

[5] der ternären definiten quadratischen Formen in Diagonalgestalt über  $\mathbb{Z}$  mit Klassenzahl 1 kann man deshalb alle für Klassenzahl 1 „in Frage kommenden“ höherdimensionalen Diagonalfomren aufstellen: als einklassig kommen nur solche Formen in Frage, deren sämtliche orthogonale Komponenten einklassig sind. Die in Frage kommenden Formen lassen sich mit der Minkowski-Siegelschen Maßformel auf Einklassigkeit testen: eine Form  $D$  ist genau dann einklassig, wenn  $M(D) = 1/E(D)$ , wobei  $M(D)$  das Maß und  $E(D)$  die Einheitenanzahl von  $D$  ist. Für die  $m$ -dimensionale Diagonalfomre

$$D = \underbrace{(d_1, d_1, \dots, d_1)}_{s_1\text{-mal}}, \dots, \underbrace{(d_n, \dots, d_n)}_{s_n\text{-mal}}$$

ist

$$E(D) = 2^m s_1! \dots s_n!,$$

andererseits lässt sich der explizite Ausdruck für  $M(D)$  bei Minkowski ([7], S. 171, 181) finden. Auf diese Weise erhält man die Tabelle.

#### Literaturverzeichnis

- [1] K. Barner, Über die quaternäre Einheitsform in total-reellen algebraischen Zahlkörpern, Crelles J. 229 (1968), S. 194–208.
- [2] J. Dzwas, Quadratsummen in reell-quadratischen Zahlkörpern, Math. Nachr. 21 (1960), S. 233–284.
- [3] M. Eichler, Note zur Theorie der Kristallgitter, Math. Ann. 125 (1952), S. 51–55.
- [4] B. W. Jones, The arithmetic theory of quadratic forms, Providence 1950.
- [5] B. W. Jones and G. Pall, Regular and semiregular positive ternary quadratic forms, Acta Math. 70 (1939), S. 165–191.
- [6] M. Kneser, Darstellungsmäße indefiniter quadratischer Formen, Math. Zeitschr. 77 (1961), S. 188–194.
- [7] H. Minkowski, Ges. Abh., Band 1, Leipzig-Berlin 1911.
- [8] O. T. O'Meara, Introduction to Quadratic Forms, 2nd ed., Berlin 1971.
- [9] M. Peters, Die Stufe von Ordnungen ganzer Zahlen in algebraischen Zahlkörpern, Math. Ann. 195 (1972), S. 309–314.
- [10] H. Pfeiffer, Quadratsummen in totalreellen algebraischen Zahlkörpern, Crelles J. 249 (1971), S. 208–216.
- [11] C. Riehm, On the integral representation of quadratic forms over local fields, Amer. J. Math. 86 (1964), S. 25–62.
- [12] R. Salamon, Die Klassen im Geschlecht von  $x_1^2 + x_2^2 + x_3^2$  und  $x_1^2 + x_2^2 + x_3^2 + x_4^2$  über  $\mathbb{Z}(\sqrt{3})$ , Arch. Math. 20 (1969), S. 523–530.
- [13] C. L. Siegel, Sums of  $m$ -th powers of algebraic integers, Ges. Abh., Band 3, Nr. 49, Berlin 1966.

Ein eingegangen 5. 9. 1972

(319)

#### Dirichlet series with functional equations and related arithmetical identities

by

K. CHANDRASEKARIAN and H. JORIS (Zürich)

To Carl L. Siegel on his completion of 75 years

**§ 1. Introduction.** Fifty years ago Siegel gave a short proof of Hamburger's theorem on the Riemann zeta-function  $\zeta(s)$ . Let  $G$  be an entire function of finite order,  $P$  a polynomial,  $s$  a complex variable, written  $s = \sigma + it$ , and  $f(s) = G(s)/P(s)$ . Let  $f(s) = \sum_{m=1}^{\infty} c_m m^{-s}$ , where  $(c_m)$  is a sequence of complex numbers, and the series converges absolutely for  $\sigma > 1$ . Let

$$(1.1) \quad \pi^{-\frac{1}{2}s} \Gamma(\frac{1}{2}s) f(s) = \pi^{-\frac{1}{2}(1-s)} \Gamma(\frac{1}{2} - \frac{1}{2}s) g(1-s),$$

where  $g(1-s) = \sum_{m=1}^{\infty} b_m m^{s-1}$ , the series converging absolutely for  $\sigma < -z < 0$ . Then  $f(s) = c_1 \zeta(s) = g(s)$ . The proof follows at once from Siegel's partial-fraction formula [9]:

$$(1.2) \quad \sum_{m=1}^{\infty} c_m \left( \frac{1}{t+im} + \frac{1}{t-im} \right) - \pi t H(t) = 2\pi \sum_{m=1}^{\infty} b_m e^{-2\pi m t}, \quad t > 0,$$

where  $H(t)$  is a finite sum of terms of the form  $t^a \log^b t$ .

Arnold Walfisz in his Göttingen dissertation, published in 1922, found an identity associated with the Dedekind zeta-function  $\zeta_K(s)$  of an algebraic number field  $K$  of degree  $n$ , from which he deduced an  $\Omega$ -result for the ideal function. For  $\operatorname{Re} s > 1$ ,  $\zeta_K(s) = \sum_{m=1}^{\infty} a(m) m^{-s}$ , where  $a(m)$  is the number of non-null integral ideals with norm  $m$ . Walfisz's identity [10] runs as follows: for  $\operatorname{Re} s > 0$ , we have

$$(1.3) \quad \frac{1}{s} \sum_{m=1}^{\infty} a(m) e^{-sm^{1/n}} - \frac{n! \lambda}{s^{n+1}} - \frac{1}{s} \zeta_K(0)$$

$$= D i^{r_1+r_2} \frac{1}{s} \sum_{m=1}^{\infty} \frac{a(m)}{m} M(sm^{-1/n}).$$

Here  $\lambda$  is the residue of  $\zeta_K(s)$  at the pole  $s = 1$ ,  $r_1$  is the number of “real conjugates” of  $K$ ,  $2r_2$  the number of “imaginary conjugates” of  $K$ ,  $D = (2\pi)^{-n} \Delta^{1/2}$ ,  $\Delta$  the absolute value of the discriminant of  $K$ , and

$$M(s) = \sum_{k=0}^n \eta_k L(Ei e^{\pi i k/n} s),$$

where the  $(\eta_k)$  are constants depending on  $K$ ,  $E = (2\pi)^{-1} \Delta^{1/n}$ , and the function

$$L(v) = \sum_{m=0}^{\infty} \frac{\Gamma^m \left(1 + \frac{m}{n}\right)}{\Gamma(1+m)} v^m, \quad |v| < n,$$

is continuable analytically into  $C - \{v \geq n\}$ , where  $C$  denotes the complex plane. Identity (1.3) is the basis of Walfisz’s result that

$$P_K(x) = \Omega_{\pm}(x^{(n-1)/2n}), \quad \text{as } x \rightarrow \infty,$$

where  $P_K(x) = R_K(x) - \lambda x$  for  $x > 0$ , and the ‘ideal function’  $R_K(x)$  is given by  $R_K(x) = \sum_{m \leq x} a(m)$ , for  $x > 0$ . For recent work on this problem and the related literature, see Joris [8].

Although there seems to be no apparent connexion between (1.2) and (1.3), (cf. comment by S. Bochner [1], p. 353), we shall show in this article that both are special cases of an identity (2.7) which can be proved for Dirichlet series satisfying a general functional equation of the type studied by Chandrasekharan and Narasimhan [3], an identity which, in fact, is equivalent to the functional equation itself. Such identities have been considered by Hamburger [5] in the case of Riemann’s functional equation (1.1), which has the gamma factor  $\Gamma(\frac{1}{2}s)$ , and by Chandrasekharan and Narasimhan [2] in the case of Hecke’s functional equation, with the gamma factor  $\Gamma(s)$ . Here we consider equations with multiple gamma factors, which are of the form

$$(1.4) \quad \Delta(s)\varphi(s) = \Delta(\delta-s)\psi(\delta-s),$$

where

$$\Delta(s) = \prod_{k=1}^N \Gamma(a_k s + \beta_k), \quad N \geq 1, \quad a_k > 0, \quad \beta_k \text{ complex},$$

and  $\varphi(s)$  and  $\psi(s)$  are representable by absolutely convergent Dirichlet series of the form  $\sum_{m=1}^{\infty} a_m \lambda_m^{-s}$ ,  $\sum_{m=1}^{\infty} b_m \mu_m^{-s}$ , and one of the functions, say  $\varphi$ , is subject to the additional restriction that it can be continued analytically all over the complex  $s$ -plane with the possible exception of a compact set, and satisfies a (mild) restriction on its growth uniformly in every

vertical strip. We conclude, in particular, that if equation (1.4) is satisfied by a pair of Dirichlet series  $\varphi, \psi$ , and also by the pair  $\varphi, \psi_1$ , where  $\psi_1(s) = \sum_{m=1}^{\infty} d_m \nu_m^{-s}$ , then  $d_n = c b_n$  and  $\nu_n = c_1 \mu_n$ , for  $n = 1, 2, \dots$ , where  $c$  is real,  $c \neq 0$ ,  $c_1 > 0$ .

**§ 2. Identities equivalent to the functional equation.** Let  $\{a_m\}, \{b_m\}$  be two sequences of complex numbers, not all zero, and  $\{\lambda_m\}, \{\mu_m\}$  two sequences of real numbers, such that

$$0 < \lambda_1 < \lambda_2 < \dots < \lambda_m \rightarrow \infty, \quad 0 < \mu_1 < \mu_2 < \dots < \mu_m \rightarrow \infty.$$

Let  $\delta$  be a real number,  $s$  a complex number,  $s = \sigma + it$ . Let

$$\Delta(s) = \prod_{\nu=1}^N \Gamma(a_\nu s + \beta_\nu), \quad A = \sum_{\nu=1}^N a_\nu, \quad B = \sum_{\nu=1}^N (\beta_\nu - \frac{1}{2}),$$

where  $N$  is an integer,  $N \geq 1$ ,  $\beta_\nu$  complex,  $a_\nu > 0$ , for  $\nu = 1, 2, \dots, N$ .

Suppose that the Dirichlet series  $\sum_{m=1}^{\infty} a_m \lambda_m^{-s}$ ,  $\sum_{m=1}^{\infty} b_m \mu_m^{-s}$  have finite abscissae of absolute convergence denoted by  $\sigma_a^*$  and  $\sigma_b^*$  respectively, while  $\sigma_a$  and  $\sigma_b$  denote the corresponding abscissae of ordinary convergence. Suppose that the sum-function  $\varphi(s) = \sum_{m=1}^{\infty} a_m \lambda_m^{-s}$ , which is regular for  $\operatorname{Re} s > \sigma_a$ , can be continued analytically all over the  $s$ -plane, with the possible exception of a compact set  $S$ , and there exists an  $\varepsilon > 0$ , such that

$$(2.1) \quad \varphi(\sigma + it) = O(e^{4\pi|t| - \varepsilon|t|})$$

as  $|t| \rightarrow \infty$ , uniformly in each strip  $\sigma_1 \leq \sigma \leq \sigma_2$ , where  $-\infty < \sigma_1 < \sigma_2 < +\infty$ . Let

$$(2.2) \quad \psi(s) = \sum_{m=1}^{\infty} b_m \mu_m^{-s}, \quad \operatorname{Re} s > \sigma_b,$$

so that  $\psi(\delta - s)$  is regular for  $\sigma < \delta - \sigma_b$ .

The Dirichlet series  $\sum_{m=1}^{\infty} a_m \lambda_m^{-s}$ ,  $\sum_{m=1}^{\infty} b_m \mu_m^{-s}$  are then said to satisfy the functional equation (1.4), with the gamma factor  $\Delta(s)$ , if

$$(2.3) \quad \Delta(s)\varphi(s) = \Delta(\delta-s)\psi(\delta-s), \quad \text{for } \sigma < \delta - \sigma_b.$$

Conditions (2.1)–(2.3) imply, because of the Phragmén–Lindelöf principle, that there exists a function  $\chi$ , which is regular outside the compact set  $S$ , with the property

$$\lim_{|t| \rightarrow \infty} \chi(\sigma + it) = 0,$$

uniformly in every bounded  $\sigma$ -interval, and such that

$$\chi(s) = A(s)\varphi(s), \quad \text{for } \sigma > c_1,$$

and

$$\chi(s) = A(\delta-s)\psi(\delta-s), \quad \text{for } \sigma < c_2,$$

where  $c_1$  and  $c_2$  are constants.

We define

$$(2.4) \quad M(v) = \frac{1}{2\pi i} \int_{-(m_0+\frac{1}{2})}^{\delta} \frac{A(\delta-z/2A)}{A(z/2A)} \Gamma(z)v^{-z} dz, \quad \operatorname{Re} v > 0,$$

where the integration is over the line  $-(m_0+\frac{1}{2})+it$ ,  $-\infty < t < +\infty$ , and  $m_0$  is an integer, such that

$$(2.5) \quad \begin{aligned} m_0 &\geq -1, \quad m_0 + 2A\delta + \frac{1}{2} > (2A\sigma_b^*, 2A \max_{\nu} \operatorname{Re}(-\beta_{\nu}/a_{\nu})), \\ m_0 + \frac{1}{2} &> 2A \max_{\nu} \operatorname{Re}\left(\frac{\beta_{\nu}-1}{a_{\nu}}\right), \end{aligned}$$

and

$$(2.6) \quad R(s) = \frac{1}{2\pi i} \int_{\mathcal{C}} \Gamma(z)\varphi(z/2A)s^{-z} dz, \quad \operatorname{Re} s > 0,$$

$\mathcal{C}$  being a curve which encloses all the singularities of the integrand which lie to the right of the line  $\operatorname{Re} z = -(m_0+\frac{1}{2})$ .

LEMMA 1. *Functional equation (1.4) implies the identity*

$$(2.7) \quad \sum_{m=1}^{\infty} a_m e^{-\lambda_m^{1/2A}s} - R(s) = \sum_{m=1}^{\infty} b_m \mu_m^{-\delta} M(s\mu_m^{-1/2A}),$$

for  $\operatorname{Re} s > 0$ , the series on the right converging absolutely.

Identity (2.7) implies, in turn, that

$$(2.8) \quad \begin{aligned} \left(-\frac{1}{s} \frac{d}{ds}\right)^{\alpha} \left(\frac{1}{s} \sum_{m=1}^{\infty} a_m e^{-\lambda_m^{1/2A}s}\right) \\ = \left(-\frac{1}{s} \frac{d}{ds}\right)^{\alpha} \left[\frac{1}{s} R(s) + \frac{1}{s} \sum_{m=1}^{\infty} b_m \mu_m^{-\delta} M(s\mu_m^{-1/2A})\right] \end{aligned}$$

for every integer  $\alpha \geq 0$ , and  $\operatorname{Re} s > 0$ .

Conversely, given the Dirichlet series  $\varphi(s) = \sum_{m=1}^{\infty} a_m \lambda_m^{-s}$  and  $\psi(s) = \sum_{m=1}^{\infty} b_m \mu_m^{-s}$  satisfying the conditions (2.1) and (2.2), the validity of (2.8) for  $s > 0$ , and for some integer  $\alpha \geq 0$ , implies the validity of functional equation (1.4) (and hence also of identity (2.7)).

Proof. To start with, let  $s$  be real,  $s > 0$ , and  $a$  be such that

$$\sum_{m=1}^{\infty} |a_m| \lambda_m^{-a} < \infty, \quad \text{with } a > 0.$$

$$\sum_{m=1}^{\infty} a_m e^{-\lambda_m^{1/2A}s} = \sum_{m=1}^{\infty} a_m \frac{1}{2\pi i} \int_c^{\infty} \Gamma(z)(\lambda_m^{1/2A}s)^{-z} dz, \quad c > 0$$

$$\begin{aligned} &= \frac{1}{2\pi i} \int_c^{\infty} \Gamma(z)s^{-z} \sum_{m=1}^{\infty} a_m \lambda_m^{-z/2A} dz, \quad c > a \cdot 2A \\ &= \frac{1}{2\pi i} \int_c^{\infty} \Gamma(z)s^{-z} \varphi(z/2A) dz, \end{aligned}$$

by the definition of  $\varphi$ . The integration is along the line  $c+it$  <sup>(1)</sup>,  $-\infty < t < \infty$ .

Now let  $m_0$  be an integer, such that  $\varphi(z/2A)$  is regular for  $\operatorname{Re} z \leq -(m_0+\frac{1}{2})$ . Since

$$\varphi(z/2A) = \frac{\varphi(\delta-z/2A)A(\delta-z/2A)}{A(z/2A)},$$

that will be the case, if the series for  $\varphi(\delta-z/2A)$  is absolutely convergent for  $\operatorname{Re} z < -(m_0+\frac{1}{2})+\varepsilon$ ,  $\varepsilon > 0$ , and  $A(\delta-z/2A)$  has no singularities for  $\operatorname{Re} z \leq -(m_0+\frac{1}{2})$ . The former condition is fulfilled if  $m_0+\frac{1}{2} > (\sigma_b^* - \delta)2A$ , while the latter condition is fulfilled if  $\operatorname{Re}\{\alpha, \delta - (\alpha, z)/2A + \beta_{\nu}\} > 0$ , for  $\nu = 1, \dots, N$ ; that is, if  $m_0 + 2A\delta + \frac{1}{2} > 2A \max_{\nu} \operatorname{Re}(-\beta_{\nu}/a_{\nu})$ . Hence

$$(2.9) \quad \sum_{m=1}^{\infty} a_m e^{-\lambda_m^{1/2A}s} = R(s) + \frac{1}{2\pi i} \int_{-(m_0+\frac{1}{2})}^{\delta} \Gamma(z)\varphi(z/2A)s^{-z} dz,$$

for  $m_0 + 2A\delta + \frac{1}{2} > (2A\sigma_b^*, 2A \max_{\nu} (-\operatorname{Re} \beta_{\nu}/a_{\nu}))$ , where  $R(s)$  is defined as in (2.6).

If  $m$  is an integer, and  $m > m_0 \geq -1$ , then clearly

$$(2.10) \quad \begin{aligned} \sum_{k=1}^{\infty} a_k e^{-\lambda_k^{1/2A}s} \\ = R(s) + \sum_{j=m_0+1}^m \frac{(-s)^j}{j!} \varphi(-j/2A) + \frac{1}{2\pi i} \int_{-(m_0+\frac{1}{2})}^{\delta} \Gamma(z)\varphi(z/2A)s^{-z} dz. \end{aligned}$$

We shall see that if  $m \rightarrow \infty$ , then the last integral tends to zero, provided that  $0 < s < z$ , for a certain constant  $z$ .

<sup>(1)</sup> The letters  $c, c_1, c_2 \dots$  denote constants which do not necessarily have the same value at all occurrences.

Since

$$\Gamma(z) = \pi / \{\Gamma(1-z)\sin \pi z\},$$

we have

$$(2.11) \quad \begin{aligned} & \frac{\Delta(\delta-z/2A)}{\Delta(z/2A)} \\ &= \pi^{-N} \prod_{\nu=1}^N \{\Gamma(a_\nu \delta + \beta_\nu - a_\nu z/2A) \Gamma(1-\beta_\nu - a_\nu z/2A) \sin [\pi(\beta_\nu + a_\nu z/2A)]\}. \end{aligned}$$

By Stirling's approximation for the gamma-function, we have

$$\log \Gamma(s+c) = (s+c-\tfrac{1}{2}) \log s - s + \tfrac{1}{2} \log 2\pi + \sum_{\nu=1}^m c'_\nu s^{-\nu} + O(|s|^{-m-1}),$$

for any constant  $c$ , as  $|s| \rightarrow \infty$ , uniformly for  $|\arg s| \leq \pi - \varepsilon < \pi$ , where  $m$  is an arbitrary positive integer. (The  $c'_\nu$  depend on  $c$ .) Hence

$$(2.12) \quad \begin{aligned} & \log \left( \frac{\Delta(\delta-z/2A)}{\Delta(z/2A) \prod_{\nu=1}^N \sin \{\pi(\beta_\nu + a_\nu z/2A)\}} \right) \\ &= c_1 + O(|z|^{-1}) + \sum_{\nu=1}^N (a_\nu \delta + \beta_\nu - \tfrac{1}{2} - a_\nu z/2A) (\log(a_\nu/2A) + \log(-z)) + \\ & \quad + \sum_{\nu=1}^N (\tfrac{1}{2} - \beta_\nu - a_\nu z/2A) (\log(a_\nu/2A) + \log(-z)) + z \\ &= c_2 + z + O(|z|^{-1}) + A\delta \log(-z) - (z/A) \sum_{\nu=1}^N a_\nu \log(a_\nu/2A) - z \log(-z), \end{aligned}$$

where  $c_1 = N \log 2$ ,  $c_2 = c_1 + \sum_{\nu=1}^N (a_\nu \delta) \log(a_\nu/2A)$ . Since

$$(2.13) \quad \log \left( \frac{1}{\Gamma(1-z)} \right) = c_3 - z + O(|z|^{-1}) - (\tfrac{1}{2} - z) \log(-z),$$

we have, for  $z = -(m+\tfrac{1}{2})+iy$ ,  $s > 0$ ,

$$\begin{aligned} \Gamma(z) s^{-z} \varphi(z/2A) &= \Gamma(z) s^{-z} \psi(\delta-z/2A) \frac{\Delta(\delta-z/2A)}{\Delta(z/2A)} \\ &= \frac{\pi}{\sin \pi z} s^{-z} \psi(\delta-z/2A) \frac{\Delta(\delta-z/2A)}{\Delta(z/2A)} \frac{1}{\Gamma(1-z)} \\ &= O(s^{m+\tfrac{1}{2}} e^{-\pi|y|} (m+|y|)^{A\delta-\tfrac{1}{2}} e^{\pm \pi|y|} e^{cm}) \\ &= O(s^{m+\tfrac{1}{2}} e^{-\pi|y|} (m+|y|)^{A\delta-\tfrac{1}{2}} e^{cm}), \end{aligned}$$

where

$$(2.14) \quad c = \frac{1}{A} \sum_{\nu=1}^N a_\nu \log(a_\nu/2A).$$

Hence

$$\begin{aligned} \frac{1}{2\pi i} \int_{-(m+\tfrac{1}{2})}^{\infty} \Gamma(z) s^{-z} \varphi(z/2A) dz &= O\left(s^{m+\tfrac{1}{2}} e^{cm} \int_0^{\infty} (m+y)^{A\delta-\tfrac{1}{2}} e^{-\pi y} dy\right) \\ &= O\left(s^{m+\tfrac{1}{2}} e^{cm} \int_m^{\infty} y^{A\delta-\tfrac{1}{2}} e^{-\pi(y-m)/2} dy\right) \\ &= O\left(s^{m+\tfrac{1}{2}} e^{(c+\tfrac{1}{2}\pi)m} \int_m^{\infty} y^{A\delta-\tfrac{1}{2}} e^{-\pi y} dy\right) \\ &= o(s^{m+\tfrac{1}{2}} e^{(c+\tfrac{1}{2}\pi)m}), \quad \text{as } m \rightarrow \infty \\ &= o(1), \quad \text{as } m \rightarrow \infty, \text{ if } 0 < s \leq e^{-(c+\tfrac{1}{2}\pi)}, \end{aligned}$$

where  $c$  is given by (2.14). Hence (2.10) yields the identity

$$(2.15) \quad \sum_{m=1}^{\infty} a_m e^{-r_m^{1/2, d} s} - R(s) = \sum_{j=m_0+1}^{\infty} \frac{(-s)^j}{j!} \varphi(-j/2A), \quad 0 < s \leq c_4 = e^{-(c+\tfrac{1}{2}\pi)}.$$

To compute  $\varphi(-j/2A)$  for  $j \geq m_0+1$  we use the functional equation and note the third restriction on  $m_0$  in (2.5). We have

$$\begin{aligned} \varphi(-j/2A) &= \psi(\delta+j/2A) \frac{\Delta(\delta+j/2A)}{\Delta(-j/2A)} \\ &= (-1)^N \pi^{-N} \sum_{m=1}^{\infty} b_m \mu_m^{-\delta-j/2A} \times \\ & \quad \times \prod_{\nu=1}^N \{\Gamma(a_\nu \delta + \beta_\nu + a_\nu j/2A) \Gamma(1-\beta_\nu + a_\nu j/2A) \sin[\pi(-\beta_\nu + a_\nu j/2A)]\}. \end{aligned}$$

Now

$$(2.16) \quad \begin{aligned} & \prod_{\nu=1}^N \sin[\pi(-\beta_\nu + a_\nu j/2A)] \\ &= (2i)^{-N} \prod_{\nu=1}^N (e^{\pi i(-\beta_\nu + a_\nu j/2A)} - e^{-\pi i(-\beta_\nu + a_\nu j/2A)}) = (2i)^{-N} \sum_{k=1}^{2N} e^{i\pi k j} \eta_k, \end{aligned}$$

where  $-\frac{1}{2}\pi \leqslant \gamma_k \leqslant \frac{1}{2}\pi$ , since  $a_\nu > 0$ ; and  $\eta_k$  is independent of  $j$ . Hence

$$(2.17) \quad \begin{aligned} & \varphi(-j/2A) \\ &= (-2\pi i)^{-N} \sum_{k=1}^{2N} \eta_k \prod_{\nu=1}^N \Gamma(a_\nu \delta + \beta_\nu + a_\nu j/2A) \Gamma(1 - \beta_\nu + a_\nu j/2A) \times \\ & \quad \times e^{i\gamma_k j} \sum_{m=1}^{\infty} b_m \mu_m^{-\delta - j/2A} \\ &= c_5 V(j) \sum_{k=1}^{2N} \eta_k e^{i\gamma_k j} \sum_{m=1}^{\infty} b_m \mu_m^{-\delta - j/2A}, \quad c_5 = (-2\pi i)^{-N}, \end{aligned}$$

where

$$(2.18) \quad V(z) = \prod_{\nu=1}^N \Gamma(a_\nu \delta + \beta_\nu + a_\nu z/2A) \Gamma(1 - \beta_\nu + a_\nu z/2A).$$

From (2.17) and (2.15) we obtain the identity

$$\sum_{m=1}^{\infty} a_m e^{-\lambda_m^{1/2A}s} - R(s) = c_5 \sum_{j=m_0+1}^{\infty} \frac{V(j)}{j!} (-s)^j \sum_{k=1}^{2N} \eta_k e^{i\gamma_k j} \sum_{m=1}^{\infty} b_m \mu_m^{-\delta - j/2A},$$

for  $0 < s \leqslant e^{-(c+\frac{1}{2}\pi)}$ , where  $c$  is given by (2.14).

By (2.12) and (2.13), with  $-z$  in place of  $z$ , however, we have for  $j \geqslant m_0 + 1$ ,

$$\frac{V(j)}{j!} = c_6 e^{cj} j^{A\delta - \frac{1}{2}} e^{o(1)}, \quad \text{as } j \rightarrow \infty,$$

where  $c < 0$  (cf. (2.14)). The series  $\sum_{m=1}^{\infty} b_m \mu_m^{-\delta - j/2A}$  converges absolutely for  $j \geqslant m_0 + 1 \geqslant 0$ , provided that  $2A\delta + m_0 + 1 > 2A\sigma_b^*$ , which is the case by (2.5). Hence

$$(2.19) \quad \sum_{m=1}^{\infty} a_m e^{-\lambda_m^{1/2A}s} - R(s) = c_5 \sum_{m=1}^{\infty} \frac{b_m}{\mu_m^\delta} \sum_{k=1}^{2N} \eta_k \sum_{j=m_0+1}^{\infty} \frac{V(j)}{j!} \left( \frac{-se^{i\gamma_k}}{\mu_m^{1/2A}} \right)^j,$$

for  $0 < s \leqslant e^{-(c+\frac{1}{2}\pi)}$ , and  $0 < s < e^{-c} \mu_1^{1/2A}$ , the latter being sufficient for the interchange in the order of summation.

Now define the functions  $L$  and  $M$  by

$$(2.20) \quad L(v) = \sum_{j=m_0+1}^{\infty} \frac{V(j)}{j!} v^j, \quad |v| < e^{-c},$$

and

$$(2.21) \quad M(v) = c_5 \sum_{k=1}^{2N} \eta_k L(-e^{i\gamma_k} v), \quad |v| < e^{-c}.$$

We see that

$$(2.22) \quad M(v) = O(|v|^{m_0+1}), \quad \text{as } |v| \rightarrow 0.$$

From (2.19) we have

$$(2.23) \quad \sum_{m=1}^{\infty} a_m e^{-\lambda_m^{1/2A}s} - R(s) = \sum_{m=1}^{\infty} b_m \mu_m^{-\delta} M(s \mu_m^{-1/2A}),$$

for  $0 < s < c'$ , say. We define the function  $L_1$  by the relation

$$(2.24) \quad L_1(v) = \frac{1}{2\pi i} \int_{-(m_0+\frac{1}{2})} I(z) V(-z) v^{-z} dz, \quad v \in C - \{v \leqslant -e^{-c}\},$$

$C$  denoting the complex plane. The integral is absolutely convergent, and  $L_1$  is regular. Further

$$L_1(v) = L(-v), \quad \text{for } 0 < v < e^{-(c+\frac{1}{2}\pi)},$$

if we note the third restriction on  $m_0$  in (2.5).

Thus  $L_1(-v)$  gives the analytic continuation of  $L(v)$  in  $C - \{v \geqslant e^{-c}\}$ . Hence

$$\begin{aligned} (2.25) \quad M(v) &= c_5 \frac{1}{2\pi i} \int_{-(m_0+\frac{1}{2})} \left( \sum_{k=1}^{2N} \eta_k e^{-i\gamma_k z} \right) I(z) V(-z) v^{-z} dz, \quad \operatorname{Re} v > 0 \\ &= c_5 \frac{1}{2\pi i} \int_{-(m_0+\frac{1}{2})} (2i)^N \prod_{\nu=1}^N \sin[\pi(-\beta_\nu - a_\nu z/2A)] \times \\ & \quad \times I(z) V(-z) v^{-z} dz \quad (\text{by (2.16)}) \\ &= c_5 \frac{1}{2\pi i} \int_{-(m_0+\frac{1}{2})} (-1)^N (2i)^N \frac{\Delta(\delta - z/2A)}{\Delta(z/2A)} \pi^N \Gamma(z) v^{-z} dz, \\ & \quad (\text{by (2.11) and (2.18)}) \\ &= \frac{1}{2\pi i} \int_{-(m_0+\frac{1}{2})} \frac{\Delta(\delta - z/2A)}{\Delta(z/2A)} I(z) v^{-z} dz, \quad \operatorname{Re} v > 0, \end{aligned}$$

since  $c_5 = (-2\pi i)^{-N}$  as in (2.17).

From (2.25), (2.23), and (2.22) it follows that identity (2.23) holds for  $\operatorname{Re} s > 0$ , hence also (2.8), and the first part of the lemma is proved.

To prove the second part of the lemma, suppose that (2.8) holds for  $s > 0$  and for some integer  $\varrho > 0$ . Then, since

$$\left( -\frac{1}{s} \frac{d}{ds} \right)^\varrho (s^{-1-z}) = \frac{2^\varrho \Gamma(\frac{1}{2} + \frac{1}{2}z + \varrho) s^{-1-2\varrho-z}}{\Gamma(\frac{1}{2} + \frac{1}{2}z)},$$

we have

$$\begin{aligned}
 (2.26) \quad & \left( -\frac{1}{s} \frac{d}{ds} \right)^{\varrho} \left\{ s^{-1} \sum_{m=1}^{\infty} b_m \mu_m^{-\delta} M(s \mu_m^{-1/2A}) \right\} \\
 & = \frac{1}{2\pi i} \sum_{m=1}^{\infty} \frac{b_m}{\mu_m^{\delta}} 2^{\varrho} \int_{-(m_0+\frac{1}{2})}^{\infty} \mu_m^{z/2A} \Gamma(z) \frac{\Delta(\delta-z/2A)}{\Delta(z/2A)} \frac{\Gamma(\frac{1}{2}+\frac{1}{2}z+\varrho)}{\Gamma(\frac{1}{2}+\frac{1}{2}z)} s^{-1-2\varrho-z} dz \\
 & = \frac{2^{\varrho}}{2\pi i} \int_{-(m_0+\frac{1}{2})}^{\infty} \Gamma(z) \frac{\Delta(\delta-z/2A)}{\Delta(z/2A)} \frac{\Gamma(\frac{1}{2}+\frac{1}{2}z+\varrho)}{\Gamma(\frac{1}{2}+\frac{1}{2}z)} \psi(\delta-z/2A) s^{-1-2\varrho-z} dz,
 \end{aligned}$$

for  $s > 0$ . On the other hand, from first principles, we have

$$\begin{aligned}
 (2.27) \quad & \sum_{m=1}^{\infty} a_m e^{-\lambda_m^{1/2A}s} \\
 & = \frac{1}{2\pi i} \int_d^{\infty} \Gamma(z) s^{-z} \varphi(z/2A) dz, \quad \operatorname{Re} s > 0, d > 0, d > a \cdot 2A \\
 & = R(s) + \frac{1}{2\pi i} \int_{-(m_0+\frac{1}{2})}^{\infty} \Gamma(z) s^{-z} \varphi(z/2A) dz,
 \end{aligned}$$

where  $\sum_{m=1}^{\infty} |a_m| \lambda_m^{-a} < \infty$ , and  $R(s)$  is defined as in (2.6). And (2.27) implies that

$$\begin{aligned}
 (2.28) \quad & \left( -\frac{1}{s} \frac{d}{ds} \right)^{\varrho} \left( \frac{1}{s} \sum_{m=1}^{\infty} a_m e^{-\lambda_m^{1/2A}s} \right) \\
 & = \left( -\frac{1}{s} \frac{d}{ds} \right)^{\varrho} \left( \frac{R(s)}{s} \right) + \frac{2^{\varrho}}{2\pi i} \int_{-(m_0+\frac{1}{2})}^{\infty} \frac{\Gamma(z) \Gamma(\frac{1}{2}+\frac{1}{2}z+\varrho)}{\Gamma(\frac{1}{2}+\frac{1}{2}z)} s^{-1-2\varrho-z} \psi(z/2A) dz.
 \end{aligned}$$

From (2.28), (2.26), (2.8), and the conditions for the uniqueness of the Fourier transform of a function, it follows that

$$\varphi(z/2A) = \frac{\Delta(\delta-z/2A)}{\Delta(z/2A)} \psi(\delta-z/2A),$$

which is (1.4). By the first part of the lemma, this implies (2.7), and the second part of the lemma is proved.

LEMMA 2. Given  $\varphi(s) = \sum_{m=1}^{\infty} a_m \lambda_m^{-s}$ ,  $\psi(s) = \sum_{m=1}^{\infty} b_m \mu_m^{-s}$  (as well as  $A$  and  $\Delta$ ) as in (2.1) and (2.2),  $M(s)$  as in (2.4) with  $m_0$  as in (2.5), and  $R(s)$

as (2.6), let

$$(2.29) \quad \sum_{m=1}^{\infty} a_m e^{-\lambda_m^{1/2A}s} = R(s) + \sum_{m=1}^{\infty} b_m \mu_m^{-\delta} M(s \mu_m^{-1/2A}),$$

Then we have the identity

$$\begin{aligned}
 (2.30) \quad & \frac{1}{\Gamma(\varrho+1)} \sum'_{\lambda_m^{1/2A} < x} (x - \lambda_m^{1/2A})^{\varrho} a_m \\
 & = \frac{1}{2\pi i} \int_{\mathcal{C}} \frac{\Gamma(z)}{\Gamma(z+1+\varrho)} \varphi(z/2A) x^{z+\varrho} dz + \sum_{m=1}^{\infty} b_m \mu_m^{-\delta-\varrho/2A} g_{\varrho}(x \mu_m^{1/2A}),
 \end{aligned}$$

where  $x > 0$ ,  $\varrho$  integral,  $\varrho \geq 0$ ,  $\varrho > m_0 + 1 + A\delta$ ,  $\varrho > \frac{1}{2}m_0 + \frac{1}{4}$ , (the dash on the sum on the left-hand side indicating that when  $\varrho = 0$  and  $x = \lambda_m^{1/2A}$ ,  $a_m$  is to be multiplied by  $\frac{1}{2}$ ),  $\mathcal{C}$  is the curve in the definition of  $R(s)$  in (2.6), and

$$(2.31) \quad g_{\varrho}(y) = \frac{1}{2\pi i} \int_{A\delta+\frac{1}{2}(m_0+\frac{1}{2})}^{\infty} \frac{\Gamma(A\delta-z) \Delta(z/A)}{\Gamma(A\delta+1+\varrho-z) \Delta(\delta-z/A)} y^{\varrho+A\delta-z} dz,$$

for  $y > 0$ , the integral converging absolutely for  $\varrho$  fulfilling the above conditions.

Conversely, given  $\varphi, \psi$  (as well as  $A, \Delta$ ) as in (2.1), and (2.2), if (2.30) holds for  $x > 0$ ,  $\varrho \geq 0$ ,  $\varrho$  integral,  $\varrho > m_0 + 1 + A\delta$ ,  $\varrho > \frac{1}{2}m_0 + \frac{1}{4}$ , where  $m_0$  is defined as in (2.5), then (2.29) holds for  $\operatorname{Re} s > 0$ , with  $M$  and  $R$  defined as in (2.4) and (2.6).

Proof. By differentiation of (2.29), we have

$$\begin{aligned}
 (2.29)' \quad & \left( -\frac{1}{s} \frac{d}{ds} \right)^{\varrho} \left( \frac{1}{s} \sum_{m=1}^{\infty} a_m e^{-\lambda_m^{1/2A}s} \right) \\
 & = \left( -\frac{1}{s} \frac{d}{ds} \right)^{\varrho} \left( \frac{1}{s} R(s) + \frac{1}{s} \sum_{m=1}^{\infty} \frac{b_m}{\mu_m^{\delta}} M(s \mu_m^{-1/2A}) \right),
 \end{aligned}$$

for any integer  $\varrho > 0$  and  $\operatorname{Re} s > 0$ . And by (2.26) we have

$$\begin{aligned}
 (2.32) \quad & \left( -\frac{1}{s} \frac{d}{ds} \right)^{\varrho} \left( \frac{1}{s} \sum_{m=1}^{\infty} b_m \mu_m^{-\delta} M(s \mu_m^{-1/2A}) \right) \\
 & = \frac{2^{\varrho}}{2\pi i} \sum_{m=1}^{\infty} b_m \mu_m^{-\delta} \int_{-(m_0+\frac{1}{2})}^{\infty} \mu_m^{z/2A} \frac{\Delta(\delta-z/2A)}{\Delta(z/2A)} \Gamma(z) \frac{\Gamma(\frac{1}{2}+\frac{1}{2}z+\varrho)}{\Gamma(\frac{1}{2}+\frac{1}{2}z)} s^{-1-2\varrho-z} dz.
 \end{aligned}$$

Multiplying throughout by  $(2\pi i)^{-1} e^{sx^{1/2A}}$ , with  $x > 0$ , and integrating along the line  $\sigma + it$ , with a fixed  $\sigma > 0$ ,  $-\infty < t < \infty$ , we get

$$\begin{aligned}
 (2.33) \quad & \frac{1}{2\pi i} \int_{\sigma-i\infty}^{\sigma+i\infty} e^{sx^{1/2A}} \left( -\frac{1}{s} \frac{d}{ds} \right)^c \left( \frac{1}{s} \sum_{m=1}^{\infty} b_m \mu_m^{-\delta} M(s\mu_m^{-1/2A}) \right) ds \\
 & = \frac{2^c}{(2\pi i)^2} \int_{\sigma x^{1/2A}}^{\infty} \int_{-(m_0+\frac{1}{2})}^{\infty} e^s \sum_{m=1}^{\infty} b_m \mu_m^{-\delta+z/2A} \Gamma(z) \frac{\Gamma(\frac{1}{2}+\frac{1}{2}z+\varrho)}{\Gamma(\frac{1}{2}+\frac{1}{2}z)} \frac{\Delta(\delta-z/2A)}{\Delta(z/2A)} \times \\
 & \quad \times s^{-1-2\varrho-z} x^{z/2A} x^{2\varrho/2A} dz ds \\
 & = \frac{2^c}{(2\pi i)^2} \sum_{m=1}^{\infty} b_m \mu_m^{-\delta} \int_{-(m_0+\frac{1}{2})}^{\infty} \int_{\sigma x^{1/2A}}^{\infty} e^s \mu_m^{z/2A} \Gamma(z) \frac{\Gamma(\frac{1}{2}+\frac{1}{2}z+\varrho)}{\Gamma(\frac{1}{2}+\frac{1}{2}z)} \frac{\Delta(\delta-z/2A)}{\Delta(z/2A)} \times \\
 & \quad \times s^{-1-2\varrho-z} x^{z+2\varrho/2A} dz ds
 \end{aligned}$$

provided that the interchange of the summation and integrations is justified. We shall see that this is so, if

$$(2.34) \quad 2A\delta + m_0 + \frac{1}{2} > 2A\sigma_b^* \text{ and } m_0 + A\delta + 1 < \varrho, \quad \frac{1}{2}m_0 + \frac{1}{2} < \varrho.$$

First we note that the series inside the integral sign in (2.33) converges absolutely on the line  $z = -(m_0 + \frac{1}{2}) + iy$ , since

$$\sum_{m=1}^{\infty} |b_m| \mu_m^{-\delta-(m_0+\frac{1}{2})/2A} < \infty \quad \text{for} \quad 2A\delta + m_0 + \frac{1}{2} > 2A\sigma_b^*.$$

We are thus concerned with the absolute convergence of the integral

$$(2.35) \quad \int_{\sigma_0}^{\infty} \int_{-(m_0+\frac{1}{2})}^{\infty} \Gamma(z) \frac{\Gamma(\frac{1}{2}+\frac{1}{2}z+\varrho)}{\Gamma(\frac{1}{2}+\frac{1}{2}z)} \frac{\Delta(\delta-z/2A)}{\Delta(z/2A)} s^{-1-2\varrho-z} ds dz,$$

where  $\sigma_0 = \sigma x^{1/2A} > 0$ ,  $z = -(m_0 + \frac{1}{2}) + iy$ , and  $s = \sigma_0 + i\tau = re^{i\theta}$ , say. Since

$$|\Gamma(s)| \sim (2\pi)^{1/2} e^{-\frac{1}{2}\pi|\tau|} |\tau|^{\sigma_0-\frac{1}{2}},$$

as  $|\tau| \rightarrow \infty$ , for fixed  $\sigma_0$ , we have

$$(2.36) \quad \frac{\Gamma(z) \Gamma(\frac{1}{2}+\frac{1}{2}z+\varrho) \Delta(\delta-z/2A)}{\Gamma(\frac{1}{2}+\frac{1}{2}z) \Delta(z/2A)} \sim \kappa e^{-\frac{1}{2}\pi|\tau|} |y|^{-m_0-1} |y|^{\varrho+A\delta+m_0+\frac{1}{2}},$$

as  $|y| \rightarrow \infty$ , where  $\kappa$  is a constant; while

$$|s^{-1-2\varrho-z}| = r^{m_0-2\varrho-\frac{1}{2}} e^{\theta y}, \quad \theta = \arctg(\tau/\sigma_0).$$

Let  $B_0 > 0$ , and be chosen sufficiently large. We consider the double integral (2.35) separately in the following cases: (i)  $|y| \leq B_0$ ,  $-\infty < \tau < +\infty$ ; (ii)  $y > B_0$ ,  $\tau > B_0$ ; (iii)  $y > B_0$ ,  $|\tau| \leq B_0$ ; (iv)  $y > B_0$ ,  $\tau < -B_0$ ; (v)  $y < -B_0$ ,  $\tau > B_0$ ; (vi)  $y < -B_0$ ,  $|\tau| \leq B_0$ , and (vii)  $y < -B_0$ ,  $\tau < -B_0$ .

It will be sufficient to prove the absolute convergence in cases (i), (ii), and (iii), since the other cases are similar.

In case (i), the integrand is  $O(r^{m_0-2\varrho-\frac{1}{2}})$ ,  $r \geq \sigma_0 > 0$ , and the integral is absolutely convergent for  $\varrho > \frac{1}{2}(m_0 + \frac{1}{2})$ .

In case (ii) we have  $\theta = \frac{1}{2}\pi - \arctg(\sigma_0/\tau) = \frac{1}{2}\pi - (\sigma_0/\tau) + O(|\sigma_0/\tau|^3) = \frac{1}{2}\pi - (\sigma_0/\tau)(1 + \omega)$ , say, where  $|\omega| < \frac{1}{2}$  (since  $B_0$  is sufficiently large). In view of (2.36) it will be sufficient to consider the convergence of the integral

$$\begin{aligned}
 \int_{\tau=B_0}^{\infty} \int_{y=B_0}^{\infty} e^{-\frac{1}{2}\pi y + \theta y} y^{\varrho} \tau^c d\tau dy &= \int_{\tau=B_0}^{\infty} \int_{y=B_0}^{\infty} e^{-\frac{\sigma_0}{r}(1+\omega)y} y^{\varrho} \tau^c d\tau dy \\
 &= \int_{\tau=B_0}^{\infty} \int_{y=B_0 \sigma_0/(1+\omega)/r}^{\infty} e^{-y} y^{\varrho} \tau^c \left( \frac{\tau}{\sigma_0(1+\omega)} \right)^{d+1} d\tau dy,
 \end{aligned}$$

$d = \varrho + A\delta - \frac{1}{2}$ ,  $c = m_0 - 2\varrho - \frac{1}{2}$ . Since  $1 + \omega > \frac{1}{2}$  and  $\sigma_0 > 0$ , this is less than a constant multiple of the integral

$$\int_{\tau=B_0}^{\infty} \tau^{c+d+1} \int_{y=(B_0 \sigma_0)/2\pi}^{\infty} e^{-y} y^{\varrho} d\tau dy,$$

which is convergent if  $d > -1$  and  $c+d+1 < -1$  (or  $m_0 + A\delta + 1 < \varrho$ ). If  $d \leq -1$ , we need the condition  $c < -1$  (or  $\varrho > \frac{1}{2}(m_0 + \frac{1}{2})$ ) for the convergence. For the  $y$ -integral can be split up into two, the first going from  $(B_0 \sigma_0)/2\pi$  to  $\frac{1}{2}\sigma_0$ , while the second goes from  $\frac{1}{2}\sigma_0$  to  $\infty$ . Thus we have to consider

$$\begin{aligned}
 & \int_{\tau=B_0}^{\infty} \tau^{c+d+1} \left( \int_{B_0 \sigma_0/2\pi}^{\frac{1}{2}\sigma_0} + \int_{\frac{1}{2}\sigma_0}^{\infty} \right) e^{-y} y^{\varrho} d\tau dy \\
 &= \int_{\tau=B_0}^{\infty} \tau^{c+d+1} \int_{y=B_0 \sigma_0/2\pi}^{\frac{1}{2}\sigma_0} e^{-y} y^{\varrho} d\tau dy + O(1), \quad \text{if } c+d+1 < -1 \\
 &= O \left( \int_{B_0}^{\infty} (1 + |\log \tau|) \tau^c d\tau \right) = O(1), \quad \text{if } c < -1.
 \end{aligned}$$

In case (iii) we have  $|\tau| \leq B_0$ , which implies that  $|\theta| \leq \theta_0 < \frac{1}{2}\pi$ , where  $\theta_0 = \theta_0(B_0)$ , and we are led to consider the integral

$$\int_{\tau=-B_0}^{B_0} \int_{y=B_0}^{\infty} e^{-\frac{1}{2}\pi y + \theta y} y^{\varrho} \tau^c d\tau dy < \infty,$$

since  $r = |\sigma_0 + i\tau| \geq \sigma_0 > 0$ .

Case (vi) is similar to case (iii) while cases (iv), (v), and (vii) are similar to case (ii).

Altogether we see that if  $\varrho > m_0 + A\delta + 1$ , and  $\varrho > \frac{1}{2}(m_0 + \frac{1}{2})$ , and  $2A\delta + m_0 + \frac{1}{2} > 2A\sigma_0^*$ , then the interchange of the integrations and the summation in (2.33) is justified, and we obtain therefore

$$\begin{aligned}
 (2.37) \quad & \frac{1}{2\pi i} \int_{\sigma-i\infty}^{\sigma+i\infty} e^{sx^{1/2}A} \left( -\frac{1}{s} \frac{d}{ds} \right)^{\varrho} \left( \frac{1}{s} \sum_{m=1}^{\infty} b_m \mu_m^{-\delta} M(s\mu_m^{-1/2}A) \right) ds \\
 & = \frac{1}{2\pi i} \sum_{m=1}^{\infty} b_m \mu_m^{-\delta} 2^{\varrho} x^{\varrho/A} \int_{-(m_0+\frac{1}{2})}^{\Gamma(z)} \frac{\Gamma(z)\Gamma(\frac{1}{2}+\frac{1}{2}z+\varrho)}{\Gamma(\frac{1}{2}+\frac{1}{2}z)} \frac{\Delta(\delta-z/2A)}{\Delta(z/2A)} \mu_m^{z/2A} x^{z/2A} \times \\
 & \quad \times \frac{1}{2\pi i} \int_{sx^{1/2}A} e^s s^{-1-2\varrho-z} ds dz \\
 & = \frac{1}{2\pi i} \sum_{m=1}^{\infty} b_m \mu_m^{-\delta} 2^{\varrho} x^{\varrho/A} \int_{-(m_0+\frac{1}{2})}^{\Gamma(z)} \frac{\Gamma(z)}{\Gamma(\frac{1}{2}+\frac{1}{2}z)} \frac{\Gamma(\frac{1}{2}+\frac{1}{2}z+\varrho)}{\Gamma(z+1+2\varrho)} \frac{\Delta(\delta-z/2A)}{\Delta(z/2A)} \times \\
 & \quad \times \mu_m^{z/2A} x^{z/2A} dz, \quad \varrho > \frac{1}{2}(m_0 + \frac{1}{2}) \\
 & = 2^{-\varrho} \sum_{m=1}^{\infty} b_m \mu_m^{-(\varrho+\varrho/A)} g_{\varrho}((\mu_m x)^{1/A}),
 \end{aligned}$$

since

$$\begin{aligned}
 & \frac{1}{2\pi i} \int_{-(m_0+\frac{1}{2})}^{\Gamma(z)} \frac{\Gamma(z)}{\Gamma(\frac{1}{2}+\frac{1}{2}z)} \frac{\Gamma(\frac{1}{2}+\frac{1}{2}z+\varrho)}{\Gamma(z+1+2\varrho)} \frac{\Delta(\delta-z/2A)}{\Delta(z/2A)} y^{1/z} dz, \quad y = (\mu_m x)^{1/A} \\
 & = \frac{2^{-1-2\varrho}}{2\pi i} \int_{-(m_0+\frac{1}{2})}^{\Gamma(\frac{1}{2}z)} \frac{\Gamma(\frac{1}{2}z)}{\Gamma(\frac{1}{2}z+1+\varrho)} \frac{\Delta(\delta-z/2A)}{\Delta(z/2A)} y^{1/z} dz, \\
 & \quad \text{since } \Gamma(z)\pi^{1/2}2^{1-z} = \Gamma(\frac{1}{2}z)\Gamma(\frac{1}{2}z+\frac{1}{2}) \\
 & = \frac{2^{-2\varrho} y^{-\varrho}}{2\pi i} \int_{A\delta+\frac{1}{2}(m_0+\frac{1}{2})}^{\Gamma(A\delta-z)} \frac{\Gamma(A\delta-z)\Delta(z/A)}{\Gamma(A\delta+1+\varrho-z)\Delta(\delta-z/A)} y^{A\delta+\varrho-z} dz \\
 & = 2^{-2\varrho} y^{-\varrho} g_{\varrho}(y), \quad \varrho > m_0 + 1 + A\delta.
 \end{aligned}$$

On the other hand, for any fixed  $\sigma > 0$ , we have

$$\begin{aligned}
 (2.38) \quad & \frac{1}{2\pi i} \int_{\sigma-i\infty}^{\sigma+i\infty} e^{sx^{1/2}} \left( -\frac{1}{s} \frac{d}{ds} \right)^{\varrho} \left( \frac{1}{s} R(s) \right) ds \\
 & = \frac{1}{2\pi i} \int_{\sigma}^{\infty} e^{sx^{1/2}} \left( -\frac{1}{s} \frac{d}{ds} \right)^{\varrho} \left( \frac{1}{s} \frac{1}{2\pi i} \int_{\sigma}^{\infty} \Gamma(z)\varphi(z/2A) s^{-z} dz \right) ds \\
 & = \frac{2^{\varrho}}{2\pi i} \int_{\sigma}^{\infty} e^{sx^{1/2}} \frac{1}{2\pi i} \int_{\sigma}^{\infty} \frac{\Gamma(z)\Gamma(\frac{1}{2}+\frac{1}{2}z+\varrho)}{\Gamma(\frac{1}{2}+\frac{1}{2}z)} \varphi(z/2A) s^{-1-2\varrho-z} dz ds
 \end{aligned}$$

$$\begin{aligned}
 & = \frac{\pi^{-1/2} 2^{\varrho-1} x^{\varrho}}{2\pi i} \int_{\sigma}^{\infty} \Gamma(\frac{1}{2}z) 2^z \Gamma(\frac{1}{2}+\frac{1}{2}z+\varrho) x^{z/2} \varphi(z/2A) \frac{1}{2\pi i} \int_{\sigma}^{\infty} e^s s^{-1-2\varrho-z} dz, \\
 & \quad \varrho > \frac{1}{2}(m_0 + \frac{1}{2}) \\
 & = \frac{\pi^{-1/2} 2^{\varrho-1} x^{\varrho}}{2\pi i} \int_{\sigma}^{\infty} \frac{\Gamma(\frac{1}{2}z) 2^z \Gamma(\frac{1}{2}+\frac{1}{2}z+\varrho) x^{z/2} \varphi(z/2A)}{\Gamma(z+2\varrho+1)} dz \\
 & = \frac{2^{-\varrho}}{2\pi i} \int_{\sigma}^{\infty} \frac{\Gamma(z)\varphi(z/A)}{\Gamma(z+\varrho+1)} x^{z+\varrho} dz.
 \end{aligned}$$

Finally

$$\begin{aligned}
 (2.39) \quad & \frac{1}{2\pi i} \int_{\sigma-i\infty}^{\sigma+i\infty} e^{sx^{1/2}A} \left( -\frac{1}{s} \frac{d}{ds} \right)^{\varrho} \left( \frac{1}{s} \sum_{m=1}^{\infty} a_m e^{-\lambda_m^{1/2}A s} \right) ds, \quad x > 0, \sigma > 0, \\
 & = \sum_{m=1}^{\infty} a_m \frac{1}{2\pi i} \int_{\sigma-i\infty}^{\sigma+i\infty} e^{sx^{1/2}A} \left( -\frac{1}{s} \frac{d}{ds} \right)^{\varrho} \left( \frac{1}{s} e^{-s\lambda_m^{1/2}A} \right) ds \\
 & = \frac{2^{-\varrho}}{\Gamma(\varrho+1)} \sum'_{\lambda_m^{1/2}A \ll x^{1/2}} a_m (x^{1/A} - \lambda_m^{1/A})^{\varrho},
 \end{aligned}$$

for  $\varrho$  integral,  $\varrho \geq 0$ , as in [2].

Now (2.39), (2.38), and (2.37) yield (2.30), and the first part of the lemma is proved.

To prove the second part, we assume (2.30) given for  $x > 0$  and for some integer  $\varrho \geq 0$ , which is such that  $\varrho > m_0 + 1 + A\delta$ ,  $\varrho > \frac{1}{2}(m_0 + \frac{1}{2})$ , where  $m_0$  satisfies the restrictions of (2.5). We then multiply it throughout by  $e^{sy^2} x^{-1/2}$  where  $\operatorname{Re} s > 0$ , and integrate relative to  $x$  from 0 to  $\infty$ . The left-hand side gives

$$\begin{aligned}
 (2.40) \quad & \frac{1}{\Gamma(\varrho+1)} \int_0^{\infty} e^{-sy^2} x^{-1/2} \sum_{\lambda_m^{1/2}A \ll x^{1/2}} a_m (x - \lambda_m^{1/A})^{\varrho} dx, \quad \varrho \geq 0, \\
 & = \frac{1}{\Gamma(\varrho+1)} \sum_{m=1}^{\infty} a_m \int_{\lambda_m^{1/2}A}^{\infty} e^{-sy^2} x^{-1/2} (x - \lambda_m^{1/A})^{\varrho} dx \\
 & = \frac{2^2 \varrho}{\Gamma(\varrho+1)} \sum_{m=1}^{\infty} a_m \frac{1}{s} \int_{\lambda_m^{1/2}A}^{\infty} x e^{-sx^2} (x^2 - \lambda_m^{1/A})^{\varrho-1} dx,
 \end{aligned}$$

by partial integration

$$= \frac{2^2 \varrho}{\Gamma(\varrho+1)} \sum_{m=1}^{\infty} a_m \left( -\frac{1}{s} \frac{d}{ds} \right) \int_{\lambda_m^{1/2}A}^{\infty} e^{-sx^2} (x^2 - \lambda_m^{1/A})^{\varrho-1} dx$$

$$\begin{aligned}
 &= 2^{a+1} \sum_{m=1}^{\infty} a_m \left( -\frac{1}{s} \frac{d}{ds} \right)^a \int_{\lambda_m^{1/2A}}^{\infty} e^{-sx} dx \\
 (2.41) \quad &= 2^{a+1} \left( -\frac{1}{s} \frac{d}{ds} \right)^a \frac{1}{s} \sum_{m=1}^{\infty} a_m e^{-\lambda_m^{1/2A} s}.
 \end{aligned}$$

The first term on the right-hand side of (2.30) gives

$$\begin{aligned}
 (2.42) \quad &\int_0^{\infty} e^{-sx^{1/2}} x^{-1/2} \frac{1}{2\pi i} \int_{\frac{1}{2}i\infty}^{\Gamma(z)} \frac{\Gamma(z)}{\Gamma(z+1+\varrho)} \varphi(z/A) x^{z+a} dz dx \\
 &= \frac{1}{2\pi i} \int_{\frac{1}{2}i\infty}^{\Gamma(z)} \frac{\Gamma(z)}{\Gamma(z+1+\varrho)} \varphi(z/A) \int_0^{\infty} e^{-sx^{1/2}} x^{-1/2} x^{z+a} dx dz, \\
 &\quad \text{if } \varrho > \frac{1}{2}(m_0 + \frac{1}{2}), \\
 &= \frac{1}{2\pi i} \int_{\frac{1}{2}i\infty}^{\Gamma(z)\Gamma(2z+2\varrho+1)} \frac{2s^{-2z-2\varrho-1}}{\Gamma(z+1+\varrho)} \varphi(z/A) dz \\
 &= \frac{1}{2\pi i} \int_{\frac{1}{2}i\infty}^{\Gamma(z)\Gamma(\frac{1}{2}z+\varrho+\frac{1}{2})} s^{-z-2\varrho-1} \varphi(z/2A) 2^{2\varrho+1} dz \\
 &= 2^{a+1} \left( -\frac{1}{s} \frac{d}{ds} \right)^a \left( \frac{1}{s} R(s) \right), \quad \text{because of (2.6).}
 \end{aligned}$$

The second term on the right-hand of (2.30) gives

$$\begin{aligned}
 (2.43) \quad &\int_0^{\infty} e^{-sx^{1/2}} x^{-1/2} \left( \sum_{m=1}^{\infty} b_m \mu_m^{-\delta-\varrho/4} g_{\varrho}(x \mu_m^{1/4}) \right) dx, \quad \text{Res } \sigma > 0, \\
 &= \sum_{m=1}^{\infty} b_m \mu_m^{-\delta-\varrho/4} \int_0^{\infty} 2e^{-sx} g_{\varrho}(x^2 \mu_m^{1/4}) dx,
 \end{aligned}$$

provided that the interchange of the integration and the summation is justified.

Now

$$g_{\varrho}(x) = \int_{\xi-i\infty}^{\xi+i\infty} G_{\varrho}(z) x^{\varrho+A\delta-z} dz, \quad z = \xi+iy, \quad \xi = A\delta + \frac{1}{2}(m_0 + \frac{1}{2}),$$

and

$$G_{\varrho}(z) = \frac{\Gamma(A\delta-z) \Delta(z/A)}{\Gamma(A\delta+1+\varrho-z) \Delta(\delta-z/A)}.$$

The first integral in (2.43) is absolutely convergent, provided that

$$\int_0^{\infty} \int_{y=-\infty}^{\infty} e^{-\sigma x} \left( \sum_{m=1}^{\infty} |b_m| \mu_m^{-\xi/4} \right) |G_{\varrho}(z)| x^{2(\varrho+A\delta-\xi)} dy dx < \infty.$$

If  $B_0$  is sufficiently large, and  $|y| > B_0 > 0$ , then

$$|G_{\varrho}(z)| = O(|y|^{-1-\varrho-A\delta+2\xi}),$$

while  $\sum_{m=1}^{\infty} |b_m| \mu_m^{-\xi/4} < \infty$ , for  $m_0 + \frac{1}{2} > 2A\sigma_b^* - 2A\delta$ , and

$$\int_{x=0}^{\infty} \int_{y=B_0}^{\infty} e^{-\sigma x} |y|^{-1-\varrho-A\delta+2\xi} x^{2(\varrho+A\delta-\xi)} dy dx < \infty,$$

for  $\sigma > 0$ ,  $\varrho > A\delta + m_0 + \frac{1}{2}$ ,  $\varrho > \frac{1}{2}(m_0 - \frac{1}{2})$ . Similarly also

$$\int_{x=0}^{\infty} \int_{y=-\infty}^{-B_0} < \infty.$$

Finally the integral

$$\int_{x=0}^{\infty} \int_{y=-B_0}^{B_0} e^{-\sigma x} |G_{\varrho}(z)| x^{2(\varrho+A\delta-\xi)} dy dx < \infty,$$

for  $\sigma > 0$ , provided that the line  $\xi+iy$ ,  $|y| \leq B_0$  is free from the poles of  $G_{\varrho}(z)$ , which is the case if  $2A\delta + m_0 + \frac{1}{2} > 2A \max(\operatorname{Re}(-\beta_v/a_v))$ . Hence (2.43) is valid.

Now, for  $s > 0$ ,

$$\begin{aligned}
 &\int_0^{\infty} e^{-x} g_{\varrho}(ax^2) dx \\
 &= \frac{1}{2\pi i} \int_0^{\infty} e^{-x} dx \int_{\xi-i\infty}^{\xi+i\infty} \frac{\Gamma(A\delta-z) \Delta(z/A)}{\Gamma(A\delta+1+\varrho-z) \Delta(\delta-z/A)} (ax^2)^{\varrho+A\delta-z} dz,
 \end{aligned}$$

with  $\xi = A\delta + \frac{1}{2}(m_0 + \frac{1}{2})$ ,  $a = \mu_m^{1/4} s^{-2}$ . The right-hand side is

$$\begin{aligned}
 &= \frac{1}{2\pi i} \int_{\xi-i\infty}^{\xi+i\infty} \frac{\Gamma(A\delta-z) \Delta(z/A)}{\Gamma(A\delta+1+\varrho-z) \Delta(\delta-z/A)} a^{\varrho+A\delta-z} \int_0^{\infty} e^{-x} x^{2(\varrho+A\delta-z)} dx dz, \\
 &\quad \text{since } \varrho > \frac{1}{2}(m_0 + \frac{1}{2}) \\
 &= \frac{1}{2\pi i} \int_{-(m_0+1)}^{\infty} \frac{\Gamma(z) \Delta(\delta-z/A)}{\Gamma(1+\varrho+z) \Delta(z/A)} a^{\varrho+z} \Gamma(2z+2\varrho+1) dz \\
 &= \frac{2^{2\varrho+1}}{2\pi i} \int_{-(m_0+1)}^{\infty} \frac{\Gamma(z) \Delta(\delta-z/2A)}{\Gamma(\frac{1}{2}+\frac{1}{2}z) \Delta(z/2A)} \Gamma(\varrho+\frac{1}{2}+\frac{1}{2}z) a^{\varrho+\frac{1}{2}z} dz, \\
 &\quad a = \mu_m^{1/4} s^{-2}.
 \end{aligned}$$

This taken together with (2.43) gives, for  $s > 0$ , hence for  $\operatorname{Re} s > 0$ ,

$$\begin{aligned}
 (2.44) \quad & \int_0^\infty e^{-sx^{1/2}} x^{-1/2} \left( \sum_{m=1}^\infty b_m \mu_m^{-\delta - \varrho/4} g_\varrho(x \mu_m^{1/4}) \right) dx \\
 &= \sum_{m=1}^\infty b_m \mu_m^{-\delta - \varrho/4} \cdot \frac{2}{s} \int_0^\infty e^{-x} g_\varrho(\mu_m^{1/4} x^{\varrho} s^{-2}) dx \\
 &= \sum_{m=1}^\infty b_m \mu_m^{-\delta - \varrho/4} \frac{2^{2\varrho+1}}{2\pi i} \int_{-(m_0+\frac{1}{2})}^{\infty} \frac{\Gamma(z) \Delta(\delta - z/2A) \Gamma(\frac{1}{2} + \frac{1}{2}z + \varrho)}{\Gamma(z/2A) \Gamma(\frac{1}{2} + \frac{1}{2}z)} \mu_m^{(1z+\varrho)/4} s^{-1-2\varrho-\varepsilon} dz \\
 &= 2^{\varrho+1} \sum_{m=1}^\infty b_m \mu_m^{-\delta} \frac{1}{2\pi i} \int_{-(m_0+\frac{1}{2})}^{\infty} \frac{\Gamma(z) \Delta(\delta - z/2A)}{\Gamma(z/2A)} \mu_m^{z/2A} \left( -\frac{1}{s} \frac{d}{ds} \right)^{\varrho} s^{-1-z} dz \\
 &= 2^{\varrho+1} \left( -\frac{1}{s} \frac{d}{ds} \right)^{\varrho} \left\{ \frac{1}{s} \sum_{m=1}^\infty b_m \mu_m^{-\delta} M(s \mu_m^{-1/2A}) \right\}.
 \end{aligned}$$

Now (2.44) and (2.43), together with (2.42), (2.41), and (2.30) give (2.29)' hence also (2.29). This completes the proof of Lemma 2.

Lemmas 1 and 2 yield

**THEOREM 1.** Functional equation (1.4), identity (2.7), and identity (2.30) are equivalent.

Remarks.

(i) Identity (2.30) is not new. It has been proved by Chandrasekharan and Narasimhan (see (4.6) of [3] and formula (4) of [4]), and used by them to obtain arithmetical results. It may be remarked that Theorem 4.1 and Remark (5.5) of their paper [3] yield, for example, Rankin's result on the Ramanujan function  $\tau(n)$ , namely  $\sum_{k=1}^n \tau^2(k) = c \cdot n^{12} + O(n^{12 - \frac{2}{5}})$ , as a consequence of Rankin's functional equation for the series  $\sum_{k=1}^\infty \tau^2(k) k^{-s}$  ([6], pp. 174–182).

(ii) Theorem 1 has been proved in the case  $\Delta(s) = \Gamma(s)$  by Chandrasekharan and Narasimhan [2].

(iii) Bochner (in (149) of [1]) has a 'modular relation', which is equivalent to functional equation (1.4) in case  $\Delta(s) = \Gamma(\frac{1}{2}s)^{r_1} \Gamma(s)^{r_2}$ , where  $r_1 + 2r_2$  is the degree of an algebraic number field, and which resembles a theta-relation. It does not, however, yield Hecke's theta-relation, as Bochner himself remarks.

(iv) If  $\Delta(s) = \Gamma(\frac{1}{2}s)$ ,  $m_0 = 0$ ,  $\lambda_m = \mu_m = \pi^{1/2} m$ , identity (2.7) yields Siegel's partial-fraction formula (1.2). If  $\Delta(s) = \Gamma(\frac{1}{2}s)^{r_1} \Gamma(s)^{r_2}$ , then (2.7) yields, on taking  $m_0 = -1$ , Walfisz's identity (1.3), for which an alternative proof has been given by Joris [7].

### § 3. Determination of $A$ and of $\delta$ .

**THEOREM 2.** Suppose that  $\varphi(s) = \sum_{m=1}^\infty a_m \lambda_m^{-s}$ , and  $\psi(s) = \sum_{m=1}^\infty b_m \mu_m^{-s}$  satisfy the functional equation

$$(3.1) \quad \Delta(s)\varphi(s) = \Delta(\delta-s)\psi(\delta-s), \\ \text{where}$$

$$\Delta(s) = \prod_{k=1}^N \Gamma(a_k s + \beta_k), \quad a_k > 0, \quad \beta_k \text{ complex}, \quad \delta \text{ real},$$

$$(3.1)' \quad A = \sum_{k=1}^N a_k, \quad B = \sum_{k=1}^N (\beta_k - \frac{1}{2}),$$

and suppose further that  $\varphi(s) = \sum_{m=1}^\infty a_m \lambda_m^{-s}$  and  $\psi_1(s) = \sum_{m=1}^\infty d_m r_m^{-s}$  (where  $(r_m)$  is a strictly increasing sequence of positive numbers diverging to  $+\infty$ , and the series  $\sum_{m=1}^\infty d_m r_m^{-s}$  admits a finite abscissa of absolute convergence) satisfy the equation

$$(3.2) \quad \Delta_1(s)\varphi(s) = \Delta_1(\delta_1-s)\psi_1(\delta_1-s), \\ \text{where}$$

$$\Delta_1(s) = \prod_{k=1}^{N'} \Gamma(a'_k s + \beta'_k), \quad a'_k > 0, \quad \beta'_k \text{ complex}, \quad \delta_1 \text{ real},$$

$$(3.2)' \quad A' = \sum_{k=1}^{N'} a'_k, \quad B' = \sum_{k=1}^{N'} (\beta'_k - \frac{1}{2}).$$

Then

$$(3.3) \quad \delta = \delta_1 \quad \text{and} \quad A = A'.$$

**Proof.** If  $\sigma < \delta - \sigma_b^*$ , we have  $\psi(\delta-s) = \sum_{m=1}^\infty b_m \mu_m^{s-\delta}$ , the series converging absolutely, and the function  $\psi(\delta-\sigma-it)$  is a Bohr almost periodic function of  $t$  which cannot therefore tend to zero as  $|t| \rightarrow \infty$ . Hence, if  $\sigma < \delta - \sigma_b^*$ , we have on the one hand

$$(3.4) \quad \psi(\delta-\sigma-it) = o(1)$$

and on the other,

$$(3.5) \quad \psi(\delta-\sigma-it) \neq o(1), \quad \text{as } |t| \rightarrow \infty.$$

Since by Stirling's formula,

$$\left| \frac{\Delta(\delta-\sigma-it)}{\Delta(\sigma+it)} \right| \sim c_\pm |t|^{A\delta - 2A\sigma}, \quad \text{as } t \rightarrow \pm\infty, \quad c_\pm > 0,$$

and

$$\varphi(s) = \frac{\Delta(\delta-s)\psi(\delta-s)}{\Delta(s)},$$

(3.4) implies that for  $\sigma < \delta - \sigma_b^*$ ,

$$\limsup_{|t| \rightarrow \infty} \frac{\log |\varphi(\sigma+it)|}{\log |t|} \leq A\delta - 2A\sigma,$$

while (3.5) implies the opposite inequality. Hence, for  $\sigma < \delta - \sigma_b^*$ ,

$$\varkappa(\sigma) = A\delta - 2A\sigma = \limsup_{|t| \rightarrow \infty} \frac{\log |\varphi(\sigma+it)|}{\log |t|}.$$

Since  $\varkappa(\sigma)$  depends only on  $\varphi$  and  $\sigma$ , it follows that  $A$ , and  $A\delta$ , hence  $\delta$  (since  $A > 0$ ), depend only on  $\varphi$ .

**§ 4. The behaviour of the function  $L(v)$ .** By definition we have (cf. (2.24))

$$(4.1) \quad L(-v) = \frac{1}{2\pi i} \int_{-(m_0+\frac{1}{2})}^0 \Gamma(z) V(-z) v^{-z} dz, \quad v \in C - \{v \leq -c'\}, c' > 0,$$

where  $m_0$  is an integer defined as in (2.5), and

$$(4.2) \quad V(z) = \prod_{v=1}^{N_0} \Gamma\left(\beta_v + a_v \delta + \frac{a_v z}{2A}\right) \Gamma\left(1 - \beta_v + \frac{a_v z}{2A}\right).$$

We may assume that  $m_0 \geq 0$ , for otherwise  $m_0 = -1$ , and because of the third restriction on  $m_0$  in (2.5), we shall have

$$L(-v) = \frac{1}{2\pi i} \int_{1/2}^0 \Gamma(z) V(-z) v^{-z} dz = \frac{1}{2\pi i} \int_{-1/2}^0 \Gamma(z) V(-z) v^{-z} dz + \text{a constant},$$

which reduces to the case  $m_0 = 0$  of (4.1).

We rewrite  $V(z)$  for convenience as

$$(4.3) \quad V(z) = \prod_{v=1}^{N_0} \Gamma(\gamma_v + \varepsilon_v z),$$

say, where  $N_0 \geq 1$ ,  $0 < \varepsilon_v < 1$ ,  $\sum_{v=1}^{N_0} \varepsilon_v = 1$ .

If  $m$  is any positive integer, we have, by Stirling's formula,

$$(4.4) \quad \begin{aligned} \log V(-z) &= \sum_{v=1}^{N_0} \log \Gamma(\gamma_v - \varepsilon_v z) \\ &= \sum_{v=1}^{N_0} \left\{ \log(2\pi)^{1/2} + (\gamma_v - \frac{1}{2} - \varepsilon_v z)(\log(-z) + \log \varepsilon_v) + \varepsilon_v z + \sum_{\mu=1}^m c_{\mu, \mu} z^{-\mu} + O(|z|^{-m-1}) \right\} \\ &= -z \log(-z) + k_1 z + k_2 \log(-z) + k_3 + \sum_{\mu=1}^m c_{\mu} z^{-\mu} + O(|z|^{-m-1}), \end{aligned}$$

where

$$(4.5) \quad \begin{aligned} k_1 &= 1 - \sum_{v=1}^{N_0} \varepsilon_v \log \varepsilon_v; \quad k_2 = \sum_{v=1}^{N_0} (\gamma_v - \frac{1}{2}); \\ k_3 &= \frac{1}{2} N_0 \log 2\pi + \sum_{v=1}^{N_0} (\gamma_v - \frac{1}{2}) \log \varepsilon_v. \end{aligned}$$

If  $a < 0$ , and  $|v| < 1$ , we have

$$\begin{aligned} (1+v)^a &= \sum_{m=0}^{\infty} \binom{a}{m} v^m \\ &= \sum_{m=0}^{\infty} \frac{\Gamma(a+1)}{\Gamma(m+1) \Gamma(a-m+1)} v^m = \frac{1}{\Gamma(-a)} \sum_{m=0}^{\infty} \frac{(-1)^m \Gamma(m-a) v^m}{m!} \\ &= \frac{1}{2\pi i} \frac{1}{\Gamma(-a)} \int_{\sigma_0-i\infty}^{\sigma_0+i\infty} \Gamma(z) \Gamma(-a-z) v^{-z} dz, \quad \text{for } 0 < \sigma_0 < -a. \end{aligned}$$

Thus, for  $a > 0$ ,  $c' > 0$ ,  $|v| < c'$ ,  $0 < \sigma_0 < a$ , we have

$$(4.6) \quad \begin{aligned} (c'+v)^{-a} &= (c')^{-a} (1+v/c')^{-a} = \frac{(c')^{-a}}{2\pi i \Gamma(a)} \int_{\sigma_0-i\infty}^0 \Gamma(z) \Gamma(a-z) v^{-z} (c')^z dz \\ &= \frac{(c')^{-a}}{2\pi i \Gamma(a)} \int_{-(m_0+1)}^0 \Gamma(z) \Gamma(a-z) v^{-z} (c')^z dz + P(v), \end{aligned}$$

where  $m_0$  is an integer  $\geq 0$ , and  $P(v)$  a polynomial of degree  $m_0$ .

It follows that (4.6) is valid for  $v \in C - \{v \leq -c'\}$ . Now

$$\begin{aligned} \log(c')^{-a} (\Gamma(a))^{-1} \Gamma(a-z) (c')^z &= -a \log c' - \log \Gamma(a) + z \log c' + (a - \frac{1}{2} - z) \log(-z) + z + \\ &\quad + \log(2\pi)^{1/2} + \sum_{n=1}^m a_n z^{-n} + O(|z|^{-m-1}) \\ &= -z \log(-z) + z(1 + \log c') + (a - \frac{1}{2}) \log(-z) + \log(2\pi)^{1/2} - a \log c' - \\ &\quad - \log \Gamma(a) + \sum_{n=1}^m a_n z^{-n} + O(|z|^{-m-1}), \end{aligned}$$

so that, from (4.4), we have

$$(4.7) \quad \begin{aligned} & \log \left( \frac{V(-z)(c')^a \Gamma(a)}{\Gamma(a-z)(c')^z} \right) \\ &= z(k_1 - 1 - \log c') + (k_2 + \frac{1}{2} - a) \log(-z) + k_3 - \log(2\pi)^{1/2} + \\ & \quad + a \log c' + \log \Gamma(a) + \sum_{n=1}^m b_n z^{-n} + O(|z|^{-m-1}). \end{aligned}$$

Now choose

$$(4.8) \quad \log c' = k_1 - 1 = - \sum_{v=1}^{N_0} \varepsilon_v \log \varepsilon_v, \quad a = k_2 + \frac{1}{2},$$

so that  $k_2 > -\frac{1}{2}$ , since  $a > 0$ , and set

$$(4.9) \quad h = k_3 - \log(2\pi)^{1/2} + a \log c' + \log \Gamma(a).$$

Then

$$(4.10) \quad \log V(-z)$$

$$= \log(e^k(c')^{-a}(\Gamma(a))^{-1}\Gamma(a-z)(c')^z) + \sum_{n=1}^m b_n z^{-n} + O(|z|^{-m-1}),$$

for  $\operatorname{Re} z = -(m_0 + \frac{1}{2})$ , as  $|z| \rightarrow \infty$ . On setting

$$(4.11) \quad h = e^k(c')^{-a}(\Gamma(a))^{-1}$$

we get

$$(4.12) \quad V(-z) = h \Gamma(a-z)(c')^z \left( 1 + \sum_{n=1}^m b_n z^{-n} + O(|z|^{-m-1}) \right).$$

Using this in (4.1), we get

$$\begin{aligned} L(-v) &= \frac{h}{2\pi i} \int_{-(m_0+\frac{1}{2})} \Gamma(z) \Gamma(a-z) \times \\ & \times \left( 1 + \sum_{n=1}^m \frac{c_n}{(a-z)(a-z-1) \dots (a-z-n+1)} + O(|z|^{-m-1}) \right) \left( \frac{v}{c'} \right)^{-z} dz. \end{aligned}$$

If  $l = [a]$ , so that  $a-1 < l \leq a$ , then

$$\begin{aligned} L(-v) &= \frac{h}{2\pi i} \sum_{n=0}^l c_n \int_{-(m_0+\frac{1}{2})} \Gamma(z) \Gamma(a-z-n) \left( \frac{v}{c'} \right)^{-z} dz + \\ & + O \left( \int_{-(m_0+\frac{1}{2})} e^{\pi|\operatorname{Im} z|} \frac{|\Gamma(z)| |\Gamma(a-z)|}{|z|^{l+1}} |v|^{m_0+\frac{1}{2}} |dz| \right), \end{aligned}$$

where  $c_0 = 1$ . Using (4.6), with  $a-n$  in place of  $a$ , we get, if  $a$  is non-integral,  $a > 0$ ,

$$(4.13) \quad L(-v) = \sum_{n=0}^l d_n (c' + v)^{-a+n} + O(|v|^{m_0+\frac{1}{2}}), \quad \text{for } v \in C - \{v \leq -c'\},$$

the constants  $d_r$  depending of  $a$ , and  $d_0 = e^k$ .

If  $a > 0$ , and  $a$  is an integer, then  $l = a$ , and since

$$\frac{1}{2\pi i} \int_{\sigma_1} \Gamma(z) \Gamma(-z) v^{-z} dz = \sum_{n=1}^{\infty} \frac{(-1)^n v^n}{n} = -\log(1+v),$$

for  $-1 < \sigma_1 < 0$ , and  $0 < |v| < 1$ , we have

$$(4.14) \quad L(-v) = \sum_{n=0}^{l-1} d_n (c' + v)^{-a+n} + d_l \log \left( 1 + \frac{v}{c'} \right) + O(|v|^{m_0+\frac{1}{2}}),$$

the constants  $d_r$  depending on  $a$ . Thus we have

**THEOREM 3.** If  $a = A\delta + \frac{1}{2} > 0$ , and  $l = [a]$ , and

$$c' = \exp \left\{ - \sum_{v=1}^{N_0} \varepsilon_v \log \varepsilon_v \right\},$$

then as  $v \rightarrow c'$  in  $\Omega = C - \{v \geq c'\}$ , we have

$$L(v) = \begin{cases} \sum_{n=0}^l d_n (c' - v)^{-a+n} + O(1), & \text{for } a \neq l, \\ \sum_{n=0}^{l-1} d_n (c' - v)^{-a+n} + d_l \log(c' - v) + O(1), & \text{for } a = l, \end{cases}$$

where  $d_0 = e^k$ , and  $k$  is defined as in (4.9). Here we choose that determination of  $(c' - v)^{-a+n}$  which is positive for  $v < c'$ .

We have only to note that (4.3) implies that  $N_0 = 2N$ ,  $\gamma_v = \beta_v + \alpha_v \delta$ , for  $v = 1, \dots, N$ ; and  $\gamma_v = 1 - \beta_{v-N}$  for  $v = N+1, \dots, 2N$ ; so that

$$a = k_2 + \frac{1}{2} = \sum_{v=1}^{N_0} (\gamma_v - \frac{1}{2}) + \frac{1}{2} = A\delta + \frac{1}{2}$$

by (4.8) and (4.5) and (3.1)'. Again (4.3) implies that  $\varepsilon_v = \frac{a_v}{2A}$  for  $v = 1, \dots, N$ , and  $\varepsilon_v = \frac{a_{v-N}}{2A}$  for  $v = N+1, \dots, 2N$ , so that

$$c' = \exp \left\{ - \sum_{v=1}^{N_0} \varepsilon_v \log \varepsilon_v \right\} = \exp \left\{ - \frac{1}{A} \sum_{v=1}^N \alpha_v \log \frac{a_v}{2A} \right\} = e^{-a},$$

where  $a$  is defined as in (2.14).

**Remark.** The argument used to prove Theorem 3 goes through for complex  $a$ , with  $\operatorname{Re} a > 0$ ,  $l = [\operatorname{Re} a]$ ,  $d_0 = e^k$ .

### § 5. Uniqueness of the functional equation.

**THEOREM 4.** Suppose that functional equations (3.1) and (3.2) hold as in Theorem 2, with  $A\delta + \frac{1}{2} > 0$ . Then  $d_n = \kappa b_n$ , where  $\kappa$  is real,  $\kappa \neq 0$ ; and  $B' \equiv B \pmod{1}$ . In particular,  $\operatorname{Im} B = \operatorname{Im} B'$ . Further  $r_n = c_2 \mu_n$ ,  $c_2 > 0$ , for  $n = 1, 2, \dots$

**Proof.** By Lemma 1, we have, for  $\operatorname{Re} s > 0$ ,

$$(5.1) \quad \begin{aligned} f(s) &= \sum_{n=1}^{\infty} a_n e^{-\nu_n^{1/2A}s} - R(s) := \sum_{n=1}^{\infty} b_n \mu_n^{-\delta} M(s \mu_n^{-1/2A}) \\ &= \sum_{n=1}^{\infty} d_n r_n^{-\delta} M_1(s r_n^{-1/2A}), \text{ say,} \end{aligned}$$

where  $R(s)$  is analytic in  $C - \{s \leq 0\}$ . Now

$$M(s) = \kappa_1 e^{\pi i N/2} \sum_{l=1}^{2N} \eta_l L(-e^{i\gamma_l s}), \quad \kappa_1 > 0,$$

where  $L(v)$  is analytic for  $v \in C - \{v \geq c'\}$ , and in a neighbourhood of  $v = c'$  has the form

$$\kappa_2 (c' - v)^{-(A\delta + \frac{1}{2})} (1 + o(1)), \quad \kappa_2 > 0,$$

if  $A\delta + \frac{1}{2} > 0$ , while the  $(\gamma_l)$  are such that

$$\frac{1}{2}\pi = \gamma_1 > \gamma_2 \geq \dots \geq \gamma_{2N-1} > \gamma_{2N} = -\frac{1}{2}\pi,$$

and the  $(\eta_l)$  are such that

$$\eta_1 = e^{-\pi i \sum_{v=1}^N \beta_v}, \quad \eta_{2N} = e^{\pi i (\sum_{v=1}^N \beta_v - N)} \quad (\text{cf. (2.16)}).$$

Further  $L(v)$  can be analytically continued across the line  $v > c'$  from above as well as from below. Hence  $M(s)$  has, for  $\operatorname{Re} s \geq 0$ , the only singularities  $\pm ic'$ , and its behaviour in their neighbourhood is given by

$$M(s) = \kappa_1 \kappa_2 e^{\pi i N} \eta_1 (c' - is)^{-(A\delta + \frac{1}{2})} (1 + o(1)), \quad \text{as } s \rightarrow -ic',$$

and

$$M(s) = \kappa_1 \kappa_2 e^{\pi i N} \eta_{2N} (c' + is)^{-(A\delta + \frac{1}{2})} (1 + o(1)), \quad \text{as } s \rightarrow ic'.$$

If we set  $B = \sum_{v=1}^N (\beta_v - \frac{1}{2})$ ,  $B' = \sum_{v=1}^{N'} (\beta'_v - \frac{1}{2})$ , as in (3.1)', (3.2)', then

$f(s)$  has for its only singularities on the line  $\operatorname{Re} s = 0$  the points  $s = \pm ic' \mu_n^{1/2A}$ ,  $n = 1, 2, 3, \dots$ , and in a neighbourhood of  $s = -ic' \mu_n^{1/2A}$  it has the form

$$(5.2) \quad \begin{aligned} b_n \mu_n^{-\delta} \kappa_3 e^{-\pi i B} (c' - is \mu_n^{-1/2A})^{-(A\delta + \frac{1}{2})} (1 + o(1)) \\ = b_n \mu_n^{-\delta/2 + 1/4A} \kappa_3 e^{-\pi i B} (\mu_n^{1/2A} c' - is)^{-(A\delta + \frac{1}{2})} (1 + o(1)), \quad \kappa_3 > 0, \end{aligned}$$

while in a neighbourhood of  $s = +ic' \mu_n^{1/2A}$ , it is of the form

$$(5.3) \quad b_n \mu_n^{-\delta/2 + 1/4A} \kappa_3 e^{\pi i B} (\mu_n^{1/2A} c' + is)^{-(A\delta + \frac{1}{2})} (1 + o(1)).$$

We may assume, without loss of generality, that  $b_n \neq 0$ ,  $d_n \neq 0$  for all  $n$ . Then the representation  $f(s) = \sum_{n=1}^{\infty} d_n r_n^{-\delta} M_1(s r_n^{-1/2A})$  in (5.1) shows that  $f$  is of the form

$$(5.4) \quad d_n \kappa_4 r_n^{-\delta/2 + 1/4A} e^{-\pi i B'} (r_n^{1/2A} c'_1 - is)^{-(A\delta + \frac{1}{2})} (1 + o(1)), \quad c'_1 > 0$$

near  $s = -ic'_1 r_n^{1/2A}$ , for  $n = 1, 2, \dots$ , which are the only singularities of  $f$  on the negative imaginary axis in the  $s$ -plane. From (5.2) and (5.4) it follows that

$$r_n = c_2 \mu_n, \quad n = 1, 2, \dots; \quad c_2 = (c'_1/c'_1)^{2A} > 0.$$

This, together with (5.4), implies that near  $s = -ic'_1 r_n^{1/2A}$ ,  $f(s)$  is of the form

$$(5.5) \quad d_n \kappa_4 c_2^{-\delta/2 + 1/4A} e^{-\pi i B'} \mu_n^{-\delta/2 + 1/4A} (\mu_n^{1/2A} c'_1 - is)^{-(A\delta + \frac{1}{2})} (1 + o(1)).$$

Comparing (5.5) and (5.2), we get

$$d_n \kappa_4 c_2^{-\delta/2 + 1/4A} e^{-\pi i B'} = b_n \kappa_3 e^{-\pi i B},$$

that is

$$d_n = \kappa_5 e^{\pi i (B' - B)} b_n, \quad n = 1, 2, \dots; \quad \kappa_5 > 0.$$

If one compares the singularities on the positive imaginary axis, one obtains similarly

$$d_n = \kappa_5 e^{-\pi i (B' - B)} b_n, \quad n = 1, 2, \dots; \quad \kappa_5 > 0.$$

Hence  $e^{2\pi i (B' - B)} = 1$ , or  $B \equiv B' \pmod{1}$ . In particular,  $\operatorname{Im} B = \operatorname{Im} B'$ . Further  $d_n = \kappa b_n$ ,  $\kappa$  real,  $\kappa \neq 0$ . Hence  $\varphi_1(s) = \kappa \theta_2^{-s} \psi(s)$ ,  $c_2 > 0$ ,  $\kappa$  real.

**Remarks.**

(i) Suppose that the functional equation

$$(5.6) \quad A(s)\varphi(s) = A(\delta - s)\psi(\delta - s)$$

holds, but the condition  $A\delta + \frac{1}{2} > 0$ , of Theorem 4, does not. We can then obtain another functional equation for which it does. If we define

$$\Delta_1(s) = \Delta(a+s) = \prod_{v=1}^N \Gamma(a_v a + a_v s + \beta_v), \quad \delta_1 = \delta - 2a,$$

$$\varphi_1(s) = \varphi(a+s), \quad \psi_1(s) = \psi(a+s),$$

then the equation

$$(5.7) \quad \Delta_1(s)\varphi_1(s) = \Delta_1(\delta_1 - s)\psi_1(\delta_1 - s)$$

holds. The same  $A$  is associated with both the equations. It follows that for the new equation we have  $A\delta_1 + \frac{1}{2} = A\delta + \frac{1}{2} - 2aA > 0$ , if  $a < \frac{1}{2A}(A\delta + \frac{1}{2})$ . Thus, with a suitably chosen  $a$ , (5.7) holds with the desired condition. So Theorem 4 holds also for  $A\delta + \frac{1}{2} \leq 0$ .

(ii) That equations of the type (3.1) and (3.2) can occur is illustrated by a simple example. Suppose that  $\Delta(s)\varphi(s) = \Delta(\delta-s)\psi(\delta-s)$ , with  $\Delta(s) = \Gamma(s)$ . If  $\Delta_1(s) = \Gamma(\frac{1}{2}s)\Gamma(\frac{1}{2} + \frac{1}{2}s)$ , and  $\psi_1(s) = 2^{-s}2^{2s}\psi(s)$ , then  $\Delta_1(s)\varphi(s) = \Delta_1(\delta-s)\psi_1(\delta-s)$ .

(iii) That  $\alpha$  in Theorem 4 can be negative is shown by an example. Let  $\lambda > 2$ ,  $\mu_n = \lambda_n = n \frac{2\pi}{\lambda}$ . Then it is known that there exists a function

$\varphi(s) = \sum_{n=1}^{\infty} a_n \lambda_n^{-s}$ , such that  $\Gamma(s)\varphi(s) = \Gamma(-s)\varphi(-s)$ . In our notation  $\delta = 0$ ,  $A = 1$ ,  $\psi(s) = \varphi(s)$ . Let  $\Delta(s) = \Gamma(s)$ , and  $\Delta_1(s) = \Gamma(1+s)$ , so that

$$\frac{\Delta_1(-s)}{\Delta_1(s)} = -\frac{\Delta(-s)}{\Delta(s)},$$

and the equation  $\Delta_1(s)\varphi(s) = \Delta_1(-s)\psi_1(-s)$ , with  $\psi_1(s) = -\psi(s)$ , holds.

#### References

- [1] S. Bochner, *Some properties of modular relations*, Ann. of Math. 53 (1951), pp. 332–363.
- [2] K. Chandrasekharan and R. Narasimhan, *Hecke's functional equation and arithmetical identities*, Ann. of Math. 74 (1961), pp. 1–23.
- [3] — — *Functional equations with multiple gamma factors and the average order of arithmetical functions*, Ann. of Math. 76 (1962), pp. 93–136.
- [4] — — *The approximate functional equation for a class of zeta-functions*, Math. Ann. 152 (1963), pp. 30–64.
- [5] H. Hamburger, *Über einige Beziehungen die mit der Funktionalgleichung der Riemannschen  $\zeta$ -Funktion äquivalent sind*, Math. Ann. 85 (1922), pp. 129–140.
- [6] G. H. Hardy, *Ramanujan*, Cambridge, 1940.
- [7] H. Joris,  *$\Omega$ -Theoreme für die Restglieder zweier arithmetischer Funktionen*, Dissertation, E.T.H. Zürich, 1971.
- [8] —  $\Omega$ -Sätze für zwei arithmetische Funktionen, Commentarii Math. Helvetici 47 (1972), pp. 220–248.
- [9] C. L. Siegel, *Bemerkung zu einem Satz von Hamburger über die Funktionalgleichung der Riemannschen Zeta-funktion*, Math. Ann. 86 (1922), pp. 276–279; Gesammelte Abhandlungen, I, 154–156.
- [10] A. Walfisz, *Über die summatorischen Funktionen einiger Dirichletscher Reihen*, Dissertation, Göttingen, 1922.

EIDGENÖSSISCHE TECHNISCHE HOCHSCHULE, ZÜRICH

Received on 11. 9. 1972

(323)