

To see that  $I^{-1}$  is continuous, let  $B$  be open  $X/E$ . Then  $I(B) = u(p^{-1}(B))$ . Now  $p^{-1}(B) = [B]$  is a collection of cosets in  $X$  and is open; we want to show  $I(B) = u([B])$  is open. Suppose  $x_n \in F$ ,  $x_n \xrightarrow{I} x \in u([B])$ . Because  $x_n \rightarrow x$  type I, there is an  $f \neq 0$  in  $\mathcal{L}$  such that  $\varrho_f([x_n, f], (x, f)) \rightarrow 0$  and since  $x \in u([B])$ ,  $(x, f) \in [B]$ , thus  $(x_n, f)$  is eventually in  $[B]$  and  $x_n$  is eventually in  $u([B])$ . Thus  $u([B]) = I(B)$  is open in  $F$ .

**Conclusion.** Some of the unresolved questions with respect to the sequential topology for type I convergence are as follows. First what is the connection between convergence in the topology and type II convergence. If  $x_n \xrightarrow{II} x$  and the regularizing sequence  $f_n$ , such that  $f_n \xrightarrow{\mathcal{C}} f \neq 0$  and  $f_n x_n \xrightarrow{\mathcal{C}} f x$ , can be chosen so that  $f \in \mathcal{C}_0$ , then Theorem 4 shows that in fact  $x_n \rightarrow x$  in the topology. If a regularizing sequence with  $f \in \mathcal{C}_0$  can be chosen, then, in particular,  $\lim a(x_n) \leq \alpha(x)$ . A reasonable conjecture is that if  $x_n \xrightarrow{II} x \neq 0$ , then  $x_n \rightarrow x$  if and only if  $\lim a(x_n) \leq \alpha(x)$ .

If  $O$  is such that  $O \cap B_f$  is open in  $B_f$  for each  $f \in \mathcal{L} - \{0\}$ , then  $O$  is open in  $F$ . An unresolved question is: if  $V$  is such that  $V \cap B_f$  contains an open neighborhood of the origin in  $B_f$  for each  $f \in \mathcal{L} - \{0\}$  does  $V$  necessarily contain an open neighborhood of the origin in  $F$ ?

Is  $F$  Hausdorff?

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#### Reflexivity and summability: the Nakano $\mathcal{L}(p_i)$ spaces

by

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1. A classical theorem of Banach and Saks asserts that every bounded sequence in  $L_p$ ,  $p > 1$ , has a subsequence whose  $(C, 1)$  means converge. Nishiura and Waterman [4] showed that a Banach space is reflexive if and only if, for every bounded sequence, there is a summability method  $T$  of a particular kind and a subsequence whose  $T$ -means converge (either weakly or strongly). This has been discussed further by Singer [7], Pełczyński [5], and Waterman [8].

In his review [6] of the paper of Nishiura and Waterman, Sakai raised the following question: Is there a reflexive space for which  $(C, 1)$  is not the suitable method? Klee [1] attempted to answer this and showed that certain  $\mathcal{L}(p_i)$ -spaces of Nakano contained bounded sequences with no  $(C, 1)$  summable subsequences. In section 2 we will show that these spaces exhibit a more striking property, namely that, for any regular method  $T$  or any regular\* method  $T^*$  of Zygmund [10], p. 202-205, there exists a bounded sequence without  $T$  ( $T^*$ )-summable subsequences. However, as we will show in section 3, it is precisely these  $\mathcal{L}(p_i)$ -spaces which are not reflexive. Thus the question of Sakai remains unanswered. The result in section 3 was stated in our review [9] of [1].

2. Let  $\{p_i\}$  be a sequence of real numbers,  $1 \leq p_i \leq \infty$ . Then  $\mathcal{L}(p_i)$  denotes the set of all real sequences  $x = \{x_i\}$  such that

$$\sum_{i=1}^{\infty} \frac{1}{p_i} |ax_i|^{p_i} < \infty$$

for some  $\alpha > 0$  depending on  $x$ . We adopt the convention that, for a function  $f$  of a finite real variable, the value at  $\infty$  is given by

$$f(\infty) = \lim_{u \rightarrow \infty} f(u).$$

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Then  $l(p_i)$  is a linear space and if we define the modular

$$m(x) = \sum_1^{\infty} \frac{1}{p_i} |t_i|^{p_i}$$

and

$$\|x\| = \inf\{1/a : a > 0 \text{ and } m(ax) \leq 1\},$$

then  $l(p_i)$  is a Banach space with  $\|\cdot\|$  as norm ([2] and [3], § 89).

A useful observation, which is easily verified, is that  $\|x\| \leq 1$  if and only if  $m(x) \leq 1$ .

We will now suppose, as did Klee [1], that  $\limsup p_i = \infty$ . Let  $(c_{mn})$  be a regular\* summability method, that is,

$$(i) \lim_{m \rightarrow \infty} \sum_n c_{mn} = 1,$$

$$(ii) \lim_{m \rightarrow \infty} c_{mn} = 0 \text{ for every } n.$$

Let  $\{N_m\}$  be an increasing sequence of integers such that

$$\left| \sum_{n=N}^{\infty} c_{mn} \right| < \frac{1}{2}$$

if  $N \geq N_m$  and set

$$K_{mn} = \begin{cases} 1 & \text{for } n \geq N_m, \\ 0 & \text{otherwise.} \end{cases}$$

Let  $\{p_{i_k}\}$  be a subsequence of  $\{p_i\}$  such that  $p_{i_k} \geq k$  for every  $k$ . We now define

$$a_m = \begin{cases} p_m^{1/p_m} & \text{for } m \in \{i_k\}, \\ 0 & \text{otherwise,} \end{cases}$$

and let  $y = \{a_m\}$ ,  $y_n = \{K_{mn} a_m\}$ . Then  $y_n \rightarrow y$  coordinatewise. Also

$$m(y/2) = \sum_1^{\infty} 2^{-p_i} k \leq 1,$$

implying  $y \in l(p_i)$  with  $\|y\| \leq 2$  and  $y_n \in l(p_i)$  with  $\|y_n\| \leq 2$ . Let  $\{y_{n_a}\}$  be a subsequence of  $\{y_n\}$ . We will show that the means of  $\{y_{n_a}\}$  do not converge to the coordinatewise limit  $y$ .

If  $\{t_m\}$  is the sequence of  $(c_{mn})$ -means of  $\{y_{n_a}\}$ , then

$$y - t_m = \left\{ a_i \left( 1 - \sum_{a=1}^{\infty} K_{i_a} c_{ma} \right) \right\}$$

and, for  $\lambda > 0$ ,

$$m((y - t_m)/\lambda) = \sum_{k=1}^{\infty} \left( \left| 1 - \sum_{a=1}^{\infty} K_{i_k n_a} c_{ma} \right| / \lambda \right)^{p_{i_k}}.$$

Consider the term in this sum with  $i_k = M$ . We have

$$\sum_{a=1}^{\infty} K_{M n_a} c_{ma} = \sum_{a \in A} c_{ma},$$

where  $A = \{a : n_a \geq N_M\}$ . If  $M$  is chosen so large that  $n_a \geq N_M$  implies  $a > N_m$ , then

$$\left| \sum_{a=1}^{\infty} K_{M n_a} c_{ma} \right| < \frac{1}{2}.$$

Thus

$$\left( \left| 1 - \sum_{a=1}^{\infty} K_{M n_a} c_{ma} \right| / \lambda \right)^{p_M} > (1/2\lambda)^{p_M},$$

and

$$1 \geq m((y - t_m)/\lambda) > (1/2\lambda)^{p_M}$$

requires  $\lambda > \frac{1}{2}$ , implying

$$\|y - t_m\| > \frac{1}{2}.$$

3. We shall now establish the following result:

**THEOREM.** *The space  $l(p_i)$  is reflexive if and only if*

$$1 < \liminf p_i \leq \limsup p_i < \infty.$$

Our proof of this result requires two lemmas. In the following, the symbol  $\cong$  will denote isomorphism, not isometry.

**LEMMA 1.** *If  $\limsup p_i = \infty$ , then  $l(p_i)$  contains a subspace isomorphic to  $l^\infty$ .*

**Proof.** Without loss of generality, we may assume that  $p_i \geq i$  for all  $i$ . Then if  $x \in l(p_i)$  and  $\|x\| \leq 1$ , we have

$$\|\frac{1}{2}x\|_\infty = \sup_i |\frac{1}{2}t_i| \leq 1,$$

for if, for some  $N$ ,  $|\frac{1}{2}t_N| > 1$ , then

$$\sum_1^{\infty} \frac{1}{p_i} |t_i|^{p_i} > \frac{1}{p_N} 2^{2N} > 1,$$

implying  $m(x) > 1$ , a contradiction.

Conversely, if  $x \in l^\infty$  and  $\|x\|_\infty < \frac{1}{2}$ , then

$$m(x) \leq \sum_i \frac{1}{i} \|x\|_\infty^i \leq \sum_i \|x\|_\infty^i = \|x\|_\infty / (1 - \|x\|_\infty) < 1.$$

It is clear then that, as vector spaces,  $l(p_i)$  and  $l^\infty$  are identical and, further,

$$\frac{1}{2} \|x\| \leq \|x\|_\infty \leq 2 \|x\|$$

for all  $x$  since, for  $x \neq (0, 0, \dots)$ ,

$$m\left(\frac{x}{2\|x\|_\infty}\right) = \sum \frac{1}{p_i} \left| \frac{t_i}{2 \sup t_n} \right|^{p_i} \leq 1,$$

implying  $2\|x\|_\infty \geq \|x\|$  and similarly,

$$m\left(\frac{2x}{\|x\|_\infty}\right) > 1,$$

implying  $2\|x\| \geq \|x\|_\infty$ . Thus  $l(p_i) \cong l^\infty$ .

**LEMMA 2.** *If  $\limsup p_i < \infty$ , then  $l(p_i)^* \cong l(q_i)$ , where  $1/p_i + 1/q_i = 1$ .*

**Proof.** Let  $1/p + 1/q = 1$ ,  $1 \leq p < \infty$ . Then

$$|ts| \leq \frac{1}{p} |t|^p + \frac{1}{q} |s|^q$$

with equality if and only if  $t = |s|^{q-1}$ . Thus, for every  $s$ ,

$$\frac{1}{q} |s|^q = \sup \left\{ ts - \frac{1}{p} |t|^p : -\infty < t < \infty \right\}.$$

Let  $y = \{s_i\} \in l(q_i)$ ,  $x = \{t_i\} \in l(p_i)$ . Then, letting  $\bar{m}$  denote the modular corresponding to  $l(q_i)$ ,

$$\sum |t_i s_i| \leq \sum \frac{1}{p_i} |t_i|^{p_i} + \sum \frac{1}{q_i} |s_i|^{q_i} = m(x) + \bar{m}(y).$$

There are  $\alpha, \beta > 0$  such that  $m(\alpha x)$  and  $\bar{m}(\beta y)$  are finite, implying

$$\sum \alpha\beta |t_i s_i| \leq m(\alpha x) + \bar{m}(\beta y) < \infty$$

or

$$\sum |t_i s_i| < \infty.$$

Thus, for every  $y \in l(q_i)$ , we can define a linear functional  $f_y$  on  $l(p_i)$  by

$$f_y(x) = \sum t_i s_i.$$

If  $h = f_y/\|y\| = f_y/\|y\|$ , then

$$|h(x)| \leq m(x) + \bar{m}(y/\|y\|)$$

implying

$$\|h\| = \sup \{|h(x)| : \|x\| \leq 1\} \leq 2$$

and so

$$\|f_y\| \leq 2\|y\|.$$

Thus  $y \rightarrow f_y$  is a continuous 1-1 mapping of  $l(q_i)$  into  $l(p_i)^*$ .

Next we show that this mapping is onto. Suppose  $f \in l(p_i)^*$  and  $\|f\| \leq 1$ . Let  $e^i$  denote the sequence which has 1 in the  $i$ -th place and 0 elsewhere and let  $s_i = f(e^i)$ . Then

$$\begin{aligned} \sum_1^N \frac{1}{q_i} |s_i|^{q_i} &= \sup \left\{ \sum_1^N t_i s_i - \sum_1^N \frac{1}{p_i} |t_i|^{p_i} : -\infty < t_i < \infty \right\} \\ &\leq \sup \{f(x) - m(x) : x \in l(p_i)\} \leq 1. \end{aligned}$$

To justify this last inequality, we consider two cases, first supposing  $\|x\| \leq 1$ . Then

$$f(x) - m(x) \leq \|f\| \cdot \|x\| - m(x) \leq \|x\| \leq 1.$$

It is clear that for  $0 \leq \alpha \leq 1$ ,  $m(\alpha x) \leq \alpha m(x)$ . We also have  $m(x/\|x\|) \geq 1$ . Thus for  $\|x\| > 1$ ,

$$\|x\| \leq \|x\| m(x/\|x\|) \leq m(x),$$

from which it follows that

$$f(x) - m(x) \leq 0.$$

We have then  $y = \{s_i\} \in l(q_i)$  and  $\bar{m}(y) \leq 1$ .

We now show that  $\{e^i\}$  generates a dense subset of  $l(p_i)$ , implying  $f = f_y$  on  $l(p_i)$ . To that end we let  $\|x\| \leq 1$ ; then  $m(x) \leq 1$  and

$$\left\| x - \sum_1^M t_i e^i \right\| = \inf \left\{ 1/\alpha : \alpha > 0 \text{ and } \sum_{M+1}^\infty \frac{1}{p_i} |\alpha t_i|^{p_i} \leq 1 \right\}.$$

There exist  $p_\infty$  and  $N_0$  such that  $p_i < p_\infty < \infty$  for  $i > N_0$ . For any  $\epsilon \in (0, 1)$ , there is an  $M_\epsilon > N_0$  such that, for  $M > M_\epsilon$ ,

$$\sum_{M+1}^\infty \frac{1}{p_i} |t_i/\epsilon|^{p_i} \leq (1/\epsilon^{p_\infty}) \sum_{M+1}^\infty \frac{1}{p_i} |t_i|^{p_i} \leq 1.$$

Thus

$$\left\| x - \sum_1^M t_i e^i \right\| \leq \epsilon$$

for  $M > M_\epsilon$ .

Now we note that we have shown that  $\|f_y\| = 1$  implies  $\bar{m}(y) \leq 1$  and, therefore,  $\|y\| \leq 1$ . Thus, for any  $f = f_y \in l(p_i)^*$ ,  $\|f_y\| \geq \|y\|$ , implying that the correspondence  $y \leftrightarrow f_y$  is an isomorphism.

**Proof of the theorem.** If  $l(p_i)$  is reflexive, then  $\limsup p_i < \infty$  by lemma 1. Lemma 2 tells us that  $l(p_i)^* \cong l(q_i)$ , implying that  $l(q_i)$  is reflexive. Then  $\limsup q_i < \infty$  by lemma 1 and, therefore,  $\liminf p_i > 1$ .

Conversely, if  $1 < \liminf p_i$  and  $\limsup p_i < \infty$ , then lemma 2 shows us that  $l(p_i) \cong l(p_i)^{**}$  and, since this isomorphism is the natural imbedding,  $l(p_i)$  is reflexive.

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### Boundedness in certain topological linear spaces

by

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**1. Introduction.** Throughout this paper we assume that  $\{p_k\}$  is a sequence of real numbers such that  $0 < p_k \leq 1$  for all  $k \geq 1$ . We also write this sequence as  $\{p(k)\}$  when this is convenient. Several authors have considered the topological linear space  $l(p_k)$  of complex sequences  $\{b_k\}$  with the property that

$$\varrho(\{b_k\}) = \sum_{k=1}^{\infty} |b_k|^{p(k)} < +\infty,$$

where the function  $\varrho$  defines an invariant metric on  $l(p_k)$  by  $d(\{b_k\}, \{a_k\}) = \varrho(\{b_k - a_k\})$  (see [4] and the references of [4]).  $l(p_k)$  is a complete metric linear space with this metric by [4], Lemma 1, p. 423. Most of the interest in the spaces  $l(p_k)$  has been confined to the cases where  $\inf p_k > 0$ . Then  $l(p_k)$  is a locally bounded topological linear space in its metric topology by [4], Theorem 6, p. 430. Also in this case a set is bounded if and only if it is bounded in metric by the same theorem. The space  $l(p_k)$  has quite different topological properties when  $\inf p_k = 0$ . In this paper we investigate the bounded sets of  $l(p_k)$  in the case  $\lim p_k = 0$  and the weakly bounded sets in  $l(p_k)$  with a slightly stronger assumption on  $\{p_k\}$ . Our results contrast sharply with those concerning boundedness and weak boundedness in the case  $\inf p_k > 0$ . We prove in Section 2 that if  $\lim p_k = 0$ , then a bounded set in  $l(p_k)$  is always totally bounded. In Section 3, with a slightly stronger hypothesis on  $\{p_k\}$ , we prove that a weakly bounded set in  $l(p_k)$  is always totally weakly bounded. The last section is devoted to the consideration of questions concerning boundedness with respect to  $k$ -pseudometrics.

After this paper was sent for publication, we learnt that S. Rolewicz had considered some of the matter presented here in an earlier paper [2].

\* The research for this paper was done while this author was a visiting fellow at Tata Institute of Fundamental Research, Bombay.