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B. Jakubczyk, W. Kryński  
and F. Pelletier

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# Characteristic vector fields of generic distributions of corank 2

B. Jakubczyk\* W. Kryński\* F. Pelletier†

## Abstract

We study generic distributions  $D \subset TM$  of corank 2 on manifolds  $M$  of dimension  $n \geq 5$ . We show that singular curves of such a distribution determine the distribution on the subset of  $M$  where they generate at least two different directions. In particular, this happens on the whole of  $M$  if rank of  $D$  is odd. The distribution is determined by characteristic vector fields and their Lie brackets of appropriate order. We characterize pairs of vector fields which can appear as characteristic vector fields of a corank 2 distribution.

## 1. Introduction

Let  $M = M^n$  denote a smooth, paracompact differential manifold of dimension  $n \geq 5$ . Consider a smooth distribution  $D$  of rank  $m = n - 2$  understood as a subbundle of  $TM$  of rank  $m$  spanned, locally, by  $m$  smooth linearly independent vector fields. Equivalently, we can write locally

$$D = \ker \omega_1 \cap \ker \omega_2,$$

where  $\omega_1, \omega_2$  are  $C^\infty$  differential 1-forms on  $M$ , called later *cogenerators* of  $D$ .

Let  $I = [0, 1] \subset \mathbb{R}$ . Recall that horizontal curves of  $D$  on  $I$  are curves  $\gamma : I \rightarrow M$  almost everywhere tangent to  $D$ . Let  $\Omega(x_0)$  denote the set of absolutely continuous horizontal curves on  $I$ , with locally square integrable derivative, satisfying  $\gamma(0) = x_0$ . This set can be endowed with a structure of a Hilbert manifold. The endpoint map  $\text{End} : \Omega(x_0) \rightarrow M$  defined by

$$\text{End}(\gamma) = \gamma(1)$$

is differentiable (cf. [B], Ch. 1). Its singular points, i.e. curves  $\gamma \in \Omega(x_0)$  such that the tangent map  $D\text{End}(\gamma)$  is not onto  $T_{\gamma(1)}M$ , are called *singular curves* of  $D$ , cf. [BH], [A], [M2]. Such curves can be rigid as described in [BH], [AS]. Recent results of Chitour, Jean, and Trélat [CJT] show that for generic distributions such curves are of minimal order and of corank 1, but they can not be rigid if the rank of  $D$  is larger than 2.

In sub-Riemannian geometry such curves, called there *abnormal*, attracted special attention after the discovery that they can be locally minimizing ([M1], [LS]). They are minimizing for generic distributions  $D$  of rank 2 ([LS]), but they can not be minimizing for generic  $D$  of rank larger than 2 ([CJT]).

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\*Institute of Mathematics, Polish Academy of Sciences, Śniadeckich 8, 00-956 Warsaw 10, Poland, E-mail: b.jakubczyk@impan.gov.pl, w.krynski@impan.gov.pl. Supported by KBN grant 2 P03A 001 24.

†LAMA, Université de Savoie, Campus Scientifique, 73376 Le Bourget du Lac, France, E-mail: fernand.pelletier@univ-savoie.fr

In this paper we are interested in singular curves of corank 2 distributions and in establishing when such curves determine the distribution, cf. [M2], [K]. We say that *singular curves determine a distribution*  $D$  on an open subset  $U \subset M$  if for any other  $\tilde{D}$  with the same singular curves on  $U$  we have  $D|_U = \tilde{D}|_U$ .

Given  $D$ , we denote by  $\text{Sing}_D(x)$  the set of smooth singular curves of  $D$  starting from  $x$  and  $\text{Sing}_D := \cup_{x \in M} \text{Sing}_D(x)$ . The *cone of singular vectors* is

$$S(x) := \{ v \in T_x M : v = \dot{\gamma}(0), \gamma \in \text{Sing}_D(x) \}.$$

Clearly,  $S(x) \subset D(x)$ . The elements of the projectivization of  $S(x)$  will be called *singular directions*. Denote by  $\mathcal{D}^m(M^n)$  the set of smooth distributions of rank  $m$  on  $M^n$ . Recall that a subset is called residual if it is a countable intersection of open and dense subsets. Our first main result says the following.

**Theorem 1.** *If  $M = M^n$ ,  $n \geq 5$ , and  $M$  admits a distribution of corank 2 then there exists a subset  $\mathcal{G} \subset \mathcal{D}^m(M^{m+2})$ , which is residual (and therefore dense) in the Whitney  $C^\infty$ -topology, such that for any  $D \in \mathcal{G}$  the singular curves determine  $D$  in the region  $R$  of points  $x \in M$  where the cone  $S(x)$  has at least two different singular directions (if  $m$  is odd then  $R = M$ ).*

**Remark 1.** The assumption that  $M$  admits a corank 2 distribution can be removed if  $\mathcal{D}^m(M^{m+2})$  is replaced by the class  $\mathcal{D}_{\text{sing}}^m(M^{m+2})$  of singular distributions understood as sub-sheaves of  $\Gamma(TM)$  generated locally by  $m$  smooth vector fields, linearly independent almost everywhere. The result also holds if, instead of so understood singular distributions, we consider singular co-distributions  $D^* \subset T^*M$ , locally generated by two 1-forms, linearly independent a.e..

Early results in this direction concerned distributions of corank 1. Namely, the results in [Z] implied that in many cases singular curves determine a corank 1 distribution  $D$  locally, up to a diffeomorphism. In [JZ] it was shown that for corank 1 distributions violating the Darboux condition on a nowhere dense subset of  $M$  the singular curves "almost always" determine the distribution (up to a diffeom.), if  $M$  is compact and distributions are close to each other. For distributions of corank  $\geq 3$  a stronger property was proved by R. Montgomery [M2] in the case of rank  $D$  odd: *the singular curves determine the distribution, if it is generic*. In [M2] the same property was conjectured in the case of rank  $D$  even. This was proved in [K] in the case where rank  $D$  is not divisible by 4.

The case of rank  $D$  divisible by 4, corank  $D \geq 3$ , is not settled yet. This case is degenerated as the class  $\mathcal{D}^{4s}(M^n)$  may contain fat distributions [R] where the set of singular curves is empty. Note that the class of corank 1 distributions contains contact distributions (rank  $D$  even), where the set of singular curves is also empty. In this class, as well as for quasi-contact distributions (rank  $D$  odd), the Darboux theorem says that such distributions are all locally equivalent. This phenomenon can not hold in the case of distributions of corank  $D$  larger than 1. Namely, if  $2 \leq \text{rank } D \leq \dim M - 2$  and  $(\text{rank } D, \dim M) \neq (2, 4)$  then there must be infinite dimensional (functional) invariants, see [JP], page 21, or [VG]. For general results concerning singularities of corank 2 distributions see [P].

In the paper we introduce the notions of characteristic and horizontal vector fields  $X$  of  $D$ . We prove (Theorems 2 and 3) that the singular curves of  $D$  are integral curves of horizontal vector fields  $X$  and the characteristic vector fields, together with their Lie brackets, span the distribution in the generic case. This implies that characteristic (and horizontal) vector fields contain all geometric information on  $D$ . Throughout the paper we assume that  $M$  admits a distribution of corank 2.

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## 2. Characteristic vector fields: rank $D = 2k+1$ .

We begin our analysis with distributions  $D \subset TM$  of odd rank  $m = 2k + 1$ . Let  $D^\perp \subset T^*M$  denote the annihilator of  $D$ ,

$$D^\perp(x) = \{p \in T_x^*M : pD(x) = 0\}.$$

Consider local cogenerators  $\omega_1, \omega_2$  of  $D$  which are linearly independent 1-forms, sections of  $D^\perp$ . Let  $\omega$  be arbitrary smooth local section of  $D^\perp$ . We define a *characteristic vector field*  $X = X_\omega$  of  $D$ , corresponding to  $\omega$ , by the equality

$$X_\omega \lrcorner \Omega = \omega_1 \wedge \omega_2 \wedge (d\omega)^k, \quad (CVF)$$

where  $\Omega$  is a local volume form on  $M = M^{2k+3}$  and on the right-hand side we have  $(n-1)$ -differential form. Here we use the usual notation  $X \lrcorner \eta = \eta(X, \dots)$  for the interior product of a vector field  $X$  with a differential form  $\eta$ . Basic properties of  $X_\omega$  are listed in Proposition 1, in particular we have  $X_\omega(x) \in D(x)$ .

A section  $\omega$  of  $D^\perp$  is called *horizontal* if  $X_\omega \lrcorner d\omega = 0$ . A characteristic vector field  $X_\omega$  is called *horizontal* if it is defined by a horizontal section  $\omega$  of  $D^\perp$ . We denote by  $C_{char}(x)$  (respectively,  $C_{hor}(x)$ ) the set of characteristic vectors (respectively, horizontal vectors), which consists of vectors  $X_\omega(x)$ , where  $X_\omega$  are characteristic (respectively, horizontal) vector fields of  $D$ .

We also define local vector fields  $Y_0, \dots, Y_k$  via

$$Y_j \lrcorner \Omega = \omega_1 \wedge \omega_2 \wedge (d\omega_1)^j \wedge (d\omega_2)^{k-j}. \quad (VF)$$

We will later show that  $Y_j(x) \in D(x)$  (Proposition 1).

**Theorem 2.** *There exists a subset  $\mathcal{G} \subset \mathcal{D}^{2k+1}(M^{2k+3})$ , which is residual (and therefore dense) in the Whitney  $C^\infty$ -topology, such that for any  $D \in \mathcal{G}$  the following conditions hold.*

- (i) *At generic points in  $M$  the singular curves of  $D$  are exactly integral curves of horizontal characteristic vector fields  $X_\omega$ , up to parametrization. At such points*

$$S(x) = C_{char}(x) = C_{hor}(x),$$

*where  $S(x)$  is the cone of singular vectors at  $x$ .*

- (ii) *At a generic point  $x \in M$  the cone  $C_{char}(x) \subset D(x)$  of characteristic vectors linearly spans a subdistribution  $D_{char}(x) \subset D(x)$  of rank  $k + 1$ .*

- (iii) *The characteristic vector fields of  $D$ , together with their first Lie brackets, span  $D$  at a generic point. The same holds for horizontal vector fields.*

- (iv) *For any local cogenerators  $\omega_1, \omega_2$  of  $D$  the following conditions hold at a generic point in  $M$ :*

$$Y_0(x), Y_1(x), \dots, Y_k(x) \text{ are linearly independent,} \quad (G')$$

$$\text{span}_{r,s,t=0,\dots,k} \{ Y_r(x), [Y_s, Y_t](x) \} = D(x). \quad (G'')$$

Above and further on we say that a property holds at a generic point in  $M$  if it holds on an open, dense subset in  $M$ .  $[X, Y]$  denotes the Lie bracket of vector fields  $X, Y$ .

**Remark 2.** (a) Statements (i) and (iii) imply Theorem 1, if  $m = 2k + 1$ .

(b) The set of generic points in (ii) is the set where (G') holds, while the sets of generic points in (i) and (iii) are given by both (G') and (G'').

(c) The subset  $\mathcal{G} \subset \mathcal{D}^{2k+1}(M^{2k+3})$  will be defined as the set of distributions whose 2-jet maps are transversal (in the sense of Thom transversality theorem) to the subset of 2-jets not satisfying the genericity conditions (G') or (G'').

**Remark 3.** The relation between vector fields in (CVF) and (VF) can be explained as follows. Let  $\omega_1, \omega_2$  be local cogenerators of  $D$ . Consider an arbitrary section

$$\omega = a_1\omega_1 + a_2\omega_2$$

of  $D^\perp$ . Then  $d\omega = a_1d\omega_2 + a_2d\omega_2 + da_1 \wedge \omega_1 + da_2 \wedge \omega_2$  and we see that the  $(n-1)$ -form

$$\omega_1 \wedge \omega_2 \wedge (d\omega)^k = \omega_1 \wedge \omega_2 \wedge (a_1d\omega_1 + a_2d\omega_2)^k$$

depends polynomially on the vector  $a = (a_1, a_2)$ . Thus (CVF) gives

$$X_\omega = \sum_{j=0}^k a_1^j a_2^{k-j} Y_j, \quad (CVF')$$

where  $Y_j$  were defined in (VF). Therefore the map  $\omega \mapsto X_\omega$  can be treated, at a fixed  $x \in M$ , as a homogeneous, degree  $k$  polynomial map  $D^\perp(x) \rightarrow D(x)$ .

After [M2], this map will be called *singular exp* and denoted

$$\text{Sexp}_x(p) := X_\omega(x),$$

where  $\omega$  is a local section of  $D^\perp$  such that  $\omega(x) = p$ . This map defines the projectivized map  $\text{PSexp}_x : P(D^\perp(x)) \rightarrow P(D(x))$ . Note that  $\text{span}_{p \in D^\perp(x)} \text{Sexp}_x(p) = D_{char}$ , which follows directly from the definitions.

From the definitions (CVF) and (VF) it is easy to observe the following

**Proposition 1.** *Characteristic vector fields  $X = X_\omega$  and the vector fields  $Y_0, \dots, Y_k$  have the following properties in the domain of their definition:*

$$X(x) \in D(x), \quad (P1)$$

$$X_\omega(x) \in \ker d\omega|_{D(x)}, \quad (P2)$$

$$[X, Y](x) \in D(x), \quad (P3)$$

$$Y_j(x) \in D(x), \quad (P4)$$

$$[Y_i, Y_j](x) \in D(x), \quad (P5)$$

$$\text{span}_{j=0, \dots, k} \{Y_j(x)\} = \text{span}_{p \in D^\perp(x)} \text{Sexp}_x(p), \quad (P6)$$

where (P3) holds for any other characteristic vector field  $Y$  and (P4), (P5) hold for all  $i, j = 0, \dots, k$ .

Before proving Proposition 1 we state the following elementary facts, for further use.

**Fact 1.** *If  $\omega$  is a section of  $D^\perp$  and  $X, Y$  are sections of  $D$ , then*

$$d\omega(X, Y) = -\omega([X, Y]) \quad (*)$$

and both sides, evaluated at  $x$ , depend on the values of  $\omega, X$  and  $Y$  at  $x$ , only.

This is a special case of the formula  $d\omega(X, Y) = X\omega(Y) - Y\omega(X) - \omega([X, Y])$ .

**Fact 2.** *If  $\Omega$  is a local volume form and  $\omega, \omega_1, \dots, \omega_r$  are 1-forms on  $M^n$ , with  $n - r - 1 = 2\ell$ , then the vector field  $X$  given by*

$$X \lrcorner \Omega = \omega_1 \wedge \dots \wedge \omega_r \wedge (d\omega)^\ell \quad (1)$$

satisfies

$$X \lrcorner \omega_i = 0, \quad i = 1, \dots, r, \quad (2)$$

and

$$X \lrcorner (d\omega|_{\ker \omega_1 \cap \dots \cap \ker \omega_r}) = 0. \quad (3)$$

Vice versa, if (2) and (3) hold at  $x$  and  $(\omega_1 \wedge \dots \wedge \omega_r \wedge (d\omega)^\ell)(x) \neq 0$ , then (1) holds at  $x$ , up to a nonzero factor.

*Proof.* Denote  $\eta = \omega_1 \wedge \dots \wedge \omega_r \wedge (d\omega)^\ell$  and assume  $X(p) \neq 0$  (otherwise (2) and (3) are trivial). Then  $\eta = \omega_i \wedge \hat{\eta}_i$ , with some  $\hat{\eta}_i$ , and  $\ker \eta \subset \ker \omega_i$ . Thus (2) follows from  $X \lrcorner \eta = 0$ , implied by (1). To prove the remaining part we fix a point  $p \in M$ . Then  $\omega_j(p)$  are linearly independent and we can choose local coordinates  $z^0, z^1, \dots, z^r, x^1, \dots, x^\ell, y^1, \dots, y^\ell$  such that at the point  $p$  we have  $\omega_1(p) = dz^1, \dots, \omega_r(p) = dz^r$  and  $d\omega|_D(p) = \sum_j dx^j \wedge dy^j$ , where  $D(p) = \cap_j \ker \omega_j(p)$  (use the Darboux algebraic lemma for  $(d\omega|_D)(p)$ ). In such coordinates  $X(p) = \partial/\partial z^0$ , up to scalar factor, and checking Fact 2 is straightforward.

*Proof of Proposition 1.* Let  $\omega_1, \omega_2$  be cogenerators of  $D$ . Properties (P1) and (P4) follow from  $X \lrcorner \omega_i = 0$  and from  $Y_j \lrcorner \omega_i, i = 1, 2$ , which are consequences of definitions (CVF) and (CV) and Fact 2. Similarly, (P2) follows from Fact 2.

(P3) is implied by (P1), (P2). Namely, for  $X = X_\omega, Y = X_{\tilde{\omega}}$  and  $i = 1, 2$  we have  $0 = d\omega_i(X, Y) = -\omega_i([X, Y])$ , thus  $[X, Y] \in D$  (the first equality follows from (P2) and in the second we use Fact 1 and  $\omega_i(X) = \omega_i(Y) = 0$ , i.e. (P1)).

Property (P6) is a consequence of the formula (CVF'). Namely, from the definition  $\text{Sexp}_x(p) = X_\omega(x)$ , where  $\omega(x) = p$ , and from the fact that the coefficients  $a_1(x), a_2(x) \in \mathbb{R}$  in (CVF') are arbitrary we see that (P6) holds.

Finally, property (P5) can be shown using (P3) and (P6) in the following way. Note that  $\text{span}_{p \in D^\perp(x)} \text{Sexp}_x(p) = D_{char}(x)$  is the "distribution" spanned by the characteristic vector fields (its rank may vary). Let  $U \subset M$  be the open set where this rank is maximal. Then, locally on  $U$ , we have  $Y_i = \sum_s \varphi_{is} X_s, Y_j = \sum_s \psi_{js} X_s$ , where  $X_s$  are characteristic vectors fields that span  $D_{char}$  and  $\varphi_{is}, \psi_{js}$  are functions. It follows from (P3) and (P1) that  $[Y_i, Y_j](x)$  is in  $D(x)$  on  $U$ . If  $U$  is dense in  $M$ , we get (P5) on  $M$ , by continuity. Otherwise, we get (P5) on the closure  $\text{cl}U$ , only. Consider the open set  $V = M \setminus \text{cl}U$  and the subset  $U_1 \subset V$  where  $D_{char}$  is of maximal rank on  $V$  (smaller than the rank on  $U$ ). Repeating the above argument gives that  $[Y_i, Y_j](x)$  belongs to  $D(x)$  in  $\text{cl}U_1$ . After a finite number of such steps we get that  $[Y_i, Y_j](x)$  is in  $D(x)$  for any  $x \in M$ . Q.E.D.

**Lemma 1.** *The subset  $\mathcal{G}_2(x)$  of 2-jets at  $x$  of corank 2 distributions on  $M^{2k+3}$ , defined by the conditions (G') and (G''), is open and dense in the space of all 2-jets.*

*Proof.* It follows from the definition of  $\mathcal{G}_2(x)$  that so defined set is open and its complement is a real algebraic subset in the space of 2-jets. If we show that  $\mathcal{G}_2(x)$  is nonempty, it will follow that it is dense. We can take  $M = \mathbb{R}^{2k+3}$  and  $x = 0$ .

Let  $\mathbb{R}^{2k+3}$  be endowed with linear coordinates  $p_1, \dots, p_{k+1}, q_1, \dots, q_k, z_1, z_2$ . Consider two differential 1-forms on  $M$

$$\begin{aligned}\omega_1 &= dz_1 + \sum_1^k p_i dq_i, \\ \omega_2 &= dz_2 + \sum_1^k q_i dp_{i+1} + \sum_1^k p_{k+1} p_i dp_{i+1},\end{aligned}$$

and the corresponding distribution  $D(x) = \ker \omega_1(x) \cap \ker \omega_2(x)$ . Then

$$\begin{aligned}d\omega_1 &= \sum_1^k dp_i \wedge dq_i, \\ d\omega_2 &= \sum_1^k dq_i \wedge dp_{i+1} + \sum_1^k p_{k+1} dp_i \wedge dp_{i+1} - \sum_1^{k-1} p_i dp_{i+1} \wedge dp_{k+1}.\end{aligned}$$

We then compute

$$\begin{aligned}(d\omega_1)^k &= k! \prod_{i=1}^k (dp_i \wedge dq_i), \\ (d\omega_1)^j \wedge (d\omega_2)^{k-j} &= a \prod_{i=1}^j (dp_i \wedge dq_i) \wedge \prod_{i=j+1}^k (dq_i \wedge dp_{i+1}) \\ &\quad + a p_{k+1} \prod_{i=1}^j (dp_i \wedge dq_i) \wedge dp_{j+1} \wedge dp_{j+2} \wedge \prod_{i=j+2}^k (dq_i \wedge dp_{i+1}) + \sum_{i=1}^{k-1} p_i \eta_i,\end{aligned}$$

for  $j = 0, \dots, k-1$ , where  $a = j!(k-j)!$  and  $\eta_i$  are some  $(n-1)$ -forms. Consider the volume form  $\Omega = \prod_{i=1}^k (dp_i \wedge dq_i) \wedge dp_{k+1} \wedge dz_1 \wedge dz_2$  and the vector fields  $Y_j$  defined by

$$Y_j \lrcorner \Omega = a \omega_1 \wedge \omega_2 \wedge (d\omega_1)^j \wedge (d\omega_2)^{k-j}.$$

Then

$$\begin{aligned}Y_k &= \partial_{p_{k+1}}, \\ Y_j &= \partial_{p_{j+1}} + p_{k+1} \partial_{q_{j+1}} + \sum_{i=1}^{k-1} p_i Z_{ji},\end{aligned}$$

for  $j = 0, \dots, k-1$ , where  $Z_{ji}$  are some vector fields. The Lie brackets at  $0 \in \mathbb{R}^n$  of  $Y_k$  and  $Y_j$  are

$$[Y_k, Y_j](0) = \partial_{q_{j+1}},$$

for  $j = 0, \dots, k-1$ , and, together with the vector fields  $Y_0, \dots, Y_k$ , span the distribution  $D$  at 0. The proof is complete.

*Proof of Theorem 2.* We define the exceptional subset  $\mathcal{E} = \mathcal{E}' \cup \mathcal{E}''$  in the space of 2-jets on  $M$  of smooth distributions in  $\mathcal{D}^{2k+1}(M^{2k+3})$ , where  $\mathcal{E}'$  consists of 2-jets which do not satisfy the genericity condition (G') and  $\mathcal{E}''$  consists of 2-jets that do not satisfy the condition (G''). Both these subsets are real algebraic subvarieties defined by a set of polynomial equations in the space of 2-jets, in a given coordinate system. These equations are expressed in terms of minors of the matrix of coefficients of the vector fields  $Y_0, \dots, Y_k$ , and  $[Y_i, Y_j]$ ,  $i, j = 0, \dots, k$ . (Note that these vector fields are in  $D$ , by (P4) and (P5) in

Proposition 1.) Moreover, these sets have empty interior as their complement contains the distribution germ constructed in Lemma 1. The space  $\mathcal{G}$  of generic distributions is defined as consisting of those distributions which satisfy the Thom transversality theorem with respect to the stratified submanifolds  $\mathcal{E}'$  and  $\mathcal{E}''$ . In particular, such distributions satisfy conditions (G') and (G'') on open dense subsets in  $M$ . This last statement implies condition (iv) and all other assertions of the theorem. Namely, such distributions satisfy (ii) which follows from (G') and property (P6) in Proposition 1 (note that (P6) can be written as  $D_{char}(x) = \text{span}\{Y_0(x), \dots, Y_k(x)\}$ , where  $D_{char}$  is the distribution spanned by the characteristic vector fields). Condition (iii) follows from (G'') and (P6). Namely, using the equality  $D_{char} = \text{span}\{Y_0 \dots, Y_k\}$  we see that (G'') implies  $D_{char} + [D_{char}, D_{char}] = D$  at generic points.

In order to finish the proof we show that condition (i) is satisfied on the open subset  $U$  of  $M$  where conditions (G') and (G'') hold. It follows from the invariant form of the Pontriagin Maximum Principle that singular curves  $x(t)$  of  $D$  are projections to  $M$  of curves  $\lambda(t) = (x(t), p(t))$  in  $D^\perp$  which satisfy the adjoint equation

$$\frac{d}{dt}(p(t)Y(x(t)) - p(t)([\dot{x}(t), Y])) = 0, \quad (AE)$$

for any smooth vector field  $Y$  on  $M$ , see Sussmann [S], page 540, Theorem 14.1, statement [II]. Above, given the vector field  $Y$  and  $p \in D^\perp(x)$ ,  $v \in D(x)$ , the expression  $p[Y, v]$  should be understood as the evaluation at  $x$  of the tensor field  $\Gamma(D^\perp) \times \Gamma(D) \rightarrow C^\infty(M)$  defined by  $(\omega, X) \mapsto \omega([X, Y]) = d\omega(Y, X)$ , where  $\omega([X, Y])(x) =: p[Y, v]$  depends on the values  $\omega(x) = p$  and  $X(x) = v$ , only.

Assume that  $\omega$  is a horizontal section of  $D^\perp$  and let  $\gamma$ ,  $t \mapsto x(t)$  be an integral curve of  $X_\omega$ , i.e.  $\dot{x}(t) = X_\omega(x(t))$ . Denote  $p(t) = \omega(x(t))$ . Then for arbitrary vector field  $Y$  on  $M$  we have

$$\begin{aligned} 0 &= d\omega(X_\omega, Y)(x(t)) \\ &= (X_\omega(\omega(Y)) - \omega([X_\omega, Y]))(x(t)) \quad (\star) \\ &= \frac{d}{dt}(p(t)Y(x(t))) - p(t)([\dot{x}(t), Y]) \end{aligned}$$

and (AE) implies that  $\gamma$  is singular.

Vice versa, assume that  $\gamma$ ,  $t \mapsto x(t)$ , is a singular curve. Let  $p(t)$  be a nonvanishing section of  $D^\perp$  along  $\gamma$ , which satisfies (AE). Then (AE) implies  $p(t)[\dot{x}(t), Y] = 0$ , if  $Y$  is a section of  $D$ . It follows that the vector  $\dot{x}(t)$  lies in the kernel of the partial tensor field  $\Gamma^\infty(D) \times \Gamma^\infty(D) \rightarrow C^\infty(M)$  defined by  $(X, Y) \mapsto \omega([X, Y]) = d\omega(Y, X)$ , where  $\omega$  is a section of  $D^\perp$  (cf. Fact 1). This means  $\dot{x}(t) \rfloor (d\omega|_{D(x(t))}) = 0$ . It follows from Fact 2 that if  $\text{rank } d\omega|_{D(x)} = 2k = m - 1$ , the vectors  $v$  in  $\ker d\omega(x)|_{D(x)}$  are exactly those which satisfy the equality  $v \rfloor \Omega(x) = (\omega_1 \wedge \omega_2 \wedge d\omega^k)(x)$ , or equivalently  $v \rfloor \mu(x) = (d\omega|_{D(x)})^k$  (here  $\mu(x)$  is a volume form on  $D(x)$ ). The condition  $\text{rank } d\omega|_{D(x)} = 2k$  holds under the genericity assumption (G'). Namely,  $d\omega|_{D(x)} = a_1\beta_1 + a_2\beta_2$ , where  $\beta_i = d\omega_i|_{D(x)}$ . It follows from (G') that  $\text{rank}(a_1\beta_1 + a_2\beta_2) = 2k$ , for all  $a = (a_1, a_2) \in \mathbb{R}^2$ ,  $a \neq 0$ . We conclude that for a singular curve  $\gamma$ ,  $t \mapsto x(t)$ , the field of tangent vectors can be written as  $v(t) = \dot{x}(t) = X_\omega(x(t))$ , where  $X_\omega$  is a characteristic vector field corresponding to a section  $\omega$  of  $D^\perp$ , which is any extension to a neighbourhood of the curve  $\gamma$  of the field of covectors  $t \mapsto p(t)$  along  $\gamma$ . Therefore, any singular curve in  $U$  is an integral curve of a characteristic vector field.

It remains to show that the section  $\omega$  can be taken horizontal. Take a point  $x = x(t)$  on the curve  $\gamma$ . Since  $\text{rank } d\omega|_{D(x)} = 2k = m - 1$ , the kernel of  $d\omega|_{D(x)}$  is of dimension one



and  $v = \dot{x}(t)$  is in the kernel. This implies that there is a well defined, up to multiplicative factor, nonzero vector  $w$  tangent to  $D^\perp$  at  $p = \omega(x)$ . Namely, let  $v \in \ker d\omega|_{D(x)}$  and let  $X$  be any extension of  $v$  to the local section of  $D$ . It is not hard to verify that the Hamiltonian vector field  $\vec{H}_X$  corresponding to the Hamiltonian  $H_X: T^*M \rightarrow \mathbb{R}$ ,  $H_X(\lambda) = \lambda(X)$ , is tangent to  $D^\perp$  at  $\lambda = (x, p)$  and depends only on  $v = X(x)$ . (If  $D = \text{span}\{X_1, \dots, X_m\}$ , take the unique  $u$  such that  $v = \sum_i u_i X_i(x)$  and then take  $w = \sum_i u_i \vec{H}_{X_i}(x, p)$ .) A field of such vectors defines a bi-characteristic vector field  $\vec{H}$  locally on  $D^\perp$ , which is nonvanishing over the region of  $x$  where (G') holds. It is unique up to multiplicative factor (a function of  $\lambda \in D^\perp$ ). Its trajectories  $t \mapsto (x(t), p(t))$  satisfy (AE). The singular curves are exactly projections to  $M$  of the integral curves of  $\vec{H}$ . We can choose a submanifold  $S \subset D^\perp$  which is a single cover of a neighbourhood of the singular curve  $\gamma$  and coincides with the field of covectors  $t \mapsto p(t)$  over the curve  $\gamma$ . For example, we can take a submanifold in  $S_0 \subset D^\perp$  of dimension  $n - 1$  which intersects the curve  $t \mapsto \lambda(t) = (x(t), p(t))$  transversally at a single point and projects regularly on  $M$ . Then we define  $S$  as a submanifold of local integral curves of  $\vec{H}$  passing through  $S_0$ . Since  $\dot{x}(t) \neq 0$  the submanifold  $S$  has a nonsingular projection  $\pi_S: S \rightarrow M$  on a neighbourhood of  $\gamma$ , and  $\pi_S$  is a diffeomorphism onto  $\pi_S(S)$ . The foliation of trajectories of  $\vec{H}$  projects on a foliation of singular curves of  $D$ , onto a neighbourhood of  $\gamma$ . The section  $\omega(x) := \pi_S^{-1}(x)$  is the desired section and  $X_\omega$  has all trajectories being singular curves of  $D$ , including the curve  $\gamma$ . It follows from the second and the third equality in  $(\star)$  and from (AE) that  $X_\omega \lrcorner d\omega = 0$ , i.e., the constructed section  $\omega$  is horizontal.

We have shown that the sets of smooth singular curves and of integral curves of horizontal vector fields coincide on the set  $U$  where (G') and (G'') hold. Thus,  $S(x) = C_{hor}(x)$ . Clearly,  $C_{hor}(x) \subset C_{char}(x)$ . We have shown in the preceding paragraph that any vector  $v \in C_{char}(x)$  belongs to  $C_{hor}(x)$ , thus  $C_{hor}(x) = C_{char}(x)$ . This finishes the proof of statement (i) and of Theorem 2.

**Remark 4.** Notice that we do not need the distribution  $D$  to be jet-transversal to the exceptional sets  $\mathcal{E}'$  and  $\mathcal{E}''$ . In order that conditions (i)-(iv) hold it is enough that it meets the sets  $\mathcal{E}'$  and  $\mathcal{E}''$  at a nowhere dense subset of  $M$ .

### 3. Characteristic vector fields: rank $D = 2k$ .

Consider smooth distribution  $D$  on  $M^{2k+2}$  of even rank  $m = 2k$ . Locally we can write  $D = \ker \omega_1 \cap \ker \omega_2$ , with smooth cogenerators  $\omega_1, \omega_2$ . A section  $\omega$  of  $D^\perp$  is called *characteristic 1-form* of  $D$  if

$$\omega_1 \wedge \omega_2 \wedge (d\omega)^k = 0.$$

Given a local volume form  $\Omega$  on  $M$ , the local vector field  $X = X_\omega$  satisfying

$$X_\omega \lrcorner \Omega = \omega \wedge (d\omega)^k \tag{CVF}$$

is called *characteristic vector field* of  $D$ , if  $\omega$  is characteristic 1-form.

A characteristic 1-form  $\omega$  is called *horizontal* if  $X_\omega \lrcorner d\omega = 0$  and the corresponding vector field  $X_\omega$  is called *horizontal vector field* of  $D$ .

**Proposition 2.** *Characteristic vector fields  $X_\omega$  have properties (P1), (P2), (P3) from Proposition 1, i.e.,  $X_\omega(x) \in D(x)$ ,  $X_\omega(x) \in \ker d\omega|_{D(x)}$ , and  $[X_\omega, Y](x) \in D(x)$ , for any other characteristic vector field  $Y$ .*

*Proof.* Definition (CVF) and Fact 2 imply  $X_\omega \lrcorner \omega = 0$  and  $X_\omega \in \ker d\omega|_{\ker \omega}$ . Thus we get (P2) as  $D \subseteq \ker \omega$ . Moreover  $\bar{\omega} \wedge \omega \wedge (d\omega)^k = 0$  for any section  $\bar{\omega}$  of  $D^\perp$  since  $\omega$  is characteristic. This gives  $0 = X_\omega \lrcorner (\bar{\omega} \wedge \omega \wedge (d\omega)^k) = (X_\omega \lrcorner \bar{\omega}) \wedge \omega \wedge (d\omega)^k$ , since  $X_\omega \lrcorner (\omega \wedge (d\omega)^k) = X_\omega \lrcorner (X_\omega \lrcorner \Omega) = 0$ . Therefore,  $X_\omega \lrcorner \bar{\omega} = 0$ . This, together with  $X_\omega \lrcorner \omega = 0$ , gives (P1). Property (P3) follows from (P1) and (P2), exactly as in the odd-rank case.

Contrary to the case of odd rank, if  $m = 2k$  the characteristic 1-forms and characteristic vector fields fill "discrete" subsets in  $D^\perp$  and  $D$ . In order to explain this better we represent  $\omega = \lambda_1 \omega_1 + \lambda_2 \omega_2$  and introduce the *characteristic equation* of  $D$ ,

$$\omega_1 \wedge \omega_2 \wedge (\lambda_1 d\omega_1 + \lambda_2 d\omega_2)^k = 0, \tag{CE}$$

with the unknown  $\lambda = (\lambda_1, \lambda_2) \in \mathbb{R}^2$  depending on  $x$ . If  $\Omega$  is a local volume form on  $M$ , we can write the characteristic equation in the equivalent form

$$P(\lambda_1, \lambda_2) := \sum_{j=0}^k a_j \lambda_1^{k-j} \lambda_2^j = 0, \tag{CE'}$$

where

$$a_j = \frac{\omega_1 \wedge \omega_2 \wedge (d\omega_1)^{k-j} \wedge (d\omega_2)^j}{\Omega}$$

are locally defined functions on  $M$ . This is a homogeneous equation, thus its nonzero solutions at a given point  $x$  can be considered as points in the projective line.

Note that the *characteristic polynomial*  $P$  is defined by the distribution  $D$  uniquely, up to invertible factor, since the transformation  $\omega_1 \rightarrow a_{11}\tilde{\omega}_1 + a_{12}\tilde{\omega}_2$  and  $\omega_2 \rightarrow a_{21}\tilde{\omega}_1 + a_{22}\tilde{\omega}_2$  changes  $\omega_1 \wedge \omega_2$  into  $\det A \tilde{\omega}_1 \wedge \tilde{\omega}_2$ , where  $A = \{a_{ij}\}$ . The solutions of (CE), when understood as elements  $\omega = \lambda_1 \omega_1 + \lambda_2 \omega_2$  of the annihilator  $D^\perp$ , depend only on  $D$  and not on the choice of  $\omega_1$  and  $\omega_2$ .

We fix two cogenerators  $\omega_1, \omega_2$  of  $D$  so that  $a_0(x) \neq 0$ . This can be done, locally, if  $P \neq 0$  and it means that  $(1, 0)$  is not a root of  $P = 0$ . Let  $Q = Q(a_0, \dots, a_k)$  denote the *discriminant* of the polynomial  $\tilde{P}(t) := P(t, 1)$ , which is a polynomial of the coefficients  $a_0, \dots, a_k$ . Denote  $\text{Discr}(x) = Q(a_0(x), \dots, a_k(x))$ . We introduce the *genericity condition*

$$\text{Discr}(x) \neq 0, \tag{G0}$$

equivalent to all roots of (CE') being single. If a root  $\lambda^0 = (t_0, 1)$  is single then  $\tilde{P}(t_0) = 0$  and  $\tilde{P}'(t_0) \neq 0$ , thus the implicit function theorem is applicable. Consequently, the solution  $(t_0, 1)$  has a locally unique continuation which depends smoothly on the coefficients of  $P$ .

We see that if  $\text{rank } D$  is even, the characteristic vector fields are locally defined and smooth in the region where  $\text{Discr} \neq 0$  (the condition  $a_0(x) \neq 0$  is no more needed). They are unique up to order and multiplication by nonvanishing functions. There are at most  $k$  of them and they are given via the formula (CVF) where  $\omega = \lambda_1^i \omega_1 + \lambda_2^i \omega_2$  is a solution of  $\omega_1 \wedge \omega_2 \wedge (d\omega)^k = 0$ , or equivalently,  $\lambda_i = (\lambda_1^i, \lambda_2^i)$  is a solution of (CE) or (CE').

*In what follows we shall mostly work in the region  $R_2$  of points in  $M$ , where the characteristic equation (CE) has at least 2 single real roots (counted in the projective line).*

The region  $R_2$  is an open subset in  $M$ , which follows from continuous dependence of (complex) solutions of polynomial equations with respect to the coefficients. The roots  $\lambda^1 = (\lambda_1^1, \lambda_2^1)$  and  $\lambda^2 = (\lambda_1^2, \lambda_2^2)$  being single, they depend analytically on the coefficients of the equation (treated as elements of the projective line). In particular, we can choose smooth sections  $\lambda^i(x) = (\lambda_1^i(x), \lambda_2^i(x))$  so that each  $\tilde{\omega}_i = \lambda_1^i \omega_1 + \lambda_2^i \omega_2$  is a smooth section of  $D^\perp$  and  $\tilde{\omega}^1, \tilde{\omega}^2$  are cogenerators of  $D$ . Such cogenerators satisfy the following equations (we omit tildes)

$$(\omega_1 \wedge \omega_2 \wedge (d\omega_1)^k)(x) = 0, \quad (C1)$$

$$(\omega_1 \wedge \omega_2 \wedge (d\omega_2)^k)(x) = 0, \quad (C2)$$

and will be called *characteristic cogenerators*. Thus we have proved

**Proposition 3.** *For any point  $x \in R_2$  we can choose cogenerators  $\omega_1$  and  $\omega_2$  of  $D$  in a neighbourhood of  $x$  so that (C1) and (C2) hold.*

**Remark 5.** Note that if  $k > 2$  then the characteristic equation may have  $r > 2$  real solutions. If they are all different and single at a given point, we may define in the same way  $r$  different characteristic 1-forms  $\omega_i$ ,  $i = 1, \dots, r$  in a neighbourhood of this point. In that case we can choose any two of them as characteristic cogenerators.

In order to state the main result consider two vector fields  $X_1, X_2$  defined on an open subset  $U \subset M^{2k+2}$ . We define two distributions

$$\Gamma_1(x) := \text{span} \{ X_1, X_2, \text{ad}_{X_1}^i X_2 : i = 1, \dots, 2k-1 \}(x), \quad (D1)$$

$$\Gamma_2(x) := \text{span} \{ X_1, X_2, \text{ad}_{X_2}^i X_1, i = 2, \dots, 2k-1 \}(x), \quad (D2)$$

where  $\text{ad}_X Y = [X, Y]$  denotes the Lie bracket of vector fields and we define inductively  $\text{ad}_X^i Y = \text{ad}_X(\text{ad}_X^{i-1} Y)$ . We introduce *genericity conditions*, imposed on the pair  $(X_1, X_2)$ ,

$$\dim \Gamma_1(x) = 2k + 1, \quad (G1)$$

$$\dim \Gamma_2(x) = 2k + 1, \quad (G2)$$

equivalent to pointwise linear independence of the vector fields defining  $\Gamma_1$  and  $\Gamma_2$ . Denote

$$Y_{-1} := X_1, Y_0 := X_2, Y_1 := \text{ad}_{X_1} X_2, \dots, Y_{2k-1} := \text{ad}_{X_1}^{2k-1} X_2.$$

Suppose (G1) be satisfied at  $x \in M$  and define a nonvanishing 1-form  $\omega_1$  which satisfies

$$\omega_1(Y_i) = 0, \quad i = -1, 0, \dots, 2k-1. \quad (F1)$$

Then  $\omega_1$  is defined locally around  $x$  uniquely, up to a nonvanishing factor. Another useful *genericity conditions* is

$$\text{rank} \{ \omega_1([Y_i, Y_j])(x) \}_{i,j=-1}^{2k-1} = 2k. \quad (G3)$$

The matrix in (G3) is antisymmetric, thus its rank is even, equal at most  $2k$ . Interchanging the role of  $X_1, X_2$  and assuming (G2) we analogously define the vector fields

$$Z_{-1} := X_2, Z_0 := X_1, Z_1 := \text{ad}_{X_2} X_1, \dots, Z_{2k-1} := \text{ad}_{X_2}^{2k-1} X_1$$

and a nonvanishing 1-form  $\omega_2$  which satisfies

$$\omega_2(Z_i) = 0, \quad i = -1, 0, \dots, 2k-1. \quad (\text{F2})$$

The next genericity condition is

$$\text{rank} \{ \omega_2([Z_i, Z_j])(x) \}_{i,j=-1}^{2k-1} = 2k. \quad (\text{G4})$$

We will also need

$$\dim(\Gamma_1(x) + \Gamma_2(x)) = 2k + 2 \quad (\text{G5})$$

and

$$d\omega_1(X_2, [X_2, X_1]) \neq 0, \quad d\omega_2(X_1, [X_1, X_2]) \neq 0. \quad (\text{G6})$$

**Theorem 3.** *There exists a subset  $\mathcal{G} \subset \mathcal{D}^{2k}(M^{2k+2})$ , residual and therefore dense in Whitney  $C^\infty$ -topology, such that for any distribution  $D \in \mathcal{G}$  the following conditions hold.*

- (i) *At generic points in  $M$  the singular curves of  $D$  are exactly integral curves of horizontal (equivalently, characteristic) vector fields, up to parametrization, and (G0) holds.*
- (ii) *Around generic points  $x \in R_2$  there exist two characteristic vector fields  $X_1, X_2$  of  $D$  which satisfy conditions (G1)-(G6). Moreover, at such  $x$  we have*

$$D(x) = \Gamma_1(x) \cap \Gamma_2(x).$$

**Remark 6.** (a) Clearly, statements (i) and (ii) imply Theorem 1, if  $m = 2k$ .

(b) If  $k$  is odd then a generic distribution is not determined by characteristic vector fields in the region where the characteristic equation (CE') has only one single root as, by statement (i), all singular curves are orbits of a single vector field. If  $k$  is even then (CE') has no or at least 2 single roots at generic points.

(c) Any smooth distribution  $D \subset TM$  can be modified on a contractible neighbourhood of a point  $p$  so that its germ at  $p$  is an a priori given germ (this follows from connectedness of the Grassmannians). Thus, the region  $R_2$  can always be made nonempty, by Lemma 2.

Statement (ii) admits the following converse. We introduce the *invariance condition*

$$[X_1, \Gamma_1] \subset \Gamma_1, \quad [X_2, \Gamma_2] \subset \Gamma_2. \quad (\text{I})$$

(For brevity, we write  $[X, \Delta] \subset \Delta$  instead of  $[X, \Gamma^\infty(\Delta)] \subset \Gamma^\infty(\Delta)$ , with  $\Gamma^\infty(\Delta)$  denoting the set of local sections of  $\Delta$ .) (I1) and (I2) mean invariance of  $\Gamma_i$  under the flow of  $X_i$ .

**Theorem 4.** *If  $X_1, X_2$  are vector fields satisfying (G1)-(G5), and (I1), (I2), on an open subset  $U \subset M$ , then  $X_1, X_2$  are characteristic vector fields of  $D(x) = \Gamma_1(x) \cap \Gamma_2(x)$ , corresponding to nonvanishing characteristic 1-forms  $\omega_1, \omega_2$  given by (F1), (F2).*

*Proof.* Let  $\omega_1, \omega_2$  be given by (F1), (F2). Then  $\ker \omega_1(x)$  and  $\ker \omega_2(x)$  are uniquely defined (which follows from (G1), (G2)) and  $\Gamma_i = \ker \omega_i, i = 1, 2$ . Take  $D = \Gamma_1 \cap \Gamma_2$ , then  $\text{codim } D(x) = 2$ , by (G5). Conditions (I1), (I2), and Fact 1 give  $X_i \in \ker d\omega_i|_{\Gamma_i}$ . This and  $X_i \in D$  imply  $X_i \lrcorner (\omega_1 \wedge \omega_2 \wedge (d\omega_i)^k) = 0$ , therefore  $\omega_1 \wedge \omega_2 \wedge (d\omega_i)^k = 0$  (if an n-form

has nontrivial kernel then it vanishes). Thus  $\omega_i$ ,  $i = 1, 2$ , are characteristic cogenerators of  $D$ . From (G3) and (G4) it follows that the kernels of  $d\omega_i|_{\Gamma_i}$  are of dimension 1, thus  $\ker d\omega_i|_{\Gamma_i}(x) = \text{span}\{X_i(x)\}$ . This is equivalent to existence of nonvanishing  $f_1, f_2$  such that  $X_i \lrcorner \Omega = f_i \omega_i \wedge (d\omega_i)^k$ , which completes the proof.

**Lemma 2.** *There exist polynomial vector fields  $X_1$  and  $X_2$  on  $\mathbb{R}^{2\kappa+4}$ ,  $\kappa \geq 1$ , which satisfy (I1), (I2), (G1), (G2), (G3), (G4), (G5) and (G6) at generic points. Moreover, introducing the coordinates  $x_1, x_2, z_1, z_2$ , and  $p_1, \dots, p_\kappa, q_1, \dots, q_\kappa$ , we can take them in the form*

$$\begin{aligned} X_1 &= \partial_{x_1} + \sum_1^\kappa (x_2^{i+1} \partial_{p_i} + x_2^{\kappa+i+1} \partial_{q_i}) + \sum_1^\kappa x_2^{i+1} q_i \partial_{z_1} + x_2 \partial_{z_2}, \\ X_2 &= \partial_{x_2} + \sum_1^\kappa (x_1^{i+1} \partial_{q_i} + x_1^{\kappa+i+1} \partial_{p_i}) + \sum_1^\kappa x_1^{i+1} p_i \partial_{z_2} + x_1 \partial_{z_1}, \end{aligned}$$

and then their germ at any point where  $x_1 = x_2 = 0$  and  $p_1 = q_1 = 1$  satisfies (I1), (I2) and (G1)-(G6), with  $k = \kappa + 1$ . In neighbourhood of such points the characteristic equation of  $D(x) = \Gamma_1(x) \cap \Gamma_2(x)$  has all roots real,  $\omega_1, \omega_2$  defined by (F1), (F2) are characteristic cogenerators of  $D$ , and  $X_1, X_2$  are characteristic vector fields corresponding to  $\omega_1, \omega_2$ .

*Proof.* We will compute the Lie brackets defining  $\Gamma_1$ . Note that no coordinate function in  $X_1$  and  $X_2$  depends on  $z_1, z_2$  (the corresponding terms in Lie brackets will vanish) and only some of them depend, linearly, on  $p_i$  or  $q_i$ . By direct computation we find

$$\begin{aligned} Y_1 = [X_1, X_2] &= \sum_1^\kappa ((i+1)x_1^i - (\kappa+i+1)x_2^{\kappa+i}) \partial_{q_i} \\ &\quad + \sum_1^\kappa ((\kappa+i+1)x_1^{\kappa+i} - (i+1)x_2^i) \partial_{p_i} \\ &\quad + \sum_1^\kappa (i+1)x_1^i p_i \partial_{z_2} - \sum_1^\kappa (i+1)x_2^i q_i \partial_{z_1} \\ &\quad + \sum_1^\kappa (1 - x_1^{i+1} x_2^{i+1}) (\partial_{z_1} - \partial_{z_2}), \\ Y_2 = \text{ad}_{X_1}^2 X_2 &= \sum_1^\kappa (i+1) i x_1^{i-1} \partial_{q_i} + \sum_1^\kappa (\kappa+i+1)(\kappa+i) x_1^{\kappa+i-1} \partial_{p_i} \\ &\quad + \sum_1^\kappa (i+1) i x_1^{i-1} p_i \partial_{z_2} + \sum_1^\kappa \kappa x_2^{\kappa+2i+1} \partial_{z_1} \\ &\quad + 2 \sum_1^\kappa (i+1) x_1^i x_2^{i+1} (\partial_{z_2} - \partial_{z_1}), \\ Y_3 = \text{ad}_{X_1}^3 X_2 &= \sum_2^\kappa (i+1) i (i-1) x_1^{i-2} \partial_{q_i} \\ &\quad + \sum_1^\kappa (\kappa+i+1)(\kappa+i)(\kappa+i-1) x_1^{\kappa+i-2} \partial_{p_i} \\ &\quad + \sum_2^\kappa (i+1) i (i-1) x_1^{i-2} p_i \partial_{z_2} \\ &\quad + 3 \sum_1^\kappa (i+1) i x_1^{i-1} x_2^{i+1} (\partial_{z_2} - \partial_{z_1}), \end{aligned}$$

$$\begin{aligned}
Y_4 = \text{ad}_{X_1}^4 X_2 &= \sum_3^\kappa \frac{(i+1)!}{(i-3)!} x_1^{i-3} \partial_{q_i} + \sum_1^\kappa \frac{(\kappa+i+1)!}{(\kappa+i-3)!} x_1^{\kappa+i-3} \partial_{p_i} \\
&+ \sum_3^\kappa \frac{(i+1)!}{(i-3)!} x_1^{i-3} p_i \partial_{z_2} \\
&+ 4 \sum_2^\kappa \frac{(i+1)!}{(i-2)!} x_1^{i-2} x_2^{i+1} (\partial_{z_2} - \partial_{z_1}).
\end{aligned}$$

To be more precise, if  $\kappa = 1$  then the sum at  $\partial_{p_i}$  is empty, similarly as the other sums in the formula for  $Y_4$ , and thus  $Y_4 = Y_{2\kappa+2} = 0$ . In this case we stop our calculations here. If  $\kappa \geq 2$  we continue the recursive procedure and get

$$\begin{aligned}
Y_{\kappa+1} = \text{ad}_{X_1}^{\kappa+1} X_2 &= (\kappa+1)! \partial_{q_\kappa} + \sum_1^\kappa \frac{(\kappa+i+1)!}{i!} x_1^i \partial_{p_i} \\
&+ (\kappa+1)! p_\kappa \partial_{z_2} \\
&+ (\kappa+1) \sum_{\kappa-1}^\kappa \frac{(i+1)!}{(i-\kappa+1)!} x_1^{i-\kappa+1} x_2^{i+1} (\partial_{z_2} - \partial_{z_1}) \\
Y_{\kappa+2} = \text{ad}_{X_1}^{\kappa+2} X_2 &= \sum_1^\kappa \frac{(\kappa+i+1)!}{(i-1)!} x_1^{i-1} \partial_{p_i} + (\kappa+2)! x_2^{\kappa+1} (\partial_{z_2} - \partial_{z_1}), \\
Y_{\kappa+3} = \text{ad}_{X_1}^{\kappa+3} X_2 &= \sum_2^\kappa \frac{(\kappa+i+1)!}{(i-2)!} x_1^{i-2} \partial_{p_i}, \\
&\vdots \\
Y_{2\kappa} = \text{ad}_{X_1}^{2\kappa} X_2 &= \sum_{\kappa-1}^\kappa \frac{(\kappa+i+1)!}{(i-\kappa+1)!} x_1^{i-\kappa+1} \partial_{p_i}, \\
Y_{2\kappa+1} = \text{ad}_{X_1}^{2\kappa+1} X_2 &= (2\kappa+1)! \partial_{p_\kappa}.
\end{aligned}$$

We see that  $[X_1, Y_{2\kappa+1}] = 0$  and  $[X_1, Y_j] = Y_{j+1}$ , for  $j = 0, \dots, 2\kappa$ , thus (I1) holds. By the symmetry of  $X_1$  and  $X_2$  condition (I2) also holds.

At the points where  $x_1 = x_2 = 0$  the above vector fields take much simpler form

$$\begin{aligned}
Y_{-1} &= X_1 = \partial_{x_1}, \\
Y_0 &= X_2 = \partial_{x_2}, \\
Y_1 &= \text{ad}_{X_1} X_2 = \partial_{z_1} - \partial_{z_2}, \\
Y_2 &= \text{ad}_{X_1}^2 X_2 = 2! \partial_{q_1} + 2! p_1 \partial_{z_2}, \\
Y_3 &= \text{ad}_{X_1}^3 X_2 = 3! \partial_{q_2} + 3! p_2 \partial_{z_2}, \\
&\vdots \\
Y_{\kappa+1} &= \text{ad}_{X_1}^{\kappa+1} X_2 = (\kappa+1)! \partial_{q_\kappa} + (\kappa+1)! p_\kappa \partial_{z_2}, \\
Y_{\kappa+2} &= \text{ad}_{X_1}^{\kappa+2} X_2 = (\kappa+2)! \partial_{p_1}, \\
&\vdots \\
Y_{2\kappa} &= \text{ad}_{X_1}^{2\kappa} X_2 = (2\kappa)! \partial_{p_{\kappa-1}}, \\
Y_{2\kappa+1} &= \text{ad}_{X_1}^{2\kappa+1} X_2 = (2\kappa+1)! \partial_{p_\kappa}
\end{aligned}$$

and we see that condition (G1) is satisfied at such points. By the symmetry we see that condition (G2) is also satisfied at these points.

From the definition of  $Y_j$  we get

$$(i) [Y_{-1}, Y_j] = [X_1, Y_j] = Y_{j+1}, \text{ for } 0 \leq j < 2\kappa + 1, \text{ and } [Y_{-1}, Y_{2\kappa+1}] = 0.$$

Moreover, at the points where  $x_1 = x_2 = 0$  we have

$$(ii) [Y_0, Y_j] = [X_2, Y_j] = 0, \text{ for all } 1 < j \leq 2\kappa + 1, \text{ and } [Y_0, Y_1] = -2\partial_{p_1} - 2q_1\partial_{z_1}.$$

The Lie brackets of vector fields  $Y_r, Y_s$  which are tangent to the submanifold

$$S = \{ x_1 = x_2 = 0 \}$$

can be correctly computed at the points in  $S$  using the formulas for  $Y_r, Y_s$  restricted to  $S$ . All  $Y_j, 1 \leq j \leq 2\kappa + 1$ , are tangent to  $S$ .

From the above formulas for  $Y_j$  restricted to  $S$  we easily find that:

$$(iii) Y_1 \text{ commutes on } S \text{ with all } Y_j, 1 < j \leq 2\kappa + 1.$$

$$(iv) Y_r \text{ and } Y_s \text{ commute on } S \text{ if } 2 \leq r, s \leq \kappa + 1 \text{ or } \kappa + 2 \leq r, s \leq 2\kappa + 1.$$

$$(v) Y_r \text{ and } Y_s \text{ commute on } S \text{ if } 2 \leq r \leq \kappa + 1, \kappa + 2 \leq s \leq 2\kappa + 1, s - r \neq \kappa.$$

$$(vi) [Y_r, Y_{r+\kappa}] = -r!(\kappa + r)!\partial_{z_2} \text{ on } S \text{ if } 2 \leq r \leq \kappa + 1.$$

We easily see that the 1-form  $\omega_1$  annihilating the vector fields  $Y_{-1}, Y_0, Y_1, \dots, Y_{2\kappa+1}$  restricted to  $S$  is

$$\omega_1 = dz_1 + dz_2 - \sum_1^\kappa p_i dq_i.$$

Thus the above calculations give the matrix  $\omega_1([Y_i, Y_j])$  on the submanifold  $S$ . Namely, by (i)-(vi) we have on  $S$

$$\omega_1([Y_r, Y_s]) = 0$$

for all  $-1 \leq r, s \leq 2\kappa + 1$ , with the following exceptions:

$$\begin{aligned} \omega_1([Y_0, Y_1]) &= -\omega_1([Y_1, Y_0])(0) = -2q_1, \\ \omega_1([Y_r, Y_{r+\kappa}]) &= -\omega_1([Y_{r+\kappa}, Y_r]) = -r!(\kappa + r)!, \end{aligned}$$

for  $2 \leq r \leq \kappa + 1$ . Therefore (G3) and the first part of (G6) hold at all points where  $x_1 = x_2 = 0$  and  $q_1 \neq 0$ . By the symmetry between  $X_1$  and  $X_2$  we see that (G4) and the second part of (G6) hold, too, at the points where  $x_1 = x_2 = 0$  and  $p_1 \neq 0$ .

Finally, at  $S = \{x_1 = x_2 = 0\}$  the corresponding 1-form  $\omega_2$  annihilating  $\Gamma_2$  is

$$\omega_2 = dz_1 + dz_2 - \sum_1^\kappa q_i dp_i.$$

We see that at points where  $p = (p_1, \dots, p_\kappa) \neq 0$  or  $q = (q_1, \dots, q_\kappa) \neq 0$  we have  $\ker \omega_1(x) \neq \ker \omega_2(x)$ , thus  $\text{corank } \Gamma_1(x) \cap \Gamma_2(x) = 2$  at such points and  $\dim \Gamma_1(x) + \Gamma_2(x) = 2\kappa + 4$ , i.e., (G5) holds.

The set of points where (I1), (I2), and (G1)-(G6) are satisfied is open and dense in  $\mathbb{R}^n, n = 2\kappa + 4$ . This follows from the fact that  $X_1$  and  $X_2$  have polynomial coefficients. Namely, negations of conditions (G1), (G2) and (G5) mean linear dependence of some Lie brackets of  $X_1$  and  $X_2$ . Since the coefficients of  $Y_{-1} = X_1, Y_0 = X_2$  and of the Lie brackets  $Y_j = \text{ad}_{X_1}^j X_2$  defining  $\Gamma_1$  (respectively,  $\Gamma_2$ ) depend polynomially on the coordinates, the negations of (G1), (G2) and (G5) can be expressed as polynomial equations.

These equations are nontrivial as we have shown that they are not satisfied at some point in  $\mathbb{R}^n$ . Therefore, the set of their solutions is closed and nowhere dense in  $\mathbb{R}^n$  and the set  $U \subset \mathbb{R}^n$  of points where (G1), (G2) and (G5) are satisfied is open and dense in  $\mathbb{R}^n$ . The 1-form  $\omega_1$  is defined by the equations  $\omega_1(Y_j) = 0$ ,  $j = -1, 0, \dots, 2\kappa + 1$ , and can be taken with rational coefficients, the common denominator of which is nonzero on  $U$ . The negation of condition (G3) can then be expressed as a nontrivial polynomial equation on  $U$ . The same applies to (G4) and (G6). Therefore, negations of conditions (G3), (G4), (G5), and (G6) hold on closed, nowhere dense subsets of  $U$ . This means that (G1)-(G6) are satisfied on an open, dense subset  $V$  in  $\mathbb{R}^n$ . Finally, it follows from our proof that  $[X_1, Y_j] = Y_{j+1}$ , for  $j = -1, 0, \dots, 2\kappa + 1$ , with  $Y_{2\kappa+2} := 0$ . Thus (I1) and (I2) hold on  $V$ .

To show that all characteristic roots are real note that  $(1, 0)$  and  $(0, 1)$  are such roots, at points in  $S$ , since  $\omega_1, \omega_2$  are characteristic cogenerators. We will show that  $\lambda = (1, 1)$  is the remaining root, which is of multiplicity  $\kappa - 1 = k - 2$ . In fact, consider the subdistribution  $N \subset D$  given by  $D \cap TS$ . Then  $\text{codim } N = 4$  for a generic point in  $S$ . Take  $\omega = \omega_1 + \omega_2$ , which corresponds to  $\lambda = (1, 1)$ . We see from the form of  $\omega_i|_S$ ,  $i = 1, 2$ , that  $d\omega_1|_N = -d\omega_2|_N$ , thus  $d\omega|_N = (d\omega_1 + d\omega_2)|_N = 0$ . This means that  $d\omega$  is of rank at most  $\text{codim } N = 4$  at a generic point in  $S$ . Therefore,  $\lambda = (1, 1)$  is a solution of (CE) of multiplicity at least  $k - 4/2 = k - 2$ . On the other hand, it can not have higher multiplicity as there are two other real roots. The proof is complete.

**Lemma 3.** *There exists a corank 2 distribution germ  $D$  at  $0 \in \mathbb{R}^{2k+2}$ ,  $k \geq 2$ , which satisfies (G0), all  $k$  roots of characteristic equation are real, and it has a pair of characteristic vector fields  $(X_1, X_2)$  that fulfill conditions (G1)-(G6).*

*Proof.* We shall first perturb the example in Lemma 2 so that condition (G0) is satisfied. (We were unable to find out, by hand calculations, whether the distribution in Lemma 2 satisfies (G0) at some points.) Consider a distribution  $D$  and its cogenerators  $\omega_1, \omega_2$ . Fix a local volume form  $\Omega$ . The coefficients  $a_0, \dots, a_k$  of the characteristic polynomial (CE') depend polynomially on the first jet of  $(\omega_1, \omega_2)$ . The map  $j^1(\omega_1, \omega_2) \mapsto (a_0, \dots, a_k)$  is submersive at generic jets. (For polynomial maps it is enough to check submersivity at one point. It is easy to do it on the 1-jet  $j^1\omega_1 = dz_1 + \sum_1^k x_i dy_i$ ,  $j^1\omega_2 = dz_2 + \sum_1^k b_i x_i dy_i$ , where the coefficients  $a_0, \dots, a_k$  are symmetric functions  $a_r = \sum_{j_1 \dots j_r} b_{j_1} \dots b_{j_r}$  of  $b_1, \dots, b_k$ .) The equation  $\text{Discr} = 0$  defines an algebraic subset of codimension 1 in the space of coefficients  $a_0, \dots, a_k$ . Thus there exist arbitrarily small perturbations of a given 1-jet  $j^1(\omega_1, \omega_2)$  which give  $\text{Discr} \neq 0$ .

Consider the distribution germ  $D = D(\omega_1, \omega_2)$  defined in Lemma 2, with cogenerators  $(\omega_1, \omega_2)$  satisfying the genericity conditions (G1)-(G6) for a fixed pair of characteristic vector fields  $(X_1, X_2)$ . Applying a shift, we may assume that this is a germ at  $0 \in \mathbb{R}^{2k+2}$ . Choose cogenerators  $\tilde{\omega}_1$  and  $\tilde{\omega}_2$  so that the coefficient  $a_0$  of the characteristic equation (CE'), relative to these cogenerators, is nonzero (this is equivalent to  $(1, 0)$  not being solution of (CE')). By the above argument, a slight perturbation of the first jet of  $(\tilde{\omega}_1, \tilde{\omega}_2)$  will satisfy (G0) at 0 and, by continuity, in its neighbourhood. By surjectivity, we can choose the perturbation so that all roots of the characteristic equation will remain real. (The unperturbed polynomial equation has real roots, thus it is a product of linear terms.)

At the same time we can choose the perturbation so small that conditions (G1)-(G6) are still satisfied for the pair  $(X_1, X_2)$ . This follows from the fact that the roots  $\lambda^i = (\lambda_1^i, \lambda_2^i)$  of the characteristic equation (CE') depend smoothly, as elements of the projective line, on the coefficients  $a_0, \dots, a_k$  (the implicit function theorem is applicable here since they are single roots). Similarly, finite jets of  $\lambda^i(x)$  depend smoothly on finite jets of  $a_0, \dots, a_k$ , thus they depend smoothly on finite jets of  $(\tilde{\omega}_1, \tilde{\omega}_2)$ . The lemma is proved.



*Proof of Theorem 3.* Consider the space of homogeneous degree  $k$  real polynomials  $\sum_{j=0}^k a_j \lambda_1^{k-j} \lambda_2^j$  and let  $\mathcal{D}iscr$  denote the subset of such polynomials having  $\mathcal{D}iscr = 0$ . As algebraic subset, it has a stratification into a finite number of submanifolds. The complement of this set is open and dense.

We first prove statement (ii). In the proof we will use the following condition.

(A) *The characteristic equation (CE) has at least 2 single real roots (in the projective line).*

Denote by  $\mathcal{E}_0$  the subset, in the space of finite jets of distributions, which consists of  $D$  for which (G0) does not hold, that is  $\mathcal{D}iscr(x) = 0$  (this equation is independent of the choice of cogenerators). For  $i = 1, 2$  denote by  $\mathcal{E}_i$  the subset, in the space of finite jets of distributions, which consists of those distributions  $D$  for which the implication  $((G0) \text{ and } (A)) \Rightarrow (G_i)$  does not hold at a given  $x$ , for a pair of characteristic vector fields  $X_1, X_2$ . Similarly, let  $\mathcal{E}_3$  be the subset consisting of those distributions  $D$  for which the implication  $((G0), (A) \text{ and } (G1)) \Rightarrow (G3)$  does not hold for a pair  $(X_1, X_2)$  and let  $\mathcal{E}_4$  denote the subset of  $D$  for which the implication  $((G0), (A) \text{ and } (G2)) \Rightarrow (G4)$  does not hold for a pair  $(X_1, X_2)$ . Finally, let  $\mathcal{E}_6$  be the subset of  $D$  such that the implication  $((G0), (A), (G1) \text{ and } (G2)) \Rightarrow (G6)$  does not hold at a given  $x$ , for a pair of characteristic vector fields  $X_1, X_2$ . (In the definition of different subsets  $\mathcal{E}_i$  the pair  $(X_1, X_2)$  is the same.) From the form of conditions (Gi),  $i = 0, \dots, 4, 6$ , it is easy to see that the subset  $\mathcal{E}_i$ , at a given  $x$ , is a real stratified submanifold and it has nonzero codimension as, by Lemma 3, there exist distribution jets which satisfy all (A) and (G0)-(G6). Note that  $((G0), (A), (G1) \text{ and } (G2)) \Rightarrow (G5)$  automatically holds, as (G0) means that the characteristic cogenerators defined by (F1), (F2) are linearly independent.

We define the set  $\mathcal{G} \subset \mathcal{D}^{2k}(M^{2k+2})$  of generic distributions as those smooth distributions which have the 1-jet extensions transversal to all submanifolds in the stratified set  $\mathcal{E}_0$  and, moreover, their finite jet extensions are transversal to the exceptional subsets  $\mathcal{E}_i$ ,  $i = 1, \dots, 4, 6$ , in the space of appropriate jets. By the Thom transversality theorem, the set of such distributions is residual in the Whitney  $C^\infty$  topology.

Since all exceptional subsets  $\mathcal{E}_0, \dots, \mathcal{E}_4, \mathcal{E}_6$  have nonzero codimension, and a distribution  $D \in \mathcal{G}$  meets the subsets  $\mathcal{E}_0, \dots, \mathcal{E}_4, \mathcal{E}_6$  at a closed, nowhere dense subset in  $M$ , it follows that  $D$  satisfies (G0) on an open, dense subset in  $M$  and it satisfies (G1)-(G6) on an open, nowhere dense subset in the region  $R_2$  (note that (G5) is implied by (G0)).

Moreover, at generic points in  $R_2$  there are characteristic vector fields  $X_1, X_2$  of  $D \in \mathcal{G}$  which fulfil (G1)-(G5), as well as (I1), (I2). They correspond to characteristic 1-forms  $\omega_1, \omega_2$  satisfying (G0). Using Theorem 4 we conclude that  $D = \Gamma_1 \cap \Gamma_2$ . This proves statement (ii).

In order to prove statement (i) it is enough to show that if  $X_\omega$  is a characteristic vector field, then there exists a function  $f$  on  $M$  such that  $f\omega$  is horizontal. Then the proof will follow from equation (AE) as in the odd-rank case.

Note that if  $\omega$  is characteristic, then  $X_\omega \in \ker d\omega|_{\ker \omega}$  (Proposition 2). Consider a vector field  $Y$  satisfying  $\omega(Y) = 1$ . It is enough to find a function  $f$  such that  $d(f\omega)(X_\omega, Y) = 0$ . Since  $\omega(X_\omega) = 0$ , we have

$$d(f\omega)(X_\omega, Y) = df(X_\omega)\omega(Y) + fd\omega(X_\omega, Y) = X_\omega(f) + fd\omega(X_\omega, Y).$$

Each nontrivial solution of the ordinary differential equation  $X_\omega(f) + fd\omega(X_\omega, Y) = 0$  gives a horizontal section  $f\omega$ . The proof is complete.

**Remark 7.** If we admit complex solutions to the characteristic equation (CE) or (CE'), we obtain complex valued characteristic 1-forms and the corresponding complex vector fields, being sections of complexified cotangent and tangent bundles  $T^{*\mathcal{C}}M$  and  $T^{\mathcal{C}}M$  (the n-form  $\Omega$  in (CVF) is still real). Denote by  $C \subset M$  the subset where the

characteristic equation has at least one non-real root. It seems that arguments analogous to above, provided that an appropriate version of Lemma 2 is shown, should lead to a proof of the following analog of statement (ii) in Theorem 3.

**Conjecture.** *For any generic distribution  $D = D^{2k}$  on  $M^{2k+2}$ , around generic points  $x \in C$ , there exists a pair of complex conjugated characteristic vector fields  $X_1, X_2$  of  $D$  which satisfy conditions (G1)-(G6) in the complex sense and  $D(x) = \text{Re}\{\Gamma_1(x) \cap \Gamma_2(x)\}$ .*

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