

# Baltic Set Theory Seminar, Fall 2022

## A stationary-tower-free proof of Woodin's Sealing Theorem

(with G. Sargsyan and B. Wcisło)

Shenfield's Absoluteness Theorem:  $\Sigma_2^1$ -facts are forcing absolute

Thm (Woodin):

If  $A$  is a universally Baire set of reals and there is a class of Woodin cardinals, then the theory of  $L(A, \mathbb{R})$  cannot be changed by forcing, i.e., for any set generic extension  $V[G] \subseteq V[G \times h]$ , there is an elem. emb.

The canonical interpretation of  $A$  in  $V[G]$ , i.e., if  $(\tau, s)$  witness that  $A$  is uB, then  $A_g = (P(G))^{V[G]}$ .

$$j: L(A_g, R_g) \longrightarrow L(A_{g \times h}, R_{g \times h})$$

$R^{V[G]}$

Definition (Woodin) :

Sealing is the conjunction of the following statements:

(1) For every set gen.  $g$  over  $\mathbb{V}$ ,  $L(\Gamma_g^\infty, R_g) \models AD^+$  and

$$P(R_g) \cap L(\Gamma_g^\infty, R_g) = \Gamma_g^\infty.$$

(2) For every set generic extensions  $V(g) \subseteq V[g * h]$ , there is an elem. emb

$$j: L(\Gamma_g^\infty, R_g) \xrightarrow{R^{V(g)}} L(\Gamma_{g * h}^\infty, R_{g * h}),$$

the uB sets  
of reals in  $V(g)$

s.t. for every  $A \in \Gamma_g^\infty$ ,  $j(A) = A_h$ .

Question A: Is there a large cardinal that implies Sealing?

Observation: Mice cannot satisfy Sealing, clause (1).

Question B: Does Sealing hold after collapsing a large cardinal? Yes.

## What we know about Sealing

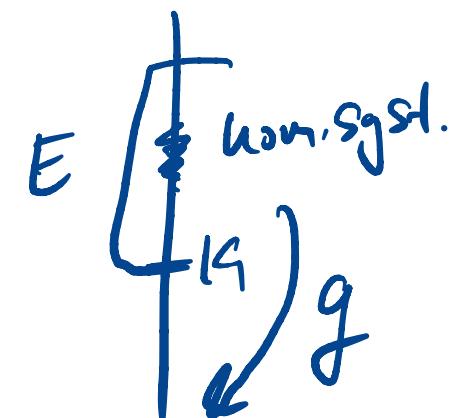
- (Woodin) Suppose there is a proper class of Woodin cardinals and  $\kappa$  is a supercompact cardinal. Then Sealing holds after collapsing  $\mathbb{Z}^{2^\kappa}$  to be countable.
- (Sargsyan-Trang, 2019) If there is a Woodin limit of Woodin cardinals, then Sealing is consistent.
- (Sargsyan-Trang, 2019) Suppose there is a proper class of Woodin cardinals and a strong cardinal, and self-itability holds. Then Sealing holds after collapsing the successor of the least strong cardinal to be countable.
- ( $\mu$ -Sargsyan-Waiste, 2022) There is a new stationary-tower-free proof of Woodin's Sealing Theorem, build on (Sargsyan, Trang, 2019).

## Outline of the proof

- Analyze  $L(\Gamma_g^\infty, R_g)$  and obtain it as a derived model of a direct limit model.
  - We need to ensure iterability of the models in question, for this we will use the s.c.!
- This requires to preserve uB sets to ultrapowers via extenders
  - ↳ isolate a property that allows us to do that
    - here the s.c. will also show up.

now  
+ next  
week

make reals generic +  
make uB set generic, in fact  
their representations



$V, V \in g), g \subseteq \text{GL}(\omega, 2^{2^k}), K \text{ s.c.}$

WTS:  $V \in g) \models \text{Sealing.}$

$L(\Gamma_{g \times h}^\infty, R_{g \times h})$

↑ further gen. ext.

Def: A set  $A \subseteq X^\omega$  is  $\gamma$ -compl. Suslin if there is a  $\gamma$ -complete homogeneity system  $\bar{\mu} = (\mu_s \mid s \in X^{<\omega})$  and a tree  $T$  s.t.  $A = p[T]$  and, for all  $s \in X^{<\omega}$ ,  $\mu_s(\overline{T}_s) = 1$ .

↑  $\overline{T}_s = \{t \mid s \sqsubset t \in T\}$

In particular,  $A = \{x \in X^\omega \mid (\mu_{x \upharpoonright n} \mid n < \omega) \text{ is well-founded}\}$ .

We write  $TWY$  for the set of all towers of measures

$\vec{\mu} = (\mu_i \mid i < \omega)$  s.t.  $\mu_i \in Y$ .

## Flipping functions

Lemma (Flipping lemma):

Let  $\delta$  be a Woodin card. and let  $Y \subseteq \text{meas}^+$  best.  $|Y| < \delta$ .

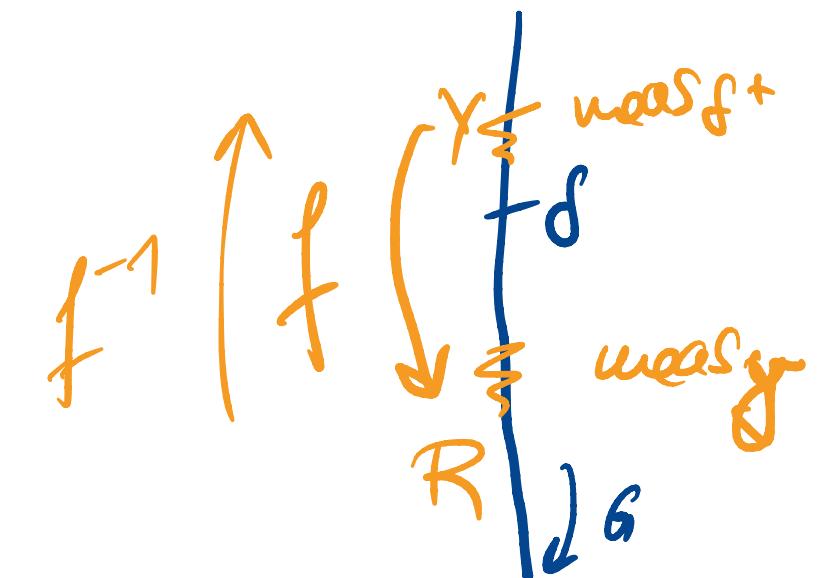
Then for any  $\gamma < \delta$ , there is some  $R \subseteq \text{meas}_\gamma$  as well as

a Lipschitz function  $f : \text{TW}_Y \rightarrow \text{TW}_R$  st.

(1)  $f$  is 1-to-1, and

(2) for all  $\gamma$ -generics  $\mathbb{G}$  and all  $\vec{\mu} \in (\text{TW}_Y)^{V(\mathbb{G})}$

$\vec{\mu}$  is well-founded  $\Leftrightarrow f(\vec{\mu})$  is ill-founded



Def: let  $\kappa$  be a card., let  $\delta_0 < \delta_1$  be Woodin card. above  $\kappa$ .

let  $W_0 \subseteq \text{meas}_{\kappa^+}$  with  $W_0 \in V_{\delta_0}$ ,

let  $W_1 \subseteq \text{meas}_{\delta_0^+}$  with  $W_1 \in V_{\delta_1}$ ,  $|W_1| < \delta_0$ ,

let  $f: TW_{W_1} \rightarrow TW_{W_0}$  be a flipping function.

let  $E$  be an extender with crit. pt.  $\kappa$ .

Then  $E$  is  $\omega$ B-preserving for  $(W_0, W_1, f)$  iff

(1)  $\text{lh}(E) = \text{str}(E) > \delta_1$ , and

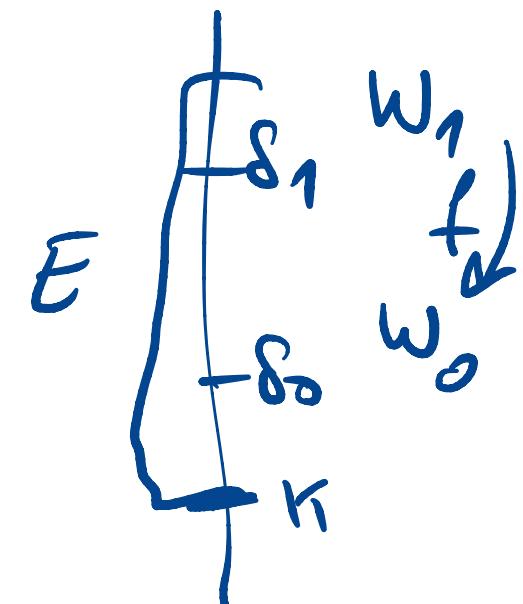
(2) [in  $\text{Ult}(V, E)$ ] there are embeddings  $h_0, h_1$  s.t.

$$\begin{array}{ccc} TW_{W_1} & \xrightarrow{h_1} & \pi_E(TW_{W_1}) \\ f \downarrow & \Downarrow & \downarrow \pi_E(f) \\ TW_{W_0} & \xrightarrow{h_0} & \pi_E(TW_{W_0}) \end{array}$$

Keep in mind: how systems will live in some  $V[G]$ .

But the measures come from  $V$ -measures.

Question: What is the large card. assumption necessary to get such an  $E$ ?



Def. We say a cardinal  $\kappa$  is uB-preserving if for all Woodin cards  $\delta_0 < \delta_1$  above  $\kappa$  and for all  $w_0, w_1$ , and  $f$ , as above, there is an extender  $E$  with  $\text{cp}(\kappa)$  s.t.  $E$  is uB-preserving for  $(w_0, w_1, f)$ .

Lemma: Sps. there is a proper class of Woodin cardinals and let  $\kappa$  be a supercompact cardinal. Then  $\kappa$  is uB-preserving.

Proof: Let  $\kappa < \delta_0 < \delta_1$ ,  $w_0, w_1, f$  be as above.

Let  $j: V \rightarrow M$  be an elem. emb. witnessing that  $\kappa$  is  $\delta_1^+$ -sc.

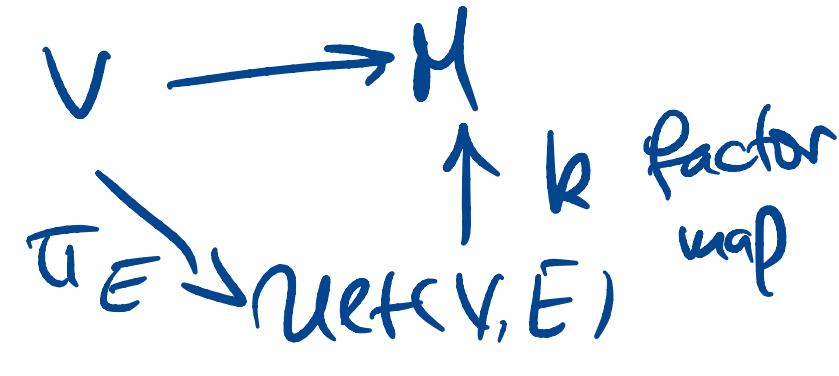
and let  $E$  be the  $(\kappa, \delta_1^+)$ -extender derived from  $j$ .

Now  $h_0 = j \upharpoonright \text{Th}_{w_0}$  and  $h_1 = j \upharpoonright \text{Th}_{w_1}$ . Then  $h_0, h_1 \in M$ .

Moreover,  $h_0 \circ f = j(f) \circ h_1$ .

Let  $\varphi(f, j(f)) \equiv " \exists h_0, h_1 \text{ as above}"$

then  $M \models \varphi(f, j(f))$ .



Let  $k : \text{Net}(V, E) \rightarrow M$  be the factor map.

Then  $k|_{V_{S_1}} = \text{id}$  and hence  $(f, j(f)) \in \text{reg}(k)$ .

In fact,  $k^{-1}(f) = f$  and  $k^{-1}(j(f)) = \pi_E(f)$ .

Therefore,  $\text{Net}(V, E) \models \varphi(f, \pi_E(f))$ .

$$\begin{array}{ccc} TW_{w_1} & \xrightarrow{h_1} & \pi_E(w_{w_1}) \\ \downarrow f & \circ & \downarrow \pi_E(f) \\ TW_{w_0} & \xrightarrow{h_0} & \pi_E(w_{w_0}) \end{array}$$

$|_{\text{In } \text{Net}(V, E)}$  |

□

Aim: Show that  $\text{uB}$ -preserving extenders in fact preserve the  $\text{uB}$ ness of a given set  $A$  to their ultrapower and its generic extensions, even if  $A$  is only  $\text{uB}$  in some  $V[g]$ .

Sps. there is a proper class of Woodins, let  $A$  be  $\text{uB}$  in  $V[g]$ ,

for some  $g \in \text{Col}(\omega, \gamma)$  for some fixed  $\gamma$ . Moreover, let

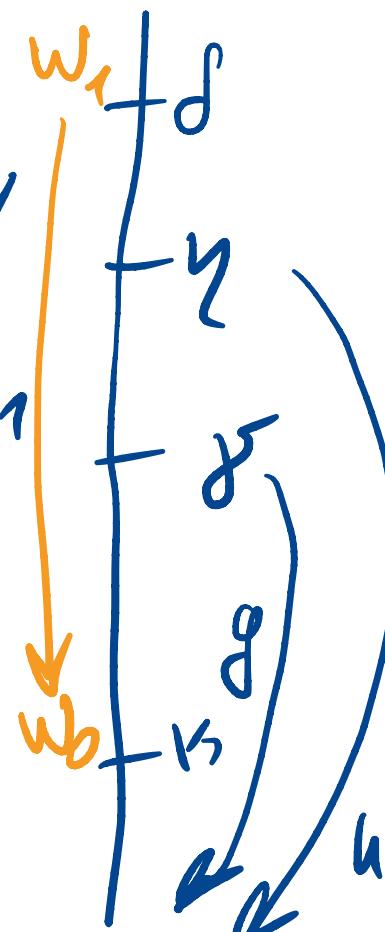
$h$  be  $\text{Col}(\omega, \gamma)$ -generic over  $V[g]$  for some fixed  $\gamma > \gamma$ .

let  $\delta > \gamma$  be Woodin and let  $W_1 \subseteq \text{meas}_{\delta^+}$  be measures in  $V$   
 s.t. there is a hom.sys.  $\bar{\mu}^{(1)}$  for  $A$  in  $V[g]$  with meas. from  $W_1$

(- their lift up to  $V[g]$ ).

By the flipping lemma, there is some  $W_0 \subseteq \text{meas}_{\delta^+}$  and  
 a flipping function  $f: \text{TW}_{W_1} \rightarrow \text{TW}_{W_0}$ .

$$\text{Let } \boxed{\bar{\mu}^{(0)} = f'' \bar{\mu}^{(1)}}$$



Lemma: In the situation above, let  $E$  be an  $\text{IR}$ -complete  $\bar{\text{uB}}$ -preserving  $V$ -extender for  $(\text{W}_0, \text{W}_1, f)$  with cp.  $K$  and length  $\geq g$ . Then  $A$  is  $\delta^+$ - $\bar{\text{uB}}$  in  $\text{Ult}(V, E)[g][h]$ .

Proof: Fix  $h_0, h_1$ , witnessing that  $E$  is  $\bar{\text{uB}}$ -preserving.

Recall that  $\bar{\mu}^{(1)}$  witnesses that  $A$  is  $\delta^+$ -hom. Suslin in  $V[g]$ .

As  $A$  is  $\delta^+$ - $\bar{\text{uB}}$ , we can make sense of  $A_h^{\text{vcsch}}$ .

Claim: For any  $u \in \text{Ult}(V, E)[g][h] \cap R$ ,

$u \in A_h^{\text{vcsch}} \iff (\text{``}h_0`` \bar{\mu}_{uh}^{(0)} |_{n < \omega})$  is ill-founded

$\iff (\text{``}h_1`` \bar{\mu}_{uh}^{(1)} |_{n < \omega})$  is well-founded

In particular,  $A$  is  $\delta^+$ -hom. Suslin in  $\text{Ult}(V, E)[g]$  and

$$A_h^{\text{vcsch}} \cap \text{Ult}(V, E)[g][h] = A_h^{\text{Ult}(V, E)[g][h]}$$

Pf of claim: Let  $M$  be the collapse of a ctble hull of  $V_\theta$  for some large  $\theta$ . Take  $\bar{g} \in V_{\text{gen}^{\text{over}} M}$ . Let  $\bar{E}$  be the collapse of  $E$ . Let  $\bar{h} \in V$  be  $\text{Col}(\omega, \bar{g})$ -gen over  $M[\bar{g}] \supseteq \text{Uet}(M, \bar{E})[\bar{g}]$ .

WTS: For any  $u \in \text{Uet}(M, \bar{E})[\bar{g}](\bar{h}) \cap \mathbb{R}$ ,

$u \in A \iff (\bar{h}_0, \bar{\xi}_{u\bar{h}_0}^{(0)} \mid_{u\bar{h}_0} \langle u < \omega \rangle)$  is ill-fdd

$\iff (\bar{h}_1, \bar{\xi}_{u\bar{h}_1}^{(1)} \mid_{u\bar{h}_1} \langle u < \omega \rangle)$  is well-fdd

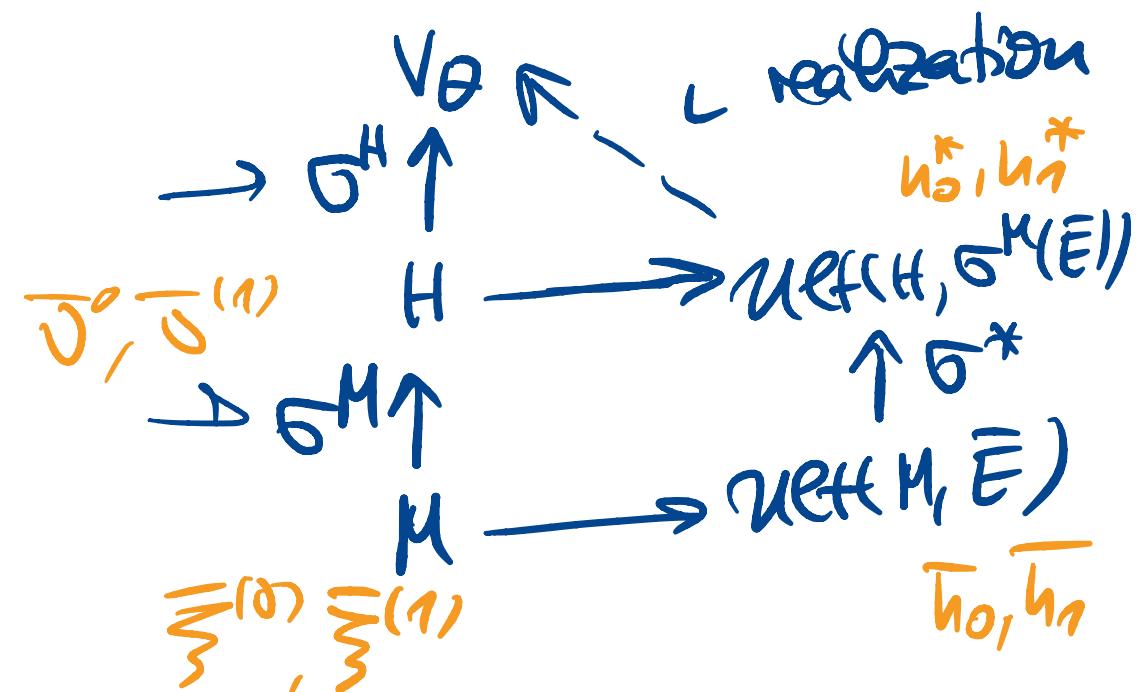
where  $\bar{\xi}^{(0)}, \bar{\xi}^{(1)}, \bar{h}_0, \bar{h}_1, \bar{f}$  are the collapses of  $\bar{\mu}^{(0)}, \bar{\mu}^{(1)}, h_0, h_1, f$ .

Let  $H$  be a hull of  $V_\theta$ ,

$$(1) R^V \subseteq H$$

$$(2) |H| = |R^V|$$

$$(3) M \not\propto H$$



Subclaim: For any  $u \in \text{Net}(M, E) \cap (\bar{g})^{\perp h} \cap R$ ,  
 $(\bar{h}_0" \bar{\zeta}_{u\dot{u}}^{(0)} |_{u < \omega})$  is well-fdd  $\Leftrightarrow (\bar{h}_1" \bar{\zeta}_{u\dot{u}}^{(1)} |_{u < \omega})$  is ill-fdd.

Proof:

$(\bar{h}_1" \bar{\zeta}_{u\dot{u}}^{(1)} |_{u < \omega})$  is ill-fdd  $\Leftrightarrow$   $(\pi_E(\bar{f})" \bar{h}_1" \bar{\zeta}_{u\dot{u}}^{(1)} |_{u < \omega})$  is well-fdd.

$\Rightarrow (\bar{h}_0" \bar{f}" \bar{\zeta}_{u\dot{u}}^{(1)} |_{u < \omega})$  is well-fdd

$\Leftarrow (\bar{h}_0" \bar{\zeta}_{u\dot{u}}^{(0)} |_{u < \omega})$  is well-fdd,

$$\begin{array}{ccc} \bar{w}_1 & \xrightarrow{\bar{h}_1} & \pi_E(\bar{w}_1) \\ \bar{f} \downarrow & & \downarrow \pi_E(\bar{f}) \\ \bar{w}_0 & \xrightarrow{\bar{h}_0} & \pi_E(\bar{w}_0) \end{array}$$

Since  $\bar{\zeta}^{(0)} = \bar{f}" \bar{\zeta}^{(1)}$

□

Note: The same argument yields

$(\langle" h_0^* " \bar{v}_{u\dot{u}}^{(0)} |_{u < \omega})$  is well-fdd  $\Leftrightarrow$

$(\langle" h_1^* " \bar{v}_{u\dot{u}}^{(1)} |_{u < \omega})$  is ill-fdd.

$L(\Gamma_g^\infty, R_g)$

↑  
uB sets  
in  $V(g)[h]$

$R^{V(g)[h]}$

Aim: Find a derived  
model representation  
of this

s.c.  
 $\downarrow$   
 $g \in \text{Coll}(\omega, 2^{2^K})$

→ For notational simplicity, assume that  $h$  is trivial.

More precisely: Find some model  $N$  s.t.  $\omega_1^{V(g)}$  is a limit of

Woodin cardinals in  $N$  and

$$L(\Gamma_g^\infty, R_g) = L(\text{Hom}^*, R^*)^{N[G]}$$

where  $G$  is  $\text{Coll}(\omega, \omega_1^{V(g)})$ -generic over  $N$ .

Let  $g'$  be  $\text{Col}(\omega, \mathbb{P}_g^\infty)$ -gen. over  $(\bar{V}, \bar{g})$  and let  
 $(A_i | i < \omega)$  be an enumeration of  $\mathbb{P}_g^\infty$  in  $(\bar{V}, \bar{g} \& g')$  and  
let  $(x_i | i < \omega)$  be an enumeration of  $\mathbb{R}^{\bar{V}, \bar{g}}$ .

Let  $\kappa < \delta_0 < \delta_1 < \delta_2 < \delta_3 < \delta_4$  be Woodin card.

As there is a proper class of Woodins, each  $A_i$  is hom. Suslin in  $(\bar{V}, \bar{g})$ .

For each  $i < \omega$ , let  $W_2^{(i)} \in V_{\delta_4}$  be a set of measures with  $W_2^{(i)} \subseteq \text{meas}_{\delta_3^+}$ .  
Fix hom. syst.  $\bar{\mu}_2^{(i)}$  s.t.  $A_i = \{x \in \omega^\omega \mid (\bar{\mu}_2^{(i)})_{x|n} \text{ } (n < \omega) \text{ is wfd}\}$ .

By Flipping lemma, there is  $W_n^{(i)} \subseteq \text{meas}_{\delta_2^+}$  and 1-1 Lipschitz function

$f_1^{(i)} : \text{TW}_{W_2^{(i)}} \rightarrow \text{TW}_{W_n^{(i)}}$  s.t. for all  $< \delta_2^+$ -generics  $H$

and all  $\vec{\mu} \in (\text{TW}_{W_2^{(i)}})^{\text{V(A)}}$ ,

$\vec{\mu}$  is well-fd iff  $f_1^{(i)}(\vec{\mu})$  is ill-fd.

Again, by the Flipping Lemma, there is some  $W_0^{(i)} \subseteq \text{meas}_{K^+}$  as well as a 1-to-1 Lipschitz function  $f_0^{(i)} : \text{TW}_{W_1^{(i)}} \rightarrow \text{TW}_{W_0^{(i)}}$ .

Using the flipping functions, let

$$\bar{\mu}_1^{(i)} = f_1^{(i)} \circ \bar{\mu}_2^{(i)} \quad \text{and}$$

$$\bar{\mu}_0^{(i)} = f_0^{(i)} \circ \bar{\mu}_1^{(i)}.$$

Pick a suff. large ordinal  $\theta$  and a sequence

$(\hat{M}_i, M_i, g_i \mid i < \omega)$  of models  $\hat{M}_i$  and  $M_i$  as well as  $M_i$ -guars  $g_i$  together with elem. emb.

$$\hat{\pi}_i : \hat{M}_i \rightarrow V_\theta[g] \quad \text{and} \quad \pi_i : M_i \rightarrow V_\theta \text{ st for all } i < \omega$$

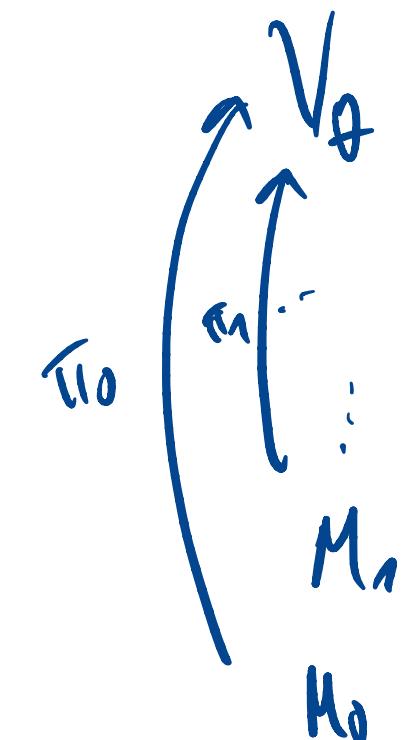
(1)  $\hat{M}_i = M_i[g_i]$  is ctdle in  $V[g]$ ,

(2)  $\pi_i \in V[g]$ ,

(3)  $\bar{\mu}_i^{(i)} \subseteq \text{rng}(\pi_i)$ ,  $f_1^{(i)}, f_0^{(i)} \in \text{rng}(\pi_i)$ ,

(4)  $\text{rng}(\pi_i) \subseteq \text{rng}(\pi_{i+1})$ , and

(5)  $\pi_i \upharpoonright O(u) = \text{id}$ .



Call such a sequence a block.

In order to ensure that we can keep iterating these  $M_i$ 's, we will realize them into a large model  $W$ .

Let  $j: V \rightarrow W$  be a  $\Theta$ -sc. embedding with c.p.  $\kappa$ .

Then  $W_\Theta \subseteq W$  and  $j(\kappa) > \Theta$ . In particular,  $V_\Theta \subseteq W$  and  $g$  is generic over  $W$ .

Note that  $j \circ \pi_i \in W[g]$  as

$W[g]$  is closed under cble seq. in  $V[g]$ .

Write  $\tilde{\sigma}_i = j \circ \pi_i : M_i \rightarrow W_{j(\Theta)}$ . Then

(1)  $\hat{M}_i = M_i[g_i]$  is cble in  $W[g]$

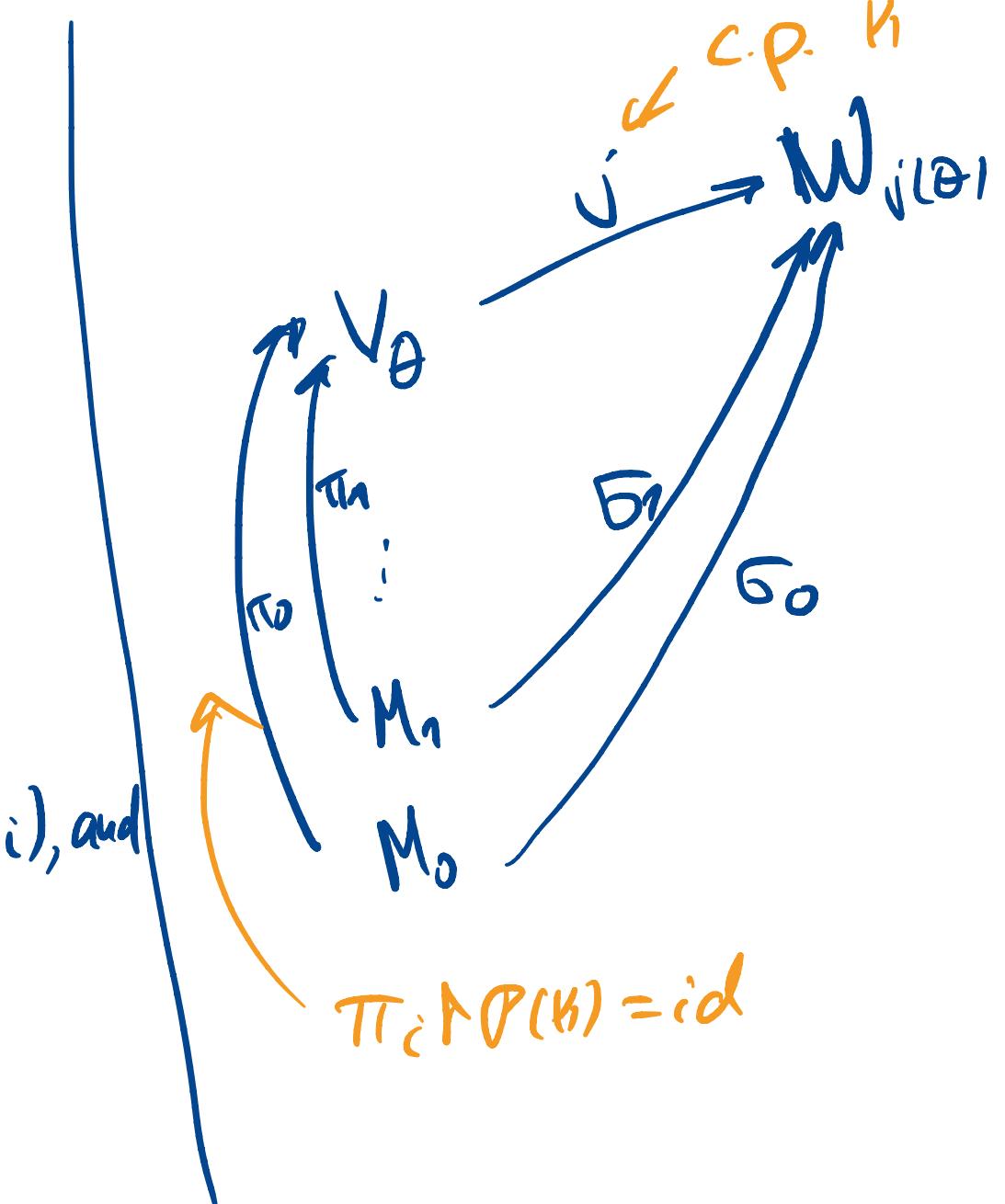
(2)  $P(\kappa)^{M_i} = P(\kappa)^{\hat{M}_i}$ ,

(3)  $\tilde{\sigma}_i \in W[g]$

(4)  $j \circ \mu_2^{(i)} \subseteq \text{rug}(\tilde{\sigma}_i), j(f_1^{(i)}), j(f_0^{(i)})$  eng  $(\tilde{\sigma}_i)$ , and

(5)  $\text{rug}(\tilde{\sigma}_i) \subseteq \text{rug}(\tilde{\sigma}_{i+1})$ .

Call this a weak block.



Lemma: For each  $i \in \omega$ ,  $j''\bar{\mu}_2^{(i)}$  gives rise to a hom. system for  $A_i$  in  $W[g]$ .

proof: Note that  $j''\bar{\mu}_2^{(i)} \in W[g]$ .

Write  $\bar{\mu}_2^{(i)} = (\mu_s \mid s \in \omega^{\omega})$ .

Claim: For each  $x \in TR^{V[g]} = R^{W[g]}$ ,  
 $(\mu_{x_m} \mid_{n \in \omega})$  is well-fdd in  $V[g]$  iff  $(j(\mu_{x_m}) \mid_{n \in \omega})$  is well-fdd in  $W[g]$ .

proof: " $\Leftarrow$ " easy, as  $(\mu_{x_m} \mid_{n \in \omega})$  ill-fdd  $\Rightarrow (j(\mu_{x_m}) \mid_{n \in \omega})$  ill-fdd.

" $\Rightarrow$ " Sps.  $(\mu_{x_m} \mid_{n \in \omega})$  is well-fdd in  $V[g]$ . Recall  $f_1^{(i)}$  flipping funct. in  $V$ .

Then  $f_1^{(i)}(\mu_{x_m} \mid_{n \in \omega})$  is ill-fdd. So  $j(f_1^{(i)}(\mu_{x_m} \mid_{n \in \omega}))$

$= j(f_1^{(i)}) \circ (j(\mu_{x_m}) \mid_{n \in \omega})$  is ill-fdd in  $W[g]$ .

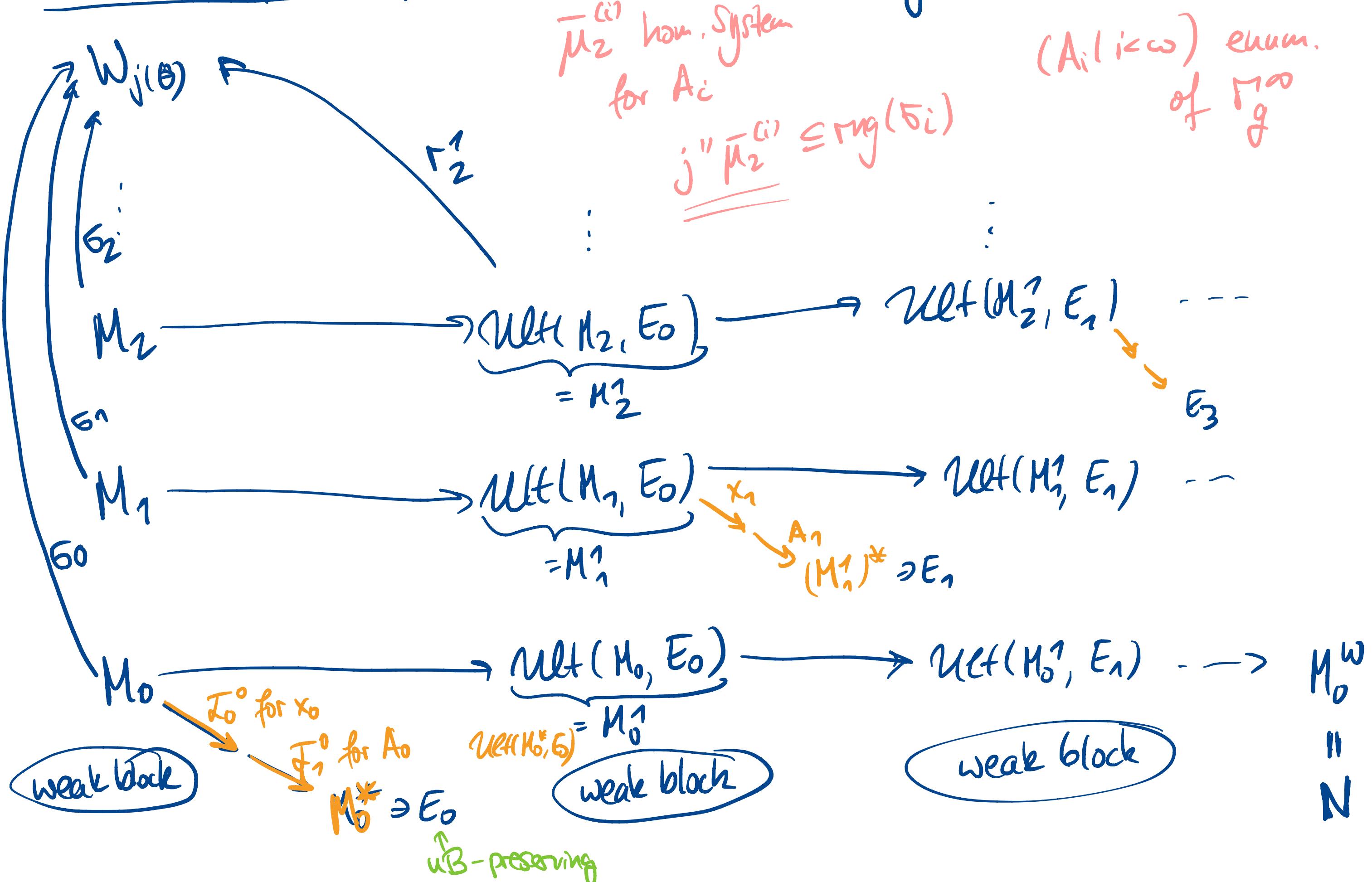
$\uparrow$   
flipping function  
in  $W$

So  $(j(\mu_{x_m}) \mid_{n \in \omega})$  is well-fdd. D

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## Picture of the proof



Fix some  $i < \omega$  and let  $A = A_i$ ,  $x = x_i$ . Write  $\bar{\mu}_2$  for  $j''\bar{\mu}_2^{(i)}$ .  
 Fix a weak block  $(\bar{A}_j, M_j, \bar{\delta}_j, g_j \mid j < \omega)$ . Write  $f_1, f_0$  for the  
 flipping functions.

let  $\{\bar{\delta}_0, \bar{\delta}_1, \bar{\delta}_2, \bar{\delta}_3, \bar{f}_1, \bar{f}_0, \bar{W}_2, \bar{W}_3, \bar{W}_0\} \cup \bar{\mathcal{D}}_2 \subseteq M_i$

be the preimages of  $\{\dots\} \cup \bar{\mu}_2 \subseteq W$  under  $\delta_i$ .

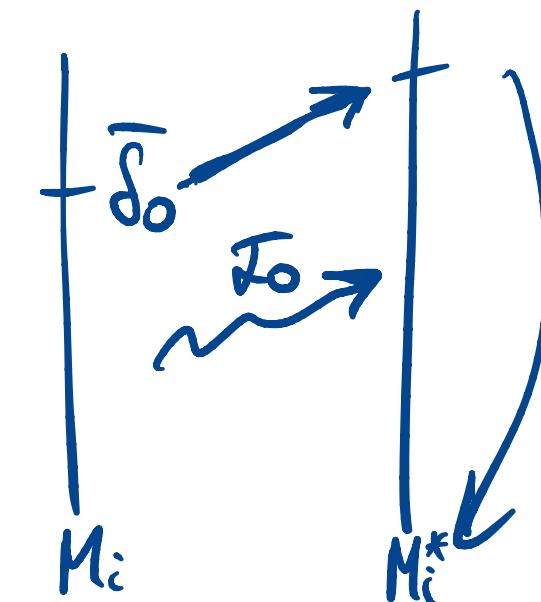
let  $\bar{v}_1 = \bar{f}_1'' \bar{D}_2$  and  $\bar{J}_0 = \bar{f}_0'' \bar{v}_1$ .

Let  $\bar{\mu}_1 = f_1'' \bar{\mu}_2$ ,  
 $\bar{f}_0 = f_0'' \bar{\mu}_1$ .

(Make a real generic)

Let  $J_0$  be the  $i^{\text{th}}$  tree on  $M_i$  acc. to the realization strategy  
 (into  $W_{j(\theta)}$ ) resulting from making  $x$  generic below  $\bar{\delta}_0$  acc. to Neeman.

Let  $\iota: M_i \rightarrow W_{j(\theta)}$  be the realization.

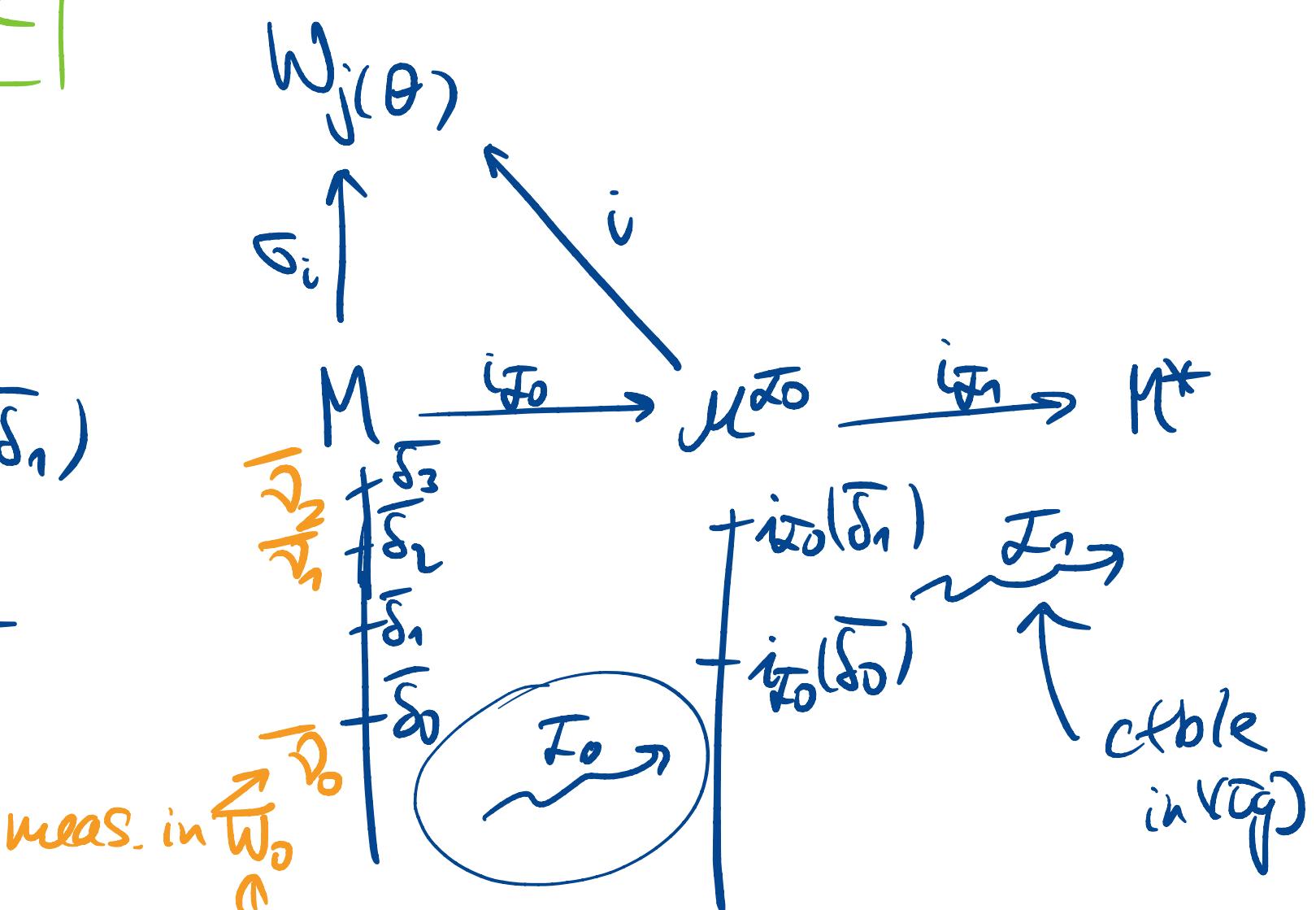


## Make a hom. system generic

Let  $\mathcal{I}_0$  be the it. tree on  $M^{\bar{\omega}}$   
acc. to the realization strategy

that acts between  $i_{\bar{\omega}}(\bar{\delta}_0)$  and  $i_{\bar{\omega}}(\bar{\delta}_1)$

and makes  $(i_{\bar{\omega}} \upharpoonright V_{\bar{\delta}_0}^M)$  generic  
acc. to Neeman.



Let  $\boxed{\bar{\nu}_0^* = i_{\bar{\omega}}'' \bar{\nu}_0} \in M^*[g^*]$  where  $g^*$  is  $\text{Col}(\omega, i(\bar{\delta}_1))$ -gen  $/ M^*$   
 $x \in M^*[g^*]$

- Flip back up :

$$\boxed{\bar{\nu}_1^* = (i(\bar{f}_0))'' \bar{\nu}_0^*}$$

$$\boxed{\bar{\nu}_2^* = (i(\bar{f}_1))'' \bar{\nu}_1^*}$$

" Reversing the flops"

WTS:  $\bar{\nu}_2^*$  witnesses that "A" is hom. Sushin in  $M^*$ .

## Neeman's genericity iteration

Def: Let  $P \in M$  be a poset. An iteration tree  $\mathcal{I}$  on  $M$  is said to absorb  $x$  to an extension by an image of  $P$  in case for every well-founded cofinal branch  $b$  through  $\mathcal{I}$ , there is a generic extension  $M_b^{\mathcal{I}}[g]$  of  $M_b^{\mathcal{I}}$  by the poset  $j_{ob}^{\mathcal{I}}(P)$ , so that  $x \in M_b^{\mathcal{I}}[g]$ .

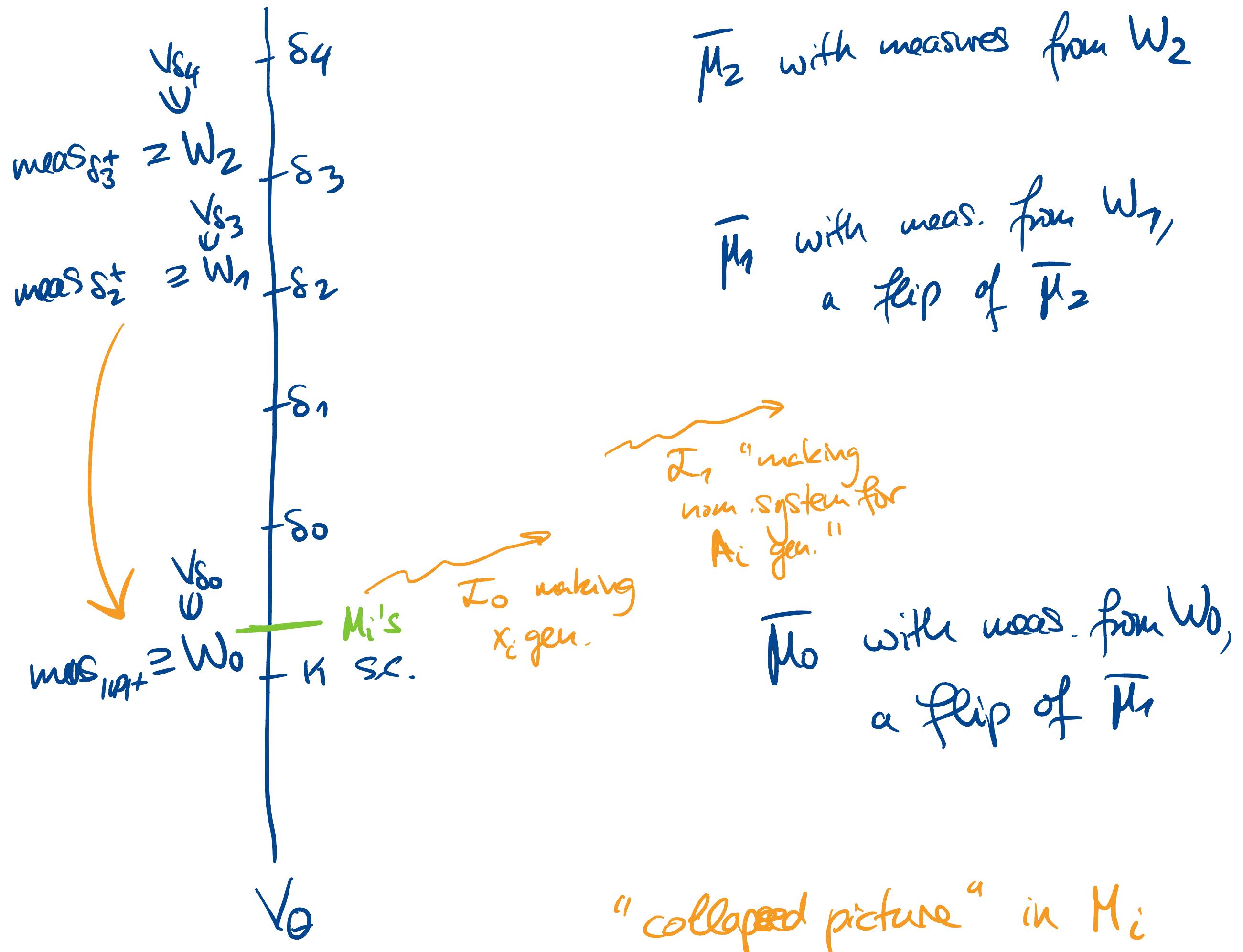
Thm (Neeman): Let  $M$  be a model of ZFC, let  $S$  be Woodin in  $M$  s.t.  $P^M(S)$  is countable in  $V$ . Then for every real  $x$  there is a length  $\omega$  iteration tree  $\mathcal{I}$  on  $M$  which absorbs  $x$  into an extender by an image of  $Col(\omega, S)$ .

References:

- Neeman, Optimal proofs of determinacy, BSL  
↳ Corollary 1.8
- Neeman, Determinacy in L(R), Handbook of Set Theory  
↳ Section 7

Woodin's genericity iteration

- + poset  $S$ -cc
- "extender algebra"
- it requires more iterability, in general,  $(\omega+1)$ -iterability



We have  $\bar{\gamma}_1^* = (i(\bar{f}_0))^{-1} \upharpoonright \bar{\gamma}_0^* = (i(\bar{f}_0))^{-1} \upharpoonright (i''\bar{\gamma}_0)$  as  $\bar{\gamma}_0$  is below  $\bar{\delta}_0$ .

Hence, as  $\bar{\gamma}_0 = \bar{f}_0 \upharpoonright \bar{\gamma}_1$ ,  $\bar{\gamma}_1^* = i''\bar{\gamma}_1$ .

Similar,  $\bar{\gamma}_2^* = i''\bar{\gamma}_2$ . Let  $W_j^* = i(\bar{W}_j)$  for  $j \in \{0, 1, 2\}$ .

Lemma: Let  $\gamma \geq i(\bar{\delta}_1)$  be a cardinal in  $M^*$  and let  $h^*$  be  $(\text{cf}(w, f) \text{-cc})$  over  $M^*(g^*)$  with  $h^* \in V(g)$ . Then for any  $u \in M^*(g^*) \cap h^* \cap \mathbb{R}$ ,

$u \in A \Leftrightarrow ((\bar{\gamma}_2^*)_{u\text{m}} \mid u < \omega)$  is wfd  $\Leftrightarrow ((\bar{\gamma}_1^*)_{u\text{m}} \mid u < \omega)$  is wfd.

Pf: The second equivalence follows from the facts that  
 $\bar{\gamma}_1^* = i(\bar{f}_1) \upharpoonright (\bar{\gamma}_2^*)$  and  $i(\bar{f}_1)$  is a flipping function.

For the first equivalence, recall that  $\bar{\mu}_2 \cup \{f_1, f_2\} \subseteq \text{rug}(\delta_i)$  and hence  $\bar{\mu}_1 \subseteq \text{rug}(\delta_i)$ . We have

$u \in A \Leftrightarrow ((\bar{\mu}_2)_{u \cap n} |_{n < \omega})$  is well-fdd.

$\Leftrightarrow ((\bar{\mu}_1)_{u \cap n} |_{n < \omega})$  is ill-fdd.

$\Rightarrow (\delta_i^{-1}((\bar{\mu}_1)_{u \cap n}) |_{n < \omega})$  is ill-fdd.

$\Leftrightarrow ((\bar{\nu}_1)_{u \cap n} |_{n < \omega})$  is ill-fdd.

$\Rightarrow (i((\bar{\nu}_1)_{u \cap n}) |_{n < \omega})$  is ill-fdd.

$\Leftrightarrow ((\bar{\nu}_1^*)_{u \cap n} |_{n < \omega})$  is ill-fdd.

Moreover,  $u \notin A \Rightarrow ((\bar{\nu}_2^*)_{u \cap n} |_{n < \omega})$  is ill-fdd, similarly.

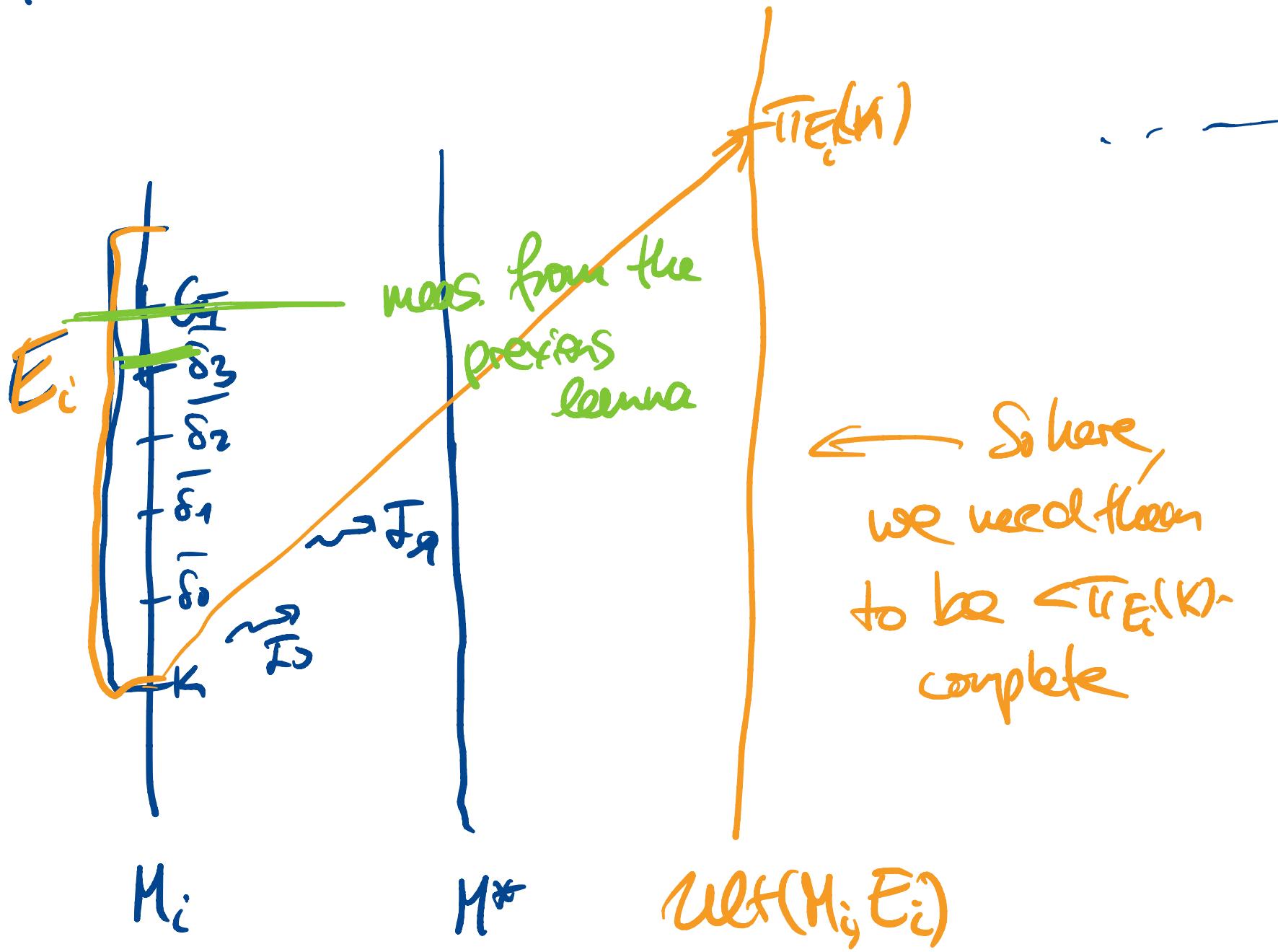
$$\begin{array}{ccc} \bar{\mu}_1, \bar{\mu}_2 & W_j(\Theta) \\ \uparrow \delta_i & & \\ \bar{\nu}_1, \bar{\nu}_2 & M_i & \xrightarrow{i} M^* \\ & & \bar{\nu}_1^*, \bar{\nu}_2^* \end{array}$$

□

Next goal: Extend the characterization in the previous Lemma from  $H^*$  to  $\text{Ult}(H^*, E)$  by some short extender  $E \in H^*$ .

Key point: We want such an extension with systems of measures that are  $\text{CIE}_E(K)$ -complete, even if  $\text{IE}_E(k) > i(\bar{\delta}_4)$ .

Why?



To show that  $A$  is in  $(H^*)^{M_\omega \text{I6}}$  for  $\sigma \in \text{Col}(\omega, < k_\alpha)$ ,  
 we need  
 nom. systems  
 that are  $< k_\alpha$ -complete!

$$\underline{M_\omega^0} = \text{dir } \text{Can}$$

→ To find these new suff. complete nom. systems, we will use  $\text{UB}$ -preservation!