

Supercongruences for the Almkvist–Zudilin numbers

by

TEWODROS AMDEBERHAN (New Orleans, LA)
and ROBERTO TAURASO (Roma)

1. Introduction. The *Apéry numbers* $A(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2$ were valuable to R. Apéry in his celebrated proof [1] that $\zeta(3)$ is an irrational number. Since then these numbers have been a subject of much research. For example, they stand among a host of other sequences with the property

$$A(p^r n) \equiv_{p^{3r}} A(p^{r-1} n),$$

now known as *supercongruence*, a term dubbed by F. Beukers [2].

At the heart of many of these congruences sits the classical example $\binom{pb}{pc} \equiv_{p^3} \binom{b}{c}$ which is a stronger variant of the famous congruence $\binom{pb}{pc} \equiv_p \binom{b}{c}$ of Lucas. For a compendium of references on Apéry-type sequences, see [10].

Let us begin by fixing notational conventions. Denote the set of positive integers by \mathbb{N}^+ . For $m \in \mathbb{N}^+$, let \equiv_m represent congruence modulo m .

In this paper, we aim to investigate a similar type of supercongruences for the following family of sequences. For integers $i \geq 0$ and $n \geq 1$, define

$$(1.1) \quad a_i(n) := \sum_{k=0}^{\lfloor (n-i)/3 \rfloor} (-1)^{n-k} \binom{3k+i}{k} \binom{2k+i}{k} \binom{n}{3k+i} \binom{n+k}{k} 3^{n-3k-i},$$

whose generating function is

$$\sum_{n=0}^{\infty} a_i(n) z^n = (-1)^i \sum_{k=0}^{\infty} \binom{4k+i}{k, k, k, k+i} \frac{z^{3k+i}}{(1+3z)^{4k+1+i}}.$$

In recent literature, $a_0(n)$ are referred to as the *Almkvist–Zudilin numbers*. Our motivation for the present work emanates from the following claim found in [6] (see also [3], [7]).

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CONJECTURE 1.1. For a prime p and $n \in \mathbb{N}^+$, the Almkvist–Zudilin numbers satisfy

$$a_0(pn) \equiv_{p^3} a_0(n).$$

Our main results can be summed up as:

If p is a prime and $n, i \in \mathbb{N}^+$, then $a_0(pn) \equiv_{p^3} a_0(n)$ and $a_i(pn) \equiv_{p^2} 0$.

The organization of the paper is as follows. Section 2 lays down some preparatory results to show the vanishing of $a_i(pn)$ modulo p^2 for $i > 0$. Section 3 sees the completion of the proof. Sections 4 and 5 exhibit its elaborate execution. The reduction brings in a *tighter* claim, and it also offers an advantage in allowing to work with a single sum instead of a double sum. In Section 6, we complete the proof for Conjecture 1.1. The paper concludes with Section 7 where we declare an improvement on the results from Section 3, which states a congruence for the family of sequences $a_i(pn)$ modulo p^3 when $i > 0$.

2. Preliminary results. Fermat quotients are numbers of the form

$$q_p(x) = \frac{x^{p-1} - 1}{p},$$

and they played a useful role in the study of cyclotomic fields and Fermat’s Last Theorem (see [8]). The next three lemmas are known, but we give their proofs for completeness.

LEMMA 2.1. If p is a prime and $a \not\equiv_p 0$ then for $d \in \mathbb{Z}$,

$$(2.1) \quad q_p(a^d) \equiv_{p^2} d q_p(a) + p \binom{d}{2} q_p(a)^2.$$

Proof. Since by Fermat’s Little Theorem $a^{p-1} \equiv_p 1$, it follows that

$$(a^{p-1})^d = (1 + (a^{p-1} - 1))^d \equiv_{p^3} 1 + d(a^{p-1} - 1) + \binom{d}{2} (a^{p-1} - 1)^2. \blacksquare$$

LEMMA 2.2. Let $H_n = \sum_{j=1}^n 1/j$ be the n th harmonic number. Then, for $n \in \mathbb{N}^+$, we have

$$(2.2) \quad \sum_{k=1}^n (-1)^k \binom{n}{k} \binom{n+k}{k} \frac{1}{k} = -2H_n.$$

Proof. For an indeterminate y , a simple partial fraction decomposition shows the identity (see [5, Lemma 3.1])

$$(2.3) \quad \sum_{k=0}^n (-1)^k \binom{n}{k} \binom{n+k}{k} \frac{1}{k+y} = \frac{(-1)^n}{y} \prod_{j=1}^n \frac{y-j}{y+j}.$$

Now, subtract $1/y$ from both sides and take the limit as $y \rightarrow 0$. The right-hand side takes the form

$$\frac{1}{n!} \lim_{y \rightarrow 0} \frac{\prod_{j=1}^n (j - y) - \prod_{j=1}^n (j + y)}{y} = -2 \sum_{k=1}^n \frac{1}{k}.$$

The conclusion is clear. ■

LEMMA 2.3. *Suppose p is a prime and $0 \leq k < p/3$. Then*

$$(-1)^k \binom{\lfloor p/3 \rfloor}{k} \binom{\lfloor p/3 \rfloor + k}{k} \equiv_p \binom{3k}{k, k, k} 3^{-3k}.$$

Proof. We observe that $\binom{n}{k} \binom{n+k}{k} = \binom{2k}{k} \binom{n+k}{2k}$. If $p \equiv_3 1$, then $\lfloor \frac{p}{3} \rfloor = \frac{p-1}{3}$ and hence

$$\begin{aligned} \binom{\frac{p-1}{3} + k}{2k} &= \frac{\frac{p-1}{3} \left(\frac{p-1}{3} + k\right)}{(2k)!} \prod_{j=1}^{k-1} \left(\frac{p-1}{3} \pm j\right) \\ &\equiv_p \frac{(-1)^k (3k-1)}{3^{2k} (2k)!} \prod_{j=1}^{k-1} (3j \pm 1) = \frac{(-1)^k (3k)!}{3^{3k} (2k)! k!}. \end{aligned}$$

Therefore,

$$(-1)^k \binom{\frac{p-1}{3}}{k} \binom{\frac{p-1}{3} + k}{k} = (-1)^k \binom{2k}{k} \binom{\frac{p-1}{3} + k}{2k} \equiv_p \frac{(3k)!}{3^{3k} k! 3}.$$

The case $p \equiv_3 -1$ runs analogously. ■

COROLLARY 2.4. *For a prime p and an integer $0 < i < p/3$, we have*

$$\begin{aligned} \sum_{k=1}^{p-1} \binom{3k}{k, k, k} \frac{3^{-3k}}{k} &\equiv_p \sum_{k=1}^{\lfloor p/3 \rfloor} \binom{3k}{k, k, k} \frac{3^{-3k}}{k} \equiv_p 3q_p(3), \\ \sum_{k=0}^{p-1} \binom{3k}{k, k, k} \frac{3^{-3k}}{k+i} &\equiv_p \sum_{k=0}^{\lfloor p/3 \rfloor} \binom{3k}{k, k, k} \frac{3^{-3k}}{k+i} \equiv_p 0. \end{aligned}$$

Proof. For the first assertion, we combine (2.2), Lemma 2.3 and the congruence [4, p. 358]

$$H_{\lfloor p/3 \rfloor} \equiv_p -3 \sum_{r=1}^{\lfloor p/3 \rfloor} \frac{1}{p-3r} \equiv_p -\frac{3q_p(3)}{2}.$$

The second congruence follows from (2.3) with $y = i$ and Lemma 2.3. ■

3. Main results on the sequences $a_i(n)$ for $i > 0$

THEOREM 3.1. *For a prime p and $n, i \in \mathbb{N}^+$ with $i < p/3$, we have*

$$a_i(pn) \equiv_{p^2} 0.$$

Proof. In (1.1), replace n by pn and $k = pm + r$ for $0 \leq r \leq p - 1$ and some $m \in \mathbb{Z}$ (note: $3k + i = 3pm + 3r + i \leq pn$) so that

$$a_i(pn) = \sum_{m=0}^{\lfloor n/3 \rfloor} \sum_{r=0}^{p-1} (-1)^{pn-pm-r} \binom{3pm+3r+i}{pm+r} \binom{2pm+2r+i}{pm+r} \cdot \binom{pn}{3pm+3r+i} \binom{pn+pm+r}{pm+r} 3^{pn-3pm-3r-i}.$$

If $t := 3r + i \geq p + 1$, it is easy to show that the following terms vanish modulo p^2 :

$$\begin{aligned} & \binom{3pm+t}{pm+r} \binom{2pm+2r+i}{pm+r} \binom{pn}{3pm+t} \\ &= \binom{3pm+t}{pm+r, pm+r, pm+r+i} \binom{pn}{3pm+t}. \end{aligned}$$

Therefore, we may restrict to the remaining sum with $3r + i \leq p$:

$$a_i(pn) = \sum_{m=0}^{\lfloor n/3 \rfloor} \sum_{r=0}^{\lfloor (p-i)/3 \rfloor} (-1)^{n-m-r} \binom{3pm+3r+i}{pm+r} \binom{2pm+2r+i}{pm+r} \cdot \binom{pn}{3pm+3r+i} \binom{pn+pm+r}{pm+r} 3^{pn-3pm-3r-i}.$$

We need Lucas's congruence $\binom{pb+c}{pd+e} \equiv_p \binom{b}{d} \binom{c}{e}$ to arrive at

$$a_i(pn) \equiv_p \sum_{m=0}^{\lfloor n/3 \rfloor} \sum_{r=0}^{\lfloor (p-i)/3 \rfloor} (-1)^{n-m-r} \binom{3m}{m} \binom{3r+i}{r} \binom{2m}{m} \binom{2r+i}{r} \cdot \binom{pn}{3pm+3r+i} \binom{n+m}{m} 3^{pn-3pm-3r-1}.$$

Again by Lucas's congruence and using $\binom{p-1}{j} \equiv_p (-1)^j$ for $0 \leq j < p$, we get

$$\begin{aligned} \binom{pn}{3pm+3r+i} &= \frac{pn}{3pm+3r+i} \binom{p(n-1)+p-1}{3pm+3r+i-1} \\ &\equiv_{p^2} \frac{pn}{3r+i} \binom{n-1}{3m} \binom{p-1}{3r+i-1} \equiv_{p^2} (-1)^{r+i-1} \frac{pn}{3r+i} \binom{n-1}{3m}, \end{aligned}$$

which leads to

$$a_i(pn) \equiv_{p^2} pn \sum_{m=0}^{\lfloor n/3 \rfloor} \sum_{r=0}^{\lfloor (p-i)/3 \rfloor} (-1)^{n-m-r} \binom{3m}{m} \binom{3r+i}{r} \binom{2m}{m} \binom{2r+i}{r} \cdot \frac{(-1)^{r+i-1}}{3r+i} \binom{n-1}{3m} \binom{n+m}{m} 3^{pn-3pm-3r-i}.$$

Next, we use Fermat’s Little Theorem and *decouple* the double sum to obtain

$$\begin{aligned} \alpha_i(pn) \equiv_{p^2} n \sum_{m=0}^{\lfloor n/3 \rfloor} (-1)^{n-m+i-1} 3^{n-3m-i} \binom{3m}{m} \binom{2m}{m} \binom{n-1}{3m} \binom{n+m}{m} \\ \cdot p \sum_{r=0}^{\lfloor (p-i)/3 \rfloor} \binom{3r+i}{r} \binom{2r+i}{r} \frac{3^{-3r}}{3r+i}. \end{aligned}$$

It suffices to verify that the *sum over r* vanishes modulo p . To achieve this, apply partial fraction decomposition and Corollary 2.4 (upgrading the sum to $\lfloor p/3 \rfloor$ is *harmless* here). Thus,

$$\begin{aligned} \sum_{r=0}^{\lfloor p/3 \rfloor} \binom{3r+i}{r} \binom{2r+i}{r} \frac{3^{-3r}}{3r+i} &= \sum_{r=0}^{\lfloor p/3 \rfloor} \binom{3r}{r, r, r} 3^{-3r} \prod_{j=1}^{i-1} (3r+j) \prod_{j=1}^i (r+j)^{-1} \\ &= \sum_{j=1}^i \alpha_j(i) \sum_{r=0}^{\lfloor p/3 \rfloor} \binom{3r}{r, r, r} \frac{3^{-3r}}{r+j} \equiv_p \sum_{j=1}^i \alpha_j(i) \cdot 0 = 0, \end{aligned}$$

where $\alpha_j(i) = (-1)^{i-j} \binom{3j-1}{i-1} \binom{i-1}{j-1} \in \mathbb{Z}$. We have enough reason to conclude the proof. ■

4. The reduction on the sequence $a_0(n)$. Our proof of Conjecture 1.1 requires a slightly more delicate analysis than what has been demonstrated in the previous sections for the sequences $a_i(n)$, where $i > 0$. As a first major step forward, we prove the following *somewhat* stronger result. This will be crucial in scaling down a double sum, which emerges (see proof below) as an expression for the sequence $a_0(pn)$, to a single sum.

THEOREM 4.1. *If p is a prime, then the congruence*

$$\begin{aligned} (4.1) \quad \sum_{r=1}^{p-1} (-1)^r \binom{3pm+3r}{pm+r} \binom{2pm+2r}{pm+r} \binom{pn}{3pm+3r} \binom{p(n+m)+r}{pm+r} 3^{-3r} \\ \equiv_{p^3} p \binom{3m}{m} \binom{2m}{m} \binom{n}{3m} \binom{n+m}{m} q_p(3^{-(n-3m)}) \end{aligned}$$

implies $a_0(pn) \equiv_{p^3} a_0(n)$.

Proof. In (1.1), replace n by pn and $k = pm + r$ for $0 \leq r \leq p - 1$ and some $m \in \mathbb{Z}$. Using these new parameters, we write

$$\begin{aligned} a_0(pn) = \sum_{m=0}^{n-1} 3^{p(n-3m)} (-1)^{n-m} \sum_{r=0}^{p-1} (-1)^r \binom{3pm+3r}{pm+r} \binom{2pm+2r}{pm+r} \\ \cdot \binom{pn}{3pm+3r} \binom{p(n+m)+r}{pm+r} 3^{-3r}. \end{aligned}$$

Let us isolate the case $r = 0$; then, from $\binom{pb}{pc} \equiv_{p^3} \binom{b}{c}$ and the hypothesis we get

$$\begin{aligned} a_0(pn) &\equiv_{p^3} \sum_{m=0}^{n-1} 3^{p(n-3m)} (-1)^{n-m} \binom{3m}{m} \binom{2m}{m} \binom{n}{3m} \binom{n+m}{m} \\ &\qquad \qquad \qquad \cdot [1 + pq_p(3^{-(n-3m)})] \\ &\equiv_{p^3} \sum_{m=0}^{n-1} (-1)^{n-m} \binom{3m}{m} \binom{2m}{m} \binom{n}{3m} \binom{n+m}{m} 3^{(n-3m)} = a_0(n). \blacksquare \end{aligned}$$

5. Further preliminary results. In this section, we build a few valuable results aiming at the proof of (4.1) and hence of Conjecture 1.1.

LEMMA 5.1. *Let $m, n \in \mathbb{N}^+$. For $p > 3$ a prime and an integer $0 \leq r < p$, we have*

$$(5.1) \qquad \binom{p(n+m)+r}{pm+r} \equiv_{p^2} \binom{n+m}{m} [1 + pnH_r],$$

and

$$(5.2) \qquad \binom{pn}{3pm+3r} \equiv_{p^3} \left(\frac{p}{3r} - \frac{p^2m}{3r^2}\right) (-1)^r \binom{n}{3m} (n-3m) B_r(p, n, m)$$

where

$$B_r(p, n, m) = \begin{cases} -1 + pnH_{3r-1} & \text{if } 0 < r < p/3, \\ \frac{(n-3m-1)(1-pnH_{3r-1-p})}{3m+1} & \text{if } p/3 < r < 2p/3, \\ \frac{(n-3m-1)(n-3m-2)(-1+pnH_{3r-1-2p})}{(3m+1)(3m+2)} & \text{if } 2p/3 < r < p. \end{cases}$$

Proof. We revive a result found in [9, (27)], which is stated as follows. If $n = n_1p + n_0$ and $k = k_1p + k_0$ where $0 < n_0, k_0 < p$ then

$$(5.3) \qquad \binom{n}{k} \equiv_{p^2} \binom{n_1}{k_1} \left[(n_1+1) \binom{n_0}{k_0} - (n_1+k_1) \binom{n_0-p}{k_0} - k_1 \binom{n_0-p}{k_0+p} \right].$$

For (5.1), apply (5.3) with $n_1 = n+m, n_0 = r = k_0, k_1 = m$. So,

$$\begin{aligned} \binom{p(n+m)+r}{pm+r} &\equiv_{p^2} \binom{n+m}{m} \left[(1+m+n) \binom{r}{r} \right. \\ &\qquad \qquad \qquad \left. - (n+2m) \binom{r-p}{r} - m \binom{r-p}{r+p} \right]. \end{aligned}$$

Now apply (5.3) to $\binom{p+r}{r} \equiv_{p^2} 2 - \binom{r-p}{p}$ (with $n_1 = 1, n_0 = k_0 = r, k_1 = 0$), and to $\binom{r-p}{r+p} = \binom{-p+r}{-2p} \equiv_{p^2} -3 + 2 \binom{r-p}{r}$ (with $n_1 = -1, n_0 = r, k_1 = -2$),

$k_0 = 0$). After substitution and simplifications, we obtain

$$\binom{p(n+m)+r}{pm+r} \equiv_{p^2} \binom{n+m}{m} \left(1 + n \binom{p+r}{r} - 1 \right).$$

The desired result is reached as soon as we note that

$$\binom{p+r}{r} = \frac{1}{r!} \prod_{j=1}^r (p+j) \equiv_{p^2} 1 + pH_r.$$

The congruence (5.2) demands a careful analysis. The setup begins by expressing $3r = \epsilon p + d$ where $0 < d < p$ and $\epsilon = \lfloor 3r/p \rfloor \in \{0, 1, 2\}$ which correspond to $0 < 3r < p$, $p < 3r < 2p$ and $2p < 3r < 3p$, respectively. Apply (5.3) with $n_1 = n - 1$, $n_0 = p - 1$, $k_1 = 3m + \epsilon$, $k_0 = d - 1$. Follow this through using $\binom{-1}{j} = (-1)^j$. The outcome is

$$\begin{aligned} \binom{pn}{3pm+3r} &= \frac{pn}{3pm+3r} \binom{p(n-1)+p-1}{p(3m+\epsilon)+d-1} \\ &\equiv_{p^3} \frac{pn}{3pm+3r} \binom{n-1}{3m+\epsilon} \left[n \binom{p-1}{3r-1-\epsilon p} + (-1)^{r-\epsilon}(n-1) \right]. \end{aligned}$$

Combining this step and the easy facts

$$\frac{1}{3pm+3r} \equiv_{p^2} \frac{1}{3r} - \frac{pm}{3r^2}, \quad \binom{p-1}{j} \equiv_{p^2} (-1)^j [1 - pH_j],$$

we reach the conclusion. ■

Also the next congruence can be deduced from (5.3). However, here we offer a more direct approach.

LEMMA 5.2. *Let $m \in \mathbb{N}^+$. For $p > 3$ a prime and an integer $0 \leq r < p$, we have*

$$(5.4) \quad \binom{3pm+3r}{pm+r} \binom{2pm+2r}{pm+r} \equiv_{p^2} \binom{3m}{m, m, m} \binom{3r}{r, r, r} \left[1 + 3pm(H_{3r} - H_r) \right].$$

Proof. Since $(pm+k)^{-1} \equiv_{p^2} \frac{1}{k} \left(1 - \frac{pm}{k} \right)$, we obtain

$$(pm+k)^{-3} \equiv_{p^2} \frac{1}{k^3} \left(1 - \frac{pm}{k} \right)^3 \equiv_{p^2} \frac{1}{k^3} \left(1 - \frac{3pm}{k} \right) = \frac{k-3pm}{k^4}.$$

For notational simplicity, denote $\binom{3j}{j, j, j} = \binom{3j}{j} \binom{2j}{j}$ by $\binom{3j}{j^3}$. We consider the expansion $\prod_{i=1}^n (\lambda_i + x) = \sum_{j=0}^n e_j(\lambda) x^{n-j}$ as our running theme, where e_j is the j th elementary symmetric function in the parameters $\lambda = (\lambda_1, \dots, \lambda_n)$. In particular, $e_n = 1$ and $e_{n-1}(1, \dots, n) = n!H_n$. The claim then follows

from

$$\begin{aligned} \binom{3pm + 3r}{(pm + r)^3} &= \binom{3pm}{(pm)^3} \prod_{j=1}^{3r} (j + 3pm) \prod_{k=1}^r (pm + k)^{-3} \\ &\equiv_{p^2} \binom{3pm}{(pm)^3} \frac{1}{r!^4} \prod_{j=1}^{3r} (j + 3pm) \prod_{k=1}^r (k - 3pm) \\ &\equiv_{p^2} \binom{3pm}{(pm)^3} \frac{1}{r!^4} (3r)!r! [1 + 3pmH_{3r} - 3pmH_r]. \blacksquare \end{aligned}$$

REMARK 5.3. This fact is even more general as stated below but the proof is left to the interested reader. If $A, n \in \mathbb{N}^+, 0 \leq r < p$ are integers and $p > 3$ a prime, then

$$\frac{(Apm + Ar)!}{(pm + r)!^A} \equiv_{p^2} \binom{Am}{m, \dots, m} \binom{Ar}{r, \dots, r} [1 + Apm(H_{Ar} - H_r)].$$

LEMMA 5.4. *If $p > 3$ is a prime then*

$$(5.5) \quad \sum_{r=1}^{p-1} \binom{3r}{r, r, r} \frac{3^{-3r}}{r} \equiv_{p^2} -3q_p(1/3) + \frac{3p}{2} q_p(1/3)^2,$$

$$(5.6) \quad \sum_{r=1}^{p-1} \binom{3r}{r, r, r} \frac{3^{-3r}}{r^2} \equiv_p -\frac{9}{2} q_p(1/3)^2,$$

$$(5.7) \quad \sum_{r=1}^{p-1} \binom{3r}{r, r, r} \frac{(H_{3r} - H_r)3^{-3r}}{r} \equiv_p 0.$$

Proof. By (2.1), $q_p(1/27) \equiv_{p^2} 3q_p(1/3) + 3p q_p(1/3)^2$. Therefore, by (5) in [11, Theorem 4],

$$\begin{aligned} \sum_{r=1}^{p-1} \binom{3r}{r, r, r} \frac{3^{-3r}}{r} &= \sum_{r=1}^{p-1} \frac{(1/3)_r(2/3)_r}{(1)_r^2} \cdot \frac{1}{r} \equiv_{p^2} -q_p(1/27) + \frac{p}{2} q_p(1/27)^2 \\ &\equiv_{p^2} -3q_p(1/3) + \frac{3p}{2} q_p(1/3)^2. \end{aligned}$$

In a similar way, by (6) in [11, Theorem 4],

$$\sum_{r=1}^{p-1} \binom{3r}{r, r, r} \frac{3^{-3r}}{r^2} = \sum_{r=1}^{p-1} \frac{(1/3)_r(2/3)_r}{(1)_r^2} \cdot \frac{1}{r^2} \equiv_p -\frac{1}{2} q_p(1/27)^2 \equiv_p -\frac{9}{2} q_p(1/3)^2.$$

By (1) in [11, Theorem 1],

$$\frac{(1/3)_r(2/3)_r}{(1)_r^2} \sum_{j=0}^{r-1} \left(\frac{1}{1/3 + j} + \frac{1}{2/3 + j} \right) = \sum_{k=0}^{r-1} \frac{(1/3)_k(2/3)_k}{(1)_k^2} \cdot \frac{1}{r - k}.$$

Hence (5.7) is implied by

$$\begin{aligned}
 & \sum_{r=1}^{p-1} \binom{3r}{r, r, r} \frac{(3H_{3r} - H_r)3^{-3r}}{r} \\
 &= \sum_{r=1}^{p-1} \frac{(1/3)_r (2/3)_r}{(1)_r^2} \cdot \frac{1}{r} \cdot \sum_{j=0}^{r-1} \left(\frac{1}{1/3+j} + \frac{1}{2/3+j} \right) \\
 &= \sum_{r=1}^{p-1} \frac{1}{r} \sum_{k=0}^{r-1} \frac{(1/3)_k (2/3)_k}{(1)_k^2} \cdot \frac{1}{r-k} = \sum_{k=0}^{p-2} \frac{(1/3)_k (2/3)_k}{(1)_k^2} \sum_{r=k+1}^{p-1} \frac{1}{r(r-k)} \\
 &= \sum_{r=1}^{p-1} \frac{1}{r^2} + \sum_{k=1}^{p-2} \frac{(1/3)_k (2/3)_k}{(1)_k^2} \left(\frac{1}{k} \sum_{r=k+1}^{p-1} \left(\frac{1}{r-k} - \frac{1}{r} \right) \right) \\
 &\equiv_p \sum_{k=1}^{p-2} \frac{(1/3)_k (2/3)_k}{(1)_k^2} \cdot \frac{1}{k} (H_{p-1-k} - H_{p-1} + H_k) \\
 &\equiv_p \sum_{k=1}^{p-1} \binom{3k}{k, k, k} \frac{2H_k 3^{-3k}}{k},
 \end{aligned}$$

because $H_{p-1-k} \equiv_p H_k$ and $H_{p-1} \equiv_p \sum_{r=1}^{p-1} \frac{1}{r^2} \equiv_p \sum_{j=1}^{p-1} j \equiv_p 0$ as $p \neq 2$. ■

6. Proof of Conjecture 1.1. In this section, we combine the results from the preceding sections to arrive at a proof of (4.1) (restated here for the reader’s convenience) and therefore of Conjecture 1.1.

THEOREM 6.1. *For a prime $p > 3$ and $m, n \in \mathbb{N}^+$, we have*

$$\begin{aligned}
 & \sum_{r=1}^{p-1} (-1)^r \binom{3pm + 3r}{pm + r} \binom{2pm + 2r}{pm + r} \binom{pn}{3pm + 3r} \binom{p(n+m) + r}{pm + r} 3^{-3r} \\
 & \equiv_{p^3} p \binom{3m}{m} \binom{2m}{m} \binom{n}{3m} \binom{n+m}{m} q_p(3^{-(n-3m)}).
 \end{aligned}$$

Proof. Based on congruences (2.1), (5.1), (5.2), and (5.4), the assertion is equivalent to

$$\begin{aligned}
 (6.1) \quad & \sum_{r=1}^{p-1} \binom{3r}{r, r, r} (1 + 3pm(H_{3r} - H_r))(1 + pnH_r) \left(\frac{1}{3r} - \frac{pm}{3r^2} \right) B_r(p, n, m) 3^{-3r} \\
 & \equiv_{p^2} q_p(1/3) + \frac{p(n - 3m - 1)}{2} q_p(1/3)^2.
 \end{aligned}$$

Now we split the sum on the left-hand side of (6.1) into three pieces,

$$S_1 = \sum_{r=1}^{\lfloor p/3 \rfloor} (\cdot), \quad S_2 = \sum_{r=\lceil p/3 \rceil}^{\lfloor 2p/3 \rfloor} (\cdot), \quad \text{and} \quad S_3 = \sum_{r=\lceil 2p/3 \rceil}^{p-1} (\cdot).$$

As regards S_1 ,

$$S_1 \equiv_{p^2} \frac{1}{3} \sum_{r=1}^{\lfloor p/3 \rfloor} \binom{3r}{r, r, r} \left(-\frac{1}{r} - \frac{p(n-3m)}{3r^2} + \frac{p(n-3m)(H_{3r} - H_r)}{r} \right) 3^{-3r}.$$

If $p/3 < r < 2p/3$ then $\binom{3r}{r, r, r} \equiv_p 0$ and $1 + 3pm(H_{3r} - H_r) \equiv_p 1 + 3m$ with $B_r(p, n, m) \equiv_p (n - 3m - 1)/(3m + 1)$. These imply that

$$\begin{aligned} S_2 &\equiv_{p^2} \sum_{r=\lceil p/3 \rceil}^{\lfloor 2p/3 \rfloor} \binom{3r}{r, r, r} (1 + 3pm(H_{3r} - H_r))(1 + pnH_r) \\ &\quad \cdot \left(\frac{1}{3r} - \frac{pm}{3r^2} \right) B_r(p, n, m) 3^{-3r} \\ &\equiv_{p^2} \sum_{r=\lceil p/3 \rceil}^{\lfloor 2p/3 \rfloor} \binom{3r}{r, r, r} (1 + 3m) \left(\frac{1}{3r} \right) \frac{n - 3m - 1}{3m + 1} 3^{-3r} \\ &\equiv_{p^2} \frac{n - 3m - 1}{3} \sum_{r=\lceil p/3 \rceil}^{\lfloor 2p/3 \rfloor} \binom{3r}{r, r, r} \frac{3^{-3r}}{r}. \end{aligned}$$

Finally, $S_3 \equiv_{p^2} 0$ because obviously $\binom{3r}{r, r, r} \equiv_{p^2} 0$ as long as $2p/3 < r < p$.

Again $\binom{3r}{r, r, r} \equiv_p 0$ if $p/3 < r < 2p/3$, and $\binom{3r}{r, r, r} \equiv_{p^2} 0$ if $2p/3 < r < p$. So, from (5.5) and (5.6) we know that

$$\begin{aligned} \sum_{r=1}^{\lfloor 2p/3 \rfloor} \binom{3r}{r, r, r} \frac{3^{-3r}}{r} &\equiv_{p^2} \sum_{r=1}^{p-1} \binom{3r}{r, r, r} \frac{3^{-3r}}{r} \equiv_{p^2} -3q_p(1/3) + \frac{3p}{2} q_p(1/3)^2, \\ p \sum_{r=1}^{\lfloor p/3 \rfloor} \binom{3r}{r, r, r} \frac{3^{-3r}}{r^2} &\equiv_{p^2} p \sum_{r=1}^{p-1} \binom{3r}{r, r, r} \frac{3^{-3r}}{r^2} \equiv_{p^2} -\frac{9p}{2} q_p(1/3)^2. \end{aligned}$$

As before, $\binom{3r}{r, r, r} \equiv_{p^2} 0$ for $2p/3 < r < p$. Moreover, we have $\binom{3r}{r, r, r} \equiv_p 0$ and $pH_{3r} - pH_r \equiv_p 1$ for $p/3 < r < 2p/3$. Therefore, by (5.7),

$$\begin{aligned} 0 &\equiv_{p^2} p \sum_{r=1}^{p-1} \binom{3r}{r, r, r} \frac{(H_{3r} - H_r)3^{-3r}}{r} \equiv_{p^2} p \sum_{r=1}^{\lfloor 2p/3 \rfloor} \binom{3r}{r, r, r} \frac{(H_{3r} - H_r)3^{-3r}}{r} \\ &\equiv_{p^2} p \sum_{r=1}^{\lfloor p/3 \rfloor} \binom{3r}{r, r, r} \frac{(H_{3r} - H_r)3^{-3r}}{r} + \sum_{r=\lceil p/3 \rceil}^{\lfloor 2p/3 \rfloor} \binom{3r}{r, r, r} \frac{3^{-3r}}{r}. \end{aligned}$$

Putting all these together, we conclude that

$$\begin{aligned}
 & S_1 + S_2 + S_3 \\
 & \equiv_{p^2} \frac{1}{3} \sum_{r=1}^{\lfloor p/3 \rfloor} \binom{3r}{r, r, r} \left(-\frac{1}{r} - \frac{p(n-3m)}{3r^2} + \frac{p(n-3m)(H_{3r} - H_r)}{r} \right) 3^{-3r} \\
 & \quad + \frac{n-3m-1}{3} \sum_{r=\lfloor p/3 \rfloor}^{\lfloor 2p/3 \rfloor} \binom{3r}{r, r, r} \frac{3^{-3r}}{r} + 0 \\
 & \equiv_{p^2} -\frac{1}{3} \sum_{r=1}^{\lfloor 2p/3 \rfloor} \binom{3r}{r, r, r} \frac{3^{-3r}}{r} \\
 & \quad - \frac{n-3m}{9} \sum_{r=1}^{\lfloor p/3 \rfloor} \binom{3r}{r, r, r} \frac{3^{-3r}}{r^2} + \frac{n-3m}{3} \cdot 0 \\
 & \equiv_{p^2} -\frac{1}{3} \left(-3q_p(1/3) + \frac{3p}{2} q_p(1/3)^2 \right) - \frac{n-3m}{9} \left(-\frac{9p}{2} q_p(1/3)^2 \right) \\
 & \equiv_{p^2} q_p(1/3) + \frac{p(n-3m-1)}{2} q_p(1/3)^2,
 \end{aligned}$$

which is exactly what we expect. The proof is complete. ■

7. Conclusions and remarks. In this final section, we extend the congruence on $a_i(n)$ (for $i > 0$), discussed in the earlier sections, from modulo p^2 to modulo p^3 . While stating our claim in its generality, we only exhibit proof outlines for $i = 1$ as a prototypical example. For $i > 1$, the details are similar and hence omitted. We believe the curious researcher would be able to account for these remaining cases.

THEOREM 7.1. *For $n, i \in \mathbb{N}^+$ and a prime $p > 2i$,*

$$a_i(pn) \equiv_{p^3} (-1)^{i-1} \frac{a_1(pn)}{i^2 \binom{2i-1}{i-1}} \equiv_{p^3} \frac{(-1)^{i-1} p^2 \binom{n+2}{2} a_1(n)}{i^2 \binom{2i-1}{i-1}}.$$

Proof (the case $i = 1$; ingredients for $a_1(pn) \equiv_{p^3} p^2 \binom{n+2}{2} a_1(n)$).

(A) By partial fraction decomposition,

$$\begin{aligned}
 a_i(n) &= \frac{1}{3^i} \sum_{k=0}^{n-1} (-1)^{n-k} \binom{3k}{k} \binom{2k}{k} \binom{n}{3k} \binom{n+k}{k} \frac{\binom{n-3k}{i} 3^{n-3k}}{\binom{k+i}{i}} \\
 &= (-1)^i a_0(n) + \frac{i}{3^i} \sum_{j=1}^i (-1)^{j-1} \binom{i-1}{j-1} \binom{n+3j}{i} b_j(n),
 \end{aligned}$$

where for $j \in \mathbb{N}^+$,

$$b_j(n) := \sum_{k=0}^{n-1} (-1)^{n-k} (n-3k) \binom{3k}{k} \binom{2k}{k} \binom{n}{3k} \binom{n+k}{k} \frac{3^{n-3k}}{k+j}.$$

Thus, $a_0(np) \equiv_{p^3} a_0(n)$ implies

$$\begin{aligned} a_1(np) &= -a_0(np) + \frac{np+3}{3} b_1(np) \\ &\equiv_{p^3} -a_0(n) + \frac{np+3}{3} b_1(np) \\ &\equiv_{p^3} a_1(n) + \frac{np+3}{3} b_1(np) - \frac{p+3}{3} b_1(p). \end{aligned}$$

(B) Hence, it suffices to show that

$$b_1(np) \equiv_{p^3} \frac{3}{np+3} \left(p^2 \binom{n+2}{2} - 1 \right) a_1(n) + \frac{n+3}{np+3} b_1(n),$$

or, since $a_1(n) = -a_0(n) + (n+3)b_1(n)/3$,

$$(7.1) \quad b_1(np) \equiv_{p^3} p^2 \binom{n+3}{3} b_1(n) + \left(1 - \frac{pn}{3} - \frac{p^2(n+3)(7n+6)}{18} \right) a_0(n).$$

(C) The above congruence follows from

$$\begin{aligned} (7.2) \quad &\sum_{r=0}^{p-1} \binom{3pm+3r}{pm+r} \binom{2pm+2r}{pm+r} \binom{pn}{3pm+3r} \binom{p(n+m)+r}{pm+r} \frac{(-1)^r 3^{-3r}}{pm+r+1} \\ &\equiv_{p^3} \left(\frac{p^2}{m+1} \binom{n+3}{3} + 1 - \frac{pn}{3} - \frac{p^2(n+3)(7n+6)}{18} \right) \\ &\quad \cdot \binom{3m}{m} \binom{2m}{m} \binom{n}{3m} \binom{n+m}{m} 3^{-(n-3m)(p-1)} \end{aligned}$$

By summing over m , it is immediate to recover (7.1).

(D) In order to prove (7.2), we have the old machinery,

$$\frac{1}{pm+r+1} \equiv_{p^2} \frac{1}{r+1} - \frac{mp}{(r+1)^2},$$

and

$$\begin{aligned} \sum_{r=0}^{p-1} \binom{3r}{r,r,r} \frac{3^{-3r}}{r+1} &= \frac{9p}{2} \binom{3p}{p,p,p} 3^{-3p} \equiv_{p^2} p - 3p^2 q_p(1/3), \\ \sum_{r=0}^{p-1} \binom{3r}{r,r,r} \frac{3^{-3r}}{(r+1)^2} &= \frac{9(9p+2)}{4} \binom{3p}{p,p,p} 3^{-3p} - \frac{9}{2} \equiv_p -\frac{7}{2}. \end{aligned}$$

(E) Finally, we can modify the previous proof as follows:

$$\begin{aligned}
 & \sum_{r=0}^{p-1} \binom{3r}{r, r, r} \frac{(3H_{3r} - H_r)3^{-3r}}{r+1} \\
 &= \sum_{r=1}^{p-1} \frac{(1/3)_r(2/3)_r}{(1)_r^2} \cdot \frac{1}{r+1} \cdot \sum_{j=0}^{r-1} \left(\frac{1}{1/3+j} + \frac{1}{2/3+j} \right) \\
 &= \sum_{r=1}^{p-1} \frac{1}{r+1} \sum_{k=0}^{r-1} \frac{(1/3)_k(2/3)_k}{(1)_k^2} \cdot \frac{1}{r-k} \\
 &= \sum_{k=0}^{p-2} \frac{(1/3)_k(2/3)_k}{(1)_k^2} \sum_{r=k+1}^{p-1} \frac{1}{(r+1)(r-k)} \\
 &= \sum_{k=0}^{p-2} \frac{(1/3)_k(2/3)_k}{(1)_k^2} \left(\frac{1}{k+1} \sum_{r=k+1}^{p-1} \left(\frac{1}{r-k} - \frac{1}{r+1} \right) \right) \\
 &= \sum_{k=0}^{p-2} \frac{(1/3)_k(2/3)_k}{(1)_k^2} \cdot \frac{H_{p-1-k} - H_p + H_{k+1}}{k+1} \\
 &\equiv_p \sum_{k=0}^{p-1} \binom{3k}{k, k, k} \frac{(H_k - H_p + H_{k+1})3^{-3k}}{k+1},
 \end{aligned}$$

which implies that

$$\begin{aligned}
 \sum_{r=0}^{p-1} \binom{3r}{r, r, r} \frac{(H_{3r} - H_r)3^{-3r}}{r+1} &\equiv_p \frac{1}{3} \sum_{k=0}^{p-1} \binom{3k}{k, k, k} \frac{(-1/p + 1/(k+1))3^{-3k}}{k+1} \\
 &\equiv_p \frac{1}{3} \left(-1 - \frac{7}{2} \right) = -\frac{3}{2}. \blacksquare
 \end{aligned}$$

REMARK 7.2. We showed that the conjecture $a_0(pn) \equiv_{p^3} a_0(n)$ holds true. If one combines the techniques established in this paper with the existing literature on supercongruences (see references below) for binomials of the type $\binom{p^r n+k}{p^t m+j}$, there is enough reliable verity to believe that $a_0(p^r n) \equiv_{p^{3r}} a_0(p^{r-1}n)$ might be approachable. However, at present we are unsure of the details.

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Tewodros Amdeberhan
Department of Mathematics
Tulane University
New Orleans, LA 70118, U.S.A.
E-mail: tamdeber@tulane.edu

Roberto Tauraso
Dipartimento di Matematica
Università di Roma “Tor Vergata”
00133 Roma, Italy
E-mail: tauraso@mat.uniroma2.it