \leq l < n$, the polynomials $q_{l,p}^j(c, z)$ are smooth and irreducible. We will show that $q_{n,p}^j(c, z)$ are then smooth and irreducible. Fix any $j_0 \in [1, d-1]$.

Smoothness of $q_{n,p}^{j_0}$. As $q_{n,p}^{j_0}(c, z) = q_{n-1,p}^{j_0}(c, f_c(z))$, for any zero (c_0, z_0) of $q_{n,p}^{j_0}(c, z)$ we have

$$(4.6) \quad \begin{cases} \frac{\partial q_{n,p}^{j_0}}{\partial c}(c_0, z_0) = \frac{\partial q_{n-1,p}^{j_0}}{\partial c}(c_0, w_0) + \frac{\partial q_{n-1,p}^{j_0}}{\partial z}(c_0, w_0), \\ \frac{\partial q_{n,p}^{j_0}}{\partial z}(c_0, z_0) = \frac{\partial q_{n-1,p}^{j_0}}{\partial z}(c_0, w_0) \cdot f'_{c_0}(z_0), \end{cases}$$

where $w_0 = f_{c_0}(z_0)$. Hence if $z_0 \neq 0$, by the smoothness of $\mathcal{V}_{n,p}^{j_0}$ (inductive assumption), $[\partial q_{n,p}^{j_0}/\partial c](c_0, z_0)$ and $[\partial q_{n,p}^{j_0}/\partial z](c_0, z_0)$ cannot be 0 simultaneously; it follows that $q_{n,p}^{j_0}(c, z)$ is smooth at (c_0, z_0) . So it remains to prove that $q_{n,p}^{j_0}(c, z)$ is smooth at $(c_0, 0) \in \mathcal{V}_{n,p}^{j_0}$. In this situation, c_0 is either a p -periodic superattracting parameter or an (n, p) -Misiurewicz parameter, and $[\partial q_{n,p}^{j_0}/\partial z](c_0, 0) = 0$. So we have to show $[\partial q_{n,p}^{j_0}/\partial c](c_0, 0) \neq 0$.

In the former case $f_{c_0}^{n-1}(0) = 0$, so $p \mid n-1$. As $q_{n,p}^{j_0}(c, z) = q_{1,p}^{j_0}(c, f_c^{n-1}(z))$, we have

$$(4.7) \quad \frac{\partial q_{n,p}^{j_0}}{\partial c}(c_0, 0) = \frac{\partial q_{1,p}^{j_0}}{\partial c}(c_0, 0) + \frac{\partial q_{1,p}^{j_0}}{\partial z}(c_0, 0) \frac{\partial f_c^{n-1}}{\partial c}(c_0, 0).$$

As $Q_{0,p}(c_0, 0) = 0$ and $p \mid n-1$, differentiating both sides of the equation

$$f_c^{n-1}(z) - z = \prod_{k \mid n-1} Q_{0,k}(c, z),$$

which appears in Lemma 2.4(i), with respect to c and z respectively at $(c_0, 0)$, we obtain

$$(4.8) \quad \begin{cases} \frac{\partial f_c^{n-1}}{\partial c}(c_0, 0) = \frac{\partial Q_{0,p}}{\partial c}(c_0, 0) \prod_{\substack{k \mid n-1 \\ k \neq p}} Q_{0,k}(c_0, 0), \\ -1 = \frac{\partial Q_{0,p}}{\partial z}(c_0, 0) \prod_{\substack{k \mid n-1 \\ k \neq p}} Q_{0,k}(c_0, 0). \end{cases}$$

Since $q_{1,p}^{j_0}(c, z) = Q_{0,p}(c, \omega^{-j_0} z)$, we have

$$\frac{\partial q_{1,p}^{j_0}}{\partial c}(c_0, 0) = \frac{\partial Q_{0,p}}{\partial c}(c_0, 0), \quad \frac{\partial q_{1,p}^{j_0}}{\partial z}(c_0, 0) = \omega^{-j_0} \frac{\partial Q_{0,p}}{\partial z}(c_0, 0).$$

By substituting these two formulas into (4.7) and applying (4.8), we find

$$\begin{aligned} (4.9) \quad \frac{\partial q_{n,p}^{j_0}}{\partial c}(c_0, 0) &= \frac{\partial Q_{0,p}}{\partial c}(c_0, 0) + \omega^{-j_0} \frac{\partial Q_{0,p}}{\partial z}(c_0, 0) \frac{\partial Q_{0,p}}{\partial c}(c_0, 0) \prod_{\substack{k|n-1 \\ k \neq p}} Q_{0,k}(c_0, 0) \\ &= \frac{\partial Q_{0,p}}{\partial c}(c_0, 0) \left(1 + \omega^{-j_0} \frac{\partial Q_{0,p}}{\partial z}(c_0, 0) \prod_{\substack{k|n-1 \\ k \neq p}} Q_{0,k}(c_0, 0) \right) \\ &= \frac{\partial Q_{0,p}}{\partial c}(c_0, 0) (1 - \omega^{-j_0}). \end{aligned}$$

By Lemma 2.4(v), $[\partial Q_{0,p}/\partial c](c_0, 0)$ is non-zero, hence so is $[\partial q_{n,p}^{j_0}/\partial c](c_0, 0)$.

In the latter (Misiurewicz) case, since

$$\frac{\partial Q_{n,p}}{\partial c}(c_0, 0) = \prod_{1 \leq j \neq j_0 \leq d-1} q_{n,p}^j(c_0, 0) \cdot \frac{\partial q_{n,p}^{j_0}}{\partial c}(c_0, 0)$$

and $(c_0, 0)$ is not a zero of $\prod_{j \neq j_0} q_{n,p}^j(c, z)$ by Lemma 4.1, we have only to show $[\partial Q_{n,p}/\partial c](c_0, 0) \neq 0$. Furthermore, since

$$\frac{\partial \Phi_{n,p}}{\partial c}(c_0, 0) = \Phi_{n-1,p}(c_0, 0) \cdot \prod_{k|p, k < p} Q_{n,k}(c_0, 0) \cdot \frac{\partial Q_{n,p}}{\partial c}(c_0, 0)$$

and $\Phi_{n-1,p}(c_0, 0) \cdot \prod_{k|p, k < p} Q_{n,k}(c_0, 0) \neq 0$, we shall equivalently show $[\partial \Phi_{n,p}/\partial c](c_0, 0) \neq 0$. We shall find a meromorphic quadratic differential Q with simple poles such that

$$(f_{c_0})_* Q = \mathcal{Q} + \frac{\partial \Phi_{n,p}}{\partial c}(c_0, 0) \cdot \frac{dz^2}{z - c_0}.$$

Then by Lemma 4.6, we obtain $[\partial \Phi_{n,p}/\partial c](c_0, 0) \neq 0$.

We shall use the following notation:

$$\begin{aligned} z_k &:= f_{c_0}^{on+k}(0), & \delta_k &:= f'_{c_0}(z_k) = dz_k^{d-1}, & 0 \leq k \leq p-1, \\ y_l &:= f_{c_0}^l(0), & \varepsilon_l &:= f'_{c_0}(y_l) = dy_l^{d-1}, & 1 \leq l \leq n-1. \end{aligned}$$

With this notation and some calculation, we get

$$\begin{aligned} \frac{\partial \Phi_{n,p}}{\partial c}(c_0, 0) &= \frac{\partial f_c^{o(n+p)}}{\partial c}(c_0, 0) - \frac{\partial f_c^{on}}{\partial c}(c_0, 0) \\ &= (\delta_0 \cdots \delta_{p-1} - 1)(\varepsilon_{n-1} \cdots \varepsilon_1 + \cdots + \varepsilon_{n-1} \varepsilon_{n-2} + \varepsilon_{n-1} + 1) \\ &\quad + \delta_{p-1} \cdots \delta_1 + \cdots + \delta_{p-1} + 1. \end{aligned}$$

Denoting by A the coefficient matrix, we have

$$\det(A) = \frac{(-1)^{n-1} \alpha}{\delta_0 \cdots \delta_{p-1} \cdot \varepsilon_1 \cdots \varepsilon_{n-1}}.$$

Thus whether $\alpha = 0$ or not, this linear system has non-zero solutions, and one of its solutions is

$$(4.10) \quad \begin{aligned} \rho_0 &= \delta_0 \cdots \delta_{p-1}, \\ \rho_1 &= \delta_1 \cdots \delta_{p-1}, \\ &\vdots \\ \rho_{p-1} &= \delta_{p-1}, \\ \lambda_1 &= (\delta_0 \cdots \delta_{p-1} - 1) \cdot \varepsilon_{n-1} \cdots \varepsilon_1, \\ &\vdots \\ \lambda_{n-2} &= (\delta_0 \cdots \delta_{p-1} - 1) \cdot \varepsilon_{n-1} \varepsilon_{n-2}, \\ \lambda_{n-1} &= (\delta_0 \cdots \delta_{p-1} - 1) \cdot \varepsilon_{n-1}. \end{aligned}$$

Therefore, for $(\rho_0, \dots, \rho_{p-1}, \lambda_1, \dots, \lambda_{n-1})$ satisfying (4.10), we have

$$f_* \mathcal{Q} - \mathcal{Q} = - \left(\alpha + \sum_{k=0}^{p-1} \frac{\rho_k}{\delta_k} \right) \frac{dz^2}{z - c_0} = - \frac{\partial \Phi_{n,p}}{\partial c}(c_0, 0) \cdot \frac{dz^2}{z - c_0}.$$

As a consequence $[\partial \Phi_{n,p} / \partial c](c_0, 0) \neq 0$.

Irreducibility of $q_{n,p}^j$. For $n \geq 2$, $q_{n,p}^j(c, z)$ is defined by $q_{n,p}^j(c, z) = q_{n-1,p}^j(c, f_c(z))$. Interpreting these equations from a topological point of view, we obtain a sequence of maps

$$\{\varphi_{n,p}^j : \mathcal{V}_{n,p}^j \rightarrow \mathcal{V}_{n-1,p}^j, (c, z) \mapsto (c, f_c(z)) \mid n \geq 2, p \geq 1, 1 \leq j \leq d-1\}.$$

Note that for $n = 1$, we can also define a map $\varphi_{1,p}^j : \mathcal{V}_{1,p}^j \rightarrow \mathcal{X}_{0,p}$ by $\varphi_{1,p}^j(c, z) = (c, f_c(z))$. By the smoothness of $\mathcal{V}_{n,p}^j$, we can check the following results.

- The map $\varphi_{1,p}^j : \mathcal{V}_{1,p}^j \rightarrow \mathcal{X}_{0,p}$ is a homeomorphism. To see this, notice that $q_{1,p}^j(c, z) = Q_{0,p}(c, \omega^{-j}z)$ (Corollary 4.2), so we can define a map $\phi_{1,p}^j$ from $\mathcal{X}_{0,p}$ to $\mathcal{V}_{1,p}^j$ by sending $(c_0, w_0) \in \mathcal{X}_{0,p}$ to $(c_0, \omega^j z_0) \in \mathcal{V}_{1,p}^j$, where z_0 is the point in the orbit of w_0 under f_{c_0} with $f_{c_0}(z_0) = w_0$. By a simple computation, we can see that $\phi_{1,p}^j \circ \varphi_{1,p}^j = \text{id}_{\mathcal{V}_{1,p}^j}$ and $\varphi_{1,p}^j \circ \phi_{1,p}^j = \text{id}_{\mathcal{X}_{0,p}}$. Hence $\varphi_{1,p}^j$ is a homeomorphism.

- For $n \geq 2$, the map $\varphi_{n,p}^j : \mathcal{V}_{n,p}^j \rightarrow \mathcal{V}_{n-1,p}^j$ is a degree d branched covering with critical set

$$D_{n,p}^j = \{(c, 0) \mid q_{n,p}^j(c, 0) = 0\},$$

and each critical point has multiplicity $d - 1$.

In fact, $(c_0, w_0) \in \mathcal{V}_{n-1,p}^j \setminus \wp(D_{n,p}^j)$ has d preimages $(c_0, z_1), \dots, (c_0, z_d)$ under $\wp_{n,p}^j$, where z_1, \dots, z_d are the preimages of w_0 under f_{c_0} . Fix $i \in [1, d]$. If $[\partial q_{n,p}^j / \partial z](c_0, z_i) \neq 0$, then by (4.6), $[\partial q_{n-1,p}^j / \partial z](c_0, w_0) \neq 0$. This implies that some neighborhoods of (c_0, z_i) and (c_0, w_0) can each be parameterized by c . In such two local coordinates, the map $\wp_{n,p}^j$ has a local expression $c \mapsto c$ near (c_0, z_i) , which means that $\wp_{n,p}^j$ is a local homeomorphism near (c_0, z_i) . If $[\partial q_{n,p}^j / \partial z](c_0, z_i) = 0$, then by (4.6), the fact that $z_i \neq 0$ and the smoothness of $q_{n,p}^j$, we find that $[\partial q_{n-1,p}^j / \partial z](c_0, w_0) = 0$ and

$$\frac{\partial q_{n,p}^j}{\partial c}(c_0, z_i) = \frac{\partial q_{n-1,p}^j}{\partial c}(c_0, w_0) \neq 0.$$

This implies that some neighborhoods of (c_0, z_i) and (c_0, w_0) can each be parameterized by z , and $c'(z_i) = 0$. In such two local coordinates, the map $\wp_{n,p}^j$ has a local expression $z \mapsto f_{c(z)}(z)$ near (c_0, z_i) . Since $z_i \neq 0$, we have $\frac{df_{c(z)}(z)}{dz} \Big|_{z=z_i} = dz_i \neq 0$, which still means that $\wp_{n,p}^j$ is a local homeomorphism near (c_0, z_i) .

By the discussion above, we can see that

$$\wp_{n,p}^j : \mathcal{V}_{n,p}^j \setminus (\wp_{n,p}^j)^{-1}(\wp(D_{n,p}^j)) \rightarrow \mathcal{V}_{n-1,p}^j \setminus \wp(D_{n,p}^j)$$

is a degree d covering. On the other hand, any point in $\wp_{n,p}^j(D_{n,p}^j)$ has only one preimage, which belongs to $D_{n,p}^j$. Hence $\wp : \mathcal{V}_{n,p}^j \rightarrow \mathcal{V}_{n-1,p}^j$ is a degree d branched covering (because $(\wp_{n,p}^j)^{-1}(\wp(D_{n,p}^j)) = D_{n,p}^j$ and $D_{n,p}^j$ is finite), and the local degree of $\wp_{n,p}^j$ at each point of $D_{n,p}^j$ is d .

By the smoothness of $q_{n,p}^{j_0}(c, z)$ and the inductive irreducibility assumption, we know that $\mathcal{V}_{n-1,p}^{j_0}$ and each connected component of $\mathcal{V}_{n,p}^{j_0}$ are Riemann surfaces. Then the restriction of $\wp_{n,p}^{j_0}$ to any connected component of $\mathcal{V}_{n,p}^{j_0}$ is also a branched covering. Lemma 4.8 implies that the critical set $D_{n,p}^{j_0}$ of $\wp_{n,p}^{j_0}$ is non-empty. Since each critical point has multiplicity $d - 1$, the set $\mathcal{V}_{n,p}^{j_0}$ must be connected. By Lemma 2.3 and the smoothness of $q_{n,p}^{j_0}$, we conclude that $q_{n,p}^{j_0}(c, z)$ is irreducible in $\mathbb{C}[c, z]$. ■

5. Genus of the compactification of $\mathcal{V}_{n,p}^j$. In the previous section, we have seen that $\mathcal{X}_{n,p}$ consists of $d - 1$ Riemann surfaces $\mathcal{V}_{n,p}^j$ pairwise intersecting at the singular points of $\mathcal{X}_{n,p}$. In order to give a complete topological description of $\mathcal{X}_{n,p}$, we also need the topological characterization of each $\mathcal{V}_{n,p}^j$.

In fact, by adding an ideal boundary point at each end of $\mathcal{V}_{n,p}^j$, we obtain a compactification of $\mathcal{V}_{n,p}^j$, denoted by $\widehat{\mathcal{V}}_{n,p}^j$, such that $\widehat{\mathcal{V}}_{n,p}^j$ is a compact Riemann surface (see §5.1). The genus of $\widehat{\mathcal{V}}_{n,p}^j$ is calculated in §5.2. Topolog-

ically, $\mathcal{X}_{n,p}$ is completely determined by the number of its singular points, the genus of $\widehat{\mathcal{V}}_{n,p}^j$ and the number of ideal boundary points added to $\mathcal{V}_{n,p}^j$ (or the number of ends of $\mathcal{V}_{n,p}^j$).

5.1. Compactification of $\mathcal{V}_{n,p}^j$. Denote by $\pi_{n,p}^j: \mathcal{V}_{n,p}^j \rightarrow \mathbb{C}$ the projection from $\mathcal{V}_{n,p}^j$ to the parameter plane, i.e., $\pi_{n,p}^j(c, z) = c$. It is easy to see that

$$(5.1) \quad \pi_{n,p}^j = \pi_{0,p} \circ \wp_{1,p}^j \circ \cdots \circ \wp_{n-1,p}^j \circ \wp_{n,p}^j$$

where $\pi_{0,p}$ is the projection from $\mathcal{X}_{0,p}$ to the parameter plane and $\wp_{n,p}^j$ is defined in the proof of irreducibility. It follows that $\pi_{n,p}^j$ is a degree $\nu_d(p)d^{n-1}$ branched covering. To study the critical points of $\pi_{n,p}^j$, we define a subset $C_{n,p}^{\text{crit}}(\text{singular})$ of $C_{n,p}(\text{singular})$ by

$$(5.2) \quad C_{n,p}^{\text{crit}}(\text{singular}) = \{(c, z) \in C_{n,p}(\text{singular}) \mid f_c^l(z) = 0 \text{ for some } 0 \leq l \leq n-2\}.$$

LEMMA 5.1. *For any $l, p \geq 1$, the critical set of $\pi_{l,p}^j$ is the union of $C_{l,p}^j(\text{primitive})$, $C_{l,p}^j(\text{satellite})$, $C_{l,p}^j(\text{Misiurewicz})$ and $C_{l,p}^{\text{crit}}(\text{singular})$, where $C_{l,p}^j(M) := C_{l,p}(M) \cap \mathcal{V}_{l,p}^j$ and M indicates different properties.*

Proof. We first note that (c_0, z_0) is a critical point of $\pi_{l,p}^j$ if and only if $[\partial q_{l,p}^j / \partial z](c_0, z_0) = 0$. By Lemma 2.4(iv) and the fact that $\wp_{l,p}^j$ is a homeomorphism (shown in the proof of irreducibility of $q_{l,p}^j$), the critical set of $\pi_{l,p}^j$ is $C_{l,p}^j(\text{primitive}) \cup C_{l,p}^j(\text{satellite})$. In the case $l = 1$, $C_{1,p}(\text{Misiurewicz})$ and $C_{1,p}^{\text{crit}}(\text{singular})$ are empty.

For $l \geq 2$, by Corollary 4.2, we have $q_{l,p}^j(c, z) = q_{1,p}^j(c, f_c^{l-1}(z))$. Then a point (c_0, z_0) is critical for $\pi_{l,p}^j$ if and only if

$$\frac{\partial q_{l,p}^j}{\partial z}(c_0, z_0) = \frac{\partial q_{1,p}^j}{\partial z}(c_0, f_{c_0}^{l-1}(z_0)) \cdot (f_{c_0}^{l-1})'(z_0) = 0.$$

Equivalently, either $(c_0, f_{c_0}^{l-1}(z_0))$ is a critical point of $\wp_{1,p}^j$, or $f_{c_0}^l(z_0) = 0$ for some $0 \leq q \leq n-2$. By Proposition 3.3, the former happens if and only if $(c_0, z_0) \in C_{l,p}^j(\text{primitive}) \cup C_{l,p}^j(\text{satellite})$, and the latter if and only if $(c_0, z_0) \in C_{l,p}^j(\text{Misiurewicz}) \cup C_{l,p}^{\text{crit}}(\text{singular})$. ■

From this lemma, we see that the critical value set of $\pi_{n,p}^j$ is contained in the union of the sets of parabolic, superattracting and Misiurewicz parameters. Hence $\mathbb{C} \setminus M_d$ contains no critical values. It follows that each connected component of $(\wp_{n,p}^j)^{-1}(\mathbb{C} \setminus M_d)$, called an *end* of $\mathcal{V}_{n,p}^j$, is conformal to $\mathbb{C} \setminus \overline{\mathbb{D}}$.

By adding an ideal boundary point at the infinitely far boundary, each end of $\mathcal{V}_{n,p}^j$ is conformal to the unit disk, and thus $\mathcal{V}_{n,p}^j$ becomes a compact Riemann surface. This gives a kind of compactification of $\mathcal{V}_{n,p}^j$, and in the next subsection we will calculate the genus of this compact Riemann surface.

More precisely, let $\{\mathcal{E}_{n,p,i}^j \mid 1 \leq i \leq m_{n,p}^j\}$ be the ends of $\mathcal{V}_{n,p}^j$. Denote by $\infty_{n,p,i}^j$ the point added at the infinitely far boundary of $\mathcal{E}_{n,p,i}^j$. Then the surface $\widehat{\mathcal{V}}_{n,p}^j := \mathcal{V}_{n,p}^j \cup \{\infty_{n,p,i}^j\}_{i=1}^{m_{n,p}^j}$ is a compactification of $\mathcal{V}_{n,p}^j$, and $\widehat{\mathcal{E}}_{n,p,i}^j := \mathcal{E}_{n,p,i}^j \cup \{\infty_{n,p,i}^j\}$ is called an *end* of $\widehat{\mathcal{V}}_{n,p}^j$. In this case, the map $\pi_{n,p}^j$ can be extended to

$$\widehat{\pi}_{n,p}^j : \widehat{\mathcal{V}}_{n,p}^j \rightarrow \widehat{\mathbb{C}}$$

by setting $\widehat{\pi}_{n,p}^j(\infty_{n,p,i}^j) = \infty$.

5.2. Calculation of the genus of $\widehat{\mathcal{V}}_{n,p}^j$. Now, for any $n, p \geq 1$ and $j \in [1, d-1]$, we have obtained a branched covering $\widehat{\pi}_{n,p}^j : \widehat{\mathcal{V}}_{n,p}^j \rightarrow \widehat{\mathbb{C}}$ of degree $\nu_d(p)d^{n-1}$ between two compact Riemann surfaces. By the Riemann–Hurwitz formula, we have

$$2 - 2g_{n,p}^j + \text{total number of critical points of } \widehat{\pi}_{n,p}^j = 2\nu_d(p)d^{n-1},$$

where $g_{n,p}^j$ denotes the genus of $\widehat{\mathcal{V}}_{n,p}^j$. So in order to calculate the genus of $\widehat{\mathcal{V}}_{n,p}^j$, we only need to count the number of critical points of $\widehat{\pi}_{n,p}^j$ with multiplicity. By Lemma 5.1, we know that the set of critical points of $\widehat{\pi}_{n,p}^j$ consists of $C_{n,p}^j(\text{primitive})$, $C_{n,p}^j(\text{satellite})$, $C_{n,p}^j(\text{Misiurewicz})$, $C_{n,p}^{\text{crit}}(\text{singular})$ and maybe some added ideal boundary points. So we will count them class by class.

Counting the points of $C_{n,p}^j(\text{primitive})$ and $C_{n,p}^j(\text{satellite})$. Bousch [B] counts the number of critical points in $C_{0,p}(\text{primitive})$ and $C_{0,p}(\text{satellite})$. His argument can be directly extended to our case (see also [Sil, Thm. 4.17]), so we only give the result. The numbers of critical points (counted with multiplicity) of $\widehat{\pi}_{n,p}^j$ in $C_{n,p}^j(\text{primitive})$ and $C_{n,p}^j(\text{satellite})$ are

$$d^{n-1}p \left[(d-1)\nu_d(p)/d - \sum_{k|p, k < p} (\nu_d(k)/d)(d-1)\varphi(p/k) \right]$$

and

$$d^{n-1} \sum_{k|p, k < p} (\nu_d(k)/d)(d-1)\varphi(p/k)k(p/k-1).$$

Counting the points of $C_{n,p}^j(\text{Misiurewicz})$. Recall that $D_{s,p}^j = \{(c, 0) \in \mathbb{C}^2 \mid q_{s,p}^j(c, 0) = 0\}$, $s \geq 2$, is the set of critical points of $\wp_{s,p}^j$. By Proposition 3.3, if $(c, 0) \in D_{s,p}^j$, then c is either an (s, p) -Misiurewicz parameter or

a p -superattracting parameter. So we divide $D_{s,p}^j$ into

$$\begin{aligned} D_{s,p}^j(\text{Misiurewicz}) &= \{(c, 0) \in D_{s,p}^j \mid c \text{ is a Misiurewicz parameter}\}, \\ D_{s,p}^j(\text{period}) &= \{(c, 0) \in D_{s,p}^j \mid c \text{ is a superattracting parameter}\}. \end{aligned}$$

By the definition of $C_{n,p}^j(\text{Misiurewicz})$, we have

$$C_{n,p}^j(\text{Misiurewicz}) = \bigcup_{s=2}^n (h_{n,s,p}^j)^{-1}(D_{s,p}^j(\text{Misiurewicz})),$$

where $h_{n,s,p}^j := \wp_{s+1,p}^j \circ \cdots \circ \wp_{n,p}^j : \mathcal{V}_{n,p}^j \rightarrow \mathcal{V}_{s,p}^j$.

Fix any $s \in [2, n]$. Since the degree of $q_{s,p}^j(c, 0)$ is $\nu_d(p)d^{s-2}$ (Lemma 4.8) and $[\partial q_{s,p}^j / \partial c](c, 0) \neq 0$ at each $(c, 0) \in D_{s,p}^j$ (shown in the proof of smoothness of $q_{s,p}^j(c, z)$), we get $\#D_{s,p}^j = \nu_d(p)d^{s-2}$. By Proposition 3.3(v), $D_{s,p}^j(\text{period})$ is non-empty if and only if $p \mid s-1$. In this case, we also see that $D_{s,p}^j(\text{period}) = \{(c, 0) \mid Q_{0,p}(c, 0) = 0\}$, so $\#D_{s,p}^j(\text{period})$ equals $\nu_d(p)/d$ if $p \mid s-1$, and 0 otherwise. It follows that

$$\#D_{s,p}^j(\text{Misiurewicz}) = \begin{cases} \nu_d(p)d^{s-2} & \text{if } p \nmid s-1, \\ \nu_d(p)d^{s-2} - \nu_d(p)/d & \text{if } p \mid s-1. \end{cases}$$

Note that the critical value set of $h_{n,s,p}^j$ is disjoint from $D_{s,p}^j(\text{Misiurewicz})$, so

$$\#(h_{n,s,p}^j)^{-1}(D_{s,p}^j(\text{Misiurewicz})) = \#D_{s,p}^j(\text{Misiurewicz}) \cdot d^{n-s}$$

and each point in $(h_{n,s,p}^j)^{-1}(D_{s,p}^j(\text{Misiurewicz}))$ is a critical point of $\widehat{\pi}_{n,p}^j$ with multiplicity $d-1$. Therefore the number of critical points (counted with multiplicity) of $\widehat{\pi}_{n,p}^j$ in $C_{n,p}^j(\text{Misiurewicz})$, denoted by $M_{n,p}$, is

$$\begin{aligned} (5.3) \quad M_{n,p} &= \sum_{s=2}^n \#D_{s,p}^j(\text{Misiurewicz}) \cdot d^{n-s} \cdot (d-1) \\ &= \nu_d(p)d^{n-2}(d-1) \left(n-1 - \sum_{t=1}^{[(n-1)/p]} d^{-tp} \right). \end{aligned}$$

Counting the points of $C_{n,p}^{\text{crit}}(\text{singular})$. Recall that $C_{n,p}^{\text{crit}}(\text{singular})$ consists of all points of the form (c, z) with $f_c^{n-1}(z) = 0$ and such that there exists $l \in [0, n-2]$ with $f^l(z) = 0$ and such that 0 is p -periodic.

We divide $C_{n,p}(\text{singular})$ into subsets $C_{n,p}^t(\text{singular})$ which consist of points $(c, z) \in C_{n,p}(\text{singular})$ such that

$$n-1-tp = \min\{l \mid f_c^l(z) = 0\}.$$

Here t can take the values $0, \dots, [(n-1)/p]$, where $[x]$ denotes the integer part of x , and the sets $C_{n,p}^t$ are pairwise disjoint and form a partition of $C_{n,p}(\text{singular})$. From (5.2), we see that $C_{n,p}^{\text{crit}}(\text{singular})$ is the union of

$C_{n,p}^t(\text{singular})$, $t \geq 1$. Hence $\#C_{n,p}^{\text{crit}}(\text{singular}) = 0$ if $n - 1 < p$. So in the following discussion, we only treat the case of $n - 1 \geq p$, i.e., $[(n - 1)/p] \geq 1$.

Let $t \geq 1$. We have $(c, z) \in C_{n,p}^t(\text{singular})$ if and only if

$$(c, 0) \in D_{tp+1,p}^j(\text{period}), \quad f_c^{n-1-tp}(z) = 0 \quad \text{and} \quad (f_c^{n-1-tp})'(z) \neq 0.$$

Hence

$$C_{n,p}^t(\text{singular}) = (h_{n,tp+1,p}^j)^{-1}(D_{tp+1,p}^j(\text{period})) \setminus (h_{n,(t+1)p+1,p}^j)^{-1}(D_{(t+1)p+1,p}^j(\text{period}))$$

if $(t + 1)p + 1 \leq n$, and $C_{n,p}^t(\text{singular}) = (h_{n,tp+1,p}^j)^{-1}(D_{tp+1,p}^j(\text{period}))$ otherwise. So

$$\begin{aligned} \#C_{n,p}^t(\text{singular}) &= \begin{cases} d^{n-1-tp} \cdot \nu_d(p)/d & \text{if } t = [(n - 1)/p], \\ d^{n-1-tp} \cdot \nu_d(p)/d - d \cdot d^{n-1-(t+1)p} \cdot \nu_d(p)/d & \text{if } 1 \leq t < [(n - 1)/p]. \end{cases} \end{aligned}$$

On the other hand, $h_{n,tp+1,p}^j : \mathcal{V}_{n,p}^j \rightarrow \mathcal{V}_{tp+1,p}^j$ is injective in a neighborhood of any point $(c, z) \in C_{n,p}^t(\text{singular})$, and $\pi_{kp+1,p}^j : \mathcal{V}_{tp+1,p}^j \rightarrow \mathbb{C}$ has local degree d^t at $(c, 0)$, so the number of critical points counted with multiplicity in $C_{n,p}^t(\text{singular})$ is $(d^t - 1)\#C_{n,p}^t(\text{singular})$. Thus the total number of critical points counted with multiplicity in $C_{n,p}(\text{singular})$, in the case of $[(n - 1)/p] \geq 1$, is

$$(5.4) \quad K_{n,p} := \sum_{t=1}^{[(n-1)/p]} (d^t - 1)\#C_{n,p}^t(\text{singular}) = \nu_d(p)(d^{p-1} - 1)d^{n-1-p}(\xi_{n,p} - \zeta_{n,p}) + (d^{[(n-1)/p]} - 1)\nu_d(p)d^{n-2-[(n-1)/p]p}$$

where $\xi_{n,p} := \sum_{t=1}^{[(n-1)/p]-1} d^{-t(p-1)}$ and $\zeta_{n,p} := \sum_{t=1}^{[(n-1)/p]-1} d^{-pt}$.

Note that when $[(n - 1)/p] = 0$, the number computed by formula (5.4) is 0, which is still equal to the cardinality of $C_{n,p}^{\text{crit}}(\text{singular})$. So the number $K_{n,p}$, defined by (5.4), is equal to the number of critical points counted with multiplicity in $C_{n,p}^{\text{crit}}(\text{singular})$ in all cases.

Counting the ideal boundary points. Bousch [B] and Milnor [Mil1] show that the local degree of $\pi_{0,p}$ at each ideal boundary point is 2 (in the case of $d = 2$) by analysing the asymptotic behavior of $f_c(z)$ as (c, z) goes to an ideal boundary point on $\mathcal{X}_{0,p}$. Their argument can be easily generalized to our case with degree $d \geq 2$. Just to be self-contained we give an alternative proof using the monodromy action (Lemma 5.3 below). By Lemma 5.3, the local degree of $\widehat{\mathcal{V}}_{n,p}^j$ at each ideal boundary point is d . It follows that the number of ideal boundary points is $\nu_d(p)d^{n-2}$ because $\widehat{\pi}_{n,p}^j$ is a degree $\nu_d(p)d^{p-1}$

branched covering. So the number of critical points counted with multiplicity is $\nu_d(p)d^{n-2}(d-1)$.

By the Riemann–Hurwitz formula, we have

$$g_{n,p}^j = 1 + \frac{1}{2}\nu_d(p)d^{n-2}(pd - p - 1 - d) + \frac{1}{2}(M_{n,p} + K_{n,p}) - \frac{1}{2}d^{n-2}(d-1) \sum_{k|p, k < p} k\nu_d(k)\varphi(p/k).$$

Here are the genera of some examples.

d	n	p	$\nu_d(p)$	$M_{n,p}$	$K_{n,p}$	$g_{n,p}$
3	1	1	3	0	0	0
3	2	1	3	4	2	1
2	2	2	2	2	0	0
2	3	2	2	7	1	1
2	2	3	6	6	0	2

COROLLARY 5.2. *For fixed $n, p \geq 1$, the surfaces $\mathcal{V}_{n,p}^j$, $1 \leq j \leq d-1$, are pairwise homeomorphic.*

Proof. Topologically, the surface $\mathcal{V}_{n,p}^j$ is completely determined by the genus and the number of ideal boundary points of $\widehat{\mathcal{V}}_{n,p}^j$, and these two numbers are independent of j . ■

LEMMA 5.3. *All ideal boundary points are critical points of $\widehat{\pi}_{n,p}^j$ with multiplicity $d-1$.*

Proof. We first give a symbolic description of the dynamics on the filled-in Julia set for a parameter outside the Multibrot set.

If $c \in \mathbb{C} \setminus M_d$, the Julia set of f_c is a Cantor set. If $c \in R_{M_d}(\theta)$ with $\theta \neq 0$ not necessarily periodic, the dynamical rays $R_c(\theta/d), \dots, R_c((\theta + d - 1)/d)$ bifurcate at the critical point. The set $R_c(\theta/d) \cup \dots \cup R_c((\theta + d - 1)/d) \cup \{0\}$ decomposes the complex plane into d connected components. We denote by U_0 the component containing $R_c(0)$ and by U_1, \dots, U_{d-1} the other components in counterclockwise order.

The orbit of a point $x \in K_c$ has an itinerary with respect to this partition. In other words, to each $x \in K_c$, we can associate a sequence in $\mathbb{Z}_d^{\mathbb{N}}$ whose j th entry is k if $f_c^{\circ j-1}(x) \in U_k$. This gives a map $\iota_c : K_c \rightarrow \mathbb{Z}_d^{\mathbb{N}}$, which is bijective for any $c \in \mathbb{C} \setminus M_d$. Moreover, the dynamic of f_c on K_c is conjugate to shift on $\mathbb{Z}_d^{\mathbb{N}}$ via the map ι_c .

Now let $\pi := \pi_{n,p}^j|_{\mathcal{E}_{n,p,i}^j}$. The map $\pi : \mathcal{E}_{n,p,i}^j \rightarrow \mathbb{C} \setminus M_d$ is a covering of degree $d_{n,p,i}^j$. Fix $c_0 \in \mathbb{C} \setminus (M_d \cup R_{M_d}(0))$ with $d_{n,p,i}^j = \#(\pi^{-1}(c_0))$. Since $\mathcal{E}_{n,p,i}^j$ is connected, the monodromy group induced by π , denoted by $\text{Mon}(\pi)$,

acts on $\pi^{-1}(c_0)$ transitively. Thus for any fixed $(c_0, z_0) \in \pi^{-1}(c_0)$, the set $\pi^{-1}(c_0)$ is exactly the orbit of (c_0, z_0) under $\text{Mon}(\pi)$.

Let $c_t : [0, 1] \rightarrow \mathbb{C} \setminus M_d$ be an oriented simple closed curve based at c_0 that intersects $R_{M_d}(0)$ only at c_{t_0} . Let z_t be the (n, p) -preperiodic point of f_{c_t} obtained from the analytic continuation of z_0 along c_t . Note that as c varies in $\mathbb{C} \setminus (M_d \cup R_{M_d}(0))$, the (n, p) -preperiodic points of f_c and the dynamical rays $R_c(0)$ and $R_c((\theta_c + s)/d)$ ($s \in \mathbb{Z}_d$) vary continuously. Consequently,

$$\begin{cases} \iota_{c_t}(z_t) = \iota_{c_0}(z_0) & \text{for } t \in [0, t_0), \\ \iota_{c_t}(z_t) = \iota_{c_0}(z_1) & \text{for } t \in (t_0, 1]. \end{cases}$$

Furthermore, on the one hand, z_t and $R_{c_t}(0)$ vary continuously for $t \in [0, 1]$. On the other hand, when c_t passes through $R_{M_d}(0)$, the dynamical rays $R_{c_t}((\theta_t + s)/d)$ ($s \in \mathbb{Z}_d$) jump from $R_{c_{t_-}}((\theta_{t_-} + s)/d)$ to $R_{c_{t_+}}((\theta_{t_+} + s + 1)/d)$, $t_- < t_0 < t_+$. So if $\iota_{c_0}(z_0) = \beta_n \cdots \beta_1 \overline{\epsilon_1 \cdots \epsilon_p}$, then

$$(5.5) \quad \iota_{c_0}(z_1) = (\beta_n + 1) \cdots (\beta_1 + 1) \overline{(\epsilon_1 + 1) \cdots (\epsilon_p + 1)}.$$

Hence σ_{c_t} , the element of $\text{Mon}(\pi)$ induced by c_t maps (c_0, z_0) to (c_0, z_1) with z_1 satisfying (5.5). Since $\pi_1(\mathbb{C} \setminus M_d, c_0) = \langle c_t \rangle$, we have

$$\begin{aligned} & (\pi_{n,p}^j |_{\mathcal{E}_{n,p,i}^j})^{-1}(c_0) \\ &= \{(c_0, z) \mid \iota_{c_0}(z) = (\beta_n + s) \cdots (\beta_1 + s) \overline{(\epsilon_1 + s) \cdots (\epsilon_p + s)}, s \in \mathbb{Z}_d\}. \end{aligned}$$

As a consequence, $d_{n,p,i}^j = d$. ■

6. The Galois group of $Q_{n,p}(c, z)$. The objective here is to study $\mathcal{X}_{n,p}$ from the algebraic point of view by calculating its associated Galois group.

Recall that \mathbf{C} denotes the ring $\mathbb{C}[c]$, and \mathbf{K} is a fixed algebraically closed field containing \mathbf{C} . Since the characteristic of $\mathbb{C}(c)$ is 0, any polynomial $f \in \mathbf{C}[z]$ induces a finite Galois extension $\mathbb{C}(c)(f)$ over $\mathbb{C}(c)$ (see [W, Thms. 3.2.6, 2.7.14]), where $\mathbb{C}(c)(f)$ is the splitting field of f , and hence a Galois group $G(f) := \text{Gal}(\mathbb{C}(c)(f)/\mathbb{C}(c))$. In particular, we denote the Galois group of $Q_{n,p}$ by $G_{n,p}$.

For all $n \geq 0$ and $p \geq 1$, denote by $\mathfrak{R}_{n,p}$ the set of roots of $Q_{n,p} \in \mathbf{C}[z]$. By (3.2), we have $f_c(\mathfrak{R}_{n,p}) = \mathfrak{R}_{n-1,p}$ if $n \geq 1$ and $f_c(\mathfrak{R}_{0,p}) = \mathfrak{R}_{0,p}$. Set

$$\mathfrak{R}_{\leq n,p} := \bigcup_{0 \leq l \leq n} \mathfrak{R}_{l,p}.$$

Then $f_c(\mathfrak{R}_{\leq n,p}) \subset \mathfrak{R}_{\leq n,p}$ and the action of f_c induces a directed graph structure consisting of a certain number of disjoint cycles of order p , to each vertex of which is attached a tree of height n . More precisely, for each $0 \leq l \leq n$, we consider the roots in $\mathfrak{R}_{l,p}$ as the vertices of level l , and two vertices $\Delta_1, \Delta_2 \in \mathfrak{R}_{\leq n,p}$ are connected by an oriented edge from Δ_1 to Δ_2 if

$f_c(\Delta_1) = \Delta_2$. Thus $\mathfrak{R}_{\leq n,p}$ has a graph structure, and we denote this graph by $\mathfrak{R}_{\leq n,p}^T$ (see Figure 1).

EXAMPLE. For $d = 3, p = 4, n = 2$, the directed graph $\mathfrak{R}_{\leq 2,4}^T$ has 18 pairwise isomorphic connected components. We draw one below.

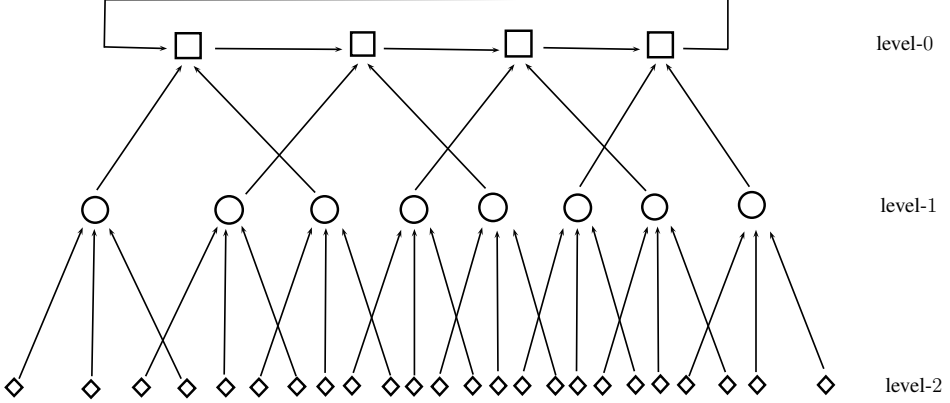


Fig. 1. A connected component of $\mathfrak{R}_{\leq 2,4}^T$

On the other hand, note that $\mathfrak{R}_{\leq n,p}$ is the set of roots of

$$Q_{\leq n,p} := \prod_{l=0}^n Q_{l,p} \in \mathbf{C}[z].$$

So, correspondingly, we consider the Galois group $G_{\leq n,p}$ of $Q_{\leq n,p}$. Firstly, we have the following simple result.

PROPOSITION 6.1. *For all $n \geq 0$ and $p \geq 1$, we have $G_{n,p} = G_{\leq n,p}$.*

Proof. By (3.2), any root of $Q_{l,p} \in \mathbf{C}[z]$ ($0 \leq l \leq n$) can be written as a polynomial with coefficients in \mathbf{C} of roots of $Q_{n,p}$. It follows that the splitting field of $Q_{\leq n,p} = \prod_{l=0}^n Q_{l,p}$ over $\mathbf{C}(c)$ is the same as that of $Q_{n,p}$ over $\mathbf{C}(c)$. Hence $G_{\leq n,p} = G_{n,p}$. ■

By this proposition, computing the Galois group $G_{n,p}$ is equivalent to computing $G_{\leq n,p}$. Let $\sigma \in G_{\leq n,p}$. Since it fixes the base field $\mathbf{C}(c)$ pointwise, we have $\sigma(\mathfrak{R}_{l,p}) = \mathfrak{R}_{l,p}$ and $f_c \circ \sigma = \sigma \circ f_c$. Hence σ induces an automorphism of the graph $\mathfrak{R}_{\leq n,p}^T$, i.e., σ is a permutation of the vertices of $\mathfrak{R}_{\leq n,p}^T$ of each fixed level, and $\Delta_1, \Delta_2 \in \mathfrak{R}_{\leq n,p}$ are connected by an edge from Δ_1 to Δ_2 if and only if $\sigma(\Delta_1), \sigma(\Delta_2) \in \mathfrak{R}_{\leq n,p}$ are connected by an edge from $\sigma(\Delta_1)$ to $\sigma(\Delta_2)$. Clearly, different elements of $G_{\leq n,p}$ induce different automorphisms of $\mathfrak{R}_{\leq n,p}^T$. So $G_{\leq n,p}$ can be seen as a subgroup of $\text{Aut}(\mathfrak{R}_{\leq n,p}^T)$, the automorphism group of the graph $\mathfrak{R}_{\leq n,p}^T$.

In the case $d = 2$, Bousch [B] proved that

$$G_{\leq n,p} \simeq \text{Aut}(\mathfrak{R}_{\leq n,p}^T) \simeq H_{\leq n,p}(f_c),$$

where $H_{\leq n,p}(f_c)$ denotes the set of all permutations of $\mathfrak{R}_{\leq n,p}$ that commute with f_c . In the general case, the result is similar but needs a small modification. We will exhibit this point in the following.

Let $\sigma \in G_{\leq n,p}$. As σ fixes the field $\mathbb{C}(c)$ pointwise, it must satisfy the following two conditions:

- (P1) σ commutes with f_c , i.e., $\sigma \circ f_c = f_c \circ \sigma$.
- (P2) σ commutes with the rotation of argument $1/d$. That is, if $\sigma(\Delta) = \tilde{\Delta}$ for $\Delta, \tilde{\Delta} \in \mathfrak{R}_{\leq n,p}$, then $\sigma(\omega^j \Delta) = \omega^j \tilde{\Delta}$, where $\omega = e^{2\pi i/d}$ and $1 \leq j \leq d-1$.

Therefore, if a permutation of $\mathfrak{R}_{\leq n,p}$ is to be a candidate for being an element of $G_{\leq n,p}$, it should satisfy conditions (P1) and (P2).

In fact, in the case of $d = 2$, condition (P1) implies (P2). To see this, let Δ_{n-1} be a root of $Q_{n-1,p}$ ($n \geq 1$) and $\Delta_n, -\Delta_n$ be its preimages under f_c . Let $\sigma \in G_{\leq n,p}$ and set $\tilde{\Delta}_n := \sigma(\Delta_n)$. By (P1), σ must map $-\Delta_n$ to $-\tilde{\Delta}_n$, so (P2) holds. Therefore, (P1) alone may be sufficient for a permutation of $\mathfrak{R}_{\leq n,p}$ to be an element of $G_{\leq n,p}$, and Bousch [B] proved this point.

However, the situation is a little different in the case of $d \geq 3$. With the notations $\Delta_{n-1}, \Delta_n, \tilde{\Delta}_n$ and σ as above, Δ_{n-1} has now at least three preimages, which are $\Delta_n, \omega\Delta_n, \dots, \omega^{d-1}\Delta_n$. From condition (P1), we only know that σ maps $\{\omega\Delta_n, \dots, \omega^{d-1}\Delta_n\}$ bijectively to $\{\omega\tilde{\Delta}_n, \dots, \omega^{d-1}\tilde{\Delta}_n\}$, but cannot get $\sigma(\omega^j \Delta_n) = \omega^j(\tilde{\Delta}_n)$ for $1 \leq j \leq d-1$. So, in case $d \geq 3$, condition (P2) cannot be omitted.

We wish to prove that, except (P1) and (P2), no other restrictions are imposed on $G_{\leq n,p}$. The proof is similar to that of [B, Chapter III, Theorem 4].

THEOREM 6.2. *The Galois group $G_{\leq n,p}$ consists of all permutations on $\mathfrak{R}_{\leq n,p}$ which commute with f_c and with the rotation of argument $1/d$.*

Proof. We denote by r_d the rotation of argument $1/d$, and by $H_{\leq n,p}(f_c, r_d)$ the set of permutations of $\mathfrak{R}_{\leq n,p}$ which commute with f_c and r_d . By the definition, it is not difficult to check that $H_{\leq n,p}(f_c, r_d)$ leaves each $\mathfrak{R}_{l,p}$, and hence $\mathfrak{R}_{\leq l,p}$, invariant for $0 \leq l \leq n$.

Define a group homomorphism

$$\phi_n : G_{\leq n,p} \rightarrow H_{\leq n,p}(f_c, r_d)$$

by letting $\phi_n(\sigma)$ be the restriction of σ to $\mathfrak{R}_{\leq n,p}$. According to the discussion above, we just need to prove the surjectivity of ϕ_n .

Note first that the result is true for $n = 0$ by Lemma 2.4(vi).

For $n = 1$, since $H_{\leq 1,p}(f_c, r_d)$ leaves $\mathfrak{R}_{0,p}$ invariant, there is a natural homomorphism from $H_{\leq 1,p}(f_c, r_d)$ to $H_{\leq 0,p}(f_c, r_d)$ with $\tilde{\tau} \mapsto \tilde{\tau}|_{\mathfrak{R}_{0,p}}$. It has

an inverse which maps $\tau \in H_{\leq 0,p}(f_c, r_d)$ to $\tilde{\tau} \in H_{\leq 1,p}(f_c, r_d)$ such that $\tilde{\tau}|_{\mathfrak{R}_{0,p}} = \tau$ and $\tilde{\tau}(\omega^j \Delta) = \omega^j \tau(\Delta)$ for each $\Delta \in \mathfrak{R}_{0,p}, j \in [1, d-1]$. Thus $H_{\leq 1,p}(f_c, r_d) \simeq H_{\leq 0,p}(f_c, r_d)$. Note that $G_{1,p} = G_{0,p}$ (because the splitting fields of $Q_{0,p}$ and $Q_{1,p}$ over $\mathbb{C}(c)$ coincide), so the result is true for $n = 1$.

Now we argue by induction on n . Assume $\phi_{n-1} : G_{\leq n-1,p} \rightarrow H_{\leq n-1,p}(f_c, r_d)$ is surjective ($n \geq 2$).

Let $\tau \in H_{\leq n,p}(f_c, r_d)$. As τ commutes with f_c , it leaves $\mathfrak{R}_{\leq n-1,p}$ invariant. Then $\tau|_{n-1}$, the restriction of τ to $\mathfrak{R}_{\leq n-1,p}$, belongs to $H_{\leq n-1,p}(f_c, r_d)$. By the inductive assumption, there is a $\sigma_{n-1} \in G_{\leq n-1,p}$ with $\phi_{n-1}(\sigma_{n-1}) = \tau|_{n-1}$. From Galois theory (see [W, Thm. 2.88]), we can find $\sigma \in G_{\leq n,p}$ whose restriction to the splitting field of $Q_{\leq n-1,p}$ over $\mathbb{C}(c)$ coincides with σ_{n-1} . Set $\tau' := \tau \cdot \phi_n(\sigma)^{-1}$. Then $\tau' \in H_{\leq n,p}(f_c, r_d)$ and τ' fixes $\mathfrak{R}_{\leq n-1,p}$ pointwise. Now it remains to prove that $G_{\leq n,p}$ contains τ' , i.e., there exists $\sigma' \in G_{\leq n,p}$ with $\phi_n(\sigma') = \tau'$, because if so, then $\tau = \phi_n(\sigma')\phi_n(\sigma) = \phi_n(\sigma'\sigma)$, which implies ϕ_n is surjective.

Set $\kappa_l := \nu_d(p)(d-1)d^{l-1}$ for each $l \geq 1$ (which is the number of roots of $Q_{l,p}$), and denote

$$\mathfrak{R}_{n,p} = \{\Delta_n^i, \omega \Delta_n^i, \dots, \omega^{d-1} \Delta_n^i\}_{i=1}^{\kappa_{n-1}}.$$

Since τ' fixes $\mathfrak{R}_{\leq n-1,p}$ pointwise and commutes with both f_c and r_d , it can be expressed as a product

$$\tau' = \prod_{i=1}^{\kappa_{n-1}} (s_i, s_i + 1, \dots, d-1, 0, \dots, s_i - 1),$$

where $(s_i, s_i + 1, \dots, d-1, 0, \dots, s_i - 1)$ is the cyclic permutation of $(\Delta_n^i, \dots, \omega^{d-1} \Delta_n^i)$ mapping Δ_n^i to $\omega^{s_i} \Delta_n^i$ and so on. Notice that all these cyclic permutations $(s_i, \dots, s_i - 1)$ pairwise commute.

The argument in this section is a classical correspondence between Galois theory and covering theory (see [Z] for the details). Let $V_{n,p}$ be the set of singular values of the projection $\pi : \mathcal{X}_{n,p} \rightarrow \mathbb{C}$. Then $\pi_{n,p}$ restricts to a cover from the complement of the preimage of $V_{n,p}$ in $\mathcal{X}_{n,p}$ to the complement of $V_{n,p}$ in \mathbb{C} . For all c_0 not in $V_{n,p}$, there is thus an action of $\pi_1(\mathbb{C} \setminus V_{n,p}, c_0)$ on the roots

$$Z_{n,p} = \{z_n^i, \dots, \omega^{d-1} z_n^i\}_{i=1}^{\kappa_{n-1}}$$

of $Q_{n,p}(c_0, z)$ seen as a polynomial in z with complex coefficients. By the correspondence between Galois theory and covering theory (see [Z, Thm. 1]), there is a (non-unique) choice of bijection between the roots of $Q_{n,p} \in \mathbb{C}[z]$ and the roots of $Q_{n,p}(c_0, z) \in \mathbb{C}[z]$ such that the set of permutations induced by the Galois group on $\mathfrak{R}_{\leq n,p}$ is conjugated by this bijection to the set of permutations of $Z_{n,p}$ induced by $\pi_1(\mathbb{C} \setminus V_{n,p}, c_0)$. Thus we get a surjective (non-injective, usually) morphism from $\pi_1(\mathbb{C} \setminus V_{n,p}, c_0)$ to the Galois group.

Moreover, this bijection is such that any polynomial relation between the Δ_n^i with coefficients in $\mathbb{C}(c)$ will give a relation between the z_n^i , with c_0 being substituted for c . This implies that the action of $\pi_1(\mathbb{C} \setminus V_{n,p}, c_0)$ on $Z_{n,p}$ preserves commutation with multiplication by ω .

Therefore, by the discussion above, to obtain the required permutation τ' , we only need to find a path in the basic group $\pi_1(\mathbb{C} \setminus V_{n,p}, c_0)$ whose monodromy action on $\{(z_n^i, \dots, \omega^{d-1}z_n^i)\}_{i=1}^{\kappa_{n-1}}$ induces the same permutation as τ' . We now show the following result, which is sufficient: for any $i \in [1, \kappa_{n-1}]$, there exists a path in $\pi_1(\mathbb{C} \setminus V_{n,p}, c_0)$ whose monodromy action induces the permutation $(s_i, s_i + 1, \dots, s_i - 1)$.

Fix any $i \in [1, \kappa_{n-1}]$. Suppose that $\{(c_0, z_n^i), (c_0, \omega z_n^i), \dots, (c_0, \omega^{d-1}z_n^i)\}$ belong to $\mathcal{V}_{n,p}^t$. Let \hat{c} be an (n, p) -Misiurewicz parameter with $(\hat{c}, 0) \in \mathcal{V}_{n,p}^t$. Such a \hat{c} exists because the set $D_{n,p}^t(\text{Misiurewicz})$ is non-empty (see Section 5.2). By (3.2), we have $(\hat{c}, \hat{c}) \in \mathcal{X}_{n-1,p}$. Since \hat{c} is a Misiurewicz parameter and the orbit of \hat{c} does not contain 0, the point (\hat{c}, \hat{c}) belongs to no sets in Lemma 5.1 in the case $l = n - 1$. Hence $w = \hat{c}$ is a simple root of the equation $Q_{n-1,p}(\hat{c}, w) = 0$ (in w). So by the Implicit Function Theorem, the equation $Q_{n-1,p}(c, w) = 0$ has a unique solution $w = w(c)$ close to \hat{c} fulfilling $w(\hat{c}) = \hat{c}$. Thus, a neighborhood of $(\hat{c}, 0)$ in $\mathcal{X}_{n,p}$ can be written as

$$\{(c, z_c) \cup (c, \omega z_c) \cup \dots \cup (c, \omega^{d-1}z_c) \mid |c - \hat{c}| < \epsilon\},$$

where z_c is one of the preimages of $w(c)$ under f_c near 0.

When c makes a small turn around \hat{c} , the set $\{z_c, \omega z_c, \dots, \omega^{d-1}z_c\}$ gets a cyclic permutation with $\omega^j z_c$ mapped to $\omega^{j+1}z_c$, because $\pi_{n,p}$ is a degree d covering in a punctured neighborhood of $(\hat{c}, 0)$ (which is shown in §5.2), and the other (n, p) -preperiodic points of f_c remain fixed, since $\pi_{n,p}$ is injective around each point (\hat{c}, ξ) with ξ a non-zero (n, p) -preperiodic point of $f_{\hat{c}}$. So if we choose a path $\gamma \in \pi_1(\mathbb{C} \setminus V_{n,p}, c_0)$ homotopic to \hat{c} , the permutation induced by γ 's monodromy action gives the cyclic permutation $(2, \dots, d, 1)$ of $(z_n^*, \omega z_n^*, \dots, \omega^{d-1}z_n^*)$ for an (n, p) -preperiodic point z_n^* of f_{c_0} fulfilling $(c_0, z_n^*) \in \mathcal{V}_{n,p}^t$, and keeps the other (n, p) -preperiodic points of f_{c_0} fixed. Now we join (c_0, z_n^i) and (c_0, z_n^*) by a curve from (c_0, z_n^i) to (c_0, z_n^*) in $\mathcal{V}_{n,p}^t \setminus \pi_{n,p}^{-1}(V_{n,p})$, and denote its projection under $\pi_{n,p}$ by β . Then $\beta \in \pi_1(\mathbb{C} \setminus V_{n,p}, c_0)$ and the path $\beta \cdot \gamma^{s_i} \cdot \beta^{-1}$ is what we expect. ■

Applying this theorem, we can also characterize the Galois group $G_{\leq n,p}$ by the automorphisms of the directed graph $\mathfrak{R}_{\leq n,p}^T$, as in the $d = 2$ case. For $d \geq 3$, denote by $\text{Aut}(\mathfrak{R}_{n,p}^T, r_d)$ the set of automorphisms of $\mathfrak{R}_{\leq n,p}^T$ that commute with the rotation of argument $1/d$, and by $H_{\leq n,p}(f_c, r_d)$ the set of permutations on $\mathfrak{R}_{\leq n,p}$ that commute with f_c and the rotation of argument $1/d$.

COROLLARY 6.3. For $n \geq 0$ and $p \geq 1$,

$$G_{\leq n,p} \simeq \text{Aut}(\mathfrak{R}_{n,p}^T, r_d) \simeq H_{\leq n,p}(f_c, r_d).$$

Following Bousch [B, Chap. 3, III] and Silverman [Sil, §3.9], we express the Galois group $G_{n,p}$ ($n \geq 2$) as a wreath product.

DEFINITION 6.4. Let G be a group and Σ be a subgroup of \mathbf{S}_m , where \mathbf{S}_m denotes the set of permutations of $\{1, \dots, m\}$. Denote by $\Sigma \ltimes G^m$ the wreath product of G and Σ . As a set, it consists of $g = \sigma(g_1, \dots, g_m)$ where $g_i \in G$ and $\sigma \in \Sigma$. The multiplication is defined by

$$g \cdot h = \sigma_g(g_1, \dots, g_m) \cdot \sigma_h(h_1, \dots, h_m) = \sigma_g \circ \sigma_h(g_{\sigma_h(1)} \cdot h_1, \dots, g_{\sigma_h(m)} \cdot h_m).$$

Under this multiplication law, $\Sigma \ltimes G^m$ is a group with

$$g^{-1} = \sigma_g^{-1}(g_{\sigma_g^{-1}(1)}^{-1}, \dots, g_{\sigma_g^{-1}(m)}^{-1})$$

and unit element $(1, \dots, 1)$.

Bousch [B] showed that $G_{0,p}$ is isomorphic to $\mathbf{S}_{\nu_d(p)/p} \ltimes (\mathbb{Z}/p\mathbb{Z})^{\nu_d(p)/p}$ (see also [Sil, §3.9]). From the proof of Theorem 6.2, we have seen that $G_{1,p} = G_{0,p}$, so

$$G_{1,p} \simeq \mathbf{S}_{\nu_d(p)/p} \ltimes (\mathbb{Z}/p\mathbb{Z})^{\nu_d(p)/p}.$$

For $n \geq 2$, we can give inductively an isomorphic model of $G_{n,p}$ by a wreath product. Recall that $\kappa_n = \nu_d(p)(d-1)d^{n-1}$ ($n \geq 1$) is the number of roots of $Q_{n,p}$.

PROPOSITION 6.5. For $n \geq 2$, we have $G_{n,p} \cong G_{n-1,p} \ltimes (\mathbb{Z}/d\mathbb{Z})^{\kappa_{n-1}}$, where the action of $G_{n-1,p}$ on $(1, \dots, \kappa_{n-1})$ comes from the action of $G_{n-1,p}$ on the roots of $Q_{n-1,p}$, of which there are exactly κ_{n-1} .

Proof. For $n \geq 2$, we denote by $(\Delta_{n-1}^i)_{i=1}^{\kappa_{n-1}}$ the roots of $Q_{n-1,p} \in \mathbf{C}[z]$, and denote by

$$(\{\Delta_n^i, \omega \Delta_n^i, \dots, \omega^{d-1} \Delta_n^i\})_{i=1}^{\kappa_{n-1}}$$

the roots of $Q_{n,p}$ such that $f_c(\Delta_n^i) = \Delta_{n-1}^i$.

Δ_{n-1}^1	Δ_{n-1}^2	\dots	$\Delta_{n-1}^{\kappa_{n-1}}$
Δ_n^1	Δ_n^2	\dots	$\Delta_n^{\kappa_{n-1}}$
$\omega \Delta_n^1$	$\omega \Delta_n^2$	\dots	$\omega \Delta_n^{\kappa_{n-1}}$
\vdots	\vdots	\dots	\vdots
$\omega^{d-1} \Delta_n^1$	$\omega^{d-1} \Delta_n^2$	\dots	$\omega^{d-1} \Delta_n^{\kappa_{n-1}}$

We define a group homomorphism

$$W : G_{n,p} \rightarrow G_{n-1,p} \ltimes (\mathbb{Z}/d\mathbb{Z})^{\kappa_{n-1}}$$

by $W(\sigma) = \sigma|_{n-1}(s_1, \dots, s_{\kappa_{n-1}})$, where $\sigma|_{n-1}$ is the restriction of σ to the splitting field of $Q_{n-1,p}$ over $\mathbb{C}(c)$, and the i th digit in $(s_1, \dots, s_{\kappa_{n-1}})$ is s_i if and only if once $\sigma(\Delta_{n-1}^i) = \Delta_{n-1}^t$ for some $1 \leq t \leq \kappa_{n-1}$, then $\sigma(\Delta_n^i) = \omega^{s_i} \Delta_n^t$. The injectivity of W is straightforward by the action of $G_{n,p}$ on $\mathfrak{R}_{\leq n,p}$, and the surjectivity of W is due to Theorem 6.2. ■

To end this section, we compute $G_{n,p}$ for some small n, p . Note that although $G_{1,p}$ is isomorphic to a subgroup $\mathbf{S}_{\nu_d(p)/p} \times (\mathbb{Z}/p\mathbb{Z})^{\nu_d(p)/p}$ of $\mathbf{S}_{\nu_d(p)}$, it is indeed a subgroup of $\mathbf{S}_{\nu_d(p)(d-1)}$. So mimicking the action of $G_{1,p}$ on

$$\{\omega \Delta_1^1, \dots, \omega \Delta_1^{\nu_d(p)}; \dots; \omega^{d-1} \Delta_1^1, \dots, \omega^{d-1} \Delta_1^{\nu_d(p)}\},$$

we define a subgroup $\mathbf{P}_{\nu_d(p)(d-1),d}$ of $\mathbf{S}_{\nu_d(p)(d-1)}$ such that $\tau \in \mathbf{P}_{\nu_d(p)(d-1),d}$ if and only if

$$\begin{aligned} &\tau(1, \dots, \nu_d(p); \dots; (d-2)\nu_d(p) + 1, \dots, (d-2)\nu_d(p) + \nu_d(p)) \\ &= (\sigma(1), \dots, \sigma(\nu_d(p)); \dots; (d-2)\nu_d(p) + \sigma(1), \dots, (d-2)\nu_d(p) + \sigma(\nu_d(p))) \end{aligned}$$

for a $\sigma \in \mathbf{S}_{\nu_d(p)}$. Then $\mathbf{P}_{\nu_d(p)(d-1),d} \simeq \mathbf{S}_{\nu_d(p)} \simeq G_{1,p}$, and $\mathbf{P}_{\nu_d(p)(d-1),d} = \mathbf{S}_{\nu_d(p)}$ in the case $d = 2$. The results of computation are listed in the following table.

d	n	p	$\nu_d(p)$	κ_{n-1}	$G_{n,p}(d)$
3	1	1	3	–	$\mathbf{S}_3 \simeq \mathbf{P}_{6,3}$
3	2	1	3	6	$\mathbf{P}_{6,3} \times (\mathbb{Z}/3\mathbb{Z})^6$
3	3	1	3	18	$(\mathbf{P}_{6,3} \times (\mathbb{Z}/3\mathbb{Z})^6) \times (\mathbb{Z}/3\mathbb{Z})^{18}$
2	3	2	2	4	$((\mathbb{Z}/2\mathbb{Z}) \times (\mathbb{Z}/2\mathbb{Z})^2) \times (\mathbb{Z}/2\mathbb{Z})^4$
2	2	3	6	6	$((\mathbb{Z}/2\mathbb{Z}) \times (\mathbb{Z}/3\mathbb{Z})^2) \times (\mathbb{Z}/2\mathbb{Z})^6$

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