

Heights, regulators and Schinzel's determinant inequality

by

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1. Introduction. Let k be an algebraic number field, k^\times its multiplicative group of nonzero elements, and $h : k^\times \rightarrow [0, \infty)$ the absolute, logarithmic, Weil height. If α belongs to k^\times and ζ is a root of unity in k^\times , then the identity $h(\zeta\alpha) = h(\alpha)$ is well known. It follows that h is constant on cosets in the quotient group

$$\mathcal{G}_k = k^\times / \text{Tor}(k^\times).$$

Therefore the height is well defined as a map $h : \mathcal{G}_k \rightarrow [0, \infty)$.

Let S be a finite set of places of k such that S contains all the archimedean places. Then

$$O_S = \{\gamma \in k : |\gamma|_v \leq 1 \text{ for all places } v \notin S\}$$

is the ring of S -integers in k , and

$$(1.1) \quad O_S^\times = \{\gamma \in k^\times : |\gamma|_v = 1 \text{ for all places } v \notin S\}$$

is the multiplicative group of S -units in the ring O_S . We write

$$(1.2) \quad \text{Tor}(O_S^\times) = \text{Tor}(k^\times)$$

for the torsion subgroup of O_S^\times , which is also the torsion subgroup of the multiplicative group k^\times . As is well known, (1.2) is a finite, cyclic group of even order, and

$$(1.3) \quad \mathfrak{U}_S(k) = O_S^\times / \text{Tor}(O_S^\times) \subseteq \mathcal{G}_k$$

is a free abelian group of finite rank r , where $|S| = r + 1$.

2010 *Mathematics Subject Classification*: 11J25, 11R04, 46B04.

Key words and phrases: S -regulator, Weil height.

Received 9 August 2015; revised 12 October 2015.

Published online 15 January 2016.

In this paper we establish simple inequalities between the S -regulator $\text{Reg}_S(k)$ and products of the form

$$\prod_{j=1}^r ([k : \mathbb{Q}]h(\alpha_j)),$$

where $\alpha_1, \dots, \alpha_r$ are multiplicatively independent elements in $\mathfrak{U}_S(k)$.

THEOREM 1.1. *Assume that O_S^\times has positive rank r , and let $\alpha_1, \dots, \alpha_r$ be multiplicatively independent elements in the free group $\mathfrak{U}_S(k)$. If $\mathfrak{A} \subseteq \mathfrak{U}_S(k)$ is the multiplicative subgroup generated by $\alpha_1, \dots, \alpha_r$, then*

$$(1.4) \quad \text{Reg}_S(k)[\mathfrak{U}_S(k) : \mathfrak{A}] \leq \prod_{j=1}^r ([k : \mathbb{Q}]h(\alpha_j)).$$

A special case of (1.4) occurs when S is the collection of all archimedean places of k . We write O_k for the ring of algebraic integers in k , and O_k^\times for the multiplicative group of units in O_k . If k is not \mathbb{Q} , and k is not an imaginary quadratic extension of \mathbb{Q} , then the quotient group

$$\mathfrak{U}(k) = O_k^\times / \text{Tor}(O_k^\times) \subseteq \mathcal{G}_k$$

is a free abelian group of positive rank r , where $r + 1$ is the number of archimedean places of k . It is known from work of Remak [22], [23], and Zimmert [28] that the regulator $\text{Reg}(k)$ is bounded from below by an absolute constant. Further, Friedman [12] has shown that $\text{Reg}(k)$ takes its minimum value at the unique number field k_0 having degree 6 over \mathbb{Q} , and having discriminant equal to -10051 . Thus by Friedman’s result we have

$$(1.5) \quad 0.2052\dots = \text{Reg}(k_0) \leq \text{Reg}(k)$$

for all algebraic number fields k . Combining (1.4) and (1.5) leads to the following explicit lower bound.

COROLLARY 1.1. *Assume that k is not \mathbb{Q} , and k is not an imaginary quadratic extension of \mathbb{Q} , so that $\mathfrak{U}(k)$ has positive rank r . Let $\alpha_1, \dots, \alpha_r$ be multiplicatively independent elements in $\mathfrak{U}(k)$. If $\mathfrak{A} \subseteq \mathfrak{U}(k)$ is the subgroup generated by $\alpha_1, \dots, \alpha_r$, then*

$$(1.6) \quad (0.2052\dots)[\mathfrak{U}(k) : \mathfrak{A}] \leq \prod_{j=1}^r ([k : \mathbb{Q}]h(\alpha_j)).$$

Let k be an algebraic number field such that O_k^\times has positive rank r . The inequality (1.6) implies that each collection $\alpha_1, \dots, \alpha_r$ of multiplicatively independent units must contain a unit, say α_1 , that satisfies

$$(1.7) \quad (0.2052\dots) \leq [k : \mathbb{Q}]h(\alpha_1).$$

A result of this sort was proposed by Bertrand [5, comment (iii), p. 210], who observed that it would follow from an unproved hypothesis related to Lehmer’s problem.

In a well known paper Lehmer [16] asked, in the language and notation developed here, whether there exists a positive constant c_0 such that

$$(1.8) \quad c_0 \leq [k : \mathbb{Q}]h(\gamma)$$

for all γ in k^\times which are not in $\text{Tor}(k^\times)$. If $\gamma \neq 0$ is not a unit, then it is easy to show that

$$\log 2 \leq [k : \mathbb{Q}]h(\gamma).$$

Hence (1.8) is of interest for nontorsion elements γ in O_k^\times , or equivalently, for a nontrivial coset representative γ in $\mathfrak{U}(k)$. The inequality (1.6) provides a solution to a form of Lehmer’s problem on average. Further information about Lehmer’s problem is given in [6, Section 1.6.15] and in [25].

In Section 3 we give an analogous upper bound for the relative regulator associated to an extension l/k of algebraic number fields.

We will show that the inequality (1.4) is sharp up to a constant that depends only on the rank r of the group $\mathfrak{U}_S(k)$, but *not* on the underlying field k . Related results have been proved by Brindza [7], Bugeaud and Györy [8], Hajdu [14], and Matveev [18], [19]. More general inequalities that apply to arbitrary finitely generated subgroups of $\overline{\mathbb{Q}}^\times$ were obtained in [26, Theorems 1 and 2]. The inequality (1.9) below is sharper but less general, as it applies only to subgroups of a group of S -units having maximum rank.

THEOREM 1.2. *Assume that O_S^\times has positive rank r , and let $\mathfrak{A} \subseteq \mathfrak{U}_S(k)$ be a subgroup of rank r . Then there exist multiplicatively independent elements β_1, \dots, β_r in \mathfrak{A} such that*

$$(1.9) \quad \prod_{j=1}^r ([k : \mathbb{Q}]h(\beta_j)) \leq \frac{2^r (r!)^3}{(2r)!} \text{Reg}_S(k)[\mathfrak{U}_S(k) : \mathfrak{A}].$$

We note that if $r = 2$ then (1.4) and (1.9) imply that the multiplicatively independent elements β_1 and β_2 contained in $\mathfrak{A} \subseteq \mathfrak{U}_S(k)$ satisfy

$$\text{Reg}_S(k)[\mathfrak{U}_S(k) : \mathfrak{A}] \leq ([k : \mathbb{Q}]h(\beta_1))([k : \mathbb{Q}]h(\beta_2)) \leq \frac{4}{3} \text{Reg}_S(k)[\mathfrak{U}_S(k) : \mathfrak{A}].$$

It follows that β_1 and β_2 form a basis for the group \mathfrak{A} . More generally, by using a well known lemma proved by Mahler [17] and Weyl [27] (see also [9, Chapter V, Lemma 8]), we obtain the following bound on the product of the heights of a basis for $\mathfrak{A} \subseteq \mathfrak{U}_S(k)$.

COROLLARY 1.2. *Assume that O_S^\times has positive rank r , and let $\mathfrak{A} \subseteq \mathfrak{U}_S(k)$ be a subgroup of rank r . Then there exists a basis $\gamma_1, \dots, \gamma_r$ for the free*

group \mathfrak{A} such that

$$(1.10) \quad \prod_{j=1}^r ([k : \mathbb{Q}] h(\gamma_j)) \leq \frac{2(r!)^4}{(2r)!} \text{Reg}_S(k) [\mathfrak{U}_S(k) : \mathfrak{A}].$$

Bounds for S -regulators play an important role in the effective theory of Diophantine equations (see, for example, [8] and the references given there).

In this article we use the height h on specific free groups, such as \mathcal{G}_k and $\mathfrak{U}(k)$. The use of the height on free groups is discussed in [1].

2. Preliminary results. At each place v of k we write k_v for the completion of k at v , so that k_v is a local field. We select two absolute values $\| \cdot \|_v$ and $| \cdot |_v$ from the place v . The absolute value $\| \cdot \|_v$ extends the usual archimedean or nonarchimedean absolute value on the subfield \mathbb{Q} . Then $| \cdot |_v$ must be a power of $\| \cdot \|_v$, and we set

$$(2.1) \quad | \cdot |_v = \| \cdot \|_v^{d_v/d},$$

where $d_v = [k_v : \mathbb{Q}_v]$ is the local degree of the extension, and $d = [k : \mathbb{Q}]$ is the global degree. With these normalizations the *height* of an algebraic number $\alpha \neq 0$ that belongs to k is given by

$$(2.2) \quad h(\alpha) = \sum_v \log^+ |\alpha|_v = \frac{1}{2} \sum_v |\log |\alpha|_v|,$$

where $\log^+ x = \max(0, \log x)$ for $x > 0$. Each sum in (2.2) is over the set of all places v of k , and the equality between the two sums follows from the product formula. Then $h(\alpha)$ depends on $\alpha \neq 0$, but not on the number field k that contains α . We have already noted that the height is well defined as a map

$$h : \mathcal{G}_k \rightarrow [0, \infty).$$

Elementary properties of the height show that $(\alpha, \beta) \mapsto h(\alpha\beta^{-1})$ defines a metric on the group \mathcal{G}_k .

Let η_1, \dots, η_r be multiplicatively independent elements in $\mathfrak{U}_S(k)$ that form a basis for $\mathfrak{U}_S(k)$ as a free abelian group of rank r . Then let

$$M = (d_v \log \|\eta_j\|_v)$$

denote the $(r + 1) \times r$ real matrix where $v \in S$ indexes rows and $j = 1, \dots, r$ indexes columns. At each place \hat{v} in S we write

$$(2.3) \quad M^{(\hat{v})} = (d_v \log \|\eta_j\|_v)$$

for the $r \times r$ submatrix of M obtained by removing the row indexed by \hat{v} . Then the S -regulator of O_S^\times (or of $\mathfrak{U}_S(k)$) is the positive number

$$(2.4) \quad \text{Reg}_S(k) = |\det M^{(\hat{v})}|,$$

which is independent of the choice of \widehat{v} in S . Using an inequality proved by A. Schinzel [24] that bounds the determinant of a real matrix, we will prove that

$$(2.5) \quad \text{Reg}_S(k) \leq \prod_{j=1}^r ([k : \mathbb{Q}] h(\eta_j)).$$

If the better known inequality of Hadamard is used to estimate the determinant that defines the S -regulator on the right of (2.4), we obtain an upper bound that is larger than (2.5) by a factor of 2^r .

Assume more generally that $\alpha_1, \dots, \alpha_r$ are multiplicatively independent elements in $\mathfrak{U}_S(k)$, but not necessarily a basis for the free group $\mathfrak{U}_S(k)$. It follows that there exists an $r \times r$ nonsingular matrix $B = (b_{ij})$ with entries in \mathbb{Z} such that

$$(2.6) \quad \log \|\alpha_j\|_v = \sum_{i=1}^r b_{ij} \log \|\eta_i\|_v$$

for each place v in S and each $j = 1, \dots, r$. Alternatively, (2.6) can be written as the matrix identity

$$(2.7) \quad (d_v \log \|\alpha_j\|_v) = (d_v \log \|\eta_j\|_v) B.$$

If

$$(2.8) \quad \mathfrak{A} = \langle \alpha_1, \dots, \alpha_r \rangle \subseteq \mathfrak{U}_S(k)$$

is the multiplicative subgroup generated by $\alpha_1, \dots, \alpha_r$, we find that

$$(2.9) \quad [\mathfrak{U}_S(k) : \mathfrak{A}] = |\det B|.$$

This will lead to the more general inequality (1.4).

3. Relative regulators. Throughout this section we suppose that k and l are algebraic number fields with $k \subseteq l$. We write $r(k)$ for the rank of the unit group O_k^\times , and similarly for $r(l)$. Then k has $r(k) + 1$ archimedean places, and l has $r(l) + 1$ archimedean places. In general $r(k) \leq r(l)$, and we recall (see [21, Proposition 3.20]) that $r(k) = r(l)$ if and only if l is a CM-field, and k is the maximal totally real subfield of l .

The norm is a homomorphism of multiplicative groups

$$\text{Norm}_{l/k} : l^\times \rightarrow k^\times.$$

If v is a place of k , then each element α in l^\times satisfies

$$(3.1) \quad [l : k] \sum_{w|v} \log |\alpha|_w = \log |\text{Norm}_{l/k}(\alpha)|_v,$$

where $|\cdot|_v$ and $|\cdot|_w$ are normalized as in (2.1). It follows from (3.1) that the

norm restricted to O_l^\times is a homomorphism

$$\text{Norm}_{l/k} : O_l^\times \rightarrow O_k^\times,$$

and the norm restricted to the torsion subgroup in O_l^\times is also a homomorphism

$$\text{Norm}_{l/k} : \text{Tor}(O_l^\times) \rightarrow \text{Tor}(O_k^\times).$$

Therefore we get a homomorphism

$$\text{norm}_{l/k} : O_l^\times / \text{Tor}(O_l^\times) \rightarrow O_k^\times / \text{Tor}(O_k^\times),$$

well defined by

$$\text{norm}_{l/k}(\alpha \text{Tor}(O_l^\times)) = \text{Norm}_{l/k}(\alpha) \text{Tor}(O_k^\times).$$

To simplify notation we write

$$F_k = O_k^\times / \text{Tor}(O_k^\times) \quad \text{and} \quad F_l = O_l^\times / \text{Tor}(O_l^\times),$$

and we write the elements of F_k and F_l as coset representatives rather than cosets. Obviously F_k and F_l are free abelian groups of rank $r(k)$ and $r(l)$, respectively.

Following Costa and Friedman [10], we define the subgroup of relative units in O_l^\times as

$$\{\alpha \in O_l^\times : \text{Norm}_{l/k}(\alpha) \in \text{Tor}(O_k^\times)\}.$$

Alternatively, we work in the free group F_l , where the image of the subgroup of relative units is the kernel of $\text{norm}_{l/k}$. That is, we define the subgroup of *relative units* in F_l to be

$$(3.2) \quad E_{l/k} = \{\alpha \in F_l : \text{norm}_{l/k}(\alpha) = 1\}.$$

We also write

$$I_{l/k} = \{\text{norm}_{l/k}(\alpha) : \alpha \in F_l\} \subseteq F_k$$

for the image of $\text{norm}_{l/k}$. If β in F_l represents a coset in F_k , then

$$\text{norm}_{l/k}(\beta) = \beta^{[l:k]}.$$

Therefore $I_{l/k} \subseteq F_k$ is a subgroup of rank $r(k)$, and

$$(3.3) \quad [F_k : I_{l/k}] < \infty.$$

It follows that $E_{l/k} \subseteq F_l$ is a subgroup of rank $r(l/k) = r(l) - r(k)$, and we restrict our attention to extensions l/k such that $r(l/k)$ is positive.

Let $\eta_1, \dots, \eta_{r(l/k)}$ be a collection of multiplicatively independent relative units that form a basis for the subgroup $E_{l/k}$. At each archimedean place v of k we select a place \hat{w}_v of l such that $\hat{w}_v | v$. Then we define an $r(l/k) \times r(l/k)$ real matrix

$$(3.4) \quad M_{l/k} = ([l_w : \mathbb{Q}_w] \log \|\eta_j\|_w),$$

where w is an archimedean place of l , but $w \neq \widehat{w}_v$ for each $v \mid \infty$, and $j = 1, \dots, r(l/k)$. We write l_w for the completion of l at the place w , \mathbb{Q}_w for the completion of \mathbb{Q} at the place w , and we write $[l_w : \mathbb{Q}_w]$ for the local degree. Of course \mathbb{Q}_w is isomorphic to \mathbb{R} in the situation considered here. As in [10], we define the *relative regulator* of l/k to be the positive number

$$(3.5) \quad \text{Reg}(E_{l/k}) = |\det M_{l/k}|.$$

It follows, as in the proof of [10, Theorem 1] (see also [11]), that the determinant on the right of (3.5) does not depend on the choice of places \widehat{w}_v for each archimedean place v of k .

THEOREM 3.1. *Let $k \subseteq l$ be algebraic number fields such that the group $E_{l/k}$ has positive rank $r(l/k) = r(l) - r(k)$. Let $\varepsilon_1, \dots, \varepsilon_{r(l/k)}$ be a collection of multiplicatively independent relative units in $E_{l/k}$. If $\mathfrak{E} \subseteq E_{l/k}$ is the multiplicative subgroup generated by $\varepsilon_1, \dots, \varepsilon_{r(l/k)}$, then*

$$(3.6) \quad \text{Reg}(E_{l/k})[E_{l/k} : \mathfrak{E}] \leq \prod_{j=1}^{r(l/k)} ([l : \mathbb{Q}]h(\varepsilon_j)).$$

The relative regulator can also be expressed as a ratio of the (ordinary) regulators $\text{Reg}(k)$ and $\text{Reg}(l)$ by using the basic identity

$$(3.7) \quad [F_k : I_{l/k}] \text{Reg}(k) \text{Reg}(E_{l/k}) = \text{Reg}(l),$$

established in [10, Theorem 1]. A slightly different definition of a relative regulator was considered by Bergé and Martinet [2]–[4]. We have used the definition proposed by Costa and Friedman [10], [11], as it leads more naturally to (3.6). Further lower bounds for the product on the right of (3.6) follow from inequalities for the relative regulator obtained by Friedman and Skoruppa [13].

4. Schinzel’s norm. For a real number x we write

$$x^+ = \max\{0, x\} \quad \text{and} \quad x^- = \max\{0, -x\},$$

so that $x = x^+ - x^-$ and $|x| = x^+ + x^-$. If $\mathbf{x} = (x_n)$ is a (column) vector in \mathbb{R}^N , we define $\delta : \mathbb{R}^N \rightarrow [0, \infty)$ by

$$(4.1) \quad \delta(\mathbf{x}) = \max\left\{ \sum_{m=1}^N x_m^+, \sum_{n=1}^N x_n^- \right\}.$$

The following inequality was proved by A. Schinzel [24].

THEOREM 4.1. *If $\mathbf{x}_1, \dots, \mathbf{x}_N$ are column vectors in \mathbb{R}^N , then*

$$(4.2) \quad |\det(\mathbf{x}_1 \cdots \mathbf{x}_N)| \leq \delta(\mathbf{x}_1) \cdots \delta(\mathbf{x}_N).$$

A slightly sharper upper bound was established by C. R. Johnson and M. Newman [15]. However, their bound does not lead to a significant improvement of the results we obtain here.

If a and b are nonnegative real numbers then

$$2 \max\{a, b\} = |a + b| + |a - b|.$$

This leads to the identity

$$(4.3) \quad \delta(\mathbf{x}) = \max\left\{ \sum_{m=1}^N x_m^+, \sum_{n=1}^N x_n^- \right\} = \frac{1}{2} \left| \sum_{n=1}^N x_n \right| + \frac{1}{2} \sum_{n=1}^N |x_n|.$$

It follows easily from (4.3) that $\mathbf{x} \mapsto \delta(\mathbf{x})$ is a continuous, symmetric distance function, or norm, on \mathbb{R}^N . Let

$$(4.4) \quad K_N = \{\mathbf{x} \in \mathbb{R}^N : \delta(\mathbf{x}) \leq 1\}$$

be the associated unit ball. Then K_N is a compact, convex, symmetric subset of \mathbb{R}^N with nonempty interior.

LEMMA 4.1. *Let $\delta : \mathbb{R}^N \rightarrow [0, \infty)$ be the continuous distance function defined by (4.3), and let K_N be the unit ball defined by (4.4). Then*

$$(4.5) \quad \text{Vol}_N(K_N) = \frac{(2N)!}{(N!)^3}.$$

Proof. We write J for the $(N + 1) \times N$ matrix

$$J = \frac{1}{2} \begin{pmatrix} 1 & \cdots & 0 \\ \vdots & & \vdots \\ 0 & \cdots & 1 \\ -1 & \cdots & -1 \end{pmatrix}.$$

Then it is obvious that J has rank N . Let

$$\mathcal{D}_N = \{\mathbf{y} \in \mathbb{R}^{N+1} : y_0 + y_1 + \cdots + y_N = 0\}$$

be the N -dimensional subspace of \mathbb{R}^{N+1} spanned by the columns of J . Further, let

$$\mathcal{B}_{N+1} = \{\mathbf{y} \in \mathbb{R}^{N+1} : \|\mathbf{y}\|_1 = |y_0| + |y_1| + \cdots + |y_N| \leq 1\}$$

denote the unit ball in \mathbb{R}^{N+1} with respect to the $\|\cdot\|_1$ -norm. If \mathbf{x} is a (column) vector in \mathbb{R}^N , we find that $\delta(\mathbf{x}) = \|J\mathbf{x}\|_1$, and therefore

$$K_N = \{\mathbf{x} \in \mathbb{R}^N : \|J\mathbf{x}\|_1 \leq 1\}.$$

It follows that

$$(4.6) \quad \text{Vol}_N(K_N) = \int_{\mathbb{R}^N} \chi_{\mathcal{B}_{N+1}}(J\mathbf{x}) \, d\mathbf{x} = |\det U| \int_{\mathbb{R}^N} \chi_{\mathcal{B}_{N+1}}(JU\mathbf{x}) \, d\mathbf{x},$$

where $\mathbf{y} \mapsto \chi_{\mathcal{B}_{N+1}}(\mathbf{y})$ is the characteristic function of \mathcal{B}_{N+1} , and U is an arbitrary $N \times N$ nonsingular real matrix.

We select U so that the columns of JU form an orthonormal basis for \mathcal{D}_N . With this choice we find that

$$(4.7) \quad \int_{\mathbb{R}^N} \chi_{\mathcal{B}_{N+1}}(JU\mathbf{x}) \, d\mathbf{x} = \text{Vol}_N(\mathcal{D}_N \cap \mathcal{B}_{N+1}) = \frac{\sqrt{N+1}(2N)!}{2^N(N!)^3},$$

where the second equality follows from a result of Meyer and Pajor [20, Proposition II.7]. Because the columns of JU are orthonormal, we get

$$(4.8) \quad \mathbf{1}_N = (JU)^T(JU).$$

For each $m = 1, \dots, N + 1$ let $J^{(m)}$ be the $N \times N$ submatrix of J obtained by removing the m th row. From (4.8) and the Cauchy–Binet formula,

$$\begin{aligned} 1 &= \det((JU)^T(JU)) = (\det U)^2 \det(J^T J) = (\det U)^2 \sum_{m=1}^{N+1} (\det J^{(m)})^2 \\ &= (\det U)^2 4^{-N} (N + 1), \end{aligned}$$

and therefore

$$(4.9) \quad |\det U| = \frac{2^N}{\sqrt{N+1}}.$$

The identity (4.5) follows by combining (4.6), (4.7) and (4.9). ■

Next let

$$A = (\mathbf{a}_1 \cdots \mathbf{a}_N)$$

be an $N \times N$ nonsingular matrix with columns $\mathbf{a}_1, \dots, \mathbf{a}_N$. Obviously the columns of A form a basis for the lattice

$$(4.10) \quad \mathcal{L} = \{A\boldsymbol{\xi} : \boldsymbol{\xi} \in \mathbb{Z}^N\} \subseteq \mathbb{R}^N.$$

By Schinzel’s inequality,

$$|\det A| \leq \prod_{n=1}^N \delta(\mathbf{a}_n).$$

Using the geometry of numbers, we will establish the existence of linearly independent points $\boldsymbol{\ell}_1, \dots, \boldsymbol{\ell}_N$ in \mathcal{L} for which $\prod_{n=1}^N \delta(\boldsymbol{\ell}_n)$ is not much larger than $|\det A|$. An explicit bound on such a product follows immediately from Minkowski’s theorem on successive minima and our formula (4.5) for the volume of K_N .

THEOREM 4.2. *Let $\mathcal{L} \subseteq \mathbb{R}^N$ be defined by (4.10). Then there exist linearly independent points $\boldsymbol{\ell}_1, \dots, \boldsymbol{\ell}_N$ in \mathcal{L} such that*

$$(4.11) \quad \prod_{n=1}^N \delta(\boldsymbol{\ell}_n) \leq \frac{2^N(N!)^3}{(2N)!} |\det A|.$$

Proof. Let $0 < \lambda_1 \leq \dots \leq \lambda_N < \infty$ be the successive minima of \mathcal{L} with respect to the convex symmetric set K_N . Then there exist linearly independent points ℓ_1, \dots, ℓ_N in \mathcal{L} such that

$$\delta(\ell_n) = \lambda_n \quad \text{for each } n = 1, \dots, N.$$

By Minkowski’s theorem on successive minima (see [9, Section VIII.4.3]),

$$\text{Vol}_N(K_N)\lambda_1 \cdots \lambda_N \leq 2^N |\det A|.$$

From Lemma 4.1 we get (4.11). ■

5. Proof of Theorems 1.1 and 1.2. We require the following lemma, which connects the Schinzel norm (4.1) with the Weil height.

LEMMA 5.1. *Let \widehat{v} be a place of the algebraic number field k , and let $\alpha \in k^\times \setminus \{0\}$. Then*

$$(5.1) \quad \max \left\{ \sum_{v \neq \widehat{v}} \log^+ |\alpha|_v, \sum_{v \neq \widehat{v}} \log^- |\alpha|_v \right\} = h(\alpha).$$

Proof. The product formula implies that

$$h(\alpha) = \sum_v \log^+ |\alpha|_v = \sum_v \log^- |\alpha|_v.$$

If $\log |\alpha|_{\widehat{v}} \leq 0$ then

$$\max \left\{ \sum_{v \neq \widehat{v}} \log^+ |\alpha|_v, \sum_{v \neq \widehat{v}} \log^- |\alpha|_v \right\} = \sum_v \log^+ |\alpha|_v = h(\alpha).$$

On the other hand, if $\log |\alpha|_{\widehat{v}} \geq 0$ then

$$\max \left\{ \sum_{v \neq \widehat{v}} \log^+ |\alpha|_v, \sum_{v \neq \widehat{v}} \log^- |\alpha|_v \right\} = \sum_v \log^- |\alpha|_v = h(\alpha). \quad \blacksquare$$

Proof of Theorem 1.1. First we combine (2.3), (2.4), (2.7) and (2.9) to obtain

$$(5.2) \quad \text{Reg}_S(k)[\mathfrak{U}_S(k) : \mathfrak{A}] = [k : \mathbb{Q}]^r |\det(\log |\alpha_j|_v)|,$$

where v in $S \setminus \{\widehat{v}\}$ indexes rows and $j = 1, \dots, r$ indexes columns in the matrix on the right of (5.2). We estimate the determinant in (5.2) by applying Schinzel’s inequality (4.2). Using (4.1) and (5.1) we get

$$(5.3) \quad |\det(\log |\alpha_j|_v)| \leq \prod_{j=1}^r \max \left\{ \sum_{v \neq \widehat{v}} \log^+ |\alpha_j|_v, \sum_{v \neq \widehat{v}} \log^- |\alpha_j|_v \right\} = \prod_{j=1}^r h(\alpha_j).$$

The inequality (1.4) follows from (5.2) and (5.3). ■

Proof of Theorem 1.2. Let η_1, \dots, η_r be multiplicatively independent elements that form a basis for $\mathfrak{U}_S(k)$ as a free abelian group of rank r . Let \widehat{v}

be a place of k contained in S , and

$$M^{(\hat{v})} = (d_v \log \|\eta_j\|_v)$$

the $r \times r$ real matrix defined in (2.3). By hypothesis, $\mathfrak{A} \subseteq \mathfrak{U}_S(k)$ is a subgroup of rank r . Let $\alpha_1, \dots, \alpha_r$ be multiplicatively independent elements in \mathfrak{A} that form a basis for \mathfrak{A} . As in (2.6), there exists an $r \times r$ nonsingular matrix $B = (b_{ij})$ with entries in \mathbb{Z} such that

$$(5.4) \quad \log \|\alpha_j\|_v = \sum_{i=1}^r b_{ij} \log \|\eta_i\|_v$$

for each place v in S and each $j = 1, \dots, r$. Alternatively, if we define the $r \times r$ real matrix

$$A^{(\hat{v})} = (d_v \log \|\alpha_j\|_v),$$

where $v \in S \setminus \{\hat{v}\}$ and $j = 1, \dots, r$, then (5.4) is equivalent to the matrix identity

$$(5.5) \quad A^{(\hat{v})} = M^{(\hat{v})} B.$$

We use the nonsingular $r \times r$ real matrix $A^{(\hat{v})}$ to define a lattice $\mathcal{L}^{(\hat{v})} \subseteq \mathbb{R}^r$ by

$$\mathcal{L}^{(\hat{v})} = \{A^{(\hat{v})} \boldsymbol{\xi} : \boldsymbol{\xi} \in \mathbb{Z}^r\}.$$

Then (2.4), (2.9) and (5.5) imply that

$$(5.6) \quad \text{Reg}_S(k)[\mathfrak{U}_S(k) : \mathfrak{A}] = |\det M^{(\hat{v})}| |\det B| = |\det A^{(\hat{v})}|,$$

which is independent of the choice of \hat{v} in S , and is also the determinant of $\mathcal{L}^{(\hat{v})}$. By Theorem 4.2 and (5.6), there exist linearly independent vectors $\boldsymbol{\ell}_1, \dots, \boldsymbol{\ell}_r$ in $\mathcal{L}^{(\hat{v})}$ such that

$$(5.7) \quad \prod_{j=1}^r \delta(\boldsymbol{\ell}_j) \leq \frac{2^r (r!)^3}{(2r)!} \text{Reg}_S(k)[\mathfrak{U}_S(k) : \mathfrak{A}].$$

As each (column) vector $\boldsymbol{\ell}_j$ belongs to $\mathcal{L}^{(\hat{v})}$, it has rows indexed by $v \in S \setminus \{\hat{v}\}$. Thus $\boldsymbol{\ell}_j$ can be written as

$$\boldsymbol{\ell}_j = \left(d_v \sum_{i=1}^r f_{ij} \log \|\alpha_i\|_v \right) = (d_v \log \|\beta_j\|_v),$$

where $F = (f_{ij})$ is an $r \times r$ nonsingular matrix with entries in \mathbb{Z} , and β_1, \dots, β_r are multiplicatively independent elements in \mathfrak{A} . By Lemma 5.1,

$$(5.8) \quad \delta(\boldsymbol{\ell}_j) = \max \left\{ \sum_{v \neq \hat{v}} d_v \log^+ \|\beta_j\|_v, \sum_{v \neq \hat{v}} d_v \log^- \|\beta_j\|_v \right\} \\ = [k : \mathbb{Q}] \max \left\{ \sum_{v \neq \hat{v}} \log^+ |\beta_j|_v, \sum_{v \neq \hat{v}} \log^- |\beta_j|_v \right\} = [k : \mathbb{Q}] h(\beta_j).$$

The inequality (1.9) follows from (5.7) and (5.8). ■

6. Proof of Theorem 3.1. Let $\eta_1, \dots, \eta_{r(l/k)}$ be a basis for the free abelian group $E_{l/k}$. Then there exists a nonsingular $r(l/k) \times r(l/k)$ matrix $C = (c_{ij})$ with entries in \mathbb{Z} such that

$$(6.1) \quad \log \|\varepsilon_j\|_w = \sum_{i=1}^{r(l/k)} c_{ij} \log \|\eta_i\|_w$$

at each archimedean place w of l . As in our derivation of (2.7) and (2.9), the equations (6.1) can be written as the matrix equation

$$(6.2) \quad ([l_w : \mathbb{Q}_w] \log \|\varepsilon_j\|_w) = ([l_w : \mathbb{Q}_w] \log \|\eta_j\|_w)C,$$

where w is an archimedean place of l , and w indexes the rows of the matrices on both sides of (6.2). Let \mathfrak{E} be the subgroup of $E_{l/k}$ generated by $\varepsilon_1, \dots, \varepsilon_{r(l/k)}$. It follows from (6.2) that

$$(6.3) \quad [E_{l/k} : \mathfrak{E}] = |\det C|.$$

At each archimedean place v of k let \widehat{w}_v be a place of l such that $\widehat{w}_v | v$. As in (3.4), we write

$$M_{l/k} = ([l_w : \mathbb{Q}_w] \log \|\eta_j\|_w),$$

for the $r(l/k) \times r(l/k)$ matrix where w is an archimedean place of l , but $w \neq \widehat{w}_v$ for each $v | \infty$, and $j = 1, \dots, r(l/k)$. Let

$$L(\mathfrak{E}) = ([l_w : \mathbb{Q}_w] \log \|\varepsilon_j\|_w)$$

be the analogous $r(l/k) \times r(l/k)$ matrix where again w is an archimedean place of l , but $w \neq \widehat{w}_v$ for each $v | \infty$, and $j = 1, \dots, r(l/k)$. From (6.2),

$$(6.4) \quad L(\mathfrak{E}) = M_{l/k}C.$$

Then we combine (3.5) and (6.2)–(6.4) to conclude that

$$(6.5) \quad \text{Reg}(E_{l/k})[E_{l/k} : \mathfrak{E}] = |\det L(\mathfrak{E})|.$$

To complete the proof we apply Schinzel’s inequality (4.2) to the determinant on the right of (6.5). We find that

$$(6.6) \quad [l : \mathbb{Q}]^{-r(l/k)} |\det L(\mathfrak{E})| \\ \leq \prod_{j=1}^{r(l/k)} \left\{ \frac{1}{2} \left| \sum_{w \neq \widehat{w}_v} \log |\varepsilon_j|_w \right| + \frac{1}{2} \sum_{w \neq \widehat{w}_v} |\log |\varepsilon_j|_w| \right\} \\ = \prod_{j=1}^{r(l/k)} \left\{ \frac{1}{2} \left| \sum_{v | \infty} \log |\varepsilon_j|_{\widehat{w}_v} \right| + \frac{1}{2} \sum_{w \neq \widehat{w}_v} |\log |\varepsilon_j|_w| \right\} \\ \leq \prod_{j=1}^{r(l/k)} \left\{ \frac{1}{2} \sum_{v | \infty} |\log |\varepsilon_j|_{\widehat{w}_v}| + \frac{1}{2} \sum_{w \neq \widehat{w}_v} |\log |\varepsilon_j|_w| \right\} = \prod_{j=1}^{r(l/k)} h(\varepsilon_j).$$

Combining (6.5) and (6.6) leads to (3.6). ■

Acknowledgements. Jeffrey D. Vaaler's research was partly supported by NSA (grant no. H98230-12-1-0254).

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