

# Minimality and Uniqueness for Decompositions of Symmetric Tensors

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Based on the following joint works with Luca Chiantini:

*"Minimality and uniqueness for dec. of specific ternary forms"* (2020) to appear on Math.Comp.

*"On the description of identifiable quartics"* (2021) arXiv:2106.06730 [math.AG]

IMPANGA seminar 2021/2022

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## PRELIMINARIES

- Notation
- Kruskal's ranks and criterion
- The Hilbert function

## BEYOND KRUSKAL'S BOUND

- The case of ternary forms
- Focus on ternary nonics
- The special case of quartics in 5 variables

## REFERENCES

- $d, n \in \mathbb{N}$
- $\mathbb{C}^{n+1} : \{\text{linear forms in } x_0, \dots, x_n / \mathbb{C}\}$
- $S^d \mathbb{C}^{n+1} : \{\text{forms of degree } d \text{ in } x_0, \dots, x_n / \mathbb{C}\}$
- $T \in S^d \mathbb{C}^{n+1} \rightsquigarrow [T] \in \mathbb{P}(S^d \mathbb{C}^{n+1}) \cong \mathbb{P}^N, N = \binom{n+d}{d} - 1$
- $\nu_d : \mathbb{P}^n \rightarrow \mathbb{P}^N$   *$d^{th}$ -Veronese embedding of  $\mathbb{P}^n$*   
 $\nu_d([a_0x_0 + \dots + a_nx_n]) = [(a_0x_0 + \dots + a_nx_n)^d]$
- $A = \{L_1, \dots, L_{\ell(A)}\} \subset \mathbb{P}^n \rightsquigarrow \langle \nu_d(A) \rangle = \langle L_1^d, \dots, L_{\ell(A)}^d \rangle$

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Let  $A \subset \mathbb{P}^n$  be a finite set and let  $T \in \mathbb{P}^N$

## Definition

- $A$  is a *computes*  $T \in \mathbb{P}^N$  if  $T \in \langle \nu_d(A) \rangle$ , i.e.  

$$T = \lambda_1 L_1^d + \dots + \lambda_{\ell(A)} L_{\ell(A)}^d, \quad \exists \lambda_1, \dots, \lambda_{\ell(A)} \in \mathbb{C}$$
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Applications: engineering [AGHKT], chemistry [AD],...

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Let  $A \subset \mathbb{P}^n$  be a finite set

## Definition

The  $j$ -th Kruskal's rank of  $A$  is

$$k_j(A) = \max \left\{ k \mid \forall \text{ submatrix of } \begin{pmatrix} L_1^j \\ \vdots \\ L_{\ell(A)}^j \end{pmatrix} \text{ with } k \text{ rows has rank } k \right\}$$

## Remarks

- $k_j(A) \leq \min\{\ell(A), N + 1\}$
- $A$  general  $\implies k_j(A)$  maximal

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## Theorem: Kruskal's criterion for forms [COV17]

Let  $d \geq 3$ , let  $A \subset \mathbb{P}^n$  be a non-redundant set computing  $T \in \mathbb{P}^N$ . Assume that  $d = d_1 + d_2 + d_3$ , with  $d_1 \geq d_2 \geq d_3 \geq 1$ . If

$$\ell(A) \leq \frac{k_{d_1}(A) + k_{d_2}(A) + k_{d_3}(A) - 2}{2} \quad (1)$$

then  $T$  has rank  $\ell(A)$  and it is identifiable.

### Kruskal's bound for $S^9\mathbb{C}^3$ and $S^4\mathbb{C}^5$

✓  $n = 2, d = 9 = 4 + 4 + 1$ :

$$k_4(A) = \min\{\ell(A), 15\}, k_1(A) = \min\{\ell(A), 3\} \implies \ell(A) \leq 15$$

✓  $n = 4, d = 4 = 2 + 1 + 1$ :

$$k_2(A) = \min\{\ell(A), 15\}, k_1(A) = \min\{\ell(A), 5\} \implies \ell(A) \leq 8$$

- [COV17]: L. Chiantini, G. Ottaviani, N. Vannieuwenhoven “Effective criteria for specific identifiability of tensors and forms” SIAM J. Matrix Anal. Appl. 38 (2017) 656-681

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- *Hilbert function of A*

$$h_A : \mathbb{N} \longrightarrow \mathbb{N}, \quad h_A(j) = \text{rank} \begin{pmatrix} L_1^j \\ \vdots \\ L_{\ell(A)}^j \end{pmatrix}$$

- *First difference of the Hilbert function of A*

$$Dh_A(j) = h_A(j) - h_A(j-1)$$

$r$  general points in  $\mathbb{P}^4$ , with  $9 \leq r \leq 13$

$j$	0	1	2	3	...
$h_A(j)$	1	5	$r$	$r$	...
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## Theorem: beyond Kruskal's bound for ternary forms [AC20]

Let  $T \in \mathbb{P}(S^d\mathbb{C}^3)$  and let  $A \subset \mathbb{P}^2$  be a non-redundant finite set computing  $T$ . The form  $T$  is **identifiable of rank  $\ell(A)$**  if one of the following occurs:

- $d = 2m$ 
  - ▷  $k_{m-1}(A) = \min\{\ell(A), \binom{m+1}{2}\}$
  - ▷  $h_A(m) = \ell(A) \leq \binom{m+2}{2} - 2$
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### Beyond Kruskal's bound for $S^9\mathbb{C}^3$

$$\checkmark n = 2, d = 9 = 2 \cdot 4 + 1 \implies m = 4 \implies \ell(A) \leq 17$$

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Assume that  $n = 2$  and  $d = 9$ . Consider:

- ★  $T \in \mathbb{P}(S^9\mathbb{C}^3) \cong \mathbb{P}^{54}$
- ★  $A = \{L_1, \dots, L_{\ell(A)}\} \subset \mathbb{P}^2$  non-redundant set computing  $T$ 
  - ✓  $k_4(A) = \min\{\ell(A), 15\}$
  - ✓  $h_A(5) = \ell(A)$

### Classically known case

▷  $T$  general  $\Rightarrow T$  has rank 19 [AH]  $\Rightarrow T$  is not identifiable [GM]

$$\ell(A) = 18?$$

- [AH]: J. Alexander, A. Hirschowitz "Polynomial interpolation in several variables" J. Algebraic Geom. 4 (2) (1995) 201-222
- [GM]: F. Galuppi, M. Mella "Identifiability of homogeneous polynomials and Cremona transformations" J. Reine Angew. Math. 757 (2019), 279-308

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## Hilbert function, first difference and ideal of A

$j$	0	1	2	3	4	5	6	...
$h_A(j)$	1	3	6	10	15	18	18	...
$Dh_A(j)$	1	2	3	4	5	3	0	...

$$\triangleright 0 \longrightarrow R(-7)^{\oplus 3} \xrightarrow{M} R(-5)^{\oplus 3} \oplus R(-6) \longrightarrow I_A \longrightarrow 0$$

$$R = \mathbb{C}[x_0, x_1, x_2], M = \begin{pmatrix} q_1 & q_2 & q_3 \\ q_4 & q_5 & q_6 \\ q_7 & q_8 & q_9 \\ \ell_1 & \ell_2 & \ell_3 \end{pmatrix}, I_A = (Q_1, Q_2, Q_3, S)$$

- ★  $B = \{L'_1, \dots, L'_{\ell(B)}\} \subset \mathbb{P}^2$  another non-redundant dec. of  $T$  with  $\ell(B) \leq 18$  and  $A \cap B = \emptyset$

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$j$	0	1	2	3	4	5	6	...
$h_A(j)$	1	3	6	10	15	18	18	...
$Dh_A(j)$	1	2	3	4	5	3	0	...

▷  $0 \longrightarrow R(-7)^{\oplus 3} \xrightarrow{M} R(-5)^{\oplus 3} \oplus R(-6) \longrightarrow I_A \longrightarrow 0$

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## Properties of $Dh_Z$ , $Z = A \cup B$

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- [AC20]: E. Angelini, L. Chiantini "On the identifiability of ternary forms" Lin. Alg. Appl. 599 (2020) 36-65

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- ✓  $Dh_Z(10) > 0$  [AC20];
- ✓  $Dh_Z(0) + \dots + Dh_Z(j) \leq Dh_Z(10 - j) + \dots + Dh_Z(10)$   
 $\forall j \in \{0, \dots, 10\}$ , [ACV].

- [AC20]: E. Angelini, L. Chiantini "On the identifiability of ternary forms" Lin. Alg. Appl. 599 (2020) 36-65
- [ACV]: E. Angelini, L. Chiantini, N. Vannieuwenhoven "Identifiability beyond Kruskal's bound for symmetric tensors of degree 4" Rend. Lincei Mat. Applic. 29 (2018), 465-485

## Proposition: possible cases for $Dh_Z$ [AC21]

1.	$j$	0	1	2	3	4	5	6	7	8	9	10	11	...
	$Dh_Z(j)$	1	2	3	4	5	6	5	4	3	2	1	0	...

and  $\ell(B) = 18$ ,  $\ell(Z) = 36$

- [AC21]: E. Angelini, L. Chiantini “Minimality and uniqueness for decompositions of specific ternary forms”  
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The map  $f : \mathbb{G}(1, 9) \dashrightarrow \langle \nu_9(A) \rangle$  defined by

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is a linear projection of  $\mathbb{G}(1, 9)$  and is birational onto the image.

### Corollaries

- ★  $\langle \nu_9(A) \rangle$  contains  $f(\mathbb{G}(1, 9))$ , a hypersurface of ternary nonics with 2 dec. computing the rank
- ★  $T \notin f(\mathbb{G}(1, 9)) \Rightarrow T$  has rank 18 and  $A$  is the unique dec.

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$$M' = \begin{pmatrix} q_{10} & q_{11} & q_{12} & 0 \\ a_1 & a_2 & a_3 & 0 \\ q_1 & q_4 & q_7 & \ell_1 \\ q_2 & q_5 & q_8 & \ell_2 \\ q_3 & q_6 & q_9 & \ell_3 \end{pmatrix} \rightsquigarrow W' \in E, \dim E = 13$$

## Theorem: description of $(T, A)$ in Case 2 [AC21]

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### Corollary

- \*  $\langle \nu_9(A) \rangle$  contains  $f'(E)$ , a 13-dim'l subvariety of ternary nonics  $T$  for which  $A$  is a non-redundant but not minimal dec. and the rank of  $T$  is 17.

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## The algorithm for minimality

**INPUT**  $A = \{L_1, \dots, L_{18}\} \subset \mathbb{P}^2$   
 $T = \sum_{i=1}^{18} \lambda_i L_i^9 = [(t_0, \dots, t_{54})]$

### PROCEDURE

- check that:
  - 1)  $\dim\langle L_1^9, \dots, L_{18}^9 \rangle = 18$  ✓
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(resp.  $a_2 = 1$  and  $q_{11} = 0$ ,  $a_3 = 1$  and  $q_{12} = 0$ )
  - construct the matrix  $N_1$  whose rows yield a set of generators for  
 $(I_A)_9 + (I_B)_9$   
(resp.  $N_2$ ,  $N_3$ )
  - compute
- $$d_1 = \max_{4 \leq i \leq 15} \dim \left\{ (a_2, \dots, a_{15}) \in \mathbb{C}^{14} \mid N_1 \cdot \begin{pmatrix} t_0 \\ \vdots \\ t_{54} \end{pmatrix} = \underline{0} \cap a_i = 1 \right\}$$
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- check that:  
4)  $d_1 = d_2 = d_3 = -1$  ✓

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 $(I_A)_9 + (I_B)_9$   
(resp.  $N_2$ ,  $N_3$ )
  - compute
- $$d_1 = \max_{4 \leq i \leq 15} \dim \left\{ (a_2, \dots, a_{15}) \in \mathbb{C}^{14} \mid N_1 \cdot \begin{pmatrix} t_0 \\ \vdots \\ t_{54} \end{pmatrix} = \underline{0} \cap a_i = 1 \right\}$$
- (resp.  $d_2$ ,  $d_3$ )
- check that:
- 4)  $d_1 = d_2 = d_3 = -1$  ✓

OUTPUT  $A$  is minimal for  $T$ , i.e.  $T$  has rank 18

- assume  $a_1 = 1$  and  $q_{10} = 0$   
(resp.  $a_2 = 1$  and  $q_{11} = 0$ ,  $a_3 = 1$  and  $q_{12} = 0$ )
- construct the matrix  $N_1$  whose rows yield a set of generators for  
 $(I_A)_9 + (I_B)_9$   
(resp.  $N_2$ ,  $N_3$ )
- compute

$$d_1 = \max_{4 \leq i \leq 15} \dim \left\{ (a_2, \dots, a_{15}) \in \mathbb{C}^{14} \mid N_1 \cdot \begin{pmatrix} t_0 \\ \vdots \\ t_{54} \end{pmatrix} = \underline{0} \cap a_i = 1 \right\}$$

(resp.  $d_2$ ,  $d_3$ )

- check that:

4)  $d_1 = d_2 = d_3 = -1$  ✓

**OUTPUT**  $A$  is **minimal** for  $T$ , i.e.  $T$  has rank **18**

## Example 1

**INPUT**  $A = \begin{pmatrix} 1 & 0 & -1 & 1 & 1 & 2 & 4 & 1 & 5 & 6 & 1 & 1 & 6 & -7 & 3 & 2 & 6 & -7 \\ 1 & 1 & 2 & 2 & -2 & 1 & 2 & 5 & 2 & 2 & 7 & 7 & 5 & 2 & 7 & -5 & 3 & 6 \\ 1 & 2 & 1 & 3 & 0 & 4 & -3 & 1 & 3 & 3 & 7 & 3 & 4 & 3 & 4 & 1 & -4 & 6 \end{pmatrix}$

$$T = \sum_{i=1}^{18} L_i^9 = [4283x_0^9 - 14212x_0^8x_1 + 2365x_0^7x_1^2 - 11335x_0^6x_1^3 + 10354x_0^5x_1^4 + \\ -7342x_0^4x_1^5 + 11432x_0^3x_1^6 - 15881x_0^2x_1^7 - 10204x_0x_1^8 - 663x_1^9 - 10837x_0^8x_2 - 6573x_0^7x_1x_2 + \\ +6070x_0^6x_1^2x_2 - 12124x_0^5x_1^3x_2 + 8455x_0^4x_1^4x_2 - 9097x_0^3x_1^5x_2 + 200x_0^2x_1^6x_2 + 11563x_0x_1^7x_2 + \\ +11173x_1^8x_2 + 2810x_0^7x_2^2 + 5187x_0^6x_1x_2^2 - 1688x_0^5x_1^2x_2^2 - 3089x_0^4x_1^3x_2^2 + 8745x_0^3x_1^4x_2^2 + \\ +12508x_0^2x_1^5x_2^2 + 151x_0x_1^6x_2^2 + 11119x_1^7x_2^2 + 11414x_0^6x_2^3 + 2714x_0^5x_1x_2^3 + 11939x_0^4x_1^2x_2^3 + \\ +5024x_0^3x_1^3x_2^3 + 10884x_0^2x_1^4x_2^3 + 8404x_0x_1^5x_2^3 + 755x_1^6x_2^3 + 15891x_0^5x_2^4 - 1013x_0^4x_1x_2^4 + \\ -11790x_0^3x_1^2x_2^4 + 14982x_0^2x_1^3x_2^4 - 8411x_0x_1^4x_2^4 - 5236x_0^5x_2^4 + 4416x_0^4x_2^5 - 11481x_0^3x_1x_2^5 + \\ +14698x_0^2x_1^2x_2^5 + 5309x_0x_1^3x_2^5 + 11614x_1^4x_2^5 - 9777x_0^3x_2^6 - 2702x_0^2x_1x_2^6 - 5846x_0x_1^2x_2^6 + \\ -10960x_1^3x_2^6 - 8430x_0^2x_2^7 + 7085x_0x_1x_2^7 + 12763x_1^2x_2^7 - 14136x_0x_2^8 - 9808x_1x_2^8 + 9194x_2^9]$$

**PROCEDURE** all the 4 tests provide positive answer

**OUTPUT**  $T$  has rank 18

## Example 1

**INPUT**  $A = \begin{pmatrix} 1 & 0 & -1 & 1 & 1 & 2 & 4 & 1 & 5 & 6 & 1 & 1 & 6 & -7 & 3 & 2 & 6 & -7 \\ 1 & 1 & 2 & 2 & -2 & 1 & 2 & 5 & 2 & 2 & 7 & 7 & 5 & 2 & 7 & -5 & 3 & 6 \\ 1 & 2 & 1 & 3 & 0 & 4 & -3 & 1 & 3 & 3 & 7 & 3 & 4 & 3 & 4 & 1 & -4 & 6 \end{pmatrix}$

$$T = \sum_{i=1}^{18} L_i^9 = [4283x_0^9 - 14212x_0^8x_1 + 2365x_0^7x_1^2 - 11335x_0^6x_1^3 + 10354x_0^5x_1^4 + \\ -7342x_0^4x_1^5 + 11432x_0^3x_1^6 - 15881x_0^2x_1^7 - 10204x_0x_1^8 - 663x_1^9 - 10837x_0^8x_2 - 6573x_0^7x_1x_2 + \\ +6070x_0^6x_1^2x_2 - 12124x_0^5x_1^3x_2 + 8455x_0^4x_1^4x_2 - 9097x_0^3x_1^5x_2 + 200x_0^2x_1^6x_2 + 11563x_0x_1^7x_2 + \\ +11173x_1^8x_2 + 2810x_0^7x_2^2 + 5187x_0^6x_1x_2^2 - 1688x_0^5x_1^2x_2^2 - 3089x_0^4x_1^3x_2^2 + 8745x_0^3x_1^4x_2^2 + \\ +12508x_0^2x_1^5x_2^2 + 151x_0x_1^6x_2^2 + 11119x_1^7x_2^2 + 11414x_0^6x_2^3 + 2714x_0^5x_1x_2^3 + 11939x_0^4x_1^2x_2^3 + \\ +5024x_0^3x_1^3x_2^3 + 10884x_0^2x_1^4x_2^3 + 8404x_0x_1^5x_2^3 + 755x_1^6x_2^3 + 15891x_0^5x_2^4 - 1013x_0^4x_1x_2^4 + \\ -11790x_0^3x_1^2x_2^4 + 14982x_0^2x_1^3x_2^4 - 8411x_0x_1^4x_2^4 - 5236x_1^5x_2^4 + 4416x_0^4x_2^5 - 11481x_0^3x_1x_2^5 + \\ +14698x_0^2x_1^2x_2^5 + 5309x_0x_1^3x_2^5 + 11614x_1^4x_2^5 - 9777x_0^3x_2^6 - 2702x_0^2x_1x_2^6 - 5846x_0x_1^2x_2^6 + \\ -10960x_1^3x_2^6 - 8430x_0^2x_2^7 + 7085x_0x_1x_2^7 + 12763x_1^2x_2^7 - 14136x_0x_2^8 - 9808x_1x_2^8 + 9194x_2^9]$$

**PROCEDURE** all the 4 tests provide positive answer

**OUTPUT**  $T$  has rank 18

## Example 1

**INPUT**  $A = \begin{pmatrix} 1 & 0 & -1 & 1 & 1 & 2 & 4 & 1 & 5 & 6 & 1 & 1 & 6 & -7 & 3 & 2 & 6 & -7 \\ 1 & 1 & 2 & 2 & -2 & 1 & 2 & 5 & 2 & 2 & 7 & 7 & 5 & 2 & 7 & -5 & 3 & 6 \\ 1 & 2 & 1 & 3 & 0 & 4 & -3 & 1 & 3 & 3 & 7 & 3 & 4 & 3 & 4 & 1 & -4 & 6 \end{pmatrix}$

$$T = \sum_{i=1}^{18} L_i^9 = [4283x_0^9 - 14212x_0^8x_1 + 2365x_0^7x_1^2 - 11335x_0^6x_1^3 + 10354x_0^5x_1^4 + \\ -7342x_0^4x_1^5 + 11432x_0^3x_1^6 - 15881x_0^2x_1^7 - 10204x_0x_1^8 - 663x_1^9 - 10837x_0^8x_2 - 6573x_0^7x_1x_2 + \\ +6070x_0^6x_1^2x_2 - 12124x_0^5x_1^3x_2 + 8455x_0^4x_1^4x_2 - 9097x_0^3x_1^5x_2 + 200x_0^2x_1^6x_2 + 11563x_0x_1^7x_2 + \\ +11173x_1^8x_2 + 2810x_0^7x_2^2 + 5187x_0^6x_1x_2^2 - 1688x_0^5x_1^2x_2^2 - 3089x_0^4x_1^3x_2^2 + 8745x_0^3x_1^4x_2^2 + \\ +12508x_0^2x_1^5x_2^2 + 151x_0x_1^6x_2^2 + 11119x_1^7x_2^2 + 11414x_0^6x_2^3 + 2714x_0^5x_1x_2^3 + 11939x_0^4x_1^2x_2^3 + \\ +5024x_0^3x_1^3x_2^3 + 10884x_0^2x_1^4x_2^3 + 8404x_0x_1^5x_2^3 + 755x_1^6x_2^3 + 15891x_0^5x_2^4 - 1013x_0^4x_1x_2^4 + \\ -11790x_0^3x_1^2x_2^4 + 14982x_0^2x_1^3x_2^4 - 8411x_0x_1^4x_2^4 - 5236x_1^5x_2^4 + 4416x_0^4x_2^5 - 11481x_0^3x_1x_2^5 + \\ +14698x_0^2x_1^2x_2^5 + 5309x_0x_1^3x_2^5 + 11614x_1^4x_2^5 - 9777x_0^3x_2^6 - 2702x_0^2x_1x_2^6 - 5846x_0x_1^2x_2^6 + \\ -10960x_1^3x_2^6 - 8430x_0^2x_2^7 + 7085x_0x_1x_2^7 + 12763x_1^2x_2^7 - 14136x_0x_2^8 - 9808x_1x_2^8 + 9194x_2^9]$$

**PROCEDURE** all the 4 tests provide positive answer

**OUTPUT**  $T$  has rank 18

## Example 2

**INPUT A** as in Example 1

$$\begin{aligned} T = \sum_{i=1}^{18} \lambda_i L_i^9 = & [9666x_0^9 + 13004x_0^8x_1 + 12463x_0^7x_1^2 - 13235x_0^6x_1^3 - 15442x_0^5x_1^4 + \\ & + 15509x_0^4x_1^5 - 6311x_0^3x_1^6 - 2390x_0^2x_1^7 + 547x_0x_1^8 - 119x_1^9 - 14916x_0^8x_2 + 1822x_0^7x_1x_2 + \\ & - 8022x_0^6x_1^2x_2 - 9386x_0^5x_1^3x_2 - 2742x_0^4x_1^4x_2 + 10541x_0^3x_1^5x_2 + 1156x_0^2x_1^6x_2 - 12023x_0x_1^7x_2 + \\ & + 4417x_1^8x_2 - 11823x_0^7x_3^2 - 737x_0^6x_1x_2^2 - 7616x_0^5x_1^2x_2^2 + 11293x_0^4x_1^3x_2^2 - 8260x_0^3x_1^4x_2^2 + \\ & - 9332x_0^2x_1^5x_2^2 + 7078x_0x_1^6x_2^2 - 4553x_1^7x_2^2 - 15941x_0^6x_2^3 + 4339x_0^5x_1x_2^3 - 4251x_0^4x_1^2x_2^3 + \\ & + 9854x_0^3x_1^3x_2^3 - 22x_0^2x_1^4x_2^3 + 8408x_0x_1^5x_2^3 + 11858x_1^6x_2^3 - 9161x_0^5x_2^4 - 9854x_0^4x_1x_2^4 + \\ & - 13165x_0^3x_1^2x_2^4 - 2105x_0^2x_1^3x_2^4 - 8715x_0x_1^4x_2^4 + 390x_1^5x_2^4 - 9955x_0^4x_2^5 - 11013x_0^3x_1x_2^5 + \\ & - 10651x_0^2x_1^2x_2^5 - 3850x_0x_1^3x_2^5 + 4029x_1^4x_2^5 - 11735x_0^3x_2^6 - 12427x_0^2x_1x_2^6 + 12255x_0x_1^2x_2^6 + \\ & - 3686x_1^3x_2^6 - 2271x_0^2x_2^7 + 5939x_0x_1x_2^7 - 3402x_1^2x_2^7 + 13298x_0x_2^8 + 6455x_1x_2^8 + x_2^9] \\ (\lambda_1, \dots, \lambda_{18}) = & (10308, -9437, -13956, -12270, 2135, -4854, -2213, 1755, \\ & -13629, 7308, -8496, 2940, 11348, -12437, -6712, 4086, -823, -2818) \end{aligned}$$

**PROCEDURE** tests 1), 2), 3) ✓ but test 4) ✗

**OUTPUT**  $T$  has rank 17, computed by...

## Example 2

**INPUT**  $A$  as in Example 1

$$\begin{aligned} T = \sum_{i=1}^{18} \lambda_i L_i^9 = & [9666x_0^9 + 13004x_0^8x_1 + 12463x_0^7x_1^2 - 13235x_0^6x_1^3 - 15442x_0^5x_1^4 + \\ & + 15509x_0^4x_1^5 - 6311x_0^3x_1^6 - 2390x_0^2x_1^7 + 547x_0x_1^8 - 119x_1^9 - 14916x_0^8x_2 + 1822x_0^7x_1x_2 + \\ & - 8022x_0^6x_1^2x_2 - 9386x_0^5x_1^3x_2 - 2742x_0^4x_1^4x_2 + 10541x_0^3x_1^5x_2 + 1156x_0^2x_1^6x_2 - 12023x_0x_1^7x_2 + \\ & + 4417x_1^8x_2 - 11823x_0^7x_3^2 - 737x_0^6x_1x_2^2 - 7616x_0^5x_1^2x_2^2 + 11293x_0^4x_1^3x_2^2 - 8260x_0^3x_1^4x_2^2 + \\ & - 9332x_0^2x_1^5x_2^2 + 7078x_0x_1^6x_2^2 - 4553x_1^7x_2^2 - 15941x_0^6x_2^3 + 4339x_0^5x_1x_2^3 - 4251x_0^4x_1^2x_2^3 + \\ & + 9854x_0^3x_1^3x_2^3 - 22x_0^2x_1^4x_2^3 + 8408x_0x_1^5x_2^3 + 11858x_1^6x_2^3 - 9161x_0^5x_2^4 - 9854x_0^4x_1x_2^4 + \\ & - 13165x_0^3x_1^2x_2^4 - 2105x_0^2x_1^3x_2^4 - 8715x_0x_1^4x_2^4 + 390x_1^5x_2^4 - 9955x_0^4x_2^5 - 11013x_0^3x_1x_2^5 + \\ & - 10651x_0^2x_1^2x_2^5 - 3850x_0x_1^3x_2^5 + 4029x_1^4x_2^5 - 11735x_0^3x_2^6 - 12427x_0^2x_1x_2^6 + 12255x_0x_1^2x_2^6 + \\ & - 3686x_1^3x_2^6 - 2271x_0^2x_2^7 + 5939x_0x_1x_2^7 - 3402x_1^2x_2^7 + 13298x_0x_2^8 + 6455x_1x_2^8 + x_2^9] \\ (\lambda_1, \dots, \lambda_{18}) = & (10308, -9437, -13956, -12270, 2135, -4854, -2213, 1755, \\ & -13629, 7308, -8496, 2940, 11348, -12437, -6712, 4086, -823, -2818) \end{aligned}$$

**PROCEDURE** tests 1), 2), 3) ✓ but test 4) ✗

**OUTPUT**  $T$  has rank 17, computed by...

## Example 2

**INPUT**  $A$  as in Example 1

$$\begin{aligned} T = \sum_{i=1}^{18} \lambda_i L_i^9 = & [9666x_0^9 + 13004x_0^8x_1 + 12463x_0^7x_1^2 - 13235x_0^6x_1^3 - 15442x_0^5x_1^4 + \\ & + 15509x_0^4x_1^5 - 6311x_0^3x_1^6 - 2390x_0^2x_1^7 + 547x_0x_1^8 - 119x_1^9 - 14916x_0^8x_2 + 1822x_0^7x_1x_2 + \\ & - 8022x_0^6x_1^2x_2 - 9386x_0^5x_1^3x_2 - 2742x_0^4x_1^4x_2 + 10541x_0^3x_1^5x_2 + 1156x_0^2x_1^6x_2 - 12023x_0x_1^7x_2 + \\ & + 4417x_1^8x_2 - 11823x_0^7x_3^2 - 737x_0^6x_1x_2^2 - 7616x_0^5x_1^2x_2^2 + 11293x_0^4x_1^3x_2^2 - 8260x_0^3x_1^4x_2^2 + \\ & - 9332x_0^2x_1^5x_2^2 + 7078x_0x_1^6x_2^2 - 4553x_1^7x_2^2 - 15941x_0^6x_2^3 + 4339x_0^5x_1x_2^3 - 4251x_0^4x_1^2x_2^3 + \\ & + 9854x_0^3x_1^3x_2^3 - 22x_0^2x_1^4x_2^3 + 8408x_0x_1^5x_2^3 + 11858x_1^6x_2^3 - 9161x_0^5x_2^4 - 9854x_0^4x_1x_2^4 + \\ & - 13165x_0^3x_1^2x_2^4 - 2105x_0^2x_1^3x_2^4 - 8715x_0x_1^4x_2^4 + 390x_1^5x_2^4 - 9955x_0^4x_2^5 - 11013x_0^3x_1x_2^5 + \\ & - 10651x_0^2x_1^2x_2^5 - 3850x_0x_1^3x_2^5 + 4029x_1^4x_2^5 - 11735x_0^3x_2^6 - 12427x_0^2x_1x_2^6 + 12255x_0x_1^2x_2^6 + \\ & - 3686x_1^3x_2^6 - 2271x_0^2x_2^7 + 5939x_0x_1x_2^7 - 3402x_1^2x_2^7 + 13298x_0x_2^8 + 6455x_1x_2^8 + x_2^9] \\ (\lambda_1, \dots, \lambda_{18}) = & (10308, -9437, -13956, -12270, 2135, -4854, -2213, 1755, \\ & -13629, 7308, -8496, 2940, 11348, -12437, -6712, 4086, -823, -2818) \end{aligned}$$

**PROCEDURE** tests 1), 2), 3) ✓ but test 4) ✗

**OUTPUT**  $T$  has rank 17, computed by...

## Example 2

**INPUT**  $A$  as in Example 1

$$\begin{aligned} T = \sum_{i=1}^{18} \lambda_i L_i^9 = & [9666x_0^9 + 13004x_0^8x_1 + 12463x_0^7x_1^2 - 13235x_0^6x_1^3 - 15442x_0^5x_1^4 + \\ & + 15509x_0^4x_1^5 - 6311x_0^3x_1^6 - 2390x_0^2x_1^7 + 547x_0x_1^8 - 119x_1^9 - 14916x_0^8x_2 + 1822x_0^7x_1x_2 + \\ & - 8022x_0^6x_1^2x_2 - 9386x_0^5x_1^3x_2 - 2742x_0^4x_1^4x_2 + 10541x_0^3x_1^5x_2 + 1156x_0^2x_1^6x_2 - 12023x_0x_1^7x_2 + \\ & + 4417x_1^8x_2 - 11823x_0^7x_3^2 - 737x_0^6x_1x_2^2 - 7616x_0^5x_1^2x_2^2 + 11293x_0^4x_1^3x_2^2 - 8260x_0^3x_1^4x_2^2 + \\ & - 9332x_0^2x_1^5x_2^2 + 7078x_0x_1^6x_2^2 - 4553x_1^7x_2^2 - 15941x_0^6x_2^3 + 4339x_0^5x_1x_2^3 - 4251x_0^4x_1^2x_2^3 + \\ & + 9854x_0^3x_1^3x_2^3 - 22x_0^2x_1^4x_2^3 + 8408x_0x_1^5x_2^3 + 11858x_1^6x_2^3 - 9161x_0^5x_2^4 - 9854x_0^4x_1x_2^4 + \\ & - 13165x_0^3x_1^2x_2^4 - 2105x_0^2x_1^3x_2^4 - 8715x_0x_1^4x_2^4 + 390x_1^5x_2^4 - 9955x_0^4x_2^5 - 11013x_0^3x_1x_2^5 + \\ & - 10651x_0^2x_1^2x_2^5 - 3850x_0x_1^3x_2^5 + 4029x_1^4x_2^5 - 11735x_0^3x_2^6 - 12427x_0^2x_1x_2^6 + 12255x_0x_1^2x_2^6 + \\ & - 3686x_1^3x_2^6 - 2271x_0^2x_2^7 + 5939x_0x_1x_2^7 - 3402x_1^2x_2^7 + 13298x_0x_2^8 + 6455x_1x_2^8 + x_2^9] \\ (\lambda_1, \dots, \lambda_{18}) = & (10308, -9437, -13956, -12270, 2135, -4854, -2213, 1755, \\ & -13629, 7308, -8496, 2940, 11348, -12437, -6712, 4086, -823, -2818) \end{aligned}$$

**PROCEDURE** tests 1), 2), 3) ✓ but test 4) ✗

**OUTPUT**  $T$  has rank 17, computed by...

# OUTPUT

$$B = \begin{pmatrix} & 62.6659 & 29.7378 \\ 1 & 13.368 + 38.1825 i & -19.099 + 7.53788 i \\ 1 & 13.368 - 38.1825 i & -19.099 - 7.53788 i \\ 1 & 35.333 & 40.797 \\ 1 & 14.7061 & 27.8538 \\ 1 & 10.7119 & 4.95399 \\ 1 & -0.796312 & 2.23381 \\ 1 & 1.06064 + 0.13583 i & 1.62951 - 0.563286 i \\ 1 & 1.06064 - 0.13583 i & 1.62951 + 0.563286 i \\ 1 & 0.737271 & -0.0631582 \\ 1 & -0.245331 & -0.76262 \\ 1 & -0.187307 & 0.100519 \\ 1 & -0.0870499 & -0.126324 \\ 1 & 0.00104432 & 0.00164595 \\ 1 & 0.306581 + 0.0182712 i & -0.877193 - 0.031211 i \\ 1 & 0.306581 + 0.0182712 i & -0.877193 + 0.031211 i \\ 1 & 0.390447 & 0.585521 \end{pmatrix}$$

Assume that  $n = 5$  and  $d = 4$ . Consider:

- ★  $T \in \mathbb{P}(S^4\mathbb{C}^5) \cong \mathbb{P}^{69}$
- ★  $A = \{L_1, \dots, L_{\ell(A)}\} \subset \mathbb{P}^4$  non-redundant set computing  $T$ 
  - ✓  $k_1(A) = 5$
  - ✓  $k_2(A) = \min\{\ell(A), 15\}$

## Classically known cases

- ▷ Kruskal's bound:  $\ell(A) \leq 8$
- ▷  $T$  general of rank  $\geq 14 \implies T$  is not identifiable [AH], [CO]

$$9 \leq \ell(A) \leq 13 ?$$

- [AH]: J. Alexander, A. Hirschowitz "Polynomial interpolation in several variables" J. Algebraic Geom. 4 (2) (1995) 201-222
- [CO]: L. Chiantini, G. Ottaviani "On generic identifiability of 3-tensors of small rank" SIAM J Matrix Anal Appl. 33 (2012), 1018-1037

Assume that  $n = 5$  and  $d = 4$ . Consider:

- ★  $T \in \mathbb{P}(S^4\mathbb{C}^5) \cong \mathbb{P}^{69}$
- ★  $A = \{L_1, \dots, L_{\ell(A)}\} \subset \mathbb{P}^4$  non-redundant set computing  $T$ 
  - ✓  $k_1(A) = 5$
  - ✓  $k_2(A) = \min\{\ell(A), 15\}$

## Classically known cases

- ▷ Kruskal's bound:  $\ell(A) \leq 8$
- ▷  $T$  general of rank  $\geq 14 \implies T$  is not identifiable [AH], [CO]

$$9 \leq \ell(A) \leq 13 ?$$

- [AH]: J. Alexander, A. Hirschowitz "Polynomial interpolation in several variables" J. Algebraic Geom. 4 (2)  
(1995) 201-222
- [CO]: L. Chiantini, G. Ottaviani "On generic identifiability of 3-tensors of small rank" SIAM J Matrix Anal Appl.  
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Assume that  $n = 5$  and  $d = 4$ . Consider:

- ★  $T \in \mathbb{P}(S^4\mathbb{C}^5) \cong \mathbb{P}^{69}$
- ★  $A = \{L_1, \dots, L_{\ell(A)}\} \subset \mathbb{P}^4$  non-redundant set computing  $T$ 
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## Theorem: minimality result [AC]

Let  $T \in \mathbb{P}(S^4\mathbb{C}^5)$  be a form with a dec.  $A \subset \mathbb{P}^4$  of  $\ell(A) \leq 13$  and

- 1)  $A$  is non-redundant
- 2)  $k_1(A) = 5$
- 3)  $k_2(A) = \ell(A)$

Then  $A$  is **minimal** for  $T$ , i.e.  $\ell(A)$  is the **rank** of  $T$ .

- [AC]: E. Angelini, L. Chiantini “*On the description of identifiable quartics*” (2021) arXiv:2106.06730 [math.AG], submitted for publication

## Proposition: minimality and uniqueness result [AC]

Let  $T \in \mathbb{P}(S^4\mathbb{C}^5)$  be a form with a dec.  $A \subset \mathbb{P}^4$  of  $\ell(A) \leq 11$  and

- 1)  $A$  is non-redundant
- 2)  $k_1(A) = 5$
- 3)  $k_2(A) = \ell(A)$
- 4) the base locus  $\mathcal{Q}$  of the system of quadrics  $\supset A$  is finite (of length  $< 2\ell(A)$ )

Then  $A$  is the **unique minimal** decomposition of  $T$ .

- [AC]: E. Angelini, L. Chiantini “*On the description of identifiable quartics*” (2021) arXiv:2106.06730 [math.AG], submitted for publication

## Proposition: alternative dec. for rank 12 [AC]

Let  $T \in \mathbb{P}(S^4\mathbb{C}^5)$  be a form with a dec.  $A \subset \mathbb{P}^4$  of  $\ell(A) = 12$  and

- 1)  $A$  is non-redundant
- 2)  $k_1(A) = 5$
- 3)  $k_2(A) = 12$
- 4)  $\forall A' \subset A$  of  $\ell(A') \leq 11$ , the base locus  $\mathcal{Q}'$  of the system of quadrics  $\supset A'$  is finite (of length  $< 2\ell(A')$ )
- 5) the base locus of the system of quadrics  $\supset A$  is an irreducible curve  $C$  and  $0 \rightarrow R(-6)^7 \rightarrow R(-5)^{24} \rightarrow R(-4)^{27} \rightarrow R(-3)^8 \oplus R(-2)^3 \rightarrow I_A \rightarrow 0$

If  $B$  is another dec. of  $T$  of  $\ell(B) = 12$ , then  $A \cup B$  is a complete intersection (2,2,2,3).

• [AC]: E. Angelini, L. Chiantini “On the description of identifiable quartics” (2021) arXiv:2106.06730 [math.AG]

## Theorem: description of $(T, A)$ [AC]

The map  $f : \mathbb{P}((I_A)_3 / (I_C)_3) \cong \mathbb{P}^7 \dashrightarrow \langle \nu_4(A) \rangle \cong \mathbb{P}^{11}$  defined by  
 $f(W) = \langle \nu_4(A) \rangle \cap \langle \nu_4(B) \rangle = \mathbb{P}((I_A)_4 + (I_B)_4)^\vee$   
is birational onto the image.

## Corollaries

- \*  $\langle \nu_4(A) \rangle$  contains  $f(\mathbb{P}^7)$ , a 7-dim'l subvariety of quartics in 5 variables of rank 12 with 2 dec., which is a project. of  $\nu_{11}(\mathbb{P}^7)$
- \*  $T \notin f(\mathbb{P}^7) \implies A$  is the unique minimal dec. of  $T$

- [AC]: E. Angelini, L. Chiantini "On the description of identifiable quartics" (2021) arXiv:2106.06730 [math.AG]

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## The algorithm for minimality and uniqueness

**INPUT**  $A = \{L_1, \dots, L_{12}\} \subset \mathbb{P}^4$

$$T = \sum_{i=1}^{12} \lambda_i L_i^4 = [(t_0, \dots, t_{69})]$$

### PROCEDURE

- check that:

1)  $\dim \langle L_1^4, \dots, L_{12}^4 \rangle = 12$  ✓

2)  $k_1(A) = 5$  ✓

3)  $k_2(A) = 12$  ✓

4)  $\forall A' \subset A$  of  $\ell(A') = 11$  the base locus  $\mathcal{Q}'$  of the system of quadrics  $\supset A'$  is finite (of length  $\leq 16$ ) ✓

5) the b.l. of the system of quadrics  $\supset A$  is an irreducible curve

6)  $\dim \langle T_{L_1^4 \nu_4(\mathbb{P}^4)}, \dots, T_{L_{12}^4 \nu_4(\mathbb{P}^4)} \rangle = 59$  ✓

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- construct the ideal  $I_A$

- construct the ideal of a hypothetical dec.  $B$  with  $\ell(B) = 12$

$$F = \sum_{i=1}^8 a_i C_i$$

- construct the matrix  $N$  whose rows yield a set of generators for  $(I_A)_4 + (I_B)_4$

- compute

$$\text{linsys} = \dim \left\{ (a_1, \dots, a_8) \in \mathbb{C}^8 \mid N \cdot \begin{pmatrix} t_0 \\ \vdots \\ t_{69} \end{pmatrix} = \underline{0} \right\}$$

- check that:

7) linsys = -1 ✓

**OUTPUT**  $A$  is the unique minimal dec. for  $T$

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**INPUT**  $A =$

$$\begin{bmatrix} -1960 & 7185 & 2948 & 1986 & -7270 \\ 8416 & -14232 & 8567 & 14988 & -12297 \\ 4210 & -11055 & -6249 & 530 & 6066 \\ -6981 & 1313 & 6692 & 12883 & 4597 \\ 8211 & -5857 & 6853 & -5758 & -1890 \\ 8633 & 6895 & 14963 & 14147 & -405 \\ 12697 & -10281 & 10647 & 1414 & 11296 \\ -15107 & 4696 & -6212 & 6064 & 8777 \\ -14194 & -13431 & -2768 & 6063 & -1066 \\ -687 & 7327 & 9904 & 11696 & 10323 \\ -262 & -14530 & 5673 & 10210 & 5157 \\ -5397 & 6232 & -7867 & -10827 & -653 \end{bmatrix}$$

$$T = \sum_{i=1}^{12} L_i^4 = [2454x_0^4 - 14837x_0^3x_1 - 9546x_0^2x_1^2 + 12272x_0x_1^3 + 5779x_1^4 + 9852x_0^3x_2 + 11840x_0^2x_1x_2 + 4479x_0x_1^2x_2 - 6699x_1^3x_2 + 5245x_0^2x_2^2 + 7347x_0x_1x_2^2 + 979x_1^2x_2^2 - 14274x_0x_2^3 + -10753x_1x_2^3 - 15547x_2^4 + 3625x_0^3x_3 + 1511x_0^2x_1x_3 - 7021x_0x_1^2x_3 + 8756x_2^3x_3 - 12116x_0^2x_2x_3 + -11133x_0x_1x_2x_3 - 4526x_1^2x_2x_3 - 8491x_0x_2^2x_3 + 12057x_1x_2^2x_3 - 9401x_2^3x_3 - 10613x_0^2x_3^2 + -6878x_0x_1x_3^2 + 8208x_1^2x_3^2 + 3405x_0x_2x_3^2 + 10766x_1x_2x_3^2 - 13732z^2x_3^2 + 14028x_0x_3^3 - 9572x_1x_3^3 + -11158x_2x_3^3 - 2774x_3^4 - 5103x_0^3x_4 + 5136x_0^2x_1x_4 + 10632x_0x_1^2x_4 - 15393x_1^3x_4 - 4914x_0^2x_2x_4 + +8047x_0x_1x_2x_4 - 4020x_1^2x_2x_4 - 1609x_0x_2^2x_4 + 14390x_1x_2^2x_4 - 5791x_2^3x_4 + 8743x_0^2x_3x_4 + -14600x_0x_1x_3x_4 + 11388x_1^2x_3x_4 + 6681x_0x_2x_3x_4 + 15846x_1x_2x_3x_4 + 9266x_2^2x_3x_4 + 3649x_0x_3^2x_4 + -4887x_1x_3^2x_4 + 12361x_2x_3^2x_4 + 8699x_3^3x_4 + 12211x_0^2x_4^2 - 10563x_0x_1x_4^2 - 13952x_1^2x_4^2 + +2139x_0x_2x_4^2 - 12182x_1x_2x_4^2 - 7237x_2^2x_4^2 - 113x_0x_3x_4^2 - 1224x_1x_3x_4^2 - 2612x_2x_3x_4^2 + +13999x_3^2x_4^2 - 6977x_0x_4^3 - 8368x_1x_4^3 + 1738x_2x_4^3 - 14977x_3x_4^3 + 3637x_4^4]$$

**PROCEDURE** all the 7 tests ✓

**OUTPUT**  $A$  is the unique minimal decomposition of  $T$

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